

A Reference Grammar of

Chinese

Edited by
Chu-Ren Huang
Dingxu Shi

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A Reference Grammar of Chinese

A Reference Grammar of Chinese is a comprehensive and up-to-date guide to the linguistic structure of Chinese, covering all of the important linguistic features of the language and incorporating insights gained from research in Chinese linguistics over the past thirty years. With contributions from twenty-two leading Chinese linguists, this authoritative guide uses large-scale corpora to provide authentic examples based on actual language use. The accompanying online example databases ensure that a wide range of exemplars are readily available and also allows for new usages to be updated. This design offers a new paradigm for a reference grammar where generalizations can be cross-checked with additional examples, and also provides resources for both linguistic studies and language learning. Featuring bilingual term lists, this reference grammar helps readers to access relevant literature in both English and Chinese and is an invaluable reference for learners, teachers, and researchers in Chinese linguistics and language processing.

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A Reference Grammar of Chinese 中文参考语法

Edited by

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Dedicated to 献给

Y.R. Chao 赵元任 *Zhu Dexi* 朱德熙

Giants Whose Shoulders We Stand On

高山仰止 景行行止

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Preface

A reference grammar is a snapshot of language in action as well as a comprehensive description of how language is used. In addition, a reference grammar attempts to describe the range of possibilities of how words and other larger linguistic units may be put together in the language, and how they can present different meanings in different contexts. Moreover, a reference grammar aids linguists in constructing theories for one language in particular, and all languages in general. A reference grammar also provides roadmaps for both language learners and teachers to navigate the complexity of learning or teaching a new language. Lastly, a reference grammar shares with all language lovers the beauty of language as a knowledge system, including the cultural heritage of the language.

A Reference Grammar of Chinese is designed with all of the above functions in mind. We are keenly aware of the challenge and constraints of containing a grammar within the confines of the book format. Hence, we worked out a solution by constructing an example corpus in parallel while drafting our grammar. This example corpus constitutes a distinguishing feature of this book. On the one hand, all the generalizations reported in this grammar are based on expert linguists' observations of a large set of corpus data. On the other hand, when reading this reference book, a reader can refer to the example corpus (at the website <http://crg.cbs.polyu.edu.hk>) using chapter, section, and example numbers, so that the reader can form his/her own generalizations and/or tease out more details that could not be included in the grammar due to space considerations. Thus, the example databases allow *A Reference Grammar of Chinese* to be not only an evidence-based grammar, but also a grammar not constrained by the limitations of the pages of the book.

We are also fully aware of the fact that a reference grammar must refer to a familiar framework of grammar. As English is the academic metalanguage used for this book, and English grammar is the most familiar language grammar due to its largest number of second language learners, we choose to adopt and follow the framework of English descriptive grammar. In particular, we follow the structure and drafting guidelines of *The Cambridge Grammar of the English Language* (CGEL) as closely as possible. In this connection, we would like to express our gratitude

to the two authors of *CGEL*, Professors Rodney Huddleston and Geoffrey Pullum. Professor Pullum flew to Hong Kong to meet both chief editors as well as many chapter authors and to provide us with advice and encouragement as we embarked on our long and arduous journey.

Similar to the *CGEL*, *A Reference Grammar of Chinese* (ARGC) has chief editors who have done research on various aspects of Chinese grammar in their respective academic careers. However, even though the ARGC chief editors were heavily involved in drafting and revising, they are not listed as co-authors of the chapters except for the chapters in which they had a direct hand in the original drafts. It is perhaps not difficult to see that in a grammar covering such a wide range of topics, inconsistencies in descriptions among the submitted early drafts would arise and the editors would eventually need to be responsible for the final revisions to ensure a consistent voice and perspective. In such a context, the chapters were meticulously modified by varying degrees. Therefore, please bear this in mind while reading and attribute the contributions to both the chapter authors and the editors. Any remaining errors, however, are the responsibilities of the editors.

The completion of this reference grammar was the result of a long journey that we took with many friends. In addition to thanking Geoffrey Pullum for sharing his wisdom and encouragement, we would like to thank Helen Barton of Cambridge University Press for her unfailing support to guide us through this journey and for helping us with various unexpected difficulties. We would also like to thank the enthusiasm, professionalism, and linguistic acumen of all the editorial team members, including the associate editors Prof. Kathleen Ahrens, Dr. Jing Jin, Dr. Sophia Y. M. Lee, and Dr. Jingxia Lin, and assistant editors Dr. Helen Kai-yun Chen, Dr. Jiajuan Xiong, and Dr. Hongzhi Xu. Many colleagues in our research group helped at various stage of preparation, especially with extraction of examples from corpora, and among the people whom we cannot list exhaustively here, we would like to thank in particular Dr. Shuang Hong, Dr. Jia-fei Hong, and Ivy Wing Shan Chan. In addition, we would like to thank Professor Qin Lu for the construction of the working database and interface for extracting and storing example sentences from corpora. Last, but not least, we would like to thank the Department of Chinese and Bilingual Studies of the Hong Kong Polytechnic University, as well as the PolyU-Peking U Research Centre on Chinese Linguistics for providing support for research that was crucial to the completion of this grammar.

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English-Chinese term list

A	啊
abstract noun	抽象名词
accomplishment (verb)	完结(动词)
achievement (verb)	达成(动词)
acronym	缩略词
activity (verb)	活动(动词)
adjective	形容词
adjunct	附接语
adverb	副词
adverbial	状语, 副词短语
affirmative	肯定式
affix	语缀
agent	施事
AI	唉
anaphor	照应语, 回指语
anaphoric chain	回指链, 照应链
antecedent	先行语
appositive	同位语
argument	论元
article	冠词
aspect	体, 体貌
atelic	无终结
attributive	定语(的)
auxiliary (verb)	助动词
BA	吧; 把, 将
BALE	罢了
bare NP	光杆名词, 光杆名词短语
BEI	被
beneficiary	受益者
blending	缩合
borrowing	借词

bounded	有界
case	格
CL	classifier, 量词, 分类词
cleft	分裂句
closed class	封闭类
code	语码
common noun	普通名词
complement	补(足)语
complex sentence	复(杂)句
concessive	让步语
conditional	条件句
consonant	辅音
coordinator	并列连词
copula	系词
corpus	语料库
correlative	关联(词)
DE	地, 得, 的, 之
declarative (sentence)	陈述(句)
definiteness	有定, 定指
deictic	直示
demonstrative	指示代词
denotation	外延
deontic	义务(情态)
dependency relation	依存关系, 依赖关系
derivation	派生
determiner	限定词
deverbal	动转(的)
direct object	直接宾语, 直接受词
ditransitive	双及物
entailment	衍推
existential (sentence)	存在句
experiencer	感受者
gap	缺位
GEI	给
generic	通指
goal	目标
gradability	级差性
grammatical function	语法功能
GUAN	管

GUO	过
headless relative clause	无核关系句
illocutionary force	示意语力, 言外力量
imperative	祈使
imperfective	未完成体
indirect object	间接宾语 间接受词
inflection	屈折
instrument (case)	工具格
intensifier	程度状语
interrogative pronoun	疑问代词
intonation	语调
intransitive	不及物的
inversion	倒置
irrealis	非现实
lexeme	词位
LA	啦
LAIZHE	来着
LE	了
Lit.	literal
LI	哩
MA	吗; 嘛
mass noun	不可数名词
metalinguistic negation	元语言否定
metaphor	隐喻
metonymy	转喻
modality	情态
mood	语态
morphology	形态学; 构词, 词法
NA	拿; 哪
NE	呢
NEG	不, 不是, 未, 非, 没有, 不必, 没
nominal	名词性成分
O	喔
object	宾语, 受词
oblique	旁格, 斜格
ORDINAL	ordinal marker, 第
part of speech	词类
particle	小词, 助词
passive	被动

patient	受事
perfective	完成体
phoneme	音位
PL	们
polarity	极性
PREP	preposition, 介词
preposing	前置
presupposition	预设
pro form	指代形式
progressive aspect	进行体
proper noun	专有名词
proposition	命题
prosody	韵律
QI	起
QILAI	起来
quantifier	量化成分, 量词
recipient	接受者
reduced clause	缩减小句
reduplication	重叠
reference	指称
referent	所指
reflexive pronoun	反身代词
resultative	结果补语
resumptive pronoun	复指代词
rhetorical question	反问
scope	辖域
selectional restriction	选择限制
SHI	是
state (verb)	状态(动词)
stative	静态
subordinate	从属(成分)
suffix	后缀
SUO	所
SUOXIE	缩写
syntax	句法
telic	终结
thematic role	论旨角色
theme	主位
topic	话题

trajectory	轨迹
truth conditions	真值条件
truth value	真值
type	类
variable	变数; 变体的
vocative	呼格
voice	语态
volition	意愿
vowel	元音
WA	哇
XIAQU	下去
YA	呀
YO	哟
YOU	有
ZAI	在, 正在
ZHE	着
ZHE_NE	着呢

Chinese-English term list

啊 <i>a4</i>	A
唉 <i>ai0</i>	AI
吧 <i>ba0</i>	BA
把 <i>ba3</i>	BA
罢了 <i>ba4liao3</i>	BALE
被动 <i>bei4dong4</i>	passive
被 <i>bei4</i>	BEI
变数 <i>bian4shu3</i>	variable
变体的 <i>bian4ti3de0</i>	variable
宾语 <i>bin1yu3</i>	object
并列连词 <i>bing4lie4lian2ci2</i>	coordinator
补(足)语 <i>bu3(zu2)yu3</i>	complement
不必 <i>bu2bi4</i>	NEG
不是 <i>bu2shi4</i>	NEG
不及物的 <i>bu4ji2wu4de0</i>	intransitive
不可数名词 <i>bu4ke3shu3ming2ci2</i>	mass noun
不 <i>bu4</i>	NEG
陈述(句) <i>chen2shu4(ju4)</i>	declarative (sentence)
程度状语 <i>cheng2du4zhuang4yu3</i>	intensifier
重叠 <i>chong2die2</i>	reduplication
抽象名词 <i>chou1xiang4ming2ci2</i>	abstract noun
词法 <i>ci2fa3</i>	morphology
词类 <i>ci2lei4</i>	part of speech
词位 <i>ci2wei4</i>	lexeme
从属(成分) <i>cong2shu3(cheng2fen4)</i>	subordinate
存在句 <i>cun2zai4ju4</i>	existential (sentence)
达成(动词) <i>da2cheng2(dong4ci2)</i>	achievement (verb)
倒置 <i>dao4zhi4</i>	inversion
的 <i>de0</i>	DE
得 <i>de0</i>	DE
地 <i>de0</i>	DE

第 <i>di4</i>	ORDINAL, ordinal marker
定语(的) <i>ding4yu3(de0)</i>	attributive
定指 <i>ding4zhi3</i>	definiteness
动转(的) <i>dong4zhuan3(de0)</i>	deverbal
反身代词 <i>fan3shen1dai4ci2</i>	reflexive pronoun
反问 <i>fan3wen4</i>	rhetorical question
非现实 <i>fei1xian4shi2</i>	irrealis
非 <i>fei1</i>	NEG
分类词 <i>fen1lei4ci2</i>	CL, classifier
分裂句 <i>fen1lie3ju4</i>	cleft
封闭类 <i>feng1bi4lei4</i>	closed class
辅音 <i>fu3yin1</i>	consonant
副词短语 <i>fu4ci2duan3yu3</i>	adverbial
副词 <i>fu4ci2</i>	adverb
附接语 <i>fu4jie1yu3</i>	adjunct
复(杂)句 <i>fu4(za2)ju4</i>	complex sentence
复指代词 <i>fu4zhi3dai4ci2</i>	resumptive pronoun
感受者 <i>gan3shou4zhe3</i>	experiencer
格 <i>ge2</i>	case
给 <i>gei3</i>	GEI
工具格 <i>gong1ju4ge2</i>	instrument (case)
关联(词) <i>guan1lian2ci2</i>	correlative
管 <i>guan3</i>	GUAN
冠词 <i>guan4ci2</i>	article
光杆名词短语 <i>guang1gan3ming2ci2duan3yu3</i>	bare NP
光杆名词 <i>guang1gan3ming2ci2</i>	bare NP
轨迹 <i>gui3ji4</i>	trajectory
过 <i>guo4</i>	GUO
后缀 <i>hou4zhui4</i>	suffix
呼格 <i>hu1ge2</i>	vocative
话题 <i>hua4ti2</i>	topic
回指链 <i>hui2zhi3lian4</i>	anaphoric chain
回指语 <i>hui2zhi3yu3</i>	anaphor
活动(动词) <i>huo2dong4 (dong4ci2)</i>	activity (verb)
级差性 <i>ji2cha1xing4</i>	gradability
极性 <i>ji2xing4</i>	polarity
间接宾语 <i>jian4jie1bin1yu3</i>	indirect object
间接受词 <i>jian4jie1shou4ci2</i>	indirect object
将 <i>jiang1</i>	BA

接受者 <i>jie1shou4zhe3</i>	recipient
结果补语 <i>jie2guo3bu3yu3</i>	resultative
介词 <i>jie4ci2</i>	PREP, preposition
借词 <i>jie4ci2</i>	borrowing
进行体 <i>jin4xing2ti3</i>	progressive aspect
静态 <i>jing4tai4</i>	stative
句法 <i>ju4fa3</i>	syntax
肯定式 <i>ken3ding4shi4</i>	affirmative
啦 <i>la1</i>	LA
来着 <i>lai2zhe0</i>	LAIZHE
类 <i>lei4</i>	type
哩 <i>li0</i>	LI
量词 <i>liang4ci2</i>	CL, classifier; quantifier
量化成分 <i>liang4hua4cheng2fen4</i>	quantifier
了 <i>liao3</i>	LE
论元 <i>lun4yuan2</i>	argument
论旨角色 <i>lun4zhi3jue2se4</i>	thematic role
吗 <i>ma0</i>	MA
嘛 <i>ma0</i>	MA
没有 <i>mei2you3</i>	NEG
没 <i>mei2</i>	NEG
们 <i>men0</i>	PL, plural marker
名词性成分 <i>ming2ci2xing4cheng2fen4</i>	nominal
命题 <i>ming4ti2</i>	proposition
目标 <i>mu4biao1</i>	goal
拿 <i>na2</i>	NA
哪 <i>na3</i>	NA
呢 <i>ne0</i>	NE
喔 <i>o0</i>	O
派生 <i>pai4sheng1</i>	derivation
旁格 <i>pang2ge2</i>	oblique
普通名词 <i>pu3tong1ming2ci2</i>	common noun
祈使 <i>qi2shi3</i>	imperative
起来 <i>qi3lai2</i>	QILAI
起 <i>qi3</i>	QI
前置 <i>qian2zhi4</i>	preposing
情态 <i>qing2tai4</i>	modality
屈折 <i>qu1zhe2</i>	inflection
缺位 <i>que1wei4</i>	gap

让步语 rang4bu4yu3	concessive
施事 shi1shi4	agent
示意语力 shi4yi4yu3li4	illocutionary force
是 shi4	SHI
受词 shou4ci2	object
受事 shou4shi4	patient
受益者 shou4yi4zhe3	beneficiary
双及物 shuang1ji2wu4	ditransitive
缩合 suo1he2	blending
缩减小句 suo1jian3xiao3ju4	reduced clause
缩略词 suo1lue4ci2	acronym
缩写 suo1xie3	SUOXIE
所指 suo3zhi3	referent
所 suo3	SUO
体貌 ti3mao4	aspect
体 ti3	aspect
条件句 tiao2jian4ju4	conditional
通指 tong1zhi3	generic
同位语 tong2wei4yu3	appositive
哇 wa1	WA
外延 wai4yan2	denotation
完成体 wan2cheng2ti3	perfective
完结(动词) wan2jie2 (dong4ci2)	accomplishment (verb)
未完成体 wei4wan2cheng2ti3	imperfective
未 wei4	NEG
无核关系句 wu2he2guan1xi4ju4	headless relative clause
无终结 wu2zhong1jie2	atelic
系词 xi4ci2	copula
辖域 xia2yu4	scope
下去 xia4qu4	XIAQU
先行语 xian1xing2yu3	antecedent
限定词 xian4ding4ci2	determiner
小词 xiao3ci2	particle
斜格 xie2ge2	oblique
形容词 xing2rong2ci2	adjective
形态学 xing2tai4xue2	morphology
构词 gou4ci2	morphology
选择限制 xuan3ze2xian4zhi4	selectional restriction
呀 ya0	YA

言外力量 <i>yan2wai4li4liang4</i>	illocutionary force
衍推 <i>yan3tui1</i>	entailment
依存关系 <i>yi1cun2guan1xi4</i>	dependency relation
依赖关系 <i>yi1lai4guan1xi4</i>	dependency relation
疑问代词 <i>yi2wen4dai4ci2</i>	interrogative pronoun
义务(情态) <i>yi4wu4(qing2tai4)</i>	deontic
意愿 <i>yi4yuan4</i>	volition
音位 <i>yin1wei4</i>	phoneme
隐喻 <i>yin3yu4</i>	metaphor
哟 <i>yo1</i>	YO
有定 <i>you3ding4</i>	definiteness
有界 <i>you3jie4</i>	bounded
有 <i>you3</i>	YOU
语调 <i>yu3diao4</i>	intonation
语法功能 <i>yu3fa3gong1neng2</i>	grammatical function
语料库 <i>yu3liao4ku4</i>	corpus
语码 <i>yu3ma3</i>	code
语态 <i>yu3tai4</i>	mood; voice
语缀 <i>yu3zhui4</i>	affix
预设 <i>yu4she4</i>	presupposition
元音 <i>yuan2yin1</i>	vowel
元语言否定 <i>yuan2yu3yan2fou3ding4</i>	metalinguistic negation
韵律 <i>yun4lü4</i>	prosody
在 <i>zai4</i>	ZAI
照应链 <i>zhao4ying4lian4</i>	anaphoric chain
照应语 <i>zhao4ying4yu3</i>	anaphor
着呢 <i>zhe0ne0</i>	ZHE_NE
真值条件 <i>zhen1zhi2tiao2jian4</i>	truth conditions
真值 <i>zhen1zhi2</i>	truth value
正在 <i>zheng4zai4</i>	ZAI
之 <i>zhi1</i>	DE
直接宾语 <i>zhi2jie1bin1yu3</i>	direct object
直接受词 <i>zhi2jie1shou4ci2</i>	direct object
直示 <i>zhi2shi4</i>	deictic
指称 <i>zhi3cheng1</i>	reference
指代形式 <i>zhi3dai4xing2shi4</i>	pro form
指示代词 <i>zhi3shi4dai4ci2</i>	demonstrative
终结 <i>zhong1jie2</i>	telic
主位 <i>zhu3wei4</i>	theme

助词 *zhu4ci2*

助动词 *zhu4dong4ci2*

专有名词 *zhuan1you3ming2ci2*

转喻 *zhuan3yu4*

状态(动词) *zhuang4tai4(dong4ci2)*

状语 *zhuang4yu3*

着 *zhe0*

particle

auxiliary (verb)

proper noun

metonymy

state (verb)

adverbial

ZHE

Preliminaries

Chu-Ren Huang and Dingxu Shi

A grammar is the system of knowledge of the relation between what people do and what people know when they use a particular language. Since what people know in the context of their language use is often implicit, linguistic theories are proposed as a foundational hypothesis to enable the explicit explanation of a grammar of any particular language. This presents an underlying dilemma in the writing of any grammar. On the one hand, descriptive work is the foundation of any scientific study and is crucial to the language sciences. Modern linguistics emerged as a result of a conscientious effort to move from prescriptive to descriptive studies of language. On the other hand, once any theoretical framework or account is adopted, a grammar becomes prescriptive in the sense that it imposes a set of conceptual primitives and structure of rules prescribed by a sub-set of linguists. How to capture the system of implicit knowledge without prescribing an *a priori* theoretical framework remains the biggest challenge to any descriptive grammar.

A Reference Grammar of Chinese meets this challenge with an empirical approach focused on describing what people do when they use Mandarin Chinese, while allowing generalizations to emerge from our descriptions as well as from the readers' observation of the data. We believe that a keenly observed description of the generalizations and tendencies based on the observation of the extensive data of language use will lead to capturing the implicit knowledge people share without prescribing an explicit rule. To achieve this goal, corpora and Web-extracted examples are used extensively, with an occasional supplementation of made-up sentences. These data have been carefully examined by our authors for their distributional patterns and tendencies. None of the examples cited in this grammar are single instances of language use; rather, they were chosen as an illustrative representation based on a set of similar examples selected by the authors. In other words, this reference grammar is intended to be read like a guide to the Chinese language, mediated by an extensive set of extracted examples for each grammatical point we make. Readers can consult the example database when they read the grammar to strengthen both their understanding of the generalizations and the complexity of language in use.

This grammar assumes a minimal set of theoretical concepts, which include grammatical categories, basic grammatical functions, and some intuitive semantic concepts, such as the thematic roles of agent, theme, goal, etc. A more detailed discussion of the grammatical categories is presented in Chapter 2.

1.1. The Chinese language

Chinese, or Mandarin Chinese, has the most native speakers in the world as well as one of the longest cultural heritages. Mandarin Chinese also has become one of the most learned foreign languages in the world. The 2005 version of *The Language Situation in China* claimed that more than 30 million people in the world were learning Chinese as a foreign language. The need for a linguistically felicitous and accessible reference grammar of the Chinese language is clear and urgent. Authored by leading Chinese linguists in each topic area, this volume serves as a comprehensive and accessible reference grammar of Chinese in that it aims to cover all the important linguistic facts of the language; moreover, these facts are presented in a way that does not presuppose knowledge of a particular linguistic theory or grammar of Chinese.

This grammar provides a synchronic, descriptive grammar of present-day Standard Mandarin Chinese. It shares some of the major design philosophy with that of *The Cambridge Grammar of the English Language* (Huddleston and Pullum 2002).

1.1.1. Standard Mandarin Chinese

Standard Mandarin Chinese has the phonological system of the Beijing variant of Northern Mandarin as its norm of pronunciation. Historically, Mandarin (官话 *guan1hua4*) has been the common language adopted by officials, yet it developed into different variants among the areas where it was primarily spoken, such as Northern Mandarin, Southern Mandarin, and Sichuan Mandarin. In common English usage today, however, Mandarin and Chinese are used interchangeably and loosely to refer to Standard Chinese. The Chinese described in this reference grammar refers to the Standard Mandarin Chinese that is generally accepted in a wide range of public discourse, such as government, education, broadcasting, and publishing. This standard language is referred to as Putonghua (普通话 *pu3tong1hua4* ‘common language’) in Mainland China, Singapore, and Macau, and as GuoYu (国语 *guo2yu3* ‘national language’) in Taiwan, while both terms are used in Hong Kong. Broadly speaking, Putonghua follows Mainland China conventions and GuoYu follows Taiwan conventions, and they do differ from each other occasionally, not unlike the contrast between UK and US English. While focusing on the widely accepted usages as reflected in standard written and spoken Chinese, and on the common usages shared by Putonghua and GuoYu, we will point out significant distinctions when necessary.

1.1.2. Synchronic description of present-day Chinese

The earliest record of a well-developed system of Chinese writing dates back to more than three thousand years ago. Although linguists do not agree on all the details, the history of the Chinese language can be divided into four stages: 上古汉语 *shang4gu3han4yu3* ‘Old Chinese’ (Shang Dynasty to Han Dynasty, sixteenth century BCE–220 CE), 中古汉语 *zhong1gu3han4yu3* ‘Middle Chinese’ (Southern and Northern Dynasties to Five Dynasties and Ten Kingdoms, CE 220–960), 近代汉语 *jin4dai4han4yu3* ‘Early Modern Chinese’ (Song Dynasty to May Fourth Movement, 960–1919), and 现代汉语 *xian4dai4han4yu3* ‘Modern Chinese’ (1919–present). Throughout these periods, substantial changes took place in all linguistic aspects of Chinese. In terms of grammar, Old Chinese can be identified by the lack of more complex constructions, which developed later. Middle Chinese is the period when many new constructions and forms emerged, including the 把/将 *ba3/jiang1* constructions, the 被 *bei4* passive, and a pronoun system that is very similar to that of present-day Chinese. During this period, Chinese prepositional phrases also moved from the predominantly post-verbal position to the pre-verbal position. In Early Modern Chinese, aspectual markers such as 了 *le0* and 着 *zhe0* and phrasal suffixes such as 的 *de0* and 地 *de0* were widely used. Writing based on vernacular Chinese (白话文 *bai2hua4wen2*) emerged in the Tang Dynasty, but Classical Chinese (文言文 *wen2yan2wen2*) was still used in formal writing until Modern Chinese, particularly after the May Fourth Movement in 1919, when most publications in China started to use the vernacular language.

The historical change of Chinese is of great linguistic importance and interest, but as a synchronic grammar, this volume limits the description to present-day Modern Chinese, especially the language since 1991, because all the generalizations of this grammar are based on corpora with natural Chinese data collected from that time. It is important to bear in mind, however, that conventionalized historical forms are still used in Modern Mandarin Chinese, especially in formal registers. As such, they are part of present-day Chinese and will be covered in this grammar.

1.1.3. Varieties of Chinese

The term World Chineses (全球华语 *quan2qiu2hua2yu3*), though not as common as World Englishes, is becoming more and more widely used with the increasing popularity of Chinese as a second language and with the Chinese diaspora spreading and growing. Despite the same linguistic heritage, Mandarin Chinese in different regions has evolved in different ways as a result of the political, economic, cultural, and social development of each region. Variations can be found in pronunciation, lexicon, and syntax. While it is important to investigate these

differences, this reference grammar aims to present the shared core of grammar. In the rare cases where the variations render the description of a shared core difficult or if the variations present a special challenge to learners and speakers, observations and descriptions will be provided.

The varieties of Chinese also differ orthographically in adopting traditional characters (Taiwan, Hong Kong, and Macau) or simplified characters (Mainland and Singapore). Orthography projects language identity through the formation of a community of practice and may introduce language variations, although strictly speaking it is not part of the grammar. In this grammar, we adopted simplified characters as the most commonly learned system. It is, however, important to note that although a meaning-preserving mapping from traditional characters to simplified characters can be performed without ambiguity, the same cannot be said for mapping simplified characters to traditional characters.

1.1.4. Chinese dialects vs. Sinitic languages

“Chinese” as the language spoken by ethnic Han people is traditionally divided into seven major groups: Mandarin (or Northern Chinese), Wu, Xiang, Gan, Kejia (Hakka), Yue (Cantonese), and Min. Speakers of different groups are mutually unintelligible in terms of speaking, although they share the same written language and the grammar of written Chinese for each group does not differ substantially from that of Standard Chinese. Such facts bring about the question of whether they should be referred to as dialects or as languages (i.e. Sinitic languages), a linguistic issue with strong cultural, political, and societal implications. Since this grammar concentrates on present-day Standard Chinese, when references to other varieties is necessary, only the language/dialect name will be used, without explicit reference to its language/dialect status.

1.1.5. Descriptive account

The descriptive account of this grammar is succinct and theory-neutral, based on corpus observation and reflecting how the language is actually used. For non-standard or ungrammatical usages, the grammar reports that the usages are rarely or not found in the corpora, rather than providing created examples in contrast to the standard and grammatical examples.

1.1.6. Grammar

We agree with Pullum and Huddleston (2002: 4) that a grammar is divisible into syntax and morphology; the former is concerned with the way words combine to form phrases, clauses, and sentences, while the latter is concerned with the formation of words. Because a word plays a prominent role as a basic unit at

different levels, this grammar pays attention to the definition and identification of words, in addition to other important linguistic facts of the language.

This grammar includes a chapter on classifiers (Chapter 7), a grammatical category less familiar in grammars of English and other Western languages. Classifiers are essential components of noun phrases in Chinese and they represent important selectional and semantic information. In addition, following the conventions established in Chao (1968), this grammar assigns the semantic correspondents of adjectives in English and many other languages, such as ‘small’ (小 *xiao3*) and ‘quite’ (安静 *an1jing4*), as well as the category of (state) verbs, and reserves the category of adjectives for the non-predicative types, such as 超级 *chao1ji2* ‘super’ (see Chapter 10).

1.2. A data-driven and corpus-based reference grammar

While more and more linguistic research and reference grammars have adopted corpus-based empirical approaches, this grammar takes a further step by being both data-driven and corpus-based. The developments in the past thirty years have made it possible for people to access and extract generalizations from corpora. The large-scale corpora accessible for this grammar include the POS-tagged Chinese Gigaword Corpus (1,400 million characters from Mainland China, Taiwan, and Singapore; data collected during 1991 to 2004, Huang 2009) and the manually tagged Sinica Corpus (10 million words collected primarily since 1996, Chen et al. 1996). The availability of large-scale corpora also enables the use of computational tools, such as Word Sketch Engine, to extract grammatical information directly from the corpora. For this grammar, the authors’ expertise and judgments have been greatly enhanced by their access to both the Sinica Corpus and the 2nd edition of the Chinese Gigaword Corpus, through the corpus interface of Chinese Word Sketch (Huang et al. 2005). Most of the examples in this grammar, with very few exceptions, have been carefully selected from the corpora with minimal modification. In this sense, this grammar is the first Chinese grammar written based on corpus data. It is also among the first such reference grammars in the world.

As a reference grammar, our emphasis is to get the facts and generalizations right, especially those facts or generalizations missed or mischaracterized by previous grammars. This goal was achieved through a two-pronged empirical approach. First, the authors had access to the largest available Chinese corpora as well as the most powerful corpus interface. In addition, they were encouraged to consult the Web through Google when in doubt. This ensured that the widest range of language data was accessed. Second, each chapter was drafted by a designated author(s) who has done extensive work on the topic area. After the initial draft, the chapter was presented and discussed at authors’ workshops, and each chapter

also underwent extensive comments and review by at least two other experts. Lastly, each chapter was reviewed and revised by the two chief editors. In sum, each and every chapter reflects the collective research knowledge of four or more leading Chinese linguists in the field, each contributing to the consistency and comprehensive coverage of the facts.

This reference grammar is anchored by illustrative example sentences. All of these corpus-extracted realistic examples are presented in the standard four-line format: the first line consists of the text in Chinese characters; the second line consists of a word-for-word Pinyin transcription; the third line is aligned with the second line to provide a gloss; and the last line provides faithful free translation. The example [1] is the sentence [2b] from Chapter 4.

- [1] 有空的时候,到公园里去走一走,呼吸呼吸新鲜空气。
 you3kong4 de0 shi2hou0 dao4 gong1yuan2 li3 qu4
 have_time DE when go_to park in go
 zou3yi1zou3 hu1xi1hu1xi1 xin1xian1 kong1qi4
 walk_a_walk breathe_breathe fresh air
 ‘When you have some time, have a walk in the park and breathe some fresh air.’

Following linguistic conventions, the example sentences are discussed and grammatical information is explicated in the text immediately before or after the example given. The above example shows that we have ordered the examples in each chapter according to their order of appearance, and use a, b, c, etc. to differentiate a group of similar sentences given under the same example number.

This grammar will be accompanied by a periodically updated online example database in order to supplement the examples of the grammar and to add value to the restriction of the finite number of printed pages. The original database was constructed together with the grammar, when at least twenty example sentences were selected for each linguistic topic described in the grammar. Each sentence is not only annotated with the topic for which the sentence is selected, but also annotated and indexed with all other relevant linguistic topics covered in the grammar.

This reference grammar aims to make the underlying set of linguistic facts from which we built our generalizations sharable with others who may construct a parallel reference grammar with different design criteria. Therefore, in addition to the example database, a citation database was constructed based on the topics of the grammar. The periodically updated database consists of all the bibliography used for this grammar and all the articles from the major journals of Chinese linguistics, including 中国语文 *zhong1guo2yu3wen2* ‘Chinese Language and Writing’

and *Journal of Chinese Linguistics*. With such a database, this reference grammar will remain relevant with respect to the most updated research topics, even if the printed version is not updated as frequently.

1.3. Chinese writing system

The Chinese writing system is the longest continuously used system in the world. The system is composed of characters (汉字 *han4zi4*, kanji in Japanese as well as in common English translation), which are logographic symbols encoding both phonetic and semantic information. Unlike phonological writing systems, each Chinese character is grounded with some conceptual knowledge information that was conventionalized at the time the character was created. Furthermore, the writing system is considered a cultural symbol that unifies the Chinese people speaking mutually unintelligible varieties of Chinese. By this design, the Chinese writing system is not as arbitrary as phonological writing systems, which are common among other languages in the world. This non-arbitrariness, in turn, has allowed the Chinese writing system to reflect more about the grammar of Chinese; hence, some discussion of the writing system in this reference grammar is required.

It is important to debunk the myth that the Chinese writing system consists of Chinese characters only. This may have been the case as recently as fifty years ago; however, most contemporary Chinese dictionaries nowadays include a few hundred so-called alphabetic words (字母词 *zi4mu3ci2*). These alphabetic words are *bona fide* entries in the lexicons of modern Mandarin Chinese, with full grammatical functions in the category to which they belong, as discussed in Chapter 3. These words can be composed of all alphabets (Q *kyu* ‘to have sustainable good texture when chewed on’; HSK *eich-es-kei* Hanyu Shuiping Kaoshi/‘Mandarin Standard Test’; IBM *ai-bi-emu* ‘International Business Machine’), or start with one or more alphabets but end with a character (AA 制 *ei-ei-zhi4* ‘to go Dutch’; K 书 *kei-shu1* ‘to hit the books hard’), or start with a character but end with an alphabet (阿 Q *a1-kyu* ‘a fatalist, a fictional protagonist in Lu Xun’s novel’; 卡拉 OK *ka3la1-ou-kei* ‘karaoke’). Unlike Japanese katakana, these alphabetic words are not restricted to loanwords, although many have loanword origins (especially those referring to new technology or brand names). However, the blended translation alternative remains a desirable alternative, so many loanwords are actually represented by Chinese characters (such as 可乐 *ke3le4* ‘able-enjoy cola’; 爱疯 *ai4feng1* ‘love-crazy iPhone’). It is worth noting that many alphabetic words originate from either Pinyin-based abbreviations or vivid imitations of/associations with the sounds/shapes of the alphabets. Alphabetic words also have a unique linguistic feature in that they do not conform to the phonological integrity of Chinese. The alphabetic parts of the alphabetic words are typically pronounced without an assigned tone, and many





















of them represent syllables which are not part of the Chinese phonological repertoire, such as *emu* (from IBM), *kei* (from K 书), and *kyu* (from Q, 阿 Q). They simply represent a conventionalized way to pronounce these alphabets. These alphabetic words can be a noun, a verb, or an adjective, and the alphabet-plus-character template seems to be the most productive pattern of neologism.

1.3.1. A brief history of Chinese script

The Chinese character script has been continuously used for more than 3,000 years. The oracle bone script (甲骨文 *jia3gu3wen2*) of the Shang Dynasty (1600–1046 BCE) is the earliest surviving evidence of a well-developed writing system of Chinese and is directly related to the subsequent Chinese scripts. Oracle bone inscriptions are found mainly on turtle shells (甲 *jia3*) or ox and other large animal bones (骨 *gu3*), hence 甲骨文 (*jia3gu3wen2* ‘shell-bone-writing’). These inscriptions are the records of the answers from the divinatory practice of the royal family communicating with their ancestral spirits. Evolving from the oracle bone script, bronze inscription script (金文 *jin1wen2*) is found on ritual bronze vessels of the late Shang Dynasty to Zhou Dynasty (1100–403 BCE). After the Zhou Dynasty, the writing systems of different parts of China diverged until the Qin Dynasty (221–207 BCE) unified China and set the Qin variant of seal script (篆书 *zhuan4shu1*) as the national standard script. For easier and faster writing in government bureaucracy, clerical script (隶书 *li4shu1*) was adopted and it gradually replaced seal script in the Han Dynasty (206 BCE–220 CE). Clerical script is structurally and rectilinearly very similar to the modern Chinese scripts and is thus considered the ancestor of modern scripts. Clerical script then evolved into standard script (楷书 *kai3shu1*) and was replaced by standard script during the Southern and Northern Song Dynasties (420–589 CE). Since then, standard script has been used as the standard form of orthography in China.

Two crucial observations can be made based on the four example characters shown in Table 1.1 below. First, many characters are decomposed into components (such as 明 *ming2*, which consists of the two components 日 *ri4* ‘sun’ and 月 *yue4* ‘moon’), and these components may or may not be characters themselves. This point will be addressed in more detail in the next section. Second, all of the different historical scripts are variants, and the internal structure of each character is largely preserved between script changes. For example, 明 *ming2* ‘bright’ is composed of the two components 日 *ri4* ‘sun’ to the left and 月 *yue4* ‘moon’ to the right. Regardless of how much the graph representing the character changes, both the composition and the left–right structure of these two components remain the same. The consistency of this component composition relation can also be observed to have occurred over time for 渔 *yu2* ‘to fish,’ as in Table 1.1. Furthermore, even for non-decomposable characters, such as 水 *shui3* ‘water’ and

Table 1.1 Evolution of Chinese scripts¹

	‘water’	‘to fish’	‘up’	‘bright’
Oracle-bone script				
Bronze script				
Small seal script				
Clerical script				
Standard script				

¹ The Chinese scripts were extracted from Academia Sinica’s Database of Chinese Characters Composition (漢字構形資料庫) at <http://cdp.sinica.edu.tw/cdphanzi>

上 *shang*⁴ ‘up,’ it is possible to see that the internal structure of the critical components of the graph stays the same. It is with this consistency of the internal component composition relation that we can show that the Chinese writing system is a single, continuously used system. Any historical text, regardless of the style of script it is in, can be directly mapped to any other script to be read.

1.3.2. Structure of Chinese characters

A character is the smallest meaningful unit of the writing system in Chinese, compared with a morpheme, which is the smallest meaningful unit, and a word, which is the smallest unit with independent syntactic functions. In contrast to phonographic languages, such as English, that are mainly composed of symbols that encode phonetic values only, the character-based writing system of Chinese is featured as logographic in that it is mainly composed of logographic symbols that encode both phonetic and semantic values. Phonetically, each Chinese character represents a syllable, compared to English where a letter or a group of letters represents a phoneme. Semantically, a Chinese character usually encodes a lexical concept, which allows it to stand for the same (or similar) meaning regardless of language changes and variations.

The misconception that Chinese characters cannot be learned without rote memory covering the stroke-by-stroke order of all the strokes of a character has both added to the notoriety of Chinese (and to the myth of the complexity of Japanese, since it uses kanji as one of its complex writing systems) and lent

support to the proposal to convert Chinese to an alphabetic writing system. However, studies have shown that Chinese characters are composed of components (部件 *bu4jian4*). Each component can in turn be composed of smaller components or, eventually, a fixed number and order of strokes. What this means is that recognizing and writing a character only requires knowledge of the components of a character as well as how these components are put together once the basic components are known. There is also a general rule of the order of the composition of left-first, before top-first, and outside-in when other rules do not apply. For instance, the character 明 *ming2* ‘bright’ is formed by the composition of 日 (on the left) first, and 月 second. The character 盟 *meng2* ‘alliance’ starts with the same 日+月 sequence, with the third component 皿 *min3* ‘basin’ last and at the bottom. The character 萌 *meng2* ‘to sprout’ is formed with the grass radical 艹 *cao3* on top, followed by the same 日+月 sequence. These component sequences are largely preserved through the evolution of different scripts (including most cases of simplified characters) and even apply to some regional glyph variants. For instance, 峰 and 峯 *feng1* ‘peak’ are variants of the same character and they can be described by the same component composition rule of 山+峯 *shan1 + feng1*, except that one variant follows the left-right order while the other follows the top-down order.

A Chinese character is not only formally composed of components, but its formal composition also follows rules of internal composition. 说文解字 (*shuo1wen2jie3zi4*, 121 BCE, literally, *Explanations of simple graphs and analyses of composite graphs*) compiled by the Eastern Han scholar Xu Shen was the first comprehensive dictionary to analyze the structure of Chinese characters. Xu Shen proposed six principles of Chinese character composition, of which four are firmly established in modern philology: pictographic (象形 *xiang4xing2*), ideographic (指事 *zhi3shi4*), semantic-semantic composition (会意 *hui4yi4*), and semantic-phonetic composition (形声 *xing2sheng1*). Pictographic characters such as 日 *ri4* ‘sun’ and 月 *yue4* ‘moon’ resemble the objects in the physical world. Ideographic characters such as 上 *shang4* ‘up’ and 下 *xia4* ‘down’ represent abstract ideas. A semantic-semantic compound is typically composed of two or three pictographic or ideographic characters and encodes a combination of the meanings of the characters. For example, 明 *ming2* ‘bright’ is a combination of the pictographic 日 *ri4* ‘sun’ and 月 *yue4* ‘moon,’ while 森 *sen1* ‘forest’ is composed of three 木 *mu4* ‘tree,’ which by itself is a pictographic character. Semantic-phonetic compounds typically consist of a phonetic unit and a semantic unit. For instance, 妈 *ma1* ‘mother’ is composed of the radical 女 *nü3* ‘woman’ and the phonetic 马 *ma3* ‘horse,’ representing the phonetic part, suggesting the sound of 妈 when the character was created. The semantic-phonetic composition is considered to be the most frequently used principle, estimated to represent more than 90 percent of the characters; moreover, the radical-phonetic

composition is sometimes taught as the general principle underlying Chinese character formation.

The 540 radicals (部首 *bu4shou3* ‘class-head’) proposed by Xu Shen, as well as some later modified variations, have become the canonical way to classify characters adopted by traditional Chinese dictionaries. However, whether a group of characters sharing the same radical necessarily has the same basic semantic concept or not has been hotly debated. This hypothesis seems intuitive and works reasonably well with radicals such as 言 *yan2* ‘word,’ which heads a group of characters, including 语 *yu3* ‘language,’ 论 *lun4* ‘argue,’ 讲 *jiang3* ‘talk,’ and 请 *qing3* ‘invite,’ which are all types of speech acts. However, this generalization is not as obvious for radicals such as 艸 *cao3*, instantiated as 艹 *cao3* on top of a character. This radical is supposed to encode the concept ‘(leafy or grass-like) plant,’ such as 兰 *lan2* ‘orchid,’ 葱 *cong1* ‘green onion,’ or 芥 *jie4* ‘mustard green.’ However, among the most frequently used characters with the radical 艹, there are also characters such as 花 *hua1* ‘flower,’ 芳 *fang1* ‘fragrance, fragrant,’ 落 *luo4* ‘fallen, to fall,’ 菜 *cai4* ‘vegetable,’ and 药 *yao4* ‘medicine’ which do not represent any kind of plant. Nevertheless, these characters are semantically dependent on the meaning of the radicals in different ways: 花 *hua1* ‘flower’ and 萌 *meng2* ‘sprout, to sprout’ are parts of a plant; 菜 *cai4* ‘vegetable’ and 药 *yao4* ‘medicine’ are what plants are used for; and 芳 *fang1* ‘fragrance, fragrant’ and 落 *luo4* ‘fallen, to fall’ are salient states crucial for describing plants. Taking this telic (i.e. function and purpose-driven) view, it can be shown that all the characters in a radical group, at least in their original meaning, are semantically dependent on the basic meaning of the radical.

Another generalization underlining the semantic relevance of the Chinese character writing system is the fact that all disyllabic morphemes are written with two characters with identical radicals. There are more than sixty of these disyllabic morphemes, which are traditionally called 联绵词 *lian2mian2ci2* ‘interlinked words.’ Unlike more prevalent patterns of disyllabic words composed of two morphemes, these morphemes contain two non-morpheme syllables which cannot be used alone because they do not have any independent meaning of grammatical function. 蝴蝶 *hu2die2* ‘butterfly’ and 葡萄 *pu2tao2* ‘grape’ are two of the most common examples of a single syllable not being able to occur independently except when it serves as an abbreviation of the disyllabic morpheme. Such disyllabic morphemes can also be found in the verbal category, such as 怂恿 *song3yong3* ‘to egg on,’ or in the adjectival category, such as 狡猾 *jiao3hua2* ‘cunning.’ Maintaining the semantic dependency between a morpheme and its written form, the Chinese writing system assigns the two component syllables of a disyllabic morpheme the same radicals without exception, as exemplified by all four examples above. An interesting pair of disyllabic morphemes serves to underline the importance of

semantic dependency in the Chinese character system. It is known that 琵琶 *pi2pa2* ‘Pipa, a Chinese stringed lute’ is etymologically related to 枇杷 *pi2pa2* ‘loquat,’ not unlike the recent borrowing of the fruit blackberry by the device BlackBerry. Even though these two morphemes remain homophones, they are conventionalized to be represented by different written forms, the instrument with the 琴 *qin2* ‘string instrument’ radical (i.e. the top part of both 琵琶 and 琵琶) and the fruit with the 木 *mu4* ‘wood’ radical (i.e. the left part of both 枇杷 and 枇杷). Furthermore, the homophonic syllables are not substitutable, as in using one for the other (e.g. 枇 with 琵 for *pi2* or 琵琶 with 杷 for *pa2*). The component composition nature of characters as graphs and the semantic primitives as the motivation for the grouping of characters by radicals are the two most foundational facts for the linguistic description of the Chinese writing system.

1.3.3. Simplification of Chinese characters

As mentioned above, the seeming complexity of the Chinese character writing system has prompted attempts during the first half of the twentieth century to replace Chinese characters with different alphabetic systems. These efforts of alphabetizing Chinese did not succeed. A more moderate change of adopting a simplified script in 1965 to promote literacy was more successful. In total, 2,235 characters are simplified, and different strategies have been adopted for simplification. For example, 穀 *gu3* ‘grain’ is simplified to 谷 *gu3*, which is an existing character with the same pronunciation; 書 *shu1* ‘book’ is simplified to 书 *shu1*, a simpler form based on cursive and informal handwriting; 廠 *chang3* ‘factory’ became 厂 *chang3* after the half-enclosed component 敞 *chang3* as well as the dot stroke on top were omitted; and 衛 *wei4* ‘guard’ has been replaced by the newly created form 卫. Of the simplified characters, more than 1,700 are derived from the simplification of the radicals these characters share. For example, 言 *yan2* ‘word’ as a radical is simplified to 讠, so characters such as 語 *yu3* ‘language,’ 話 *hua4* ‘words,’ and 說 *shuo1* ‘speak’ are changed to 语, 话, and 说, respectively.

Currently, places using simplified characters include Mainland China and Singapore, whereas traditional characters are still used in Taiwan, Hong Kong, and Macau. In countries where Chinese is learned as a foreign language, textbooks with simplified Chinese are increasingly popular, partially because of China’s rise as an economic power. The ongoing debates on the merits of the traditional or simplified character systems not only discuss the linguistic benefits and drawbacks of learning and using simplified characters, but also involve the practical, aesthetic, cultural, and political effects of the two concurrent systems. Nonetheless, it is foreseeable that the two systems will coexist for a long time.

1.3.4. Direction of text and use of punctuation

Traditional Chinese text lines run top down, and the vertical text columns are ordered from right to left. Hence, a page starts from the top-right corner and ends at the bottom-left corner. In addition, punctuation was not used in old Chinese writing and printing; thus, 点书 *dian3shu1* (literally, ‘to punctuate a book’) was deemed to be one of the required basic skills in Chinese scholarship. After the vernacular Chinese movement, however, a modified punctuation system combining the 点书 *dian3shu1* annotation tradition and Western punctuation was introduced and conventionalized and is commonly used (see Appendix I). However, the full stop (i.e. the Chinese period ‘。’) is used parsimoniously and often does not occur until the end of a topic group or a paragraph, with commas used more freely even at the end of a sentence. This is probably due to the influence of the 点书 *dian3shu1* tradition, where full stops mark the natural end when reading a text.

Horizontal texts are widely used now with the influence of Western texts and books. The left-to-right text orientation has become the standard for horizontal text, especially for scientific, translation, or new media texts. However, the vertical text is still commonly used for classical texts. Regions using traditional characters tend to use more vertical texts, while regions adopting simplified characters use predominantly horizontal texts. It should be noted that right-to-left horizontal texts can still be found, especially in traditional signs or banners.

Syntactic overview

Dingxu Shi and Chu-Ren Huang

The description of Chinese grammar in this book is based on the following principles: sentences are composed of parts, which may themselves have parts; sentence parts belong to a limited range of types; and different parts of a sentence have specific roles or functions within the larger parts to which they belong. This description is divided into two major components: morphology and syntax. The former deals with how words are put together, while the latter deals with how words are combined to make phrases, clauses, and then sentences.

2.1. Morphemes, words, and word classes

A morpheme is the smallest unit with meaning. A word is the smallest unit that has independent functions in syntax, while a sentence is the largest syntactic unit. The main constituent of a sentence is a clause, or several coordinated clauses, which represents a proposition and usually appears in the form of a subject–predicate construction. The other constituents in a sentence are final particles, which are attached to the end of the main clause to form a sentence while providing additional and necessary information. A clause can function as part of another clause, namely, as a subordinate clause. The clause that is not contained in any other clause is the main clause. The subject, predicate, and their modifiers are phrases, while the object inside the predicate is also a phrase. A phrase has one or more words as its immediate parts and one of the parts is its head, which determines its syntactic status. For example, a verb phrase has a verb as its head and an adjective phrase has an adjective as its head.

2.1.1. Morphemes

Most native Chinese morphemes are monosyllabic, like those in [1a]. Native disyllabic morphemes, such as those in [1b], are few in number and a large portion of them have either a consonant alliteration, such as 蜘蛛 *zhi1zhu1* ‘spider,’ or a vowel rhyme, such as 迷离 *mi2li2* ‘bewildered.’ Some disyllabic morphemes are borrowed from other languages, such as those in [1c]. Multisyllabic morphemes are predominantly loan items, such as those in [1d].

- [1] a. 猪 *zhu1* ‘pig,’ 山 *shan1* ‘mountain,’ 铁 *tie3* ‘iron,’ 花 *hua1* ‘flower,’ 跑 *pao3* ‘run,’ 哭 *ku1* ‘cry,’ 扔 *reng1* ‘throw,’ 杀 *sha1* ‘kill,’ 追 *zhui1* ‘chase,’ 好 *hao3* ‘good,’ 旧 *jiu4* ‘old,’ 脏 *zang1* ‘dirty,’ 臭 *chou4* ‘stinking,’ 大 *da4* ‘big’
- b. 蜘蛛 *zhi1zhu1* ‘spider,’ 秋千 *qiu1qian1* ‘swing,’ 玫瑰 *mei2gui0* ‘rose,’ 迷离 *mi2li2* ‘bewildered,’ 嘹亮 *liao2liang4* ‘loud and clear,’ 从容 *cong2rong2* ‘calm, sufficient’
- c. 骆驼 *luo4tuo0* ‘camel,’ 克隆 *ke4long2* ‘clone,’ 扑克 *pu1ke4* ‘playing cards’
- d. 爱因斯坦 *ai4yin1si1tan3* ‘Einstein,’ 洛杉矶 *luo4shan1ji1* ‘Los Angeles,’ 伏特加 *fu2te4jia1* ‘vodka’

2.1.1.1. Inflectional morphemes

Inflection does not play a major role in Chinese syntax. The few inflectional morphemes which do play a role include the plural marker -们 *men0* that is a suffix to personal pronouns and certain human nouns, aspectual markers -着 *zhe0*, -了 *le0*, and -过 *guo4* that are suffixes to verbs, and, to a certain extent, infixes like -得 *de0* ‘able’ or its negative form 不 *bu4* ‘NEG’ that appear inside a V(erb)-R(esultative) complex word.

2.1.1.2. Derivational morphemes and word formation

Most Chinese morphemes, no matter whether free or bound, or whether content or functional, are active in word formation. The derivation of Chinese words in terms of the status of their component morphemes is discussed in Chapter 3.

2.1.2. Words

A few thousand Chinese lexical items are simple words containing a single morpheme, although many of them are not frequently used any more. Many more Chinese words are compounds consisting of two or more morphemes. One common way to form a compound is by simply juxtaposing the morphemes in a predetermined order, like similar processes in many languages, as discussed in detail in Chapter 3.

Another way is reduplication, a productive lexical process in Chinese that duplicates the entire word or part of it. Reduplication does not typically change the inherent meaning of the root. It mostly targets monosyllabic or disyllabic roots and the output is characteristically in the form of Aa, AaBb, ABab, or AaB, where A represents the first syllable, B the second syllable, and a or b the duplicated syllable.

Monosyllabic roots that undergo reduplication can be verbs, as in [2a], or adjectives, as in [2b]. If a verb represents an action lasting for some time, its reduplicated

form typically stands for a shortened duration that bears some casual or tentative flavor. The 听听 *ting1ting0* in [2a] is thus more casual than the root 听 *ting1* ‘listen.’ If a verb represents an action that ends instantly, its reduplicated form typically means repeating the action, and it bears some casual flavor as well. The 跳跳 *tiao4tiao0* in [2a] thus means to repeat the action of 跳 *tiao4* ‘jump’ in a light-hearted way. If a gradable adjective undergoes reduplication, the process will alter the gradation of its meaning and the effect of the change will depend on the new form’s syntactic function. The reduplicated version of 大 *da4* ‘big’ means less big but quite cute when it modifies a noun or functions as a predicate, as in 大大的眼睛 *da4da4 de0 yan3jing1* ‘lovely biggish eyes’ and 眼睛大大的 *yan3jing1 da4da4 de0* ‘eyes are lovely big,’ but it means bigger than normal when it modifies a verb, as in 大大地喝了一口 *da4da4 de0he1 le0 yi1 kou3* ‘take a very big sip.’

- [2] a. 听听 *ting1ting0* ‘listen casually,’ 跳跳 *tiao4tiao0* ‘jump repeatedly’
 b. 大大 *da4da4* ‘rather big,’ 红红 *hong2hong2* ‘reddish’

Not many nouns can undergo reduplication, and many duplicated forms have become fixed expressions with derived meanings. The expression 山山水水 *shan1shan1shui3shui3* ‘mountains and rivers’ is predominantly used in its derived meaning of ‘scenery,’ even though it is indeed the duplicated version of 山水 *shan1shui3* ‘mountain and river.’

When a disyllabic adjective undergoes reduplication, it usually takes the AaBb or ABab form, while it occasionally takes the AaB form. An adjective consisting of two coordinated morphemes predominantly takes the AaBb form in reduplication, as in [3a]. If the first morpheme is the modifier of the second one, then reduplication predominantly takes the ABab form, as in [3b], and sometimes takes the AaB form, as in [3c].

- [3] a. 漂漂亮亮 *piao4piao4liang1liang1* ‘beautiful,’ 整整齐齐 *zheng3zheng3qi2qi2* ‘orderly’
 b. 雪白雪白 *xue3bai2xue3bai2* ‘snow white,’ 滚烫滚烫 *gun3tang4gun3tang4* ‘boiling hot’
 c. 通通红 *tong1tong1hong2* ‘fire red,’ 冰冰凉凉 *bing1bing1liang2* ‘icy cold’

When it undergoes reduplication, a disyllabic verb generally takes the ABab form, as in [4a]. Like its Aa counterpart derived from a monosyllabic root, an ABab verb typically represents a shortened duration of action or a repeated instant action, and thus bears some casual or tentative flavor. Unlike its root or its Aa counterpart, an ABab verb generally takes a definite object; thus, the verbs in [5a] and [5b] cannot change places. Some V-O sequences are built with one or two

bound morphemes and thus behave like ordinary verbs but not verb phrases. Such a verb typically takes the AaB form in reduplication, as in [4b]. There are a small number of AaBb compound verbs, which form a closed class. A few of them have corresponding AB compounds as origin, as in [4c], but many of them seem to be formed through conjunction of reduplicated monosyllabic verbs Aa and Bb, as in [4d].

- [4] a. 休息休息 *xiu1xi0xiu1xi0* ‘rest for a while,’ 讨论讨论
tao3lun4tao3lun4 ‘discuss informally,’ 照顾照顾 *zhao4gu0zhao4gu0*
 ‘give some special treatment, favor’
- b. 打打拳 *da3da3quan2* ‘play boxing casually,’ 养养神
yang3yang3shen2 ‘cultivate spirit, rest,’ 游游泳 *you2you2yong3*
 ‘swim casually’
- c. 蹦蹦跳跳 *beng4beng4tiao4tiao4* ‘jump repeatedly,’ 摇摇摆摆
yao2yao2bai3bai3 ‘swing, vacillate’
- d. 跌跌撞撞 *die1die1zhuang4zhuang4* ‘stumble,’ 走走停停
zou3zou3ting2ting2 ‘walk and stop’
- [5] a. 咱们先讨论一个问题。
zan2men0 xian1 tao3lun4 yi1 ge4 wen4ti2
 we first discuss one CL problem
 ‘Let’s discuss the problem first.’
- b. 咱们先讨论讨论这个问题。
zan2men0 xian1 tao3lun4tao3lun4 zhe4 ge4 wen4ti2
 we first discuss this CL problem
 ‘Let’s discuss this problem first.’

A third way of constructing a compound is to arrange morphemes according to syntactic relations. All the structural relations found in clauses are also found inside compounds and the overall meaning of such a compound is derived on the basis of that relation. The verbal morpheme in [6a] takes the nominal morpheme as the object and such a V-O compound predominantly has the reading of an intransitive verb. Some V-O compounds such as 读书 *du2shu1* ‘attend school’ have obtained a metaphoric interpretation while some others such as 怀疑 *huai2yi2* ‘suspect’ have become transitive. The two morphemes in the compounds of [6b] also have a verb-object relationship and could produce a verb reading, but they also have a derived meaning of the person who does the action. The two morphemes in [6c] have a subject-predicate relationship. Most of these compounds represent events or natural phenomena, either as a noun or as a verb, but some

of them have undergone changes to represent something else, such as pampering represented by 心疼 *xin1teng2*.

- [6] a. 怀疑 *huai2yi2* ‘hold-doubt, suspect,’ 用功 *yong4gong1*
 ‘use-effort, work hard,’ 读书 *du2shu1* ‘read-book, attend school’
 b. 司机 *si1ji1* ‘control-machine, driver,’ 司令 *si1ling4*
 ‘control-order, commander,’ 掌勺 *zhang3shao2* ‘hold-spoon, chef’
 c. 海啸 *hai3xiao4* ‘sea-shout, tsunami,’ 眼红 *yan3hong2* ‘eye-red,
 jealous,’ 心疼 *xin1teng2* ‘heart-ache, feel-sorry-for, pamper’

The two morphemes in [7] are in a conjunctive pattern: two nominal morphemes conjoined to form a nominal compound like 人民 *ren2min2* ‘people,’ two verbal morphemes conjoined to form a verb like 算计 *suan4ji0* ‘to plot against,’ and two adjectival morphemes conjoined to form an adjective like 漂亮 *piao4liang0* ‘beautiful.’ The meaning of the compound can be a simple sum of its morpheme components, like that of 攻击 *gong1ji1* ‘attack,’ but it can also be metaphorically derived, like that of 江山 *jiang1shan1* ‘territory, realm.’

- [7] 人民 *ren2min2* ‘person-person, people,’ 江山 *jiang1shan1* ‘river-
 mountain, territory, realm,’ 攻击 *gong1ji1* ‘attack-hit, attack,’
 漂亮 *piao4liang0* ‘beautiful-bright, beautiful,’ 辛苦 *xin1ku3*
 ‘spicy-bitter, hard’

The first verb morpheme in [8] represents an action and the second one represents the resulting status brought about by the action, just like in resultative constructions. Such a compound characteristically has the meaning and function of a verb.

- [8] 推翻 *tui1fan1* ‘push-overturn, overthrow,’ 冻僵 *dong4jiang1*
 ‘freeze-stiff, frozen stiff,’ 改良 *gai3liang2* ‘change-good, improve,’
 说明 *shuo1ming2* ‘speak-clear, explain’

The second nominal morpheme in [9] is modified by the first morpheme, as a nominal like 钢梁 *gang1liang2* ‘steel-beam,’ an adjectival like 红旗 *hong2qi2* ‘red-flag,’ or a verbal like 行人 *xing2ren2* ‘pedestrian.’ Such a compound is predominantly a noun. The second verbal morpheme in [10] is modified by the first one, as a verbal like 回顾 *hui2gu4* ‘back-look, reflect’ or as a nominal like 席卷 *xi2juan3* ‘mat-roll, conquer totally.’ Such compounds are predominantly verbs. The meaning of such compounds can be a sum of its components, like that of 刀劈 *dao1pi1* ‘cut with a knife,’ but it can also be derived metaphorically, like that of 席卷 *xi2juan3*, which means to take control over a large area as if rolling the whole place up like a mat.

- [9] 钢梁 *gang1liang2* ‘steel-beam,’ 电影 *dian4ying3*
 ‘electricity-shadow, movie,’ 红旗 *hong2qi2* ‘red-flag,’ 黑手
hei1shou3 ‘black-hand, evil backstage manipulator,’ 行人
xing2ren2 ‘walking-person, pedestrian,’ 跑车 *pao3che1* ‘running-car,
 sports car’
- [10] 回顾 *hui2gu4* ‘back-look, reflect,’ 赡养 *shan4yang3*
 ‘back-up-raise, support parent,’ 刀劈 *dao1pi1* ‘knife-cut, cut with a
 knife,’ 席卷 *xi2juan3* ‘mat-roll, conquer totally’

There is no overt marking within a compound to indicate the syntactic relationship between its roots and this may lead to ambiguity as in the case of 烤肉 *kao3rou4*, which consists of a verb 烤 *kao3* ‘roast’ and a noun 肉 *rou4* ‘meat.’ It could be understood as a V-O compound to mean the action of roasting meat, but it could also be interpreted as a noun modified by a verb to mean barbequed meat.

The interpretation of the relation between roots of a compound is subject to constraints such as semantic compatibility, cultural tradition, world knowledge, and idiomatic usage. For instance, both 买方 *mai3fang1* ‘buy-side, buyer’ and 买凶 *mai3xiong1* ‘buy-killer, hire assassin’ have a V-N structure with the same verb but the interpretations of the role of N differ. The 方 *fang1* ‘side’ in 买方 *mai3fang1* ‘buy-side, buyer’ is a bound root that refers to a participant in an activity, and it is predominantly used in nominal compounds like 卖方 *mai4fang1* ‘sell-side, seller,’ 军方 *jun1fang1* ‘army-side, the military’ and 警方 *jing3fang1* ‘police-side, the police’ to represent such entities. The 凶 *xiong1* ‘killer’ in 买凶 *mai3xiong1* ‘hire assassin’ is also a bound nominal root standing for killer or killers. It could be used either in nominal compounds like 真凶 *zhen1xiong1* ‘real killer’ to represent entities or in V-O compounds like 追凶 *zhui1xiong1* ‘chase-killer, assassin-hunt’ to represent actions.

2.1.3. Word classes

The role of a word is determined by its meaning and the syntactic properties derived from its meaning. Words are therefore categorized according to their meaning: verbs, nouns, numerals, classifiers, adjectives, adverbs, prepositions, coordinators, interjections, sentence-final particles, and onomatopoeia.

2.1.3.1. Verbs

Prototypic verbs represent actions. A verb will appear in its root form or bear an aspect marker if it is the head of a predicate. The root form is the only choice if the verb directly modifies a noun, a verb, or an adjective.

Verbs can be classified according to the number of arguments they take or the situation type they describe. Certain words are classified as verbs even though they do not actually represent actions. One type is copulas such as 是 *shi4* ‘be’ and linking verbs such as 属于 *shu3yu2* ‘belong to.’ Another type is modal auxiliaries, which can be classified into epistemic modals such as 会 *hui4* ‘will’ and 可能 *ke3neng2* ‘can’; deontic modals such as 必 *bi4* ‘must’ and 可以 *ke3yi3* ‘may’; and dynamic modals such as 肯 *ken3* ‘be willing’ and 愿意 *yuan4yi4* ‘be willing.’

2.1.3.2. Nouns

Nouns represent entities that can be concrete, abstract, or imaginary. Pronouns represent entities indirectly and are treated as a subcategory of nouns.

A noun can function as the head, the complement, or the modifier in a compound. It can also function as the ultimate head of a nominal phrase. The prototypical function of a nominal phrase is to be an argument in a clause. The ultimate head of a nominal phrase can sometimes be a deverbal element like the 检修 *jian3xiu1* ‘maintaining’ in [11], which represents an action but has the syntactic properties of a noun. Although 检修 usually takes an argument with a patient role, the patient phrase cannot appear after 检修 as an object in [11] but instead has to appear before it as a modifier.

- [11] 工程处没有进行设备的检修。
 gong1cheng2 chu4 mei2you3 jin4xing2
 engineering department NEG perform
 she4bei4 de0 jian3xiu1
 equipment DE maintaining
 ‘The Engineering Department did not carry out the equipment
 maintenance.’

Another function of deverbal nouns is to modify nouns in compounds. Arguments of such a deverbal word can no longer function as the subject or the object. Instead, they become the modified like the 工人 *gong1ren2* ‘worker’ in [12] or the modifier like the 汽车 *qi4che1* ‘car’ in [12].

- [12] 汽车修理工人
 qi4che1 xiu1li3 gong1ren2
 car repairing worker
 car-repairing worker

2.1.3.3. Numerals and classifiers

Numerals represent the result of counting, while classifiers are the measurement units or the natural division units with which the counting is done.

Numerals and classifiers predominantly appear as components of a nominal phrase with the order of determinative-numeral-classifier-noun, like the one in [13a]. A numeral-classifier sequence occasionally functions as a predicate like the 三十八个 *san1shi2ba1 ge4* ‘thirty-eight pieces’ in [13b].

- [13] a. 我要那两张桌子。
 wo3 yao4 na4 liang3 zhang1 zhua1zi0
 I want that two CL desk
 ‘I want those two tables.’
- b. 三筐南瓜一共三十八个。
 san1 kuang1 nan2gua1 yi1gong4 san1shi2ba1 ge4
 three CL pumpkin altogether thirty_eight CL
 ‘There are altogether thirty-eight pumpkins in these three baskets.’

2.1.3.4. Adjectives

Adjectives predominantly represent properties of entities, including size, shape, color, age, state, hardness, heaviness, dimension, speed, kindness, cruelty, simplicity, complication, and so on.

A major function of most adjectives is to be the head of a predicative phrase, which characteristically consists of an adjective and a degree adverbial, like the 聪明 *cong1ming0* ‘smart’ and 很 *hen3* ‘very’ in [14a]. An adjective phrase can also be a postverbal descriptive expression, as in [14b].

- [14] a. 这小女孩很聪明。
 zhe4 xiao3 nü3hai2 hen3 cong1ming0
 this little girl very smart
 ‘This girl was very smart.’
- b. 那位老师讲得非常清楚。
 na4 wei4 lao3shi1 jiang3 de0 fei1chang2
 that CL teacher explain DE very
 qing1chu3
 clear
 ‘The teacher provided an explanation that made it very clear.’

Most adjective phrases can function as subjects or objects, as in [15a] and [15b]. Certain adjective phrases can function as adverbials, as in [15c].

- [15] a. 过分小心就不值得了。
 guo4fen4 xiao3xin1 jiu4 bu4 zhi2de2 le0
 over cautious thus NEG worth LE
 ‘It is not worth it to be overcautious.’

- b. 他一直在追求完美。

ta1 yi1zhi2 zai4 zhui1qiu2 wan2mei3
he all_the_time be_at strive_for perfect
'He has been striving for perfection all the time.'

- c. 火车慢慢停了下来。

huo3che1 man4man4 ting2 le0 xia4lai2
train slowly stop LE down
'The train slowly came to a halt.'

An adjective can directly modify a noun as in 小河 *xiao3he2* 'small river, stream.' An adjective phrase cannot modify a nominal phrase directly but can do so as part of a relative clause.

2.1.3.5. Adverbs

Adverbs describe particular aspects of an action or a state, depicting the degree, scope, frequency, and contextual relations of a predicate or indicating the speaker's stance about a proposition.

An adverb can modify a verb or an adjective in a compound, as in 常备 *chang2bei4* 'constantly prepare' and 绝妙 *jue2miao4* 'absolutely wonderful.' An adverb phrase can modify a predicate, as in [16a], or modify a clause, as in [16b]. Although the 居然 *ju1ran2* 'unbelievably' in [16c] modifies the predicate, it actually represents the speaker's stance on the issue.

- [16] a. 我们从来没有深入交谈过。

wo3men0 cong2lai2 mei2you3 shen1ru4 jiao1tan2
we ever NEG deeply communicate
guo4
GUO
'We have never talked to each other in depth.'

- b. 总之这件事已经结束了。

zong3zhi1 zhe4 jian4 shi4 yi3jing1 jie2shu4 le0
In_a_nutshell this CL issue already finish LE
'In a nutshell, the incident has come to an end.'

- c. 这么一大笔钱居然就不见了。

zhe4me0 yi1 da4 bi3 qian2 ju1ran2 jiu4
so one big CL money unexpectedly thus
bu4jian4 le0
disappear LE
'Unbelievably, such a large sum of money vanished.'

2.1.3.6. Prepositions

A preposition establishes the relationship between its object and the action represented by a verb phrase. The object of a preposition is typically a nominal phrase, as in [17a], but it can also be an adjective phrase or a verb phrase, as shown in [17b]. Some prepositions can take a clause as a complement, and the 跟 *gen1* ‘with’ in 跟...没有关系 *gen1...mei2you3 guan1xi0* ‘have no relation with’ in [17c] is a typical case.

- [17] a. 他又朝杯子里倒了一些红酒, 灌了下去。
 ta1 you4 chao2 bei1zi0 li3 dao4 le0 yi1xie1
 he again PREP cup inside pour LE some
 hong2jiu3, guan4 le0 xia4qu4.
 red_wine gulp PERF down
 ‘He added some wine to the cup, and gulped it down.’
- b. 我们只好从进攻转入防守。
 wo3men0 zhi3hao3 cong2 jin4gong1 zhuan3ru4
 we can_only PREP offense switch_to
 fang2shou3
 defense
 ‘We had no choice but to turn from offense to defense.’
- c. 我跟公司亏损没有任何关系。
 wo3 gen1 gong1si1 kui1sun3 mei2you3 ren4he2
 I PREP company loss NEG_YOU any
 guan1xi0
 relation
 ‘I had nothing to do with the company’s losing money.’

2.1.3.7. Coordinators and connective adjuncts

Coordinators mark the conjunction of two or more elements but contribute little to the overall meaning of the coordination. Connective adjuncts are mostly adverbs and adjectives that signify the logical relation between clauses, while maintaining certain modifying or predicative functions.

Coordinators that signify conjunctive relations include 和 *he2* ‘and,’ 跟 *gen1* ‘and,’ 同 *tong2* ‘and,’ 与 *yu3* ‘and,’ 及 *ji2* ‘and,’ and 以及 *yi3ji2* ‘and’ that conjoin nominal elements. 和 *he2* ‘and’ is used in most contexts, 跟 *gen1* ‘and’ and 同 *tong2* ‘and’ are mainly used in colloquial speech, and 与 *yu3* ‘and’ typically appears in formal writing. When two nominal elements are conjoined by 及 *ji2* ‘and’ or 以及 *yi3ji2* ‘and,’ the one appearing before the coordinator is typically given more

importance than the one after it, as in the case of [18b]. 并 *bing4* ‘and,’ 并且 *bing4qie3* ‘and,’ and 而且 *er2qie3* ‘and’ predominantly mark the conjunction of verbal, adjectival, or clausal elements, as in [19].

- [18] a. 老师和学生 *lao3shi1he2xue2sheng1* ‘teachers and students,’ 生姜跟大蒜 *sheng1jiang1gen1da4suan4* ‘ginger and garlic,’ 司机同乘客 *si1ji1tong2cheng2ke4* ‘driver and passengers,’ 名词与动词 *ming2ci2yu3dong4ci2* ‘nouns and verbs’
- b. 美国总统奥巴马及随行记者
mei3guo2 zong3tong3 ao4ba1ma3 ji2 sui2xing2
 American president Obama and accompany
ji4zhe3
 reporter
 ‘American President Obama and accompanying reporters’
- [19] a. 会议讨论并通过了2010财政预算。
hui4yi1 tao3lun4 bing4 tong1guo4 le0 2010
 meeting discuss and pass LE 2010
cai2zheng4 yu4suan4
 finance budget
 ‘The meeting discussed and passed the budget for the 2010 fiscal year.’
- b. 消防车早已进入火场, 而且突击队已经找到了火源。
xiao1fang2che1 zao3 yi3 jin4ru4 huo3chang3
 fire_engine early already enter scene_of_fire
er2qie3 tu1ji1dui4 yi3jing1 zhao3dao4 le0
 and commando already find LE
huo3yuan2
 source_of_fire
 ‘The fire engines have entered the scene of the fire and the commandos have found the source of the fire.’

但 *dan4* ‘but’ and 但是 *dan4shi4* ‘but’ predominantly conjoin two verbal, adjectival, or clausal elements and indicate an adversative relation, as in [20a]. Although 可 *ke3* ‘but’ can be a modal and 可是 ‘but’ can be an adverb, they can also be used as a coordinator to indicate an adversative relation, as in [20b]. 而 *er2* ‘and’ is mainly used in formal written genres to represent a distinctive or adversative relation, as in [21].

- [20] a. 我的工作不错,但工资低了一点。
 wo3de0 gong1zuo4 bu4cuo4 dan4 gong1zi1 di1
 my job NEG_bad but salary low
 le0 yi1dian3
 LE a_bit
 'My job is not too bad, but the pay is a little low.'
- b. 这套三居室的房子不大,可是却非常实用。
 zhe4 tao4 san1ju1shi4 de0 fang2zi0 bu4 da4
 this CL three_bedroom DE apartment NEG big
 ke3shi4 que4 fei1chang2 shi2yong4
 but yet very practical
 'This three-bedroom apartment is not big, but it's very practical.'
- [21] 这种杂交西瓜大而不甜。
 zhe4 zhong3 za2jiao1 xi1gua1 da4 er2 bu4
 this CL hybrid water_melon big but NEG
 tian2
 sweet
 'The hybrid water melon is big but not sweet.'

Coordinators that indicate disjunctive relations include 或 *huo4* 'or' and 或者 *huo4zhe3* 'or.' They mark the disjunction of two nominal elements, as in [22a], or two verbal or clausal elements, as in [22b].

- [22] a. 这款新车可以用汽油或天然气推动。
 zhe4 kuan3 xin1 che1 ke3yi3 yong4 qi4you2 huo4
 this CL new car can use gasoline or
 tian1ran2qi4 tui1dong4
 natural_gas propel
 'The new car is powered by gasoline or natural gas.'
- b. 医药费由保险公司先行支付,或者由肇事者垫付。
 yi1yao4fei4 you2 bao3xian3 gong1si1 xian1xing2
 medical_bill PREP insurance company in_advance
 zhi1fu4 huo4zhe3 you2 zhao4shi4zhe3 dian4fu4
 pay or PREP perpetrator pay_in_advance
 'The medical bill should be settled by the insurance company first or be settled by the person who caused the accident.'

Although it is common to use coordinators in conjunctions, it is also quite normal not to use any marker in conjunctive constructions. The three nominal phrases 红烧肉 *hong2shao1rou4* ‘red cooked pork,’ 炒鸡蛋 *chao3ji1dan3* ‘scrambled eggs,’ and 家酿米酒 *jia1niang4mi3jiu3* ‘home-made rice liquor’ in [23] are conjoined together without any markers, as are the two clauses in this compound sentence.

- [23] 桌上摆着红烧肉、炒鸡蛋、家酿米酒, 散发着诱人的香味。
 zhuo1shang4 bai3 zhe0 hong2shao1rou4
 table_on place ZHE red_cooked_pork
 chao3ji1dan4 jia1niang4mi3jiu3 san4fa1 zhe0
 scrambled_eggs home_made_rice_liquor emit ZHE
 you4ren2 de0 xiang1wei4
 tempting DE smell
 ‘On the table lay red cooked pork, scrambled eggs, and home-made
 rice liquor, and they smelled wonderful.’

Connective adjuncts mainly signify the logical relation between clauses in compound sentences and certain types of complex sentences, while they also function as adverbials, modals, or predicates. They typically work in pairs but sometimes half of a pair can represent the same logical relation. There are also some connective adjuncts that usually work alone.

Connective adjuncts are categorized according to the logical relationship they represent. The relationship between two clauses in a compound sentence can be an equal one represented by 既...又... *ji4...you4...* ‘while...while...,’ as in [24a], a temporal sequence represented by 一...就... *yi1...jiu4...* ‘as soon as...then...,’ a progressive sequence signified by 不仅...而且... *bu4jin3...er2qie...* ‘not only...but also...,’ or an adversative one indicated by 不仅不...反而... *bu4jinbu4...fan3er2...* ‘not only not...but on the contrary...,’ as in [24b].

- [24] a. 处理事故时, 你们既要有原则性, 又要有灵活性。
 chu3li3 shi4gu4 shi2 ni3men0 ji4 yao4 you3
 handle accident when you also need have
 yuan2ze2xing4 you4 yao4 you3
 quality_of_sticking_to_principles but_also need have
 ling2huo2xing4
 flexibility
 ‘When handling the accident, you should uphold the principles while
 exercising enough flexibility.’

- b. 小偷不仅没有逃走,反而将失主打伤。

xiao3tou1 bu4jin3 mei2you3 tao2zou3 fan3er2
thief not_only NEG run_away instead

jiang1 shi1zhu3 da3shang1

BA loss_owner beat_hurt

'The thief did not run away but instead beat the victim.'

Other connective adjunct pairs of equal status include 边...边...*bian1...bian1*...一面...一面...*yi1mian4...yi1mian4*...and 一方面...一方面...*yi1fang1mian4...yi1fang1mian4*...all of which are based on the space metaphor 'on the one side...on the other side,' 起先...后来...*qi3xian1...hou4lai4*...'at the beginning,' 首先...然后...*shou3xian1...ran2hou4*...'at first...then...', 接着...然后...*jie1zhe...ran2hou4*...'right after...then...', and 然后...终于...*ran2hou4...zhong1yu2*...'then...at last...', which indicate a temporal sequence; 不但 *bu4dan4*/不单 *bu4dan1*/不独 *bu4du2*/不光 *bu4guang1*/不只 *bu4zhi3*...甚至...*shen4zhi4*/并且 *bing4qie3*...'not only...but also...', which represents a progressive sequence; and 不但...反而...*bu2dan4...fan3er2*...'not only...on the contrary...' and 不但不...反而...*bu2dan4bu4...fan3er2*...'not only not...on the contrary...', which represent an adversative relation.

Connective adjuncts that typically appear alone include 同时 *tong2shi2* 'at the same time,' 此外 *ci3wai4* 'in addition,' and 另外 *ling4wai4* 'in addition' for equal status; 接着 *jie2zhe* 'following that,' 甚至 *shen4zhi4* 'even,' and 然后 *ran2hou4* 'afterwards' for a progressive sequence; and 反之 *fan3zhi1* 'on the contrary,' 反而 *fan3er2* 'on the contrary,' 反倒 *fan3dao4* 'on the contrary,' 然而 *ran2er2* 'however,' 然则 *ran2ze2* 'however,' and 则 *ze2* 'on the other hand' for adversity.

In disjunctive compound sentences, the logical relation between the clauses can be a pure choice that is marked by pairs like the 是...还是...*shi4...hai2shi4* 'either...or...' in [25a] or 要么...要么...*yao4me0...yao4me0*...'either...or...' Sometimes the choice is a random one, such as...也好...也好...*ye3hao3...ye3hao3* '...as well...as well' occurring after the compared items. The choice can also be a preference introduced by pairs, such as 宁愿...也不...*ning4yuan4...ye3bu4*...'would rather...but not...' or pairs like the 与其...还不如...*yu3qi2...hai2bu4ru2*...'rather than...would be better...' in [25b].

- [25] a. 咱们是从东京走还是从首尔走?

zan3men shi4 cong2 dong1jing1 zou3 hai2shi4 cong2
we be via Tokyo go or via

shou3er3 zou3

Seoul go

'Should we go via Tokyo or via Seoul?'

- b. 与其在街上闲逛, 还不如去打篮球。

yu3qi2 zai4 jie1shang4 xian2guang4 hai2bu4ru2
rather_than be_at street_on wander rather

qu4 da3 lan2qiu2
go play basketball

'It is better to play basketball than to wander aimlessly on the street.'

Other disjunctive marker pairs for choice include the copular 不是...就是... *bu2shi4...jiu4shi4* 'either...or...', or the predicative...也...也... *ye3ba4...ye3ba4* '...as well...as well' following the choice items. Additional disjunctive modal pairs for preference include 宁肯...也要... *ning4ken3...ye3yao4* 'would rather...but would...', 宁可...也不... *ning4ke3...ye3bu4* 'would rather...but would not...' 与其...倒不如... *yu3qi2...dao4bu4ru2* 'rather than...but would be better...', and 与其...宁可... *yu3qi2...ning4ke3* 'rather than...but would be better...'.

The disjunctive relation between clauses can be represented by a single marker as well. In addition to half-pair markers like 还是 *hai2shi4* 'or be,' 还不如 *hai2bu4ru2* 'or would be better,' 宁肯 *ning4ken3* 'would rather,' and 宁可 *ning4ke3* 'would rather,' disjunctive markers like 抑 *yi4* 'or,' 抑或 *yi4huo4* 'or,' and 再不 *zai4bu4* 'otherwise' can also work alone.

Certain complex sentences are marked with the conjunctive adjunct to indicate the logical relation between the main clause and the subordinate clause. The relation can be that of cause and effect signified by pairs like 因为...所以... *yin1wei2...suo2yi3* 'because...therefore...' in [26a] or 由于...于是... *you2yu2...yu2shi4* 'for the reason that...as a consequence...' It can also be that of an induction marked by 既然...也就... *ji4ran2...ye3jiu4* 'given that...therefore...' in [26b].

- [26] a. 因为旅客人数众多, 所以铁路局加开了两趟列车。

yin1wei4 lü3ke4 ren2shu4 zhong4duo1
because passenger number_of_people many
suo3yi3 tie3lu4ju2 jia1kai1 le0 liang3 tang4
so Railroad_bureau add LE two CL
lie4che1
train

'Because there were too many passengers, the Railroad Bureau added two trains.'

- b. 既然嘉宾们都来了, 宴会也就提前开始。

ji4ran2 jia1bin1men0 dou1 lai2 le0 yan4hui4
since honored_guests all come LE banquet

ye3jiu4 ti2qian2 kai1shi3
 therefore in_advance start
 'Since all the guests had come, the banquet started earlier.'

Other connective adjunct pairs marking a cause-effect relation are more formal in style and include 所以...在于...suo2yi3...zai4yu2... '(the reason) why...is caused by... ' and 之所以...是因为...zhi1suo2yi3...shi4yin1wei4... '(the reason) why...it is because...'. Other adjunct pairs marking an induction include 既然...于是/则/那就/那么...ji4ran2...yu2shi4/ze2/na4jiu4/na4me... 'given that...therefore...'. The cause-effect relation can be marked by half of a pair, either the half indicating the cause, such as 由于 you2yu2 'because' and 因为 yin1wei4 'because,' or the half marking the effect, such as 于是 yu2shi4 'therefore,' 那么 na4me0 'therefore,' and 那就 na4jiu4 'therefore.' There are also some cause-effect adjuncts that work alone, such as 以致 yi3zhi4 'leading to,' 以至于 yi3zhi4yu2 'leading to,' and 从而 cong2er2 'therefore.'

Connective adjuncts are also used in conditional sentences. Such a sentence could include a simple consequence marked by 如果...就...ru2guo3...jiu4... 'if...then...' or 要不是...那么...yao4bu4shi4...na4me0... 'if not...then (not)...', such as those in [27a] and [27b]. The condition set out in the subordinate clause can also be a counterfactual one, as in the case of [27c].

- [27] a. 如果发生八级以上地震, 大桥就会倒塌。

ru2guo3 fa1sheng1 ba1ji2 yi3shang4
 if happen eight_magnitude above

di4zhen4 da4qiao1 jiu4hui4 dao3ta1
 earthquake big_bridge then collapse

'If there is an earthquake measured at magnitude 8 or above, the bridge will collapse.'

- b. 要不是急着用钱, 那么谁也不会现在卖。

yao4bu2shi4 ji2 zhe0 yong4qian2 na4me0 shui2
 if_not urgent ZHE use_money then who

ye3bu4hui4 xian4zai4 mai4
 would_not now sell

'If not in urgent need of cash, no one would sell (it) now.'

- c. 要是我早点知道真相, 就不会把钱投进去了。

yao4shi4 wo3 zao3dian3 zhi1dao4 zhen1xiang4 jiu4
 if I earlier know truth then

bu4 hui4 ba3 qian2 tou2 jin4qu4 le0
 NEG will BA money invest into LE
 'Had I learned the truth earlier, I would not have invested any money
 in it.'

Other connective adjunct pairs indicating a condition and its consequence include 假如/假使/假若/如若/倘若...那么/就/则/便... *jia3ru2/jia2shi3/jia3ruo4/ru2/ruo4/tang3ruo4...na4me/jiu4/ze2/bian4...* 'if...then...', 要不是...就/也... *yao4bu2shi4...jiu4/ye3...* 'if not...then...', and 若不是...那... *ruo4bu4shi4...na4...* 'if not...then...'. Some adjuncts indicating the condition can work alone, such as 假如/假使/假若/如若/倘若 *jia3ru2/jia2shi3/jia3ruo4/ru2/ruo4/tang3ruo4* 'if' and 要不是/若不是 *yao4bu2shi4/ruo4bu2shi4* 'if not.'

If a conditional sentence is marked with the pair 只有...才... *zhi2you3...cai2...* 'only if...then...', as in [28a], the subordinate clause will represent a necessary condition. If the conditional sentence is marked with the pair 只要...就... *zhi3yao4...jiu4...* 'as long as...then...', as in [28b], the subordinate clause will represent a sufficient condition. The subordinate clause can also represent an exhaustive condition, and a common marker pair for such a sentence is 无论...都... *wu2lun4...dou1...* 'no matter...all...', as in [28c].

- [28] a. 只有赶快跳车, 她才不会被烧死。
zhi3you3 gan3kuai4 tiao4che1 ta1 cai2
 only_if hastily jump_from_the_car she then
bu4 hui4 bei4 shao1si3
 NEG will BEI burn_to_death
 'She will not be burned to death unless she jumps out of the car.'
- b. 只要有姓名地址, 我们就可以找到她的电话号码。
zhi3yao4 you3 xing4ming2 di4zhi3 wo3men0 jiu4
 as_long_as have name address we then
ke3yi3 zhao3dao4 ta3 de0 dian4hua4 hao4ma3
 can find she DE telephone number
 'As long as her address and name are known, we will be able to find her phone number.'
- c. 无论是否下雨, 你们都必须准时到达集合地点。
wu2lun4 shi4fou3 xia4yu3 ni3men0 dou1
 no_matter whether_or_not rain you all
bi4xu1 zhun3shi2 dao4da2 ji2he2 dian4dian3
 must on_time arrive gather place
 'You should arrive at the gathering point on time no matter whether it rains or not.'

Other connective adjunct pairs that mark a necessary conditional sentence include 惟有...才... *wei2you3...cai2...* ‘if only... then...’, 除非...才... *chu2fei1...cai2...* ‘unless... then...’, and 除非...不然/否则... *chu2fei1...bu4ran2/fou3ze2...* ‘unless... would not...’. Those marking a sufficient conditional sentence include 只要...都/便... *zhi3yao4...dou1/bian4...* ‘as long as... all/then...’, 一旦/一经...就/便... *yi1dan4/yi1jing1...jiu4/bian4...* ‘once... then...’, and 一...就/便... *yi1...jiu4/bian4...* ‘once... then...’. Other marker pairs marking exhaustive conditional sentences include 不论/不管...都/总/也... *bu2lun4/bu4guan3...dou1/zong3/ye3...* ‘no matter... all...’. The adjunct marker of the main clause can work alone in a necessary conditional sentence or a sufficient conditional sentence.

The subordinate clause can state the purpose of the action stated in the main clause. Such a complex sentence is commonly marked with 为了...就... *wei4le0...jiu4...* ‘in order to... then...’, as in [29a]. In some cases, only the purpose clause is marked with an adjunct, such as 为的是... *wei4de0shi4...* ‘it is for the purpose of...’, 以求... *yi3qiu2...* ‘in order to...’, 藉以... *jie4yi3...* ‘so as to...’, and the 以便 *yi3bian4* ‘in order to’ in [29b]. The marker 以免 *yi3mian3* ‘in order to avoid’ in [29c] marks a purpose clause describing a situation to be avoided. Markers with a similar function include 免得 *mian3de0* ‘in order to avoid’ and 省得 *sheng3de0* ‘saving the trouble of.’

- [29] a. 为了省钱, 小两口就决定自己安装橱柜。
wei4le0 sheng3qian2 xiao3liang3kou3 jiu4
in_order_to save_money the_young_couple then
jue2ding4 zi4ji3 an1zhuang1 chu2gui4
decide self install cupboard
 ‘The young couple decided to install the cupboard themselves in order in order to save money.’
- b. 她在墙上贴满了英语单词, 以便随时复习。
ta1 zai4 qiang2shang4 tie1man3 le0 ying1yu3
she at wall_on cover_with LE English
dan1ci2 yibian4 sui2shi2 fu4xi2
word in_order_to at_any_time review
 ‘She covered the wall with English words, in order to review them at any time.’
- c. 请勿大声交谈, 以免妨碍其他读者。
qing3 wu4 da4sheng1 jiao1tan2 yi3mian3 fang2ai4
please do_not loud speak lest disturb

qi2ta1 du2zhe3

other reader

'Please don't speak loudly, in order to avoid disturbing other readers.'

The subordinate clause in a complex sentence can express a concession, as in the case of [30]. The connective adjunct pairs used in concession sentences include 即使...也... *ji4shi3...ye3...* 'even if...would still...', 尽管...也... *jin4guan3...ye3...* 'even though...would still...', and 即 / 即令 / 即或 / 即便 / 纵 / 纵然...也 / 仍然... *ji2 / ji2ling4 / ji2huo4 / ji2bian4 / zong4 / zong4ran2...ye3 / reng3ran2...* 'even though...still...', which appear mainly in written genres, as well as 就算 / 哪怕...也... *jiu4suan4 / na3pa4...ye3...* 'even if...still...', which typically appears in spoken genres.

- [30] 即使公司去年亏损了, 上市计划也仍然不变。
- ji2shi3 gong1si1 qu4nian2 kui1sun3 le0*
 even_though company last_year in_deficit LE
- shang4shi4 ji4hua4 ye2 reng2ran2 bu2bian4*
 be_on_the_market plan still still unchanged
- 'Even if the company was in the red last year, the plan to go public remains unchanged.'

Several compound and complex sentences may sometimes be combined to form a complicated logical relation, and connective adjuncts are essential in these cases. In the complicated long sentence in [31], clause [a] represents a concession and the others form the main clause. Within the main clause, [b] states a sufficient condition, while [c] and [d] form the main clause. In this smaller main clause, [c] is a concession clause and [d] is the main clause.

- [31] (a) 尽管他现在无法拿到营业执照,
- jin4guan3 ta1 xian4zai4 wu2fa3 na2dao4*
 even_though he now cannot obtain
- ying2ye4 zhi2zhao4*
 business license
- (b) 但只要允许他开业,
- dan4 zhi3yao4 yun3xu3 ta1 kai1ye4*
 but as_long_as allow he start_the_business
- (c) 即使一开始赚不到钱,
- ji2shi3 yi1kai1shi3 zhuan4bu4dao4 qian2*
 even_though at_the_beginning earn_not money

- (d) 他也甘愿去碰碰运气。

ta1 ye3 gan1yuan4 qu4 peng4peng4yun4qi4

he still be_willing go try_one's_luck

'Although he cannot get the business license now, as long as he is allowed to do it, he would like to try his luck, even if he cannot make any money at the beginning.'

2.1.3.8. Interjections

Interjections predominantly occur alone and do not combine with other words to form syntactic constructions. They have expressive rather than propositional meanings in that they are used as exclamations or as supplements to express certain emotions but they do not convey concrete ideas.

The actual form and use of interjections is usually affected by the speaker's dialect background and the number of interjections varies from speaker to speaker. The interjections commonly used by most people and those often appearing in literature works include 哈哈 *haha*, 嘿嘿 *heihei*, and 呵呵 *hehe*, which are onomatopoeia derived from laughter resulting from happiness or excitement; 唉 *ai*, 咳 *hai*, and 嗨 *hai*, which imitate sounds of sighing and represent sadness or regret; 咦 *yi*, 哎呀 *aiya*, 哎哟 *aiyo*, 呀 *ya*, and 啊 *a*, which are common sounds for surprise; 哼 *heng*, which is a nasal sound indicating an unsatisfactory or unhappy sentiment; 呸 *pei*, which is a spitting sound to show disdain; 哦 *e*, 嗯 *en*, and 唔 *wu*, which are sounds for consent; 欸 *ei*, which is the sound of a reply; and 喂 *wei*, which is commonly used for getting other people's attention.

2.1.3.9. Sentence-final particles

Sentence-final particles predominantly appear at the end of a main clause, although a few of them can appear at the end of certain subordinate clauses. Particles of the latter type typically have rather vague meanings but very clear propositional functions. If such a particle is attached to a clause, either main or subordinate, the proposition of the clause will be combined with the particle to create a new proposition. When a particle 了 *le0* 'LE,' which is commonly known as 了₂, is added to [32a] to create [32b], the original proposition is preserved but the combination represents a different one. [32a] is a simple statement that Anson Chan is not the Chief Secretary and the proposition is true if she does not hold that position. [32b] states that the fact becomes reality at the time of reference and the proposition is true if Anson Chan had been the Chief Secretary at a certain point before the time of reference but lost her job after that. Similarly, [33] is true if the speaker used to be poor but received some money before the time of reference 现在 *xian4zai4* 'now.'

- [32] a. 陈方安生不是政务司司长。
 chen2fang1an1sheng1 bu4 shi4 zheng4wu4si1
 Anson_Chan NEG be Administration
 si1zhang3
 Chief_Secretary
 'Anson Chan is not the Chief Secretary for Administration.'
- b. 陈方安生不是政务司司长了。
 chen2fang1an1sheng1 bu4 shi4 zheng4wu4si1
 Anson_Chan NEG be Administration
 si1zhang3 le0
 Chief_Secretary LE
 'Anson Chan is no longer the Chief Secretary for Administration.'
- [33] 我现在有钱了。
 wo3 xian4zai4 you3 qian2 le0
 I now have money LE
 'I am now in the status of being rich.'

The syntactic function of 了₂ resembles that of 了₁, since the latter is attached to a verb to indicate the completion of an action at the time of reference, while the former is attached to a clause to indicate the realization of the state of a proposition. Another particle with similar functions is 来着 *laizhe*, which is found in some northern dialects. When 来着 *laizhe* is attached to a clause, as in [34], it indicates that the event described in the clause is in a continuous state at some time right before the time of speech.

- [34] 我们在操场打篮球来着。
 wo3men0 zai4 cao1chang3 da3 lan2qiu2 lai2zhe0
 we PREP playground play basketball LAIZHE
 'We were playing basketball at the court just now.'

Most particles belong to the other type, which attaches to the main clause only and represents the speaker's attitude or his intentions to express some non-propositional meaning. The clause in [35a] can stand alone as a sentence to represent a simple statement, but it becomes a question when the sentence-final particle 吧 *ba* is attached to it. The most likely interpretation of question [35b] is a biased yes-no question in that the speaker is seeking verification for his guess that the man in question is the new president. Such a reading comes from both the proposition of the clause and the meaning of 吧 *ba*, which represents the speaker's hesitation or uncertainty about the fact and his intention to get confirmation of

his conjecture. The tentative nature of 吧 *ba* also enables it to alter the interpretation of an imperative. The directive in [36a] is a strong command, but the one in [36b] is a soft request or even a plea. The change in meaning is brought about by the particle 吧 *ba*, which is sometimes written as 罢 *ba* in non-interrogative usage.

- [35] a. 他是我们的新校长。
 ta1 shi4 wo3men0 de0 xin1 xiao4zhang3
 he be we DE new president
 ‘He is our new President.’
- b. 他是我们的新校长吧?
 ta1 shi4 wo3men0 de0 xin1 xiao4zhang3 ba0
 he be we DE new president BA
 ‘Is he our new President?’
- [36] a. 回来!
 hui2lai2
 come_back
 Come back!
- b. 回来吧!
 hui2lai2 ba0
 come_back BA
 Come back, please!

吗 *ma* is another particle that is attached to a main clause to create a yes-no question. Sentences [37a] and [37b] have the same propositional content but differ in their illocutionary force, namely, they convey the speaker’s different attitudes and they impact the world in different ways. The proposition is asserted in [37a] but it is questioned in [37b].

- [37] a. 他已经考上了清华大学。
 ta1 yi3jing1 kao3shang4 le0 qing1hua2da4xue2
 he already be_admitted LE Tsinghua_University
 ‘He has been admitted by Tsinghua University.’
- b. 他已经考上了清华大学吗?
 ta1 yi3jing1 kao3shang4 le0 qing1hua2da4xue2
 he already be_admitted LE Tsinghua_University
 ma0
 MA
 ‘Has he been admitted by Tsinghua University?’

The particle 呢 *ne* adds a different kind of illocutionary force to a main clause. Both [38a] and [38b] are variable questions about what tea the listener would like to drink. The main difference between the two is that [38a] is a straightforward question asking for an answer and [38b] is a polite query. The particle 呢 represents the speaker's curiosity about the fact and his gentle request for an explanation. Such a gentle nature enables 呢 to soften the tone of alternative questions as well. [38c] is a request for a direct answer, while [38d], with a 呢 at the end, is either a sheepish appeal for information or an expository question that directs the listeners to the answer the speaker is going to provide.

[38] a. 您老要喝点什么茶?

nin2 lao3 yao4 he1 dian3 shen2me0 cha 2
 you old want drink some what tea
 'What tea do you want your honor?'

b. 您老要喝点什么茶呢?

nin2 lao3 yao4 he1 dian3 shen2me0 cha 2 ne0
 you old want drink some what tea NE
 'What tea do you want your honor?'

c. 咱们明天去不去长城?

zan2men0 ming2tian1 qu4 bu4 qu4 chang2cheng2
 we tomorrow go NEG go Great_Wall
 'Are we going to the Great Wall tomorrow?'

d. 咱们明天去不去长城呢?

zan2men0 ming2tian1 qu4 bu4 qu4 chang2cheng2
 we tomorrow go NEG go Great_Wall
 ne0
 NE
 'Are we going to the Great Wall tomorrow?'

The gentle request for an explanation enables 呢 to play another role. When a 呢 is attached to a single nominal phrase like the 你爸爸 *ni3 ba4ba0* 'your father' in [39a], the combination will become a question, either a variable one or an alternative one. Depending on the context, [39a] can be interpreted as an inquiry about any variable, such as where, how, when, or why, as long as it is related to the father. The combination can also be interpreted as an alternative question, asking whether 你爸爸 *ni3 ba4ba0* 'your father' will do certain things mentioned in the discourse. Similarly, a combination like [39b] asks whether people will do

the same thing in the afternoon and so on, or what people will do in the afternoon and so on, depending on the context.

- [39] a. 你爸爸呢?
 ni3 ba4ba0 ne0
 you father NE
 'Where is your father?/How about.../What happened to...'
- b. 下午呢?
 xia4wu3 ne0
 afternoon NE
 'How about this afternoon?/What will happen...'

The gentle request for an explanation gives 呢 an extended role. The two sentences in [40] have the same propositional content but different illocutionary force, since [40b] has a 呢 attached. [40a] is a statement about the fact, while [40b] is a gentle plea or protest, with which the speaker is asking for an explanation for being required to do something without having dinner first.

- [40] a. 我还没吃晚饭。
 wo3 hai2 mei2 chi1 wan3fan4
 I still NEG eat dinner
 'I have not had dinner.'
- b. 我还没吃晚饭呢!
 wo3 hai2 mei2 chi1 wan3fan4 ne0
 I still NEG eat dinner NE
 'I have not had my dinner yet!'

Another particle in this group is 啊 *a*, or its variations 呀 *ya* and 哇 *wa*. 啊 *a* alters the illocutionary force of sentences in a subtle way by representing the speaker's surprise or astonishment. [41a] and [41b] have the same propositional content but the former is a simple statement, while the latter expresses the speaker's surprise. [41b] is uttered when the speaker does not recognize a person at first and then realizes the person is an old friend, or when the speaker has tried hard to figure out who the culprit is and finally realizes that it is the listener. Similarly, [41d] has the same propositional content as [41c] does, but the final particle 啊 has a different illocutionary force. One of the possible scenarios in [41d] is that the listener wants to throw away some old jewelry without knowing the real value and the speaker is shocked by such ignorance. [41d] is a mild reprimand in this case.

- [41] a. 是你。
 shi4 ni3
 be you
 'It is you.'
- b. 是你啊!
 shi4 ni3 a0
 be you A
 'It turns out to be you!'
- c. 这是我们的传家宝。
 zhe4 shi4 wo3men0 de0 chuan2jia1bao3
 this be we DE family_heirloom
 'This is our family heirloom.'
- d. 这是咱们的传家宝啊!
 zhe4 shi4 zan2men0 de0 chuan2jia1bao3 a0
 this be we DE family_heirloom A
 '(How come you don't know) this is our family heirloom!'

啊 could also be added to an interrogative sentence. [42a] is an echo question uttered when the speaker is not sure whether he heard something right and [42b] is asking about the same thing. However, with a 啊 at the end, [42b] actually functions as a soft protest against the listener, because he did not keep his word or did not live up to certain expectations. 啊 plays a similar role in turning a straightforward variable question in [42c] into a soft request for information in [42d]. One of the possible scenarios for uttering [42d] is that someone had been talking about all the details of a march but had ignored the most important issue of the leader of the march, and the speaker found it surprisingly strange and tried to get an answer.

- [42] a. 你明天不去?
 ni3 ming2tian1 bu4 qu4
 you tomorrow NEG go
 'Won't you go tomorrow?'
- b. 你明天不去啊?
 ni3 ming2tian1 bu4 qu4 a0
 you tomorrow NEG go A
 'Is it true that you won't go tomorrow?'

- c. 谁领头?
 shui2 ling3tou2
 who lead
 'Who will lead the march?'
- d. 谁领头啊?
 shui2 ling3tou2 a0
 who lead A
 'Come on, who will lead the march?'

Particles 吧 *ba*, 吗 *ma*, 呢 *ne*, and 啊 *a* are found at the end of various questions, even though only 吗 *ma* actually carries interrogative force, while 吧 *ba* partially carries that force. Particles 呗 *bei*, 哟 *yo* and 嘛 *ma* on the other hand, are not found at the end of interrogative sentences. 呗 typically appears at the end of a main clause in a conditional sentence, to represent the speaker's not-a-big-deal attitude toward the consequence indicated by the main clause. The most likely scenario for [43a] is that the listener is worried about the consequence of 他 *ta* 'he' going to some place and the speaker uses 呗 *bei* to indicate that it is not a big deal. Similarly, the speaker in [43b] uses 呗 *bei* to suggest that even running out of money is not the end of the world and that begging is an acceptable solution.

- [43] a. 他要去就去呗!
 ta1 yao4 qu4 jiu4 qu4 bei0
 he want go thus go BEI
 'Let him go if he wants to go!'
- b. 没钱了, 咱们就要饭呗。
 mei2 qian2 le0 zan2men0 jiu4 yao4fan4 bei0
 NEG money LE we thus beg BEI
 'Let's go begging if we run out of money.'

哟 *yo* is sometimes written as 唷. When a speaker uses 哟 at the end of a sentence, he is calling the listener's attention to the proposition conveyed by the main clause. What is being implied by 哟 is that if the listener does not pay enough attention to the issue in question, he will regret it afterward. The implied meaning of [44a] is that the listener should not think lightly about such a big sum of money and [44b] implies that this is an opportunity not to be missed.

- [44] a. 这可是一百万哟!
 zhe4 ke3 shi4 yi1bai3wan4 yo0
 this eventually be one_million YO
 'This is one million dollars!'

b. 多好的机会哟!

duo1 hao4 de0 ji1hui4 yo0
 so good DE opportunity YO
 'What a golden opportunity!'

嘛 *ma* is another particle without propositional meaning and it has a variation of *me* which is sometimes written as 么 or 嚒. 嘛 represents the speaker's gentle protest against the listener's ignorance, because it is so obvious that the listener should have known it. [45a] is a mild imperative in which the speaker uses an obvious logic, which the speaker thinks the listener should have known, to encourage the listener. [45b] is a mild reprimand in which the speaker tells the listener that she should have listened to his advice that there was not enough time.

[45] a. 不会不要紧, 边干边学嘛。

bu4 hui4 bu4 yao4jin3 bian1gan4bian1xue2 ma0
 NEG know NEG matter learn_by_doing MA
 'It doesn't matter if you don't know how to do it. Don't you know the motto learning by doing?'

b. 我说来不及嘛。

wo3 shuo1 lai2bu4ji2 ma0
 I say not_in_time MA
 'I have said there is not enough time.'

It is common for a particle with propositional content to co-occur with one without propositional content, with the former coming before the latter. The basic proposition of sentence [46] is that all the students have come back. The particle 了 *le* indicates that the proposition has become true before the time of reference, while the other particle 吗 *ma* renders the sentence a yes-no question.

[46] 同学们都回到学校了吗?

tong2xue2men0 dou1 hui2dao4 xue2xiao4 le0 ma0
 classmates all return_to school LE MA
 'Is it true that all the classmates have come back to school?'

When two sentence-final particles appear next to each other, they can retain their identity but they can also be merged phonologically to form a single particle. The sentence-final particle 哪 *na* in [47] is a contracted form of 呢 *ne* and 啊 *a*, which retains the functions of both particles. 哪 therefore indicates the speaker's gentle request for an explanation and shows his astonishment. A likely scenario for asking this question is that a son has disobeyed his father so many times that the desperate old man is showing his frustration.

- [47] 你还认不认我这个爹哪?
 ni3 hai2 ren4 bu4 ren4 wo3 zhe4 ge4 die1
 you yet admit NEG admit I this CL father
 na0
 NA
 ‘Do you still consider me your father or not?’

Sentence-final particles are used mainly in spoken genres and are seldom found in serious written genres such as government documents, laws, or diplomatic correspondences. The sentence-final particles discussed here are common in most dialects and are found in Putonghua. Most dialects have their own unique particles that are usually not shared by other dialects, such as 吵 *sa* in the Xiang dialect and 嘞 *bo* in Cantonese.

2.1.3.10. Onomatopoeia

An onomatopoeia is a morpheme that represents sound. Such a morpheme can stand alone as a word. It can also form a compound by reduplication or in combination with other morphemes. Words formed with onomatopoeia typically function as members of a clause and sometimes stand alone as a sentence. The written form of an onomatopoeia typically consists of characters chosen for their pronunciation but not for their meaning.

The 喀嚓 *kacha* in [48a] is an independent clause, presenting a proposition that some cracking noise came out of nowhere and the following clause spells out the reason for the noise. The 扑哧 *puchi* in [48b] is the sound of laughter and is an appositive of the nominal phrase 一声 *yi1sheng1* ‘(one) noise.’ The 嘀嘀咕咕 *didigugu* in [48c] is an AaBb duplicated form of 嘀咕 *digu* and functions as the main verb.

- [48] a. 喀嚓, 屋梁经受不住积雪的重量断裂了。
 ka1cha1 wu1liang2 jing1shou4bu4zhu4 ji1xue3 de0
 KACHA beam cannot_stand snow DE
 zhong4liang4 duan4lie4 le0
 weight snap LE
 ‘Kacha, the beam snapped under the weight of the snow.’
- b. 他女朋友扑哧一声笑了。
 ta1 nü3peng2you3 pu1chi1 yi1 sheng1 xiao4 le0
 he girlfriend PUCHI one sound laugh LE
 ‘His girlfriend burst into laughter with a puchi sound.’

- c. 你们几个在嘀嘀咕咕什么呢?

ni3men0 ji3 ge4 zai4 di1di1gu1gu1 shen2me0
you several CL ZAI DIDIGUGU what

ne0

NE

What are you didigugu-ing about? [talking in a low and inaudible sound]

2.2. Phrases, clauses, and sentences

2.2.1. Phrases

Phrases are constructed according to syntactic principles, namely, words inside a phrase are arranged according to a set of rules governing their combination. Phrases are commonly classified according to their head.

A verb phrase is headed by a verb, which may stand alone without any other dependent element, as in [49a], or take a nominal phrase as the object, as in [49b]. Some verbs can take a direct object and an indirect object at the same time, as in [49c], and the indirect object can appear in a preposition phrase after the direct object, as in [49d]. More details on verbs and verb phrases are discussed in Chapter 4.

- [49] a. 走!

zou3

go

'Go!'

- b. 吃药。

chi1 yao4

eat medication

'Take (my/your/his...) medication.'

- c. 送你一支玫瑰花。

song4 ni3 yi1 zhi1 mei2gui1hua1

give you one CL rose

'Give you a rose.'

- d. 送一束玫瑰花给我女朋友。

song4 yi1 shu4 mei2gui1hua1 gei3 wo3

give one CL rose PREP I

nü3peng2you3

girlfriend

'Give a rose to my girlfriend.'

An adjective phrase is headed by an adjective, which can appear in bare form without any dependent elements. The bare form can be a simple adjective like the 聪明 *cong1ming0* ‘smart’ in [50a], a coordinated one like the 活泼可爱 *huo2po1ke3ai4* ‘lively and lovely’ in [50b], a reduplicated one like the 漂漂亮亮 *piao4-piao4liang1liang1* ‘beautiful’ in [50c], and a suffixed one like the 酸溜溜 *suan1liu1liu1* ‘sour, sarcastic’ in [50d]. It can also be an A-A compound where the second adjective represents the extent of the first one, like the 烫极 *tang4 ji2* ‘hot in the extreme’ in [50e]. Adjectives with duplicated suffixes and reduplicated adjectives can function as predicates only when they take the phrasal suffix 的 *de*, as in [50c] and [50d]. More details on adjectives and adjective phrases are discussed in Chapter 10.

- [50] a. 聪明!
 cong1ming0
 smart
 ‘(He is) smart!’
- b. 活泼可爱!
 huo2po1 ke3ai4
 lively lovely
 ‘(She is) lively and lovely!’
- c. (小女孩打扮得)漂漂亮亮的。
 xiao3 nü3hai2 da3ban4 de0 piao4piao4liang1liang1
 little girl dressed DE beautiful
 de0
 DE
 (The little girl dressed in such a way that she looked) beautiful.
- d. (你这话怎么)酸溜溜的?
 ni3 zhe4 hua4 zen3me0 suan1liu1liu1 de0
 you this words how sarcastic DE
 ‘(How come you sound) sarcastic?’
- e. 烫极了。
 tang4 ji2 le0
 hot extreme LE
 ‘(It is) hot in the extreme.’

A nominal phrase has a noun as the head. In actual use, a nominal phrase can appear in the shape of a bare noun phrase, as in [51a], a numeral-classifier phrase, as in [51b], or a determiner phrase, as in [51c]. These phrases can be modified by a

phrase marked with 的 *de*, as in [52a], [52b], and [52c]. Details on nouns and noun phrases are provided in Chapter 8.

- [51] a. (我买)报纸杂志。

wo3 mai3 bao4zhi3 za2zhi4
I buy newspaper magazine
'(I buy) newspaper(s) and magazines.'

- b. (我买)一份报纸。

wo3 mai3 yi1 fen4 bao4zhi3
I buy one CL newspaper
'(I buy) a newspaper.'

- c. (我买)那一份报纸。

wo3 mai3 na4 yi1 fen4 bao4zhi3
I buy that one CL newspaper
'(I buy) that newspaper.'

- [52] a. (我买)刚来的报纸。

wo3 mai3 gang1 lai2 de0 bao4zhi3
I buy just come DE newspaper
'(I buy) newspaper(s) that has just arrived.'

- b. (我买) 那刚来的一份报纸。

wo3 mai3 gang1 lai2 de0 yi1 fen4 bao4zhi3
I buy just come DE one CL newspaper
'(I buy) the newspaper that has just arrived.'

- c. (我买) 刚来的那一份报纸。

wo3 mai3 gang1 lai2 de0 na4 yi1 fen4
I buy just come DE that one CL
bao4zhi3
newspaper
'(I buy) the newspaper that has just arrived.'

A preposition phrase is headed by a preposition, which takes a nominal phrase as its object. The predominant function of a preposition phrase is to be an adverbial modifying a verb phrase, as in [53a] or an adjective phrase, as in [53b]. Preposition phrases can be stacked to modify the same verb phrase, as in [53c]. Chapter 13 discusses prepositions and preposition phrases in detail.

- [53] a. (飞机)朝上海(飞去)。
 fei1ji1 chao2 shang4hai3 fei1 qu4
 plane PREP Shanghai fly go
 ‘(The plane flew) toward Shanghai.’
- b. (她)在娘家时就这么泼辣。
 ta1 zai4 niang2 jia1 shi2 jiu4
 she PREP mother home when thus
 zhe4mo0 po1la4
 so shrewish
 ‘(She) was such a shrew when she was a girl.’
- c. 列车经郑州向西安方向开去。
 lie4che1 jing1 zheng4zhou1 xiang4 xi1an1
 train PREP ZHENGZHOU PREP XIAN
 fang1xiang4 kai1 qu4
 direction drive go
 ‘The train moved toward Xi’an via Zhengzhou.’

An adverb phrase predominantly consists of just an adverb like the 刚 *gang1* ‘a short while ago’ in [54a]. Adverb phrases can be stacked to modify the same verb phrase like the 的确 *di2que4* ‘indeed,’ 曾经 *ceng2jing1* ‘once,’ and 非常 *fei1chang2* ‘very much’ in [54b]. See Chapter 12 for more details on adverb phrases.

- [54] a. (爸爸)刚回来。
 ba4ba4 gang1 hui2lai2
 dad just come_back
 ‘(Dad) has just come in.’
- b. (她)的确曾经非常会说话。
 ta1 di2que4 ceng2jing1 fei1chang2 hui4 shuo1hua4
 she indeed once very able talk
 ‘(She) indeed once upon a time really knew how to say things.’

2.2.2. Clauses and sentences

The main components of a clause are a subject and a predicate. Although the subject is typically a nominal phrase, as in [55a], it can at times be a verb phrase or an adjective phrase, as in [55b] and [55c]. When there is a clear context to recover its identity, the subject can be left empty, as in the second clause of [55a]. Although

the predicate is typically a verb phrase, it can be an adjective phrase as well, as in [55c].

- [55] a. 校长做了次别出心裁的演讲, 引来了媒体的关注。
 xiao4zhang3 zuo4 le0 ci4 bie2chu1xin1cai2 de0
 president make LE CL extraordinary DE
 yan3jiang3 yin3 lai2 le0 mei2ti3 de0 guan1zhu4
 speech attract come LE media DE attention
 'The president delivered an unusual speech. It attracted the attention of the media.'
- b. 贪污受贿又不是死罪。
 tan1wu1shou4hui4 you4 bu4 shi4 si3zui4
 embezzle_take_bribery again NEG be capital_offense
 'It is not a capital offense to offer or to take bribes.'
- c. 简单朴素好。
 jian3dan1 pu3su4 hao3
 simple plain good
 'It is better to be simple and plain.'

There can be peripheral components in a clause, such as the clausal adverbial 这些天 *zhe4xie1tian1* 'these days' in [56a] and the connective adjunctive adverbials 幸亏 *xing4kui1* 'fortunately' and 不然 *bu4ran2* 'otherwise' in [56b].

- [56] a. 这些天给你添了许多麻烦, 谢谢啦。
 zhe4xie1 tian1 gei3 ni3 tian1 le0 xu3duo1 ma2fan0
 these day give you add LE many trouble
 xie4xie4 la0
 thank LA
 'These days (we) troubled you a lot. Thank you very much.'
- b. 幸亏提前做了准备, 不然真的就会一败涂地了。
 xing4kui1 ti2qian2 zuo4 le0 zhun3bei4 bu4ran2
 fortunately in_advance make LE preparation otherwise
 zhen1de0 jiu4 hui4 yi1bai4tu2di4 le0
 really thus will lose_totally LE
 'Fortunately you made the arrangement ahead of time.
 Otherwise you would have had a total loss.'

The predominant constituent order in a clause is for the predicate to follow the subject, while the default linear order for the object is to appear after the verb, in

the sense that there are fewer constraints for it to occur in that position. There are, however, a number of ways for these constituents to have a different order. The 银行卡 *yin2hang2 ka3* ‘ATM card’ in [57a] represents the patient affected by the action 丢 *diu1* ‘losing’ and its default position is after the verb. It is now in a preverbal position marked by 把 *ba*, which signifies the relation between the verb and the nominal phrase. The nominal phrase 这事 *zhe4shi4* ‘this issue’ in [57b] represents the entity being 计议 *ji4yi4* ‘discussed,’ and it is in the topic position while the object position is left empty.

- [57] a. 福林又把银行卡丢了, 烦不烦啊?
 fu2lin2 you4 ba3 yin2hang2 ka3 diu1 le0 fan2
 Fulin again BA bank card lose LE trouble
 bu4 fan2 a0
 NEG trouble A
 ‘Fulin lost the ATM card again. Isn’t it troublesome?’
- b. 这事咱们还得从长计议。
 zhe4 shi4 zan2men0 hai2 dei3
 this thing we yet need
 cong2chang2ji4yi4
 make_a_long_term_plan
 ‘About this issue, we have to consider a long-term plan.’

Other elements in a clause may occasionally appear in some atypical positions, especially in casual speech. The 您 *nin2* ‘you’ in [58a] is the agent of the action 吃 *chi1* ‘eat’ and typically occupies the subject position. In this common greeting cliché, it occurs after the predicate in the form of an afterthought. The 昨天 *zuo2tian1* ‘yesterday’ in [58b] represents the time of 惹你生气 *re3 ni3 sheng1qi4* ‘annoying you,’ but it occurs after the predicate as a supplemental afterthought.

- [58] a. 吃了没? 您呐?
 chi1 le0 mei2 nin2 na0
 eat LE NEG you NA
 ‘Have you eaten (breakfast/lunch/dinner)?’
- b. 爸, 我惹你生气了? 昨天?
 ba4 wo3 re3 ni3 sheng1qi4 le0 zuo2tian1
 dad I annoy you angry LE yesterday
 ‘Daddy, did I annoy you yesterday?’

A clause represents a proposition and it can contain another proposition, like the object clause in [59a] and the subject clause in [59b]. A clause that is part of another one is a subordinate clause, while a clause that is not contained in any other clause is the main clause. In addition to a subject and an object, a clause can function as the modifier of a nominal phrase, as in [59c], act as a modifier of a predicate, as in [59d], or can be conjoined with another clause, as in [59e].

- [59] a. 谁说我考砸了?
 shui2 shuo1 wo3 kao3 za2 le0
 who say I examine smash LE
 'Who said I failed the test?'
- b. 他考不上很正常。
 ta1 kao3 bu4 shang4 hen3 zheng4chang2
 he examine NEG up very normal
 'It is expected that he was not admitted.'
- c. 昨天找过你的那个学生又来了。
 zuo2tian1 zhao3 guo4 ni3 de0 na4 ge4
 yesterday look_for GUO you DE that CL
 xue2sheng1 you4 lai2 le0
 student again come LE
 'The student who looked for you yesterday is here again.'
- d. 要不是病了,我才不会缺席呢。
 yao4bu4shi4 bing4 le0 wo3 cai2 bu4 hui4 que1xi2
 but_for sick LE I just not will absent
 ne0
 NE
 'I would not have been absent if I were not sick.'
- e. 天黑了,停下来吧。
 tian1 hei1 le0 ting2xia4lai2 ba0
 sky dark LE stop_down BA
 'It is dark now. Let's stop.'

Although a clause represents a proposition and a piece of discourse is a collection of related propositions, a main clause depicting an action or event may not have independent status in the discourse in many cases. The largest syntactic unit with independent functions in the discourse is a sentence, which consists of a main clause and some peripheral elements, mainly sentence-final particles. The

clause in [60] describes a completed event and it represents a coherent proposition. However, native speakers in many cases would wait for more information and would consider it incomplete or unacceptable if the clause appeared alone.

- [60] 我已经吃了药。
 wo3 yi3jing1 chi1 le0 yao4
 I already eat LE medication
 'I have taken the medication.'

One way to supply the needed information is to add a conjoined clause, as in the case of [61a], which is an acceptable sentence. However, this technique does not always work. The two coordinated clauses in [61b] still sound incomplete and the main factor is the lack of the sentence-final particle 了 in the second clause. Such a sentence-final particle could also make [60] an acceptable sentence, as shown in [61c].

- [61] a. 我已经吃了药, 现在好多了。
 wo3 yi3jing1 chi1 le0 yao4 xian4zai4 hao3
 I already eat LE medication now good
 duo1 le0
 more LE
 'I have taken the medication, (and as a consequence) I am feeling better now.'
- b. 我已经吃了药, 睡了一觉。
 wo3 yi3jing1 chi1 le0 yao4 shui4 le0 yi1
 I already eat LE medication sleep LE one
 jiao4
 sleep
 'I have taken the medication, and I have slept a while.'
- c. 我已经吃了药了。
 wo3 yi3jing1 chi1 le0 yao4 le0
 I already eat LE medication LE
 'I have fulfilled the requirement of taking medication.'

The sentence-final particle 了 not only makes [60] an acceptable sentence in [61c] but also brings about a different proposition. The proposition of [61c] is not about the action but is about the status of affairs at the time of reference. It states that the speaker's taking the medication has become a reality and no more action is needed; that is, there has been a change of state.

The 了 in [62] plays the same role. The single clause in [62a] represents a coherent proposition and is an acceptable sentence in some specific contexts (see [63]). However, it would be considered incomplete if it described a specific action, such as he smoked (yesterday). If a sentence-final particle 了 is attached to it, the result is an acceptable sentence, as in [62b], which means 他 *ta1* ‘he’ had not been a smoker but was in the state of being a smoker at the time of reference. The difference in meaning between [62a] and [62b] is due to the presence of 了.

- [62] a. 他抽烟。
 ta1 chou1yan1
 he smoke
 ‘He smokes cigarettes.’
- b. 他抽烟了。
 ta1 chou1yan1 le0
 he smoke LE
 ‘He starts smoking.’

A main clause can assume the state of a sentence in many ways. One way is to function as a question. [63a] and [63b] are yes-no questions formed by adding a sentence-final particle to [62a], and different particles indicate different interrogative intentions. In [63a], the speaker is interested in whether 他 *ta1* ‘he’ smokes and would be happy with either a “yes” answer or a “no” answer. In [63b], the speaker has a suspicion that 他 *ta1* ‘he’ smokes and seeks confirmation from the listener. A “yes” answer would make the speaker happy. Both [63c] and [63d] are alternative questions which in essence ask the listener to pick one of two choices about 他 *ta1* ‘he’: smoking or not smoking. Although [63e] does not carry any sentence-final particle, it can function as a question if the final word bears a rising intonation, which will make [63e] an echo question signifying the speaker’s doubt about the statement that 他 *ta1* ‘he’ smokes.

- [63] a. 他抽烟吗?
 ta1 chou1yan1 ma0
 he smoke MA
 ‘Does he smoke?’
- b. 他抽烟吧?
 ta1 chou1yan1 ba0
 he smoke BA
 ‘He smokes, right?’

- c. 他抽不抽烟?
 ta1 chou1 bu4 chou1yan1
 he smoke NEG smoke
 'Does he smoke?'
- d. 他抽烟不?
 ta1 chou1yan1 bu4
 he smoke NEG
 'He smokes, doesn't he?'
- e. 他抽烟?
 ta1 chou1yan1
 he smoke
 'He smokes?'

To any one of the questions in [63], [62a] is an appropriate answer and, as such, is an acceptable sentence. In essence, a main clause will have the status of a sentence without the help of final particles if it is being used as the answer to a question. Another way for a clause to acquire such a status is for it to function as an imperative, as in [64a] and [64b].

- [64] a. 你们先别进来。
 ni3men0 xian1 bie2 jin4lai2
 you first do_not come_in
 '(You) don't come in yet.'
- b. 咱们走!
 zan2men0 zou3
 we go
 'Let's go!'

For clauses that describe a state or a property that is true throughout the existence of an individual or individuals, it is common for them to assume the status of a sentence in their own right. [65a] portrays a child's intelligence, which remains true throughout his life, while [65b] describes the pandas' appearance, which is true all the time. Both clauses are sentences and are acceptable as such. For the same reason, [62a] is an acceptable sentence if it is interpreted as 他 *ta1* 'he' has the habit of smoking.

- [65] a. 这孩子很聪明。
 zhe4 hai2zi0 hen3 cong1ming0
 this child very smart
 'The kid is very smart.'

- b. 熊猫眼睛周围长了一圈黑毛。

xiong2mao1 yan3jing1 zhou1wei2 zhang3 le0 yi1
panda eye around grow LE one

quan1 hei1 mao2
circle black hair

'Pandas have black rings around their eyes.'

Clauses that describe events or action taking place regularly have similar properties. The action of 抽烟袋 *chou1 yan1dai4* 'smoke the pipe' in [66] is a regular activity and the clause can be considered true for 爷爷 *ye2ye0* 'grandpa' all the time. [66] is thus an acceptable sentence on its own.

- [66] 爷爷经常一个人坐在堂屋里抽烟袋。

ye2ye0 jing1chang2 yi1 ge4 ren2 zuo4
Grandfather often one CL person sit

zai4 tang2wu1 li3 chou1 yan1dai4
PREP main_hall inside smoke pipe

'Grandfather often sits alone in the lobby smoking the pipe.'

2.3. Negation

Negation, as will be discussed in Chapter 6, is a linguistic expression that changes the polarity of a statement to indicate a contrary meaning or a negative attitude. The predominant way to negate a proposition is to insert a negative morpheme into the clause bearing the proposition. The most commonly used negative morphemes are 不 *bu4* 'not,' which negates predicates with an imperfect aspect, as in [67a], and 没有 *mei2you3* 'not' or its shortened form 没 *mei2* 'not,' which negates predicates with a perfect aspect, as in [67b].

- [67] a. 校园安全不属于本公司的职责范围。

xiao4yuan2 an1quan2 bu4 shu3yu2 ben3 gong1si1
campus safety NEG belong_to this company

de0 zhi2ze2 fan4wei2

DE duty scope

'Campus security is not our company's job.'

- b. 这种事故他们以前没有处理过。

zhe4 zhong3 shi4gu4 ta1men0 yi3qian2 mei2you3
this CL accident they before NEG

chu3li3 guo4

handle GUO

'They have not handled this type of accident before.'

There are also several negative morphemes with limited distribution. Some of them are inherited from Classic Chinese and are mainly used in formal written genres, such as 未 *wei4* ‘not (yet)’ in [68a], 无 *wu2* ‘not (have, exist)’ in [68b], and 勿 *wu4* ‘(should) not’ in [68c]. Some other morphemes are found in imperatives only, such as 别 *bie2* ‘(should) not’ in [69a] and 甬 *beng2* ‘(should) not’ in [69b], which is from the northern dialect near Beijing.

- [68] a. 该员工未经批准, 擅离职守。
 gai1 yuan2gong1 wei4jing1 pi1zhun3
 the employee without permission
 shan4li2zhi2shou3
 leave_position_without_permission
 ‘The said employee left his post without prior permission.’
- b. 争取做到无污渍, 无异味。
 zheng1qu3 zuo4dao4 wu2 wu1zi4 wu2 yi4wei4
 try achieve no stain no odor
 ‘(We) will try our best to have no stain and no odor.’
- c. 请勿吸烟!
 qing3 wu4 xi1yan1
 please do_not smoke
 No smoking, please!
- [69] a. 别把钱包丢了。
 bie2 ba3 qian2bao1 diu1 le0
 do_not BA wallet lose LE
 ‘Don’t lose your wallet.’
- b. 甬客气, 想吃什么自己拿。
 beng2 ke4qi4 xiang3 chi1 shen2me0 zi4ji3 na2
 do_not mannerly want eat what self take
 ‘Don’t behave like a guest. Just take whatever you like to eat.’

A negative morpheme negates things on its right but not things on its left. The two sentences in [70] thus have different meanings, determined by the position of 不 *bu4* ‘not.’

- [70] a. 我不可能去你家。
 wo3 bu4ke3neng2 qu4 ni3 jia1
 I impossible go you home
 ‘It is impossible for me to go to your place.’

- b. 我可能不去你家。

wo3 ke3neng2 bu4 qu4 ni3 jia1
 I possible NEG go you home
 'It is possible that I will not go to your place.'

2.4. Aspectual system

There is no visible inflectional marking on the main verb to indicate the relation between the time of action and the time of speech, although there may be adverbials to indicate the temporal status of the action. This means that there is no visible morphological marking to indicate tense in the usual sense. On the other hand, there is marking on the predicate, usually on its head, to indicate the status of the event or action, as well as the relation between the time of action and the time of reference, namely, to indicate aspect in the usual sense.

A commonly used aspect marker is 了 *le*, which indicates that, when evaluated in its totality, the action or event represented by the predicate has been completed before the time of reference and the result remains unchanged at the stage after the time of reference. In [71a] the 了 *le* attached to the verb 去 *qu* 'go' means that the action of going to Hong Kong has been completed and the result of the action still holds after the time of reference, which means the Director is still in Hong Kong. The 了 *le* in [71b] also indicates the completion of an action, namely, completing walking and becoming tired, as well as the continuance of the result, namely, being tired after the time of reference.

- [71] a. 局长去了香港, 不在这儿。

ju2zhang3 qu4 le0 xiang1gang3 bu4 zai4 zhe4er0
 director go LE Hong_Kong NEG be_at here
 'The Director has gone to Hong Kong and is not here.'

- b. 行人走累了, 可以在这儿歇一会儿。

xing2ren2 zou3 lei4 le0 ke3yi3 zai4 zhe4er0 xie1
 pedestrian walk tired LE can PREP here rest
 yi1hui4er0
 a_while
 'Pedestrians can rest here if they are tired from walking.'

Another commonly used aspect marker is 过 *guo*, which is attached to the head of a predicate to indicate the completion of an action or the ending of a state before the time of reference. When a speaker uses 过 *guo*, he is making it clear that the final stage of the situation no longer exists and this implies some prior experience. The differences between 了 *le* and 过 *guo* are responsible for

the contrast between [71a] and [72a]. The proposition of both sentences is about someone's trip to another city, but [71a] states that the Director is still in Hong Kong, while [72a] implies that Uncle is no longer in Paris. Similarly, [71b] states that the pedestrians are tired after the time of reference, while [72b] states that the town in question is neither glorious nor depressed at the stage after the time of reference.

- [72] a. 舅舅去过巴黎, 他可能知道。
 jiu4jiu4 qu4 guo4 ba1li2 ta1 ke3neng2 zhi1dao4
 uncle go GUO Paris he may know
 'Uncle was in Paris once, and he might know it.'
- b. 小镇有过辉煌, 也经历过衰败。
 xiao3zhen4 you3 guo4 hui1huang2 ye3 jing1li4
 small_town have GUO glory also undergo
 guo4 shuai1bai4
 GUO decay
 'The small town has had good times but also bad times.'

Both 了 *le* and 过 *guo* indicate the speaker's evaluation of the situation as a whole and are therefore commonly categorized as markers for perfective aspects. The marker 着 *zhe*, on the other hand, indicates the speaker's evaluation of a sub-interval of time within a situation and is categorized as a marker for an imperfective aspect. The verb 架 *jia4* 'set up' in [73a] can either mean the action of setting up a structure or the resultant state of such an action. The 着 *zhe* in [73a] thus either tells us that the action of erecting the towers is ongoing at the time of reference or indicates that the state of the towers remains the same at the time of reference, as shown by [i] and [ii] respectively. The 着 *zhe* in [73b] indicates that the action of wearing a particular hat was in a continuous state at the time of reference. The state represented by the verbs lasts for some time but the marker 着 *zhe* depicts that it is for just a very short period, namely, the moment known as the time of reference.

- [73] a. 对面山上架着发射塔。
 dui4mian4 shan1shang4 jia4 zhe0
 opposite_side mountain_top install ZHE
 fa1she4ta3
 transmission_tower
- i. '(People) are setting up transmission towers on top of the mountains.'
- ii. 'There are transmission towers on top of the mountains.'

- b. 他还是戴着那顶旧帽子。

ta1 hai2shi4 dai4 zhe0 na4 ding3 jiu4 mao4zi0
 he still wear ZHE that CL old hat
 'He was still wearing the worn hat.'

Another marker with similar properties is 在 *zai*, which appears before the verb to show that the action represented by the predicate was in progress at the time of reference. The 在 *zai* before 下 *xia4* 'fall' in [74a] tells us that the rain is falling at the moment someone is trying to leave, while the 在 *zai* in [74b] indicates that driving is in progress at the time of reference, which happens to be the time of speech in this sentence.

- [74] a. 外面在下大雨, 走不成了。

wai4mian4 zai4 xia4 da4 yu3 zou3 bu4 cheng2
 outside ZAI fall big rain go NEG succeed
 le0
 LE

'It is raining heavily, and we will not be able to leave.'

- b. 他在专心开车呢, 别打岔。

ta1 zai4 zhuan1xin1 kai1che1 ne0 bie2 da3cha 4
 he ZAI attentively drive NE do_not interrupt
 'He is driving attentively. Don't distract him.'

Note that the 在 in [74b] appears before the manner adverbial 专心 *zhuan1xin1* 'concentrated, attentively' and is not prefixed to the verb 开车 *kai1che1* 'drive car.' 在 thus has a morphosyntactic status different from that of 着 *zhe*, which is a suffix. Another difference between the two markers is the situation each of them represents. The two sentences in [75] are almost identical except for the aspect maker they carry, and that leads to the different interpretations. [75a] represents a state in which the person was wearing a leather coat, while [75b] represents an event in which the person was putting the coat on.

- [75] a. 出门的时候, 他穿着皮大衣。

chu1men2 de0 shi2hou0 ta1 chuan1 zhe0 pi2
 go_out DE when he wear ZHE leather
 da4yi1
 coat

'He was wearing a leather coat when he went out.'

- b. 出门的时候, 他在穿皮大衣。

chu1men2 de0 shi2hou0 ta1 zai4 chuan1 pi2
go_out DE when he ZAI put_on leather
da4yi1
coat

'He was putting on a leather coat when he went out.'

2.5. Comparisons and comparative constructions

Comparisons of various types are expressed by several constructions. For superiority comparative constructions that are concerned with relative positions on some scale, a commonly used form is a construction marked with the comparative marker 比 *bi3* 'than.' In such constructions, the comparison is between the term being compared and the standard of comparison marked by 比 *bi3* 'than' in terms of the differential measurement phrase, such as the number of seats in [76a]. Another superiority comparative construction is marked with 过 *guo4* 'over,' as in [76b]. Such a construction can have the comparison term compared with itself, as in [76b], but it can also have the term compared with a standard of comparison, as in [76c].

- [76] a. 空客 380 的载客量比波音 747-400 多了近 400 人。

kong4ke4 380 de0 zai3ke4liang4 bi3 bo1yin1
Airbus 380 DE load than Boeing
747-400 duo1 le0 jin4 400 ren2
747-400 more LE near 400 people

'The seating capacity of Airbus 380 is 400 more than that of Boeing 747-400.'

- b. 高跟鞋高过 7 厘米就成了“刑具”。

gao1gen1xie2 gao1 guo4 7 li2mi3 jiu4 cheng2
high-heel high over 7 centimeter thus become
le0 xing2ju4

LE torture_device

'A high heel with heel higher than seven centimeters is practically a torture device.'

- c. 医生的健康状况甚至差过病人。

yi1sheng1 de0 jian4kang1 zhuang4kuang4 shen4zhi4
doctor DE health condition even

cha 4 guo4 bing4ren2

bad over patient

'The health of the doctor is even worse than that of the patient.'

Equality comparisons, which are concerned with identity or likeness, are typically marked with 像...一样 *xiang4...yi1yang4* ‘the same as.’ In sentences like [77a], the speaker states that the term being compared is equal to the standard of comparison in a certain aspect, “talking” in this case. A similar construction is marked with the comparison marker 如 *ru2* ‘like’ and it typically appears in a negative form, as in [77b].

- [77] a. 你说话怎么像我妈一样?
 ni3 shuo1hua4 zen3me0 xiang4 wo3 ma1
 you talk how like I mother
 yi1yang4
 same
 ‘How come you sound the same like my mom?’
- b. 有些女孩儿觉得干得好不如嫁得好。
 you3xie1 nü3hai2er0 jue2de0 gan4 de0 hao3
 some girl feel do DE good
 bu4ru2 jia4 de0 hao3
 not_as_good_as marry DE good
 ‘Some girls believe that doing a good job is not as good as marrying a good man.’

A correlative comparative marked with 越...越... *yue4...yue4...* ‘the more... the more...’ indicates a proportional or parallel decrease or increase along the two scales expressed by the two comparative terms. Sentence [78] states that the term being compared is not equal to the standard of comparison yet, but it is almost equal.

- [78] 小女儿越长越像她了。
 xiao3 nü3er2 yue4 zhang3 yue4 xiang4 ta1
 youngest daughter more grow more like she
 le0
 LE
 ‘Her youngest daughter became more and more like a copy of her.’

2.6. Information-packaging constructions

The canonical constituent order of a clause is subject–predicate, while the least-constrained order within the predicative verb phrase is verb–object. There are, however, quite a number of information-packaging constructions in which the constituents appear in a different linear order to serve certain syntactic, semantic, or pragmatic purposes.

The construction marked with 被 *bei* in [79] is the equivalent of a passive construction in other languages. The phrase for the patient of the action occurs in the subject position, while the agent phrase does not appear. The example was taken from a news report about a homicide of which the culprit is unknown. The 被 *bei* construction provides the needed packaging means.

- [79] 昨晚10点, 一名19岁男子在闹市被刺身亡。
 zuo2wan3 10 dian3 yi1 ming2 19 sui4 nan2zi3
 last_night 10 o'clock one CL 19 year man
 zai4 nao4shi4 bei4 ci4 shen1wang2
 PREP down_town BEI stab die
 'A 19-year-old man was stabbed to death in downtown at 10 o'clock.'

The construction marked by the universal coverage adverb 都 *dou1* 'all' in [80a] serves a different pragmatic purpose. The function of 都 *dou1* 'all' is to assign a totality reading to the phrase on its left so that the predicate applies to all members of the set represented by the phrase. The pronoun 谁 *shui2* 'who' under the scope of 都 *dou1* 'all' obtains the interpretation of a universal quantifier, similar to 任何人 *ren4he2ren2* 'anybody,' and the sentence shows the speaker's pride and arrogance that her team is not afraid of anyone. The 连...都 *lian2...dou1* 'including all...even' construction in [80b] not only relies on 都 *dou1* 'all' to bring 小学生 *xiao3xue2sheng1* 'elementary school pupil' to the preverbal position but also depends on 连 *lian2* 'even' to make it standard, which is the lowest possible case here. [80b] thus means that the problem is so easy that even the least capable person can solve it.

- [80] a. 我们恒大谁都不怕!
 wo3men0 heng2da4 shui2 dou1 bu4 pa4
 we Evergrande who all NEG fear
 'We Team Evergrande are not afraid of anybody.'
- b. 这种题连小学生都会做。
 zhe4 zhong3 ti2 lian2 xiao3xue2sheng1 dou1
 this CL problem even pupil all
 hui4 zuo4
 can do
 'Even an elementary school pupil knows how to solve this problem.'

Topic-comment constructions like [81a] are commonly used to package the flow of information. When it is first introduced into the discourse, 李家 *li3jia1* 'the Li Family' is a piece of new information and appears in its default postverbal position; but when it appears as the topic in the following sentence, it represents

the issue the speaker wants to discuss again as a piece of old information and the link to the previous discourse. The information-packaging construction in [81b] is similar to that in [81a] in that the patient phrase 这件事 *zhe4 jian4 shi4* ‘this issue’ appears in a preverbal position to represent a piece of old information. The two constructions differ in the function of the preposed nominal phrase. 这件事 *zhe4 jian4 shi4* ‘this issue’ is the focus of discussion in [81b] and its relation to the previous discourse is not the main reason for it to be preposed.

- [81] a. 山谷里住着李家、王家、赵家, 李家人最多。
 shang1gu3 li3 zhu4 zhe0 li3 jia1 wang2
 valley inside live ZHE LI family WANG
 jia1 zhao4 jia1 li3 jia1 ren2 zui4duo1
 family ZHAO family LI family people most
 ‘There are the Lis, the Wangs, and the Zhaos in the valley. The Li family is the largest.’
- b. 你们几个这件事就不要管了。
 ni3men0 ji3 ge4 zhe4 jian4 shi4 jiu4 bu4yao4
 you several CL this CL thing thus do_not
 guan3 le0
 meddle LE
 ‘As for this issue, it is better for you guys not to touch it.’

The marker pair 是...的 ...*shi4...de0*... ‘(it) is...that...’ is commonly used to emphasize the constituent between 是 and 的. What falls between these two markers in [82a] is the predicate and the emphasis can be on the verb, the object, or, most likely, on the action of buying insurance. The marker 的 *de* usually occurs at the end of a clause, as in [82a], but it can sometimes be attached to the verb, as in [82b]. The emphasis in this case is on 晚上 *wan3shang4* ‘evening,’ namely, part of the temporal adverbial.

- [82] a. 乘客确实是买了保险的。
 cheng2ke4 que4shi2 shi4 mai3 le0 bao3xian3 de0
 passenger indeed be buy LE insurance DE
 ‘The passengers really did buy the insurance.’
- b. 我是晚上看的《茶馆》。
 wo3 shi4 wan3shang4 kan4 de0 cha2guan3
 I be evening watch DE tea_house
 ‘It was in the evening that I watched The Tea House.’

2.7. Illocutionary force and sentence types

Sentences can be classified according to the way a speaker is using a sentence in a particular context for a particular purpose. This specific aspect of pragmatic meaning is called illocutionary force. When a sentence carries the appropriate illocutionary force, it can perform the illocutionary act of a statement, a question, an exclamatory statement, or a directive.

A statement can have a sentence-final particle, as in [83a], but it can also have no sentence-final particle, as in [83b]. When a statement appears independent of relevant context, it typically has an overt subject, as in [83a] and [83b].

- [83] a. 我早就想明白是怎么回事了。
 wo3 zao3 jiu4 xiang3 ming2bai2 shi4 zen3me0
 I early thus think understand be how
 hui2 shi4 le0
 CL thing LE
 'I figured it out a long time ago.'
- b. 新型手机明日开始出售。
 xin1xing1 shou3ji1 ming2ri4 kai1shi3 chu1shou4
 new_style cell_phone tomorrow start sale
 'The new mobile phone will be released tomorrow.'

Some questions present a closed set of answers and the listeners are requested to choose one from the set. Such a closed question can be a yes–no question like the one in [84a], an alternative question, as in [84b], or a V-not-V alternative question, as in [84c]. A yes–no question predominantly carries a sentence-final particle, such as the 吗 *ma* in [84a]; an alternative question usually has no final particle, as in [84b]; and a V-not-V alternative question typically carries no final particle, but a 呢 *ne* is sometimes used, as in [84c].

- [84] a. 明天还会下雨吗?
 ming2tian1 hai2 hui4 xia4yu3 ma0
 tomorrow yet will rain MA
 'Will it rain again tomorrow?'
- b. 咱们上午走还是下午走?
 zan2men0 shang4wu3 zou3 hai2shi4 xia4wu3 zou3
 we morning go or afternoon go
 'Are we leaving in the morning or in the afternoon?'

c. 你喝不喝咖啡呢?

ni3 he1 bu4 he1 ka1fei1 ne0
 you drink NEG drink coffee NE
 'Do you drink coffee?'

Some questions can have any number of answers and are commonly known as open questions. Variable questions are typically open questions and they usually carry no sentence-final particle. However, a particle 呢 *ne* might be used at times to soften the tone, as in [85], which was extracted from a novel in which a wife always asks her husband what they should eat before she prepares the food.

[85] 晚饭吃什么呢?

wan3fan4 chi1 shen2me0 ne0
 dinner eat what NE
 'What should we have for dinner?'

Exclamatory statements are characteristically marked with a special degree adverb, such as the 好 *hao3* 'very much' in [86a]; a sentence-final particle, such as the 啊 *a* in [86b]; or both, such as the 真 *zhen1* 'really' and 哪 *na* in [86c].

[86] a. 好漂亮的裙子!

hao3 piao4liang0 de0 qun2zi0
 so beautiful DE skirt
 'How beautiful the skirt is!'

b. 这个小调皮啊!

zhe4 ge4 xiao3tiao2pi2 a0
 this CL little_naughty A
 'What a naughty kid!'

c. 真惨哪!

zhen1 can3 na0
 really miserable NA
 'How miserable it is!'

The fundamental illocutionary act performed by directives is getting compliance from listeners, with varying degrees of strength. A command or an order like that in [87a] is the strongest directive to which compliance is required. A request or plea like that in [87b] is softer in that the speaker is not invoking the authority to require compliance. Advice or a recommendation like that in [87c] is even softer in that it is presented for the benefit of the listener and it is up to the listener to comply or not.

- [87] a. 滚出去!
 gun3 chu1qu4
 roll out
 'Get out!'
- b. 请保持安静。
 qing3 bao3chi2 an1jing4
 please keep quiet
 'Be quite, please.'
- c. 现在别买房子。
 xian4zai4 bie2 mai3 fang2zi0
 now do_not buy house
 'Don't buy any house now.'

An instruction is presented as necessary for achieving the goal of using some appliance or cooking some dish, as in [88a]. An invitation like that in [88b] is intended to be for the listener's best benefit and it is the speaker's sincere hope that the listener will comply.

- [88] a. 豆腐切丁, 倒1000克开水, 浸泡, 沥水。
 dou4fu0 qie1 ding1 dao4 1000 ke4
 tofu cut small_cube pour 1000 gram
 kai1shui3 jin4pao4 li4shui3
 boiling_water soak drain_the_water
 'Cut the tofu into small cubes, pour in 1,000 grams of boiling water, soak them, and then drain the water.'
- b. 请慢用。
 qing3 man4 yong4
 please slowly use
 'Enjoy (please eat slowly).'

Permission like that in [89a] is about an action the listener wants to do and the speaker has the authority to permit or prohibit it. Giving permission promotes compliance in a rather weak sense. Acceptance is the weakest form of a directive in that compliance is not something the speaker wants but is something he has no power to prevent. The speaker thus expresses acceptance, perhaps with indifference, as indicated by the sentence-final particle 吧 *ba* in [89b].

- [89] a. 你可以出院了。
 ni3 ke3yi3 chu1yuan4 le0
 you can discharge_from_hospital LE
 'You can leave hospital now.'

- b. 三千就三千吧。

san1qian1 jiu4 san1qian1 ba0
 three_thousand thus three_thousand BA
 'Three thousand dollars it is.'

2.8. Deixis and anaphora

When they appear as part of certain utterances, some phrases will get their reference from the time, the place, and the participants of the utterance. This is known as deixis and the expressions are deictic. In sentence [90a], the reference of the locative phrase 这里 *zhe4li3* 'here' is identified with the place of the utterance, the reference of the temporal phrase 昨天 *zuo2tian1* 'yesterday' is determined in relation to the time of the utterance, and the phrase 我 *wo3* 'I' is identified with the speaker of the utterance. These phrases are thus deictic. Sentence [90b] is taken from a narration by an eyewitness who described the size of a hole through which a thief escaped. The phrase 这么 *zhe4me0* 'this much' is accompanied by an indexing act, namely, the speaker's gesture, which provides the intended referent for the phrase.

- [90] a. 对不起,我爸爸昨天就离开这里了。

dui4bu4qi3 wo3 ba4ba0 zuo2tian1 jiu4 li2kai1
 sorry I father yesterday thus leave
 zhe4li3 le0
 here LE
 'I am sorry. My father left here yesterday.'

- b. 那个洞才这么大。

na4 ge4 dong4 cai2 zhe4me0 da4
 that CL hole just so big
 'That hole is just this big.'

Some other phrases get their reference anaphorically, namely, via the relation between the phrase and an antecedent. The pronoun 他们 *ta1men0* 'they' in [91a] is an anaphor that gets its reference from its antecedent 被困旅客 *bei4 kun4 lü3ke4* 'trapped passengers,' while the 这事 *zhe4 shi4* 'this matter' in [91b] takes the previous clause 他们在非洲的工程受阻 *ta1men0 zai4 fei1zhou1 de0 gong1cheng2 shou4zu3* 'Their African Project is in trouble' as its antecedent.

- [91] a. 被困旅客终于获救,由救护车将他们送往医院。

bei4 kun4 lü3ke4 zhong1yu2 huo4jiu4 you2
 BEI trap passenger eventually get_rescue PREP

jiu4hu4che1 jiang1 ta1men0 song4wang3 yi1yuan4
 ambulance BA they send_to hospital
 'The trapped passengers were finally rescued, and they were taken to
 the hospital by ambulances.'

- b. 他们在非洲的工程受阻, 这事传得很快。
 ta1men0 zai4 fei1zhou1 de0 gong1cheng2
 they PREP Africa DE project
 shou4zu3 zhe4 shi4 chuan2 de0 hen3 kuai4
 get_in_trouble this thing spread DE very fast
 'Their African Project is in trouble and the news is spreading fast.'

An anaphor can sometimes appear without any phonetic content and such a zero pro form still relies on its antecedent for reference. There is no overt subject in the second clause of [92], but the person who got his MBA from Harvard is apparently the man who took over the company. In other words, the subject of the second clause is an anaphor that takes 家里的长孙 *jia1li3 de0 zhang3sun1* 'the eldest grandson of the family' as the antecedent, although it is a zero pro form. The subject of the third clause has the same status.

- [92] 接手的是家里的长孙, 五年前拿到商业管理硕士, 去年才回到国内。
 jie1shou3 de0 shi4 jia1li3 de0 zhang3sun1
 take_over DE be family DE eldest_grandson
 wu3 nian2 qian2 na2dao4 shang1ye4 guan3li3
 five year before obtain business management
 shuo4shi4 qu4nian2 cai2 hui2dao4 guo2nei4
 master last_year just return country_in
 '(The one who) took over is the eldest grandson of the family. (He) got
 (his) MBA five years ago. (He) just returned to the country last year.'

Reflexive pronouns also depend on antecedents for reference but the anaphoric relation between a reflexive pronoun and its antecedent is subject to some restrictions. The most prominent one is that the antecedent of a reflexive pronoun is predominantly the subject. The 自己 *zi4ji3* 'self' in [93a] thus only refers back to the subject 张洋 *zhang1yang2*, while the 自己 in [93b] refers to 我 *wo3* 'I.'

- [93] a. 张洋只好安慰自己: 破财消灾。
 zhang1yang2 zhi3hao3 an1wei4 zi4ji4
 ZHANG_YANG only_could comfort self

po4cai2xiao1zai1

lose_money_disolve_misfortune

'Zhang Yang could only comfort himself: spend money to ward off disasters.'

- b. 我只负责自己的学生。

wo3 zhi3 fu4ze2

zi4ji3 de0 xue2sheng1

I only be_responsible_for self DE student

'I am only responsible for my own students.'

Lexical word formation

Jerome Packard

3.1. Introduction

Lexical word formation is the formation of words using rules of morphology rather than other types of linguistic rules such as rules of syntax. Following this definition, lexical word formation does not apply to words formed by inflectional affixation, i.e., words containing grammatical suffixes such as *-le0* 了, *-guo4* 过, *-zhe0* 着, and *得/不 de0/bu4*. The reason inflectional affixation is not considered a lexical word formation process is that a new lexeme is not created by the addition of these affixes. Lexical word formation either causes a new lexeme to be created (see further discussion in section 3.3.1.) or derives a word using derivational affixation, with the derived word often having a different part of speech (e.g., the verb *gong1ye4hua4* 工业化 work-profession-AFF ‘industrialize’ is derived from the noun *gong1ye4* 工业 work-profession ‘industry’ by affixation of the derivational suffix *化 -hua4* ‘-ize/-ify’).

3.2. Defining “word” in Chinese

In order to discuss lexical word formation, we must first define what a word is in Chinese.

“Word” in the Chinese language can be defined using the construct *minimal free form* – the smallest linguistic entity that can independently occupy a part-of-speech slot. Take, for example, the morpheme/character 椅 *yi3* ‘chair.’ 椅 *yi3* is a bound morpheme as it cannot be used alone as a word. 椅 *yi3* either must be affixed with the nominal suffix *-子 zi0* to derive the free noun 椅子 *yi3zi0* ‘chair,’ or it must be used in combination with another morpheme, such as 轮 *lun2* ‘wheel,’ to yield the two-morpheme word 轮椅 *lun2yi3* ‘wheelchair.’ To take another example, the morpheme 机 *ji1* ‘machine’ cannot be used as a word by itself, but must occur with another morpheme (e.g., 机器 *ji1qi4* machine-utensil ‘machine’) to stand alone as a word.

It is important to note that the crucial property of a free form is its ability to stand alone in some context. A free form’s ability to stand alone does not preclude its ability to combine with other elements to form a new word. Hence, words can combine, via composition and compounding (see discussion in sections 3.4.1.

and 3.4.2 respectively), to form longer words. In addition, though there is no theoretical upper limit on the length of a word, in practical terms words do have a *de facto* length limit. Other than proper names in professional domains, Chinese words do not typically exceed four syllables in length.

There does remain, however, a common belief that either words do not exist in Chinese, or that the construct 字 *zì* ‘character’ is the more appropriate language-specific concept equivalent to “word” in Chinese. The reasons behind these beliefs are largely orthography-driven. First, because Chinese does not conventionally delineate words in texts by use of spacing or other conventions (as in English and most other Western languages), there is no equivalent direct and explicit evidence of speakers’ use of the construct “word.” Second, the unbroken three-thousand-year-old convention of using 字 *zì* ‘character’ as the basic unit in writing and teaching has been conventionalized and reinforce the shared belief that the character is the most appropriate construct for the representation of spoken Chinese. Since a character virtually always represents a morpheme and very often also represents a monosyllabic word, 字 *zì* remains the popular non-technical translation of ‘word.’

We adopt the linguistic definition of “word” rather than the traditional definition because this shared definition at the basic unit level is crucial in a reference grammar, which must provide a standard for comparison with other languages. In addition, studies have shown that native Chinese speakers do share implicit knowledge of the concept “word” as a minimal free form and show a consistently high level of agreement in identifying such a unit. For example, in any typical “one-word” situation of language use, such as answering a question or doing a keyword search, native speakers will use a word, such as 椅子 *yǐzi*, rather than a non-word character/morpheme, such as 椅 *yǐ*.

3.3. Description of word components

The lexical word formation process critically relies on the nature of the components that are used to form words. Chinese word components may be defined by their bound/free and contentful/functional status, and may be further defined by their part-of-speech identity.

3.3.1. A typology of word components

Words are formed in Chinese by combining three types of word component morphemes. The three types are free morphemes, bound contentful morphemes (*bound roots*), and *derivational affixes*. Free morphemes are the morphemes identified by their ability to stand alone as words, while we refer to bound contentful morphemes as bound roots to avoid potential confusion with other bound morphemes. These three morpheme types constitute a subset of the four types of morpheme identified by their free/bound and contentful/functional status, namely: if a

morpheme is free and contentful (vs. functional), it is a *free morpheme*; if it is free and functional, it is a *functional morpheme*; if it is bound and contentful, it is a *bound root*; and if it is bound and functional, it is an *affix*. Chinese thus has four types of morphemes: free morphemes, bound roots, functional morphemes, and affixes. Of these four types, only free morphemes, bound roots, and affixes participate in word formation processes. The category of affix is further subdivided into *derivational affixes* and *inflectional affixes*, and of these two, only derivational affixes participate in lexical word formation.

3.3.1.1. Free morphemes

Word components that are free morphemes in Chinese are contentful morphemes which can stand alone as words. Some examples of single-syllable nominal free morphemes, verbal free morphemes, and adjectival free morphemes are given in [1a]–[1c] respectively below.

- [1] a. 冰 *bing1* ‘ice,’ 水 *shui3* ‘water,’ 车 *che1* ‘car’
 b. 走 *zou3* ‘to walk,’ 打 *da3* ‘to hit,’ 吃 *chi1* ‘to eat’
 c. 红 *hong2* ‘red,’ 凉 *liang2* ‘cold,’ 累 *lei4* ‘tired’

3.3.1.2. Bound roots

Word components that are bound and contentful in Chinese are bound roots: they are contentful morphemes that cannot stand alone as nouns, verbs, or adjectives, but rather must co-occur with another morpheme before they can be used as a noun, verb or adjective part of speech. Some examples of bound roots in Chinese are given below.

- [2] a. Nominal bound roots
 椅 *yi3* ‘chair’ (cf. 椅子 *yi3zi0* ‘chair’), 石 *shi2* ‘stone’ (cf. 石头 *shi2tou0* ‘stone’), 裤 *ku4* ‘pants’ (cf. 裤子 *ku4zi0* ‘pants’), 眼 *yan3* ‘eye’ (cf. 眼睛 *yan3jing1* ‘eye’), 驼 *tuo2* ‘camel’ (cf. 骆驼 *luo4tuo2* ‘camel’)
 b. Verbal bound roots
 观 *guan1* ‘to observe’ (cf. 观摩 *guan1mo2* ‘to observe’), 决 *jue2* ‘to decide’ (cf. 决定 *jue2ding4* ‘to decide’), 习 *xi2* ‘to study’ (cf. 学习 *xue2xi2* ‘to study’)
 c. Adjectival bound roots
 贫 *pin2* ‘poor’ (cf. 贫穷 *pin2qiong2* ‘poor’), 谦 *qian1* ‘modest’ (cf. 谦虚 *qian1xu1* ‘modest’), 简 *jian3* ‘simple’ (cf. 简单 *jian3dan1* ‘simple’)

As seen in the examples, these bound roots cannot be used as free words in Chinese – they must be augmented with an additional morphemic component

before they can be used as nouns, verbs, or adjectives. Bound roots are by far the most common type of morpheme in Chinese.

3.3.1.3. Derivational affixes

Derivational affixes in Chinese are morphemes that are bound and functional, that is, they are grammatical functors that contain functional rather than contentful information, and they cannot independently occupy a syntactic slot as free words. Examples of derivational affixes in Chinese include suffixes like - 子 *zi0* (e.g. 椅子 *yi3zi0* ‘chair,’ 帽子 *mao4zi0* ‘hat’), - 化 *hua4* (e.g. 机械化 *ji1xie4hua4* ‘to mechanize,’ 自动化 *zi4dong4hua4* ‘to automatize’), and - 头 *tou* (e.g. 木头 *mu4tou0* ‘wood,’ 趾头 *zhi3tou0* ‘toe’), and prefixes like 阿 - *a1* (e.g. 阿姨 *a1yi2* ‘aunt,’ 阿公 *a1gong1* ‘grandpa’) and 老 - *lao3* (e.g. 老虎 *lao3hu3* ‘tiger,’ 老鹰 *lao3ying1* ‘eagle’).

The term ‘functional’ (grammatical) morphemes refer to morphemes that tend to mediate the relationship of surrounding morphemes rather than adding their own specific content to the relationship. Functional morphemes are best understood in contrast with contentful morphemes, which add substantial nominal, verbal or adjectival content to the words in which they appear. The difference between “content” and “function” represents a continuum rather than a discrete distinction. The content/function distinction has a well-documented tradition in Chinese linguistics, as morphemes in Chinese are traditionally divided into contentful (实 *shi2* ‘real’) and functional (虚 *xu1* ‘empty’) forms.

3.3.2. Word component part of speech

In addition to classifying Chinese word component morphemes into three types according to their bound/free and contentful/functional status, they can be further described by their grammatical part of speech. When we use part of speech to describe Chinese word components, it provides information about how words and their components are actually understood and used.

The assignment of part-of-speech category to morphemes in Chinese is often not obvious due to the polysemous nature of character forms and the predominance of zero-derivation in Chinese. Take, for example, the morpheme 安 *an1* which is commonly recognized as a nominal unit meaning ‘peace, safe,’ as in the word 平安 *ping2an1* ‘peace.’ But 安 *an1* also has a verb identity, i.e., meaning ‘to install,’ as in the phrase 安电灯 *an1 dian4deng1* ‘to install an electric light.’ Since 安 *an1* can be either a nominal or a verbal morpheme, it cannot be given a unique part of speech.

This is no different from the situation in most of the world’s languages. For example, the English morpheme *bank* is not treated as having an indeterminate part of speech, even though it has at least two part-of-speech identities including more than three senses: ‘edge of a river’ or ‘place where money is deposited’ as a noun, and ‘to tilt an airplane’ as a verb. The word *bank* is listed in the mental

lexicon of English as an entry with multiple meanings and multiple part-of-speech identities, and the native speaker is free to choose any of those meanings and identities in the construction of words and sentences.

The same applies to many Chinese morphemic forms, such as 安 *an1*. When we use part-of-speech class identity as a means of describing Chinese word components, it reveals how words and their components are understood and used and helps to differentiate morphemes sharing the same form.

3.3.2.1. Nominal word components

The following word components are listed in the mental lexicon with an underlying nominal identity. Some are free and some are bound, but all have nominal meaning and usage.

- [3] 地 *di4* 'earth,' 表 *biao3* 'watch,' 板 *ban3* 'board,' 材 *cai2* 'material,' 车 *che1* 'car' 磁 *ci2* 'magnet,' 带 *dai4* 'strip,' 弹 *dan4* 'bullet,' 敌 *di2* 'enemy,' 电 *dian4* 'electricity,' 号 *hao4* 'number,' 祸 *huo4* 'accident,' 家 *jia1* 'home,' 具 *ju4* 'tool,' 码 *ma3* 'code,' 迷 *mi2* 'fan,' 母 *mu3* 'mother,' 木 *mu4* 'wood,' 脑 *nao3* 'brain,' 皮 *pi2* 'skin,' 球 *qiu2* 'ball,' 权 *quan2* 'power,' 人 *ren2* 'person,' 橡 *xiang4* 'rubber,' 校 *xiao4* 'school'

3.3.2.2. Verbal word components

The following word components are listed in the mental lexicon with an underlying verbal identity. Some are free and some are bound, but all have verbal meaning and usage.

- [4] 盗 *dao4* 'to steal,' 知 *zhi1* 'to know,' 阅 *yue4* 'to read,' 讨 *tao3* 'to discuss,' 灌 *guan4* 'to irrigate,' 夺 *duo2* 'to deprive,' 丢 *diu1* 'to lose,' 交 *jiao1* 'to transfer,' 读 *du2* 'to read,' 论 *lun4* 'to discuss,' 跑 *pao3* 'to run,' 到 *dao4* 'to arrive,' 打 *da3* 'to hit,' 堵 *du3* 'to plug,' 防 *fang2* 'to prevent,' 安 *an1* 'to install,' 看 *kan4* 'to see,' 买 *mai3* 'to buy,' 求 *qiu2* 'to seek,' 劝 *quan4* 'to convince,' 给 *gei3* 'to give,' 坐 *zuo4* 'to sit,' 洗 *xi3* 'to wash'

3.3.2.3. Adjectival word components

The following word components are listed in the mental lexicon with an underlying adjectival identity. Some are free and some are bound, but all have adjectival meaning and usage.

- [5] 好 *hao3* 'good,' 旧 *jiu4* 'old,' 慢 *man4* 'slow,' 累 *lei4* 'tired,' 红 *hong2* 'red,' 谦 *qian1* 'modest,' 温 *wen1* 'mild,' 原 *yuan2* 'original,' 孤 *gu1* 'alone,' 轻 *qing1* 'light,' 净 *jing4* 'clean,' 圆 *yuan2* 'round,' 怪 *guai4* 'odd,' 真 *zhen1* 'true,' 竖 *shu4* 'vertical,' 傲 *ao4* 'arrogant'

3.4. Lexical word formation processes

This section describes the three lexical word formation processes in Mandarin – composition, compounding, and derivation – including examples of each.

3.4.1. Composition – words with a bound root

Composition is the formation of words by combining a bound root with a free word or by combining two bound roots. Words formed in this way are termed *bound root words*. Most words in Chinese are bound root words, that is, words containing a bound root. Below are examples of words formed via composition, as in [6]–[8].

[6] Words that are [bound-free]:

评测 *ping2ce4* evaluate measure ‘evaluate,’ 描写 *miao2xie3* depict write ‘describe,’ 购买 *gou4mai3* buy buy ‘buy,’ 享受 *xiang3shou4* enjoy receive ‘enjoy,’ 演讲 *yan3jiang3* perform talk ‘to lecture,’ 维修 *wei2xiu1* maintain fix ‘maintain,’ 横扫 *heng2sao3* sideways sweep ‘sweep,’ 合作 *he2zuo4* together do ‘cooperate,’ 呼叫 *hu1jiao4* exhale call ‘to call,’ 呼吸 *hu1xi1* exhale inhale ‘to breathe,’ 获得 *huo4de2* obtain get ‘obtain,’ 狡辩 *jiao3bian4* cunning argue ‘to quibble,’ 虹吸 *hong2xi1* rainbow suck ‘to siphon,’ 侧躺 *ce4tang3* side lay ‘lay on one’s side,’ 酬谢 *chou2xie4* reward thank ‘thank with a reward,’ 处理 *chu3li3* handle order ‘take care of,’ 触电 *chu4dian4* touch electric ‘get an electric shock,’ 道谢 *dao4xie4* say thank ‘thank,’ 顶替 *ding3ti4* top replace ‘replace,’ 反对 *fan3dui4* against face ‘oppose,’ 否认 *fou3ren4* not admit ‘deny,’ 抚养 *fu3yang3* help raise ‘adopt,’ 规劝 *gui1quan4* advise persuade ‘advise.’

[7] Words that are [free-bound]:

新闻 *xin1wen2* new-hear ‘news,’ 提供 *ti2gong4* lift-supply ‘supply,’ 保养 *bao3yang3* preserve-raise ‘maintain,’ 报价 *bao4jia4* announce-price ‘quote (price),’ 城市 *cheng2shi4* city-city ‘city,’ 糖果 *tang2guo3* sugar-fruit ‘candy,’ 消除 *xiao1chu2* eliminate-remove ‘get rid of,’ 开始 *kai1shi3* open-start ‘begin,’ 飞机 *fei1ji1* fly-machine ‘airplane,’ 查询 *cha2xun2* investigate-inquire ‘inquiry,’ 飞行 *fei1xing2* fly-travel ‘fly,’ 推荐 *tui1jian4* push-recommend ‘recommend,’ 电影 *dian4ying3* electric-shadow ‘movie,’ 灭亡 *mie4wang2* destroy-perish ‘extinguish,’ 花瓶 *hua1ping2* flower-bottle ‘vase,’ 爱好 *ai4hao4* love-fond ‘avocation,’ 讲解 *jiang3jie3* speak-loosen ‘explain,’ 拆卸 *chai1xie4* open-unload ‘disassemble,’ 抄身 *chao1shen1* copy-body ‘frisk,’ 吵架 *chao3jia4* argue-framework ‘argue,’ 抄袭 *chao1xi2* copy-raid ‘plagiarize,’ 吃惊 *chi1jing1* eat-surprise ‘surprised.’

[8] Words that are [bound-bound]:

导购 *dao3gou4* guide-buy ‘shopping guide,’ 服务 *fu2wu4* submit-affair ‘serve,’ 位置 *wei4zhi4* place-install ‘location,’ 机器 *ji1qi4* machine-utensil ‘machine,’ 控制 *kong4zhi4* control-restrict ‘control,’ 注意 *zhu4yi4* note-meaning ‘to notice,’ 教授 *jiao4shou4* instruction-teach ‘professor,’ 注册 *zhu4ce4* record-volume ‘register,’ 希望 *xi1wang4* rare-gaze ‘hope,’ 空间 *kong1jian1* space-interval ‘space,’ 苹果 *ping2guo3* apple-fruit ‘apple,’ 教育 *jiao4yu4* instruction-educate ‘education,’ 命名 *ming4ming2* call-name ‘to name,’ 欢迎 *huan1ying2* happy-greet ‘welcome,’ 继续 *ji4xu4* continue-continue ‘continue,’ 驾驶 *jia4shi3* pilot-drive ‘to drive,’ 监控 *jian1kong4* supervise-control ‘to monitor,’ 禁止 *jin4zhi3* prohibit-stop ‘forbid,’ 避免 *bi4mian3* evade-avoid ‘avoid,’ 表达 *biao3da2* express-arrive ‘convey,’ 表示 *biao3shi4* express-appear ‘to express,’ 参观 *can1guan1* participate-observe ‘visit,’ 抵抗 *di3kang4* resist-defy ‘oppose.’

3.4.2. Compounding – words made from words

Compounding is the formation of words by combining two free words. Words formed in this way we call *compounds*. Words formed via compounding do not differ substantially in their usage from words formed by composition, as in [9].

[9] Words that are [free free]:

光线 *guang1xian4* light line ‘ray of light,’ 马熊 *ma3xiong2* horse bear ‘brown bear,’ 冰山 *bing1shan1* ice mountain ‘iceberg,’ 火山 *huo3shan1* fire mountain ‘volcano,’ 熊猫 *xiong2mao1* bear cat ‘panda,’ 水土 *shui3tu3* water earth ‘climate,’ 粉笔 *fen3bi3* powder pen ‘chalk.’

3.4.3. Derivation – words containing a derivational affix

The two types of affix in Chinese – inflectional and derivational – both represent function rather than content morphemes. Derivational affixes, however, are involved in lexical word formation while inflectional affixes are not. Inflectional affixes are discussed in the chapter on Verbs (Chapter Four).

Derivation is the formation of a word by the affixation of a derivational affix to a bound root or free word. Below are some examples of derivational affixes followed by examples of words formed by addition of derivational affixes.

Some examples of derivational affixes are given in [10].

- [10] 第 *di4* ‘ordinalizer,’ 度 *-du4* ‘degree/extent,’ 非 *fei1* ‘non-,’ 化 *-hua4* ‘-ize/-ify,’ 复 *fu4* ‘again/re-,’ 儿 *-er0* ‘nominalizer,’ 可 *ke3* ‘-able,’ 然 *-ran2* ‘-like,’ 无 *wu2* ‘without,’ 头 *-tou0* ‘nominalizer,’ 未 *wei4* ‘not yet/un-,’ 性 *-xing4* ‘nature, -ness,’ 再 *zai4* ‘again/re-,’ 者 *-zhe3* ‘that which,’ 子 *-zi0* ‘nominalizer,’ 重 *chong2* ‘again/re-.’

Some examples of the derivational process are in [11].

- [11] 扣 *kou4* ‘to button’ → 扣子 *kou4zi0* ‘(a) button,’ 笑 *xiao4* ‘to laugh’ → 可笑 *ke3xiao4* ‘funny, laughable,’ 开 *kai1* ‘to open’ → 重开 *chong2kai1* ‘to reopen,’ 电 *dian4* ‘electric, electricity’ → 电化 *dian4hua4* ‘electrify’

More examples of words formed by derivational affixation are in [12].

- [12] 鼻子 *bi2zi0* ‘nose,’ 调子 *diao4zi0* ‘tune,’ 非法 *fei1fa3* ‘illegal,’ 复查 *fu4cha2* ‘reinvestigate,’ 复出 *fu4chu1* ‘reappear,’ 复发 *fu4fa1* ‘to relapse,’ 复活 *fu4huo2* ‘to come back to life,’ 复审 *fu4shen3* ‘reinvestigate,’ 复习 *fu4xi2* ‘review,’ 复现 *fu4xian4* ‘to reappear,’ 复醒 *fu4xing3* ‘revive,’ 惯性 *guan4xing4* ‘inertia,’ 红化 *hong2hua4* ‘to redden,’ 可怜 *ke3lian2* ‘pitiful,’ 可体 *ke3ti3* ‘fit,’ 木然 *mu4ran2* ‘woodenly,’ 骗子 *pian4zi0* ‘swindler,’ 皮子 *pi2zi0* ‘skin,’ 人性 *ren2xing4* ‘humanity,’ 探子 *tan4zi0* ‘a probe,’ 天然 *tian1ran2* ‘natural,’ 挑子 *tiao1zi0* ‘load,’ 无规 *wu2gui1* ‘without rule,’ 无轨 *wu2gui3* ‘trackless,’ 无机 *wu2ji1* ‘inorganic,’ 无名 *wu2ming2* ‘nameless,’ 无人 *wu2ren2* ‘unmanned,’ 无声 *wu2sheng1* ‘noiseless,’ 无视 *wu2shi4* ‘disregard,’ 无数 *wu2shu4* ‘countless,’ 无性 *wu2xing4* ‘asexual,’ 未发 *wei4fa1* ‘unissued,’ 未婚 *wei4hun1* ‘unmarried,’ 未决 *wei4jue2* ‘undecided,’ 想头 *xiang3tou0* ‘idea,’ 油然 *you2ran2* ‘spontaneously,’ 再教育 *zai4jiao4yu4* ‘reeducate,’ 皂化 *zao4hua4* ‘saponify,’ 指头 *zhi3tou0* ‘finger,’ 重写 *chong2xie3* ‘to rewrite,’ 多工化 *duo1gong1hua4* ‘to multiplex,’ 格式化 *ge2shi4hua4* ‘to format.’

It should be noted that complex words are also formed in Chinese by adding an inflectional affix (e.g., -le0, 了 -guo4 过, -zhe0 着, -de0/-bu0- 得/不, -men0 们) to a word that already exists. Words formed this way are not considered lexically formed words, because inflection minimally affects the form of the word, and in particular words formed in this way do not result in the creation of new lexemes.

3.5. Issues in Chinese word formation

There are several challenging but tractable problems involving the notion *word* in Chinese. First, there is the problem of clearly defining “free” vs. “bound.” Next is the case of 离合词 *li2he2ci2* “separable words” – i.e., the indeterminacy between word and phrase, and the phenomenon of ‘ionization.’ There is also the issue of the relative productivity of bound roots, and the question of distinguishing bound roots from derivational affixes. Finally we have the creation of words through abbreviation and phonetic borrowing. Each of these is addressed directly below.

3.5.1. The “free” vs. “bound” status of Chinese morphemes

While morphemes are described here as either free or bound, it must be acknowledged that the terms “free” and “bound” are not absolute, and that there are morphemes in Chinese that seem to be both free and bound depending on the register or style of language that is being employed. The application of the free-bound dichotomy to Chinese is also challenged by the existence of 离合词 *li2he2ci2* or “separable words” – words whose component morphemes seem bound, but also behave in certain respects like free words in syntax.

3.5.1.1. Style and register

The application of the free-bound dichotomy to Chinese may seem problematic because some morphemes appear to be free in some constructions or styles but not in others. Forced use of bound roots as words usually requires either a specific and highly conventionalized context, or use of a more formal style.

For example, the morpheme *-guo2* 国 ‘country’ is normally considered to be bound – one can say *shi4jie4shang4 zui4 xiao3 de0 guo2jia1*, 世界上最小的国家 world on most small DE country ‘smallest country in the world,’ in which *-guo2* cannot be substituted for *guo2jia1*. On the other hand, *-guo2* appears to be free in an example such as *ying1 fa3 deng3 guo2* 英法等国 England France etc. country ‘countries like England and France.’ In the latter example, *-guo2* may seem free, but it is actually best understood as a case of conventionalized contextual ellipsis.

To give another example, the verbal morpheme *dao4-* 道 meaning ‘say’ (among the many meanings represented by this character) is normally a bound morpheme, useable as a verb only within a word such as *dao4qian4* 道歉 say-deficient ‘apologize.’ But *dao4-* can appear to be a free verb in a more formal, conventionalized usage such as in [13].

[13] 他对老李道：“算了吧，我们还是这样办”

ta1	dui4	lao3	li3	dao4	suan4le0	ba0	wo3men0
he	to	old	Li	say	figure	BA	we
hai2shi4		zhe4yang4	ban4				
still		this_way	do				

‘He said to Mr. Li: ok, let’s just do it this way.’

Once again, this type of usage only occurs in a more formal, written style, and usually does not occur in spoken language. This sort of bound-free variation in the use of morphemes is related to how language changes over the passage of time. Individual or stylistic variation in the use of morphemes as free or bound are simply examples of how users construe the lexical identities of morphemes, and provides us with living examples of how language changes over time.

3.5.1.2. Distinguishing words and phrases – ‘ionization’ and 离合词 *li2he2ci2*

Y.R. Chao’s concept of “ionization” refers to the ability of a morpheme that is normally considered bound to occur freely in a syntactic slot when another “licensing” morpheme occurs in the nearby context. The term “ionized” is used to describe the relationship between the two separated morphemes, on analogy with the two ions of a singular chemical element that are separated but remain in close proximity within the same chemical solution (i.e., within the same ‘context’).

The example given by Chao is the noun morpheme 市 *shi4* ‘city, market’ that is normally bound, but appears to occur as a free noun in a verb-object (V-O) construction 上市 *shang4shi4* ascend-city ‘come to market.’ The morpheme *shi4* 市 looks like a free noun in this context because it may be separated from its ‘licensing’ verb *shang4* when that verb is inflected with a suffix – such as the aspect suffix *le0* 了, as in 上了市 *shang4 le0 shi4* ‘came to market.’ Another example of ionization is the verb *dan1xin1* 担心 carry-heart ‘to worry.’ In this example, the verb 担 *dan1* ‘carry’ is normally bound but is licensed to occur as an inflected free verb (e.g., 担了三年的心 *dan1 le0 san1nian2 de0 xin1* carry-ASP three-year DE heart ‘worried for three years’) by the presence of *xin1* 心 ‘heart’ in the nearby context.

THE DUAL STATUS OF 离合词 *LI2HE2CI2* ‘IONIZED FORMS’ AS WORDS AND PHRASES The words that Chao considered “ionized” are called *li2he2ci2* 离合词 in contemporary Chinese linguistics – a term meaning “separable words.” These *li2he2ci2* represent a textbook case of apparent indeterminacy between morphology and syntax: they are considered to be words because their constituents are normally bound and they have an idiomatic (lexicalized) meaning, and yet they behave like syntactic, phrasal entities in certain contexts.

Li2he2ci2 are best viewed as a kind of word (词 *ci2* – i.e., a morphologically complex verb) with one or two bound constituents, but a word whose constituents are subject to syntactic reanalysis as free elements in certain limited contexts. Thus, the default identity of *li2he2ci2* is as words, but they are capable of having dual identities both as words and phrases, and whether a *li2he2ci2* is used with a word or a phrase identity in any given context depends upon how it is construed by the speaker.

We treat *li2he2ci2* as words first and foremost because one of the constituent members is bound, and is not available to the grammar as a free word except in this very limited, reanalyzed syntactic context. A verb-object *li2he2ci2* becomes an actual bona fide syntactic V-O phrase when both its constituents are useable as truly free words.

When a V-O *li2he2ci2* is used as a word, it is considered a lexical item listed in the lexicon. It acquires its identity as a phrase in syntax if the verb and object

remain free morphemes. This is true, for example, in the case of a *li2he2ci2* such as 看书 *kan4shu1* ‘read books’ → ‘to study’ that is composed of syntactically free elements.

3.5.2. Productivity of bound roots

The use of bound roots to form words – which here is termed *composition* – is a common and highly productive process in Mandarin. Bound roots are less common and less productive in English, in which their appearance is by and large limited to Greek and Latin formatives such as *pseudo-*, *circum-*, *bio-*, *-logy*, *-itis*, *-ese*, *-log* and *therm-*. Unlike bound roots in English and the Romance languages, Mandarin bound roots generally are less positionally restricted, i.e., they may in general occur as either the first or second constituent of a word. For example, the Mandarin bound root *guo2* 国 ‘country’ can appear as either the left-hand (国家 *guo2jia1* country-home ‘country’) or right-hand (美国 *mei3guo2* beautiful-country ‘the USA’) member of a word. In English and the Romance languages on the other hand, a bound root is usually restricted to appearing as either the left- or right-hand word constituent, but in general may not be both.

In Mandarin, it is clear that some bound roots are more productive than others. Certain bound roots, such as the 蚁 *yi3* of 蚂蚁 *ma3yi3* ant-ant ‘ant,’ are quite productive. Even though 蚁 *yi3* is bound, it is often used to represent the meaning ‘ant’ in the formation of new words, while 蚂 *ma3* is rarely if ever used in that way. Y.R. Chao called those bound roots that may occur in a large number of words “versatile,” and used the term “restricted” to refer to less productive bound roots. This versatile–restricted dichotomy does not refer to whether a morpheme is free or bound per se, but rather refers to its productivity – i.e., whether it can be used to form many different words.

3.5.3. Bound roots vs. derivational affixes

The dividing line between bound roots (bound and content) and derivational affixes (bound and function) is something of a gray area, because it depends on the continuous (versus dichotomous) nature of the relationship that exists between content and function forms. That is to say, the content/function property exists in the form of a *cline* – a scale of continuous gradation – with “functional” characterizing forms at one end of the cline and ‘contentful’ characterizing forms at the other end. Since both bound roots and derivational affixes are categorized as “bound,” the distinction between them in essence boils down to whether a morpheme is positioned closer to the “content” or the “function” (i.e., grammatical) portion of the cline.

To aid in distinguishing the property’s “content” and “function,” it is helpful to consider the criteria that are used to distinguish function forms from content

forms. The first criterion is the generality and abstractness of the morphemes involved, with function forms tending to be more general and abstract than content forms. Second, function forms are generally more productive than content forms. Third, function forms involve meaning that is simple, generally involving bivalent properties such as negation, alternation or iteration. Fourth, function forms are more semantically “bleached” than content forms, which permit their use as grammatical morphemes. Finally, function forms usually have a more stable, predictable semantic content than do content forms.

3.5.4. Bound root words vs. words formed by abbreviation

Words in Chinese are commonly formed by *abbreviation* (缩写 *suo3xie3*). In Chinese *suo3xie3* ‘abbreviation,’ a word is formed by taking some of the morphemes of a longer word or phrase. In addition, abbreviations are different from words formed through combination or compounding, because in the latter two the individual morphemes tend to retain their base meanings, while in abbreviations the morphemes derive their meaning from the original, unabbreviated forms.

For example, in the case of 北大 *bei3da4* north-big ‘Peking University’ (short for *Beijing Daxue* 北京大学), 北大 *bei3da4* is an abbreviation rather than a bound root word, because although the morpheme 大 *da4* occurs in many abbreviations with the meaning of ‘University,’ it does not productively participate in any other ‘non-abbreviation’ word formation processes with the ‘University’ meaning. Contrast this with the morpheme 面 *mian4* in the word 面包车 *mian4bao1che1* dough-package-car ‘van’ and the derived 面的 *mian4di1* ‘van taxi’ (derived from 面包车的士 *mian4bao1che1-di1shi4* van-taxi – *mian4bao1che1* = van; *di1shi4* = taxi). 面的 *mian4di1* is a word formed by composition and not abbreviation because the morpheme 面 *mian4* is used productively with the meaning of ‘van’ or ‘taxi’ in words such as 微面 *wei1mian4* little-van ‘minivan.’ The 大 *da4* of 北大 *bei3da4*, on the other hand, is not used to create any (non-abbreviated) complex words that have the meaning of ‘University.’

3.5.5. Loanwords

Many multisyllabic words in Chinese are loans that have entered the language over the past several decades. These words are usually either phonetic loans or semantic loans, or words composed using a combination of phonetic and semantic elements. An interesting point to note about phonetic loans is that since they are imported based on their sound alone, their components often have opaque internal structure and semantics. So for example the Chinese word for the English word *sofa/couch* is the phonetic loan 沙发 *sha1fa1* sand-emit, but since the word 沙发 *sha1fa1* has nothing to do with ‘sand’ or ‘emitting,’ the individual components make no semantic or structural contribution to the complete word.

There appears to be a systematic exception to this generalization, which is that two-syllable verbs borrowed into Chinese as phonetic loans are often treated as having the internal properties of complex verbs. That is, they often allow the inflection of the first syllable with inflectional aspect suffixes such as *-le0* 了 or *-guo4* 过, even though the first syllable cannot semantically be considered to have a verb identity. This is seen in examples such as 拷贝 *kao3bei4* 'hit-shell 'copy' → 拷了贝 *kao3 le0 bei4* 'copied' → 拷过贝 *kao3 guo4 bei4* 'to have copied'; 克隆 *ke4long2* 'overcome-prosperous 'clone' → 克了隆 *ke4 le0 long2* 'cloned' → 克过隆 *ke4 guo4 long2* 'have cloned'; and 博客 *bo2ke4* 'abundant-guest 'blog' → 博了客 *bo2 le0 ke4* 'blogged' → 博过客 *bo2guo4ke4* 'to have blogged.'

The following are examples of phonetic loanwords, semantic loanwords (calques) and combination phonetic-semantic loanwords.

[14]–[16] are examples of phonetic loanwords:

[14] One-syllable phonetic loanwords

打 *da3* 'dozen,' 酷 *ku4* 'cool,' 卡 *ka3* 'card,' 派 *pai4* 'pie.'

[15] Two syllable phonetic loanwords

坦克 *tan3ke4* 'tank,' 吉他 *ji2ta1* 'guitar,' 克隆 *ke4long2* 'clone,' 博客 *bo2ke4* 'blog,' 拷贝 *kao3bei4* 'copy,' 沙发 *sha1fa1* 'sofa,' 的士 *di1shi4* 'taxi,' 雪茄 *xue3jia1* 'cigar,' 朋克 *peng2ke4* 'punk,' 爵士 *jue2shi4* 'jazz,' 幽默 *you1mo4* 'humor, make fun of,' 逻辑 *luo2ji2* 'logic,' 浪漫 *lang4man4* 'romantic,' 尼龙 *ni2long2* 'nylon,' 汉堡 *han4bao3* 'hamburger,' 土司 *tu3si1* 'toast,' 咖啡 *ka1fei1* 'coffee,' 基因 *ji1yin1* 'gene,' 雷达 *lei2da2* 'radar,' 休克 *xiu1ke4* 'shock,' 引擎 *yin3qing2* 'engine,' 布丁 *bu4ding1* 'pudding,' 卡通 *ka3tong1* 'cartoon,' 马达 *ma3da2* 'motor,' 柠檬 *ning2meng2* 'lemon,' 苏打 *su1da3* 'soda,' 保龄 *bao3ling2* 'bowling'

[16] Three-syllable phonetic loanwords

巧克力 *qiao3ke4li4* 'chocolate,' 高尔夫 *gao1er3fu1* 'golf,' 麦克风 *mai4ke4feng1* 'microphone,' 维他命 *wei2ta1ming4* 'vitamin,' 白兰地 *bai2lan2di4* 'brandy,' 荷尔蒙 *he2er3meng2* 'hormone,' 三明治 *san1ming2zhi4* 'sandwich.'

[17]–[18] are examples of semantic loanwords (calques):

[17] Two-syllable semantic loanwords (calques):

电脑 *dian4nao3* 'electric-brain 'computer,' 电话 *dian4hua4* 'electric-talk 'telephone,' 电视 *dian4shi4* 'electric-view 'television,' 篮球 *lan2qiu2* 'basket-ball 'basketball,' 黑板 *hei1ban3* 'black-board 'blackboard,' 硬碟 *ying4die2* 'hard-saucer 'hard drive,' 键盘 *jian4pan2* 'key-plate 'keyboard,'

热狗 *re4gou3* hot-dog ‘hotdog,’ 软体 *ruan3ti3* soft-body ‘software,’ 硬体 *ying4ti3* hard-body ‘(computer) hardware,’ 蜜月 *mi4yue4* honey-moon ‘honeymoon.’

- [18] Three-syllable semantic loanwords (calques):
 摩天楼 *mo2tian1lou2* brush-sky-building ‘skyscraper,’ 牛仔裤 *niu2zai3ku4* cow-boy-pants ‘jeans.’

Examples of combination phonetic–semantic loanwords are given in [19]:

- [19] 黑客 *hei1ke4* black-guest ‘hacker,’ 啤酒 *pi2jiu3* beer-liquor ‘beer,’ 酒吧 *jiu3ba1* liquor-bar ‘bar,’ 绷带 *beng1dai4* bind-strip ‘bandage,’ 芭蕾舞 *ba1lei3wu3* ballet-dance ‘ballet,’ 摩托车 *mo2tuo1che1* rub-pull-car ‘motorcycle,’ 拖拉机 *tuo1la1ji1* tow-pull-machine ‘tractor,’ 脱口秀 *tuo1kou3xiu4* escape-mouth-display ‘talk show,’ 冰淇淋 *bing1qi2lin2* ice cream ‘ice cream,’ 俱乐部 *ju4le4bu4* utensil-happy-place ‘club,’ 信用卡 *xin4yong4ka3* trust-use-card ‘credit card.’

3.5.6. Alphabetic words

Chinese is increasingly using English letters as words or components in the formation of words. Here are two examples of letters used as ‘alphabetic verbs’ in Chinese: 从他那 A 了一包糖 *cong2 ta1 na4 A-le0 yi1bao1 tang2* from him there A-as one-pack candy ‘cheated him out of a bag of candy,’ or K 书 *K shu1* K book ‘study hard.’

Additional examples of letters used as word components in Chinese are given in [20]:

- [20] A4 纸 *A4zhi3* A4-paper ‘A4 paper,’ K 金 *K-jin1* K-gold ‘karat (gold),’ T 恤 *T-xu4* T-shirt ‘T-shirt,’ X 光 *X-guang1* X-light ‘X-ray,’ A 型血 *A-xing2 xie3* A-type-blood ‘blood type A,’ BP 机 *BP-ji1* BP-machine ‘beeper,’ IP 地址 *IP-di4zhi3* IP-address ‘IP address,’ CD 机 *CD-ji1* CD-machine ‘CD player.’

Verbs and verb phrases

Y.-H. Audrey Li

4.1. Introduction

Clauses consist of subjects and predicates. Common instances of predicates are verb phrases, which are headed by verbs. Chinese verbs do not inflect for tense, person, gender, or number, but they can be immediately followed by aspect markers. The general lack of morphological clues has obscured the boundaries between verbs and other related lexical categories, such as adjectives, prepositions, and modal auxiliaries, in Chinese.

A verb phrase may be a simple structure with just a head verb or a complicated structure with the head verb and various dependent elements, such as arguments and modifiers. There are constraints on the number of elements appearing in the post-verbal position. Such constraints can be met by putting relevant elements into some other positions via topicalization, passivization, and verb reduplication, as well as by using the *ba* construction. In contrast, constraints on the types of elements that may appear in the post-verbal object position are less restrictive. Instrumental, temporal, and locative phrases, which typically appear as part of adverbial preposition phrases, may appear in the post-verbal object position under certain circumstances.

4.1.1. Summary of defining properties of verbs

- 1 Verbs can be suffixed with aspect markers — the perfective aspect marker 了 *le0*, the imperfective progressive/durative marker 着 *zhe0*, and the experiential marker 过 *guo4* (see Chapter 5).
- 2 Some monosyllabic verbs can be reduplicated. The reduplicated forms can be *Aa*, *A-yi-a* with infix *yi1* ‘one’ — or *A-le-a*.
- 3 A disyllabic verb *AB* can also be reduplicated. The reduplicated form is *ABab*.
- 4 Verbs can appear in the form of *V-not-V* to form alternative questions.
- 5 Verbs can serve as simple answers to both yes–no questions and some variable questions.
- 6 Verbs can be followed by objects.
- 7 Verbs can combine with other words to form compounds according to various types of morphological and syntactic principles.

- 8 Verbs can be classified into different subtypes according to the number and type of arguments they have.
- 9 Some emotion verbs and epistemic verbs are gradable in that they have relative degrees. They can enter comparison and can be modified by degree adverbials.

4.1.2. Summary of the properties of verb phrases

- 1 The canonical position of objects is immediately after the verb. Other complements such as duration or frequency phrases, resultative, directional, or descriptive complements, and purposive phrases can also occur post-verbally.
- 2 Some verbs can combine with their objects to form a new complex verb and thus take additional arguments.
- 3 Verb phrases are mainly used as the predicate of clauses. They also appear to be used as the subject or object of a clause or modifier.

The copula 是 *shi4* 'be' and modal auxiliaries are included in the category of verb phrases. The copula 是 *shi4* 'be' is treated as a subcategory of verbs in the sense that it has properties similar to certain verbs. Modal auxiliaries are also considered a subcategory of verbs, even though they characteristically take a verb phrase as a complement and do not take aspect markers.

4.2. Properties of verbs

There are no uniform morphological clues to determine whether a lexical item is a verb or not. However, there is a number of morphological and syntactic features that are characteristically associated with verbs or certain subtypes of verbs.

4.2.1. Morphological marking on verbs

The main function of a verb is to head a verb phrase, referred to as a predicate, and the aspect of the predicate is typically indicated by a marker attached to the verb. The possibility of taking an aspect marker is thus an important defining property of Chinese verbs.

There is a close correlation between the predicate type and aspect. Copular verbs like 是 *shi4* 'be' and stative verbs such as 像 *xiang4* 'resemble' and 姓 *xing4* 'have the last name of' typically head a predicate depicting a stable long-lasting state and do not take the perfective aspect, which prototypically goes with a predicate depicting an activity, achievement, or accomplishment. However, in rare cases where a state has a starting point and a sentence describes the stable state after that point, it is acceptable for the head of the predicate to take a perfective aspect marker, like the 了 *le* attached to the stative verb 姓 *xing4* 'have the last name of' in [1a] and the 过 *guo* suffixed to the copular 是 *shi4* 'be' in [1b].

xin1xian1 kong1qi4

fresh air

'Have a walk in the park and breathe some fresh air.'

- c. 老头拿起佛像看了一眼,摇了摇头说,“假的”。

lao3tou2 na2qi3 fo2xiang4 kan4 le0 yi1
old_man pick_up Buddha_statue look LE one

kan4 yao2 le0 yao2 tou2 shuo1 jia3de0

look shake LE shake head say fake

'Picking up the Buddha statue, the old man had a look, shook his head, and said, "It's a fake."'

Some apparent examples of verbs reduplicated as AaBb are a concatenation of two simple reduplications. Reduplicated verbs such as 寻寻觅觅 *xun2xun2mi4mi4* 'search and search,' 拉拉扯扯 *la1la1che3che3* 'pull and pull,' and 蹦蹦跳跳 *beng4beng4tiao4tiao4* 'bounce and jump' are actually the coordination of two reduplicated verbs, verb A reduplicated as Aa + verb B reduplicated as Bb. They behave just like 走走停停 *zou3zou3ting2ting2* 'walk and stop' and 出出进进 *chu1chu1jin4jin4* 'go out and come in,' which do not have the corresponding AB.

4.2.2. Syntactic behavior of verbs

As a lexical category, the most prominent property of verbs is the ability to take objects. The 吃 *chi1* 'eat' in [3a] is a two-argument verb that takes a subject (the one that eats) and an object (the thing(s) to be eaten). In [3b], 吃 *chi1* 'eat' has a derived meaning of 'relying on somebody for a living' and takes 父母 *fu4mu3* 'parents' as the object. Non-canonical collocations like that in [3b] are available only to a limited number of verb types and nominal phrase types.

- [3] a. 我这几个月天天吃素。

wo3 zhe4 ji3 ge4 yue4 tian1tian1 chi1
I this several CL month everyday eat

su4

vegetarian_food

'I eat vegetarian food every day for a few months.'

- b. 你都二十好几的人了,怎么还在吃父母?

ni3 dou1 er4shi2 hao3ji3 de0 ren2 le0 zen3me0
you all twenty several DE person LE how

hai2 zai4 chi1 fu4mu3

still ZAI eat parents

'You are over twenty now. How can you still live off your parents?'

When a verb functions as the head of a main predicate, it can appear in a V-not-V form to produce an A-not-A alternative question (see Chapter 14, section 4.1). The “not” part of V-not-V is 不 *bu4* ‘not’ if the predicate is in the imperfective aspect; and as in [4a] it is 没 *mei2* ‘not’ if the predicate is in the perfective aspect, as in [4b]. When the verb has two or more syllables, the process of V-not-V formation can involve the whole verb as in [5a] or simply the first syllable of the verb, as in [5b], even if the first syllable is a bound morpheme.

- [4] a. 小学生还写不写毛笔字?
 xiao3xue2sheng1 hai2 xie3 bu4 xie3 mao2bi3zi4
 pupil yet write NEG write calligraphy
 ‘Do primary school pupils practice calligraphy or not?’
- b. 你去没去过颐和园?
 ni3 qu4 mei2 qu4 guo4 yi2he2yuan2
 you go not go GUO Summer_Palace
 ‘Have you been to the Summer Palace or not?’
- [5] a. 你知道不知道谁来了?
 ni3 zhi1dao4 bu4 zhi1dao4 shui2 lai2 le0
 you know NEG know who come LE
 ‘Do you know who came?’
- b. 你们需不需要大功率的水泵?
 ni3men0 xu1 bu4 xu1yao4 da4 gong1lü4 de0
 you need NEG need big capacity DE
 shui3beng4
 pump
 ‘Do you need large capacity pumps or not?’

There are some variations in the A-not-A alternative question formation. In casual speech, it is possible to take a preposition or adverb as the main component of a predicate and make it an A-not-A form, such as those in [6a] and [6b].

- [6] a. 郑渝高铁到底从不从襄樊走?
 zheng4 yu2 gao1tie3 dao4di3
 Zhengzhou Chongqing high_speed_railway on_earth
 cong2 bu4 cong2 xiang1fan2 zou3
 PERF NEG PREP Xiangfan go_through
 ‘Does Zheng-Yu high-speed rail go via Xiangfan after all?’

- b. 温州经不经常下雨?

wen1zhou1 jing1 bu4 jing1chang2 xia4yu3
 Wenzhou often NEG often rain
 'Does it rain in Wenzhou frequently?'

The head of a verbal predicate can serve as the simple answer to a yes-no question, as can be seen in the relation between [7a] and [7b], as well as between [8a] and [8b]. The head of an adjectival predicate often occurs with a degree adverbial like the bleached intensifier 很 *hen3* 'very' as in [8] (see Chapter 10).

- [7] a. 你吃过很多苦吗?

ni3 chi1 guo4 hen3duo1 ku3 ma0
 you eat GUO many hardship MA
 'Have you gone through a lot of hardship?'

- b. 吃过。

chi1 guo4
 eat GUO
 '(I have) gone through (a lot).'

- [8] a. 他的女婿很帅吧?

ta1 de0 nü3xu4 hen3 shuai4 ba0
 he DE son_in_law very handsome BA
 'Is his son-in-law handsome?'

- b. 很帅。

hen3 shuai4
 very handsome
 '(Yes, he is) very handsome.'

Many epistemic and emotional verbs have relative degrees and can be modified by 很 or other degree adverbials. However, these verbs can head a predicate without the intensifier 很. For example, [9a] and [9b] are both acceptable (see Chapter 10).

- [9] a. 你本来很讨厌这部电影。

ni3 ben3lai2 hen3 tao3yan4 zhe4 bu4 dian4ying3
 you originally very hate this CL movie
 'You hated the movie very much at first.'

- b. 我讨厌这部电影。

wo3 tao3yan4 zhe4 bu4 dian4ying3
 I hate this CL movie
 'I hate this movie.'

Verb phrases also appear in the subject, object, and modifier position. The verb phrase 春节放鞭炮 *chun1jie2 fang4 bian1pao4* ‘lighting firecrackers during the Chinese New Year’ in [10a] is in the subject position. The verb phrase 兴建一座五星级酒店 *xing1jian4 yi1 zuo4 wu3xing1ji2 jiu3dian4* ‘building a five-star hotel’ in [10b] is in the object position of 考虑 *kao3lü4* ‘consider.’ The verb phrase 刚出炉 *gang1 chu1lu2* ‘just come out of the oven’ is part of a 的 *de* phrase, which is the modifier of the nominal phrase 烧饼 *shao1bing3* ‘sesame cake.’

- [10] a. 春节放鞭炮已经是几千年的习俗了。
chun1jie2 fang4 bian1pao4 yi3jing1 shi4 ji3
 Spring_Festival set_off firecrackers already be several
qian1 nian2 de0 xi2su2 le0
 thousand year DE tradition LE
 ‘Lighting firecrackers during the Chinese New Year has been a tradition for thousands of years.’
- b. 我们公司考虑兴建一座五星级酒店。
wo3men2 gong1si1 kao3lü4 xing1jian4 yi1 zuo4
 we company consider build one CL
wu3xing1ji2 jiu3dian4.
 five-star hotel
 ‘Our company is considering building a five-star hotel.’
- c. 刚出炉的烧饼香极了。
gang1 chu1lu2 de0 shao1bing3 xiang1
 just come_out_of_oven DE baked_cake fragrant
ji2 le0
 extreme LE
 ‘Baked cakes hot and fresh from the oven smell wonderful.’

Verbs can also be part of compound or complex verbs (see Chapter 3). Within the compound verbs in [11], the two verbs in [11a] are conjoined together, and the noun and verb in [11b] are in a subject–predicate relation. The first element in the complex words in [11c] is a verb and the second one is its object, even though the object can be a verb itself, like the 贿 *hui4* ‘bribe’ in 行贿 *xing2hui4* ‘conduct-bribe.’ The first element in the compounds in [11d] is an adverbial modifying the verb after it, even though the 哭 *ku1* ‘cry’ in 哭诉 *ku1su4* ‘complain while crying’ is also a verb. The first verb in the complex words in [11d–e] represents an action and the second verb stands for the result.

- [11] a. 追逐 *zhui1zhu2* ‘chase-follow, chase,’ 纠缠 *jiu1chan2* ‘knit-tangle, tangle’
 b. 山崩 *shan1beng1* ‘mountain-collapse, mudslide,’ 兵变 *bing1bian4* ‘soldier-rebel, mutiny’
 c. 打的 *da3di1* ‘take-taxi, take a taxi,’ 行贿 *xing2hui4* ‘conduct-bribe, bribe’
 d. 秒杀 *miao3sha1* ‘second-kill, defeat quickly,’ 哭诉 *ku1su4* ‘cry-plea, complain while crying’
 e. 推翻 *tui1fan1* ‘push-turned, overthrow,’ 澄清 *cheng2qing1* ‘settle-clear, clarify’

4.2.3. Properties of non-verbal predicates

Some predicates have a non-verbal head, such as an adjective or a preposition. A typical adjectival predicate describes a state that remains stable for a long time and is not compatible with the perfective aspect. However, some adjectival predicates can describe states that have starting points or ending points and take the perfective aspect. The 红 *hong2* ‘red’ in the first clause of [12a] describes a state that ends before the reference. The aspect 过 *guo* attached to 红 *hong2* ‘red’ reflects such a situation. The 热 *re4* ‘hot’ in [12b] represents a state that started before the time of reference and continued after that time, and a 了 *le* is attached to 热 to mark such a situation.

- [12] a. 樱桃刚刚红过了, 石榴又登上了舞台。
ying1tao2 gang1gang1 hong2 guo4 le0 shi2liu0
 cherry just red GUO LE pomegranate
you4 deng1shang4 le0 wu3tai2.
 again be_on LE stage
 ‘Cherries have just finished their show of redness, and pomegranates are now at that stage.’
 b. 今年已经热了两个多月了。
jin1nian2 yi3jing1 re4 le0 liang3 ge4 duo1 yue4
 this_year already hot LE two CL more month
le0
LE
 ‘It has already been hot for more than two months this year.’

The head of adjectival predicates can appear in reduplicated forms, such as the ABab 快乐快乐 *kuai4le4 kuai4le4* ‘happy’ and 高兴高兴 *gao1xing4 gao1xing4* ‘joyful’ in [13a], and the AaBb 清清白白 *qing1qing1bai2bai2* ‘clean’ in [13b]. Unlike non-reduplicated adjectives, reduplicated ones do not have comparative meanings and can head a predicate without a modifier. These forms cannot be directly

negated, nor can they have V-not-V forms (see Chapter 10). AaBb adjectives can also function as part of an adverbial phrase, as in [13c]. But ABab forms typically do not have this function.

- [13] a. 你就快乐快乐吧! 高兴高兴吧!
 ni3 jiu4 kuai4le4 kuai4le4 ba0 gao1xing4 gao1xing4
 you thus happy happy BA happy happy
 ba0
 BA
 ‘Be happy! Cheer up!’
- b. 她的身世清清白白, 你们还要查什么?
 ta1 de0 shen1shi4 qing1qing1bai2bai2 ni3men0 hai2yao4
 she DE life clean you still
 cha 2 shen2me0
 look_into what
 ‘Her family has a clean record. What else are you looking for?’
- c. 孩子高高兴兴地跑了出去。
 hai2zi3 gao1gao1xing4xing4de0 pao3 le0 chu1qu4
 child happily run LE out
 ‘The child ran out happily.’
- [14] 前面那天桥白不白?
 qian2mian4 na4 tian1qiao2 bai2 bu4 bai2
 front that overpass white not white
 ‘Is the overpass in the front white or not?’

Adjectival predicates usually describe states, but occasionally they can present events or activities and have objects. The predicate in [15a] describes a woman crying and the reading that “tears blurred her eyes” is made possible by the causative use of an adjective. 模糊 *mo2hu0* ‘blurry’ actually functions as a two-argument verb, taking a causer subject and an affected object, as well as the perfective marker 了 *le0*. [15b] is about how a place was brightened by a red light. The adjective 亮 *liang4* ‘bright’ is used as a one-argument existential verb, taking 一片红光 *yi1 pian4 hong2 guang1* ‘a red light’ as its object.

- [15] a. 泪水再次模糊了她的眼睛。
 lei4shui3 zai4ci4 mo2hu0 le0 ta1 de0 yan3jing1
 tear again blur LE she DE eye
 ‘Tears blurred her eyes again.’

- b. 村头上亮着一片红光。

cun1tou2 shang4 liang4 zhe0 yi1 pian4 hong2
village on bright ZHE one CL red

guang1

light

'There shone a red light at the entrance of the village.'

In some cases, a predicate may look like it is being headed by a noun, such as 美国人 *mei3guo2ren2* 'American person, American' in [16a], or by an A adjective derived from a noun like the 中国 *zhong1guo2* 'Chinese' in [16b]. The phrase headed by a real noun cannot be negated directly so a verb is needed for this, such as the copula 是 *shi4* 'be' in [17a]. A phrase that is headed by a derived adjective can be modified by degree adverbials, as in [16b], or can be negated directly, as in [17b].

- [16] a. 他姐夫美国人。

ta1 jie3fu1 mei3guo2ren2

he brother-in-law American

'His brother-in-law is an American.'

- b. 桥头市场非常国际,但又十分中国。

qiao2tou2 shi4chang3 fei1chang2 guo2ji4 dan4

Qiaotou market very international but

you4 shi2fen1 zhong1guo2

also very China

'The Qiaotou Market is very international but is also very Chinese.'

- [17] a. 他姐夫不是美国人。

ta1 jie3fu1 bu2 shi4 mei3guo2ren2

he brother-in-law NEG be American

'His brother-in-law is not an American.'

- b. 桥头市场一点都不国际,也非常不中国。

qiao2tou2 shi4chang3 yi1dian3 dou1 bu4 guo2ji4

Qiaotou market a_bit all NEG international

ye3 fei1chang2 bu4 zhong1guo2

also very NEG China

'The Qiaotou Market is not international nor is it Chinese at all.'

Predicates are sometimes headed by 在 *zai4* 'at/in/on,' which is often used as a preposition. 在 is the only preposition-like word that can head a predicate. As the

head of a predicate, 在 can take an object, as in [18a], or have the V-not-V form in a yes-no question, as in [18b].

- [18] a. 林校长在八楼。
 lin2 xiao4zhang3 zai4 ba1 lou2
 Lin president be_at eight floor
 'President Lin is on the eighth floor.'
- b. 县长在不在家?
 xian4zhang3 zai4 bu4 zai4 jia1
 mayor be_at NEG be_at home
 'Is the Mayor at home or not?'

4.3. Verb types

One way to classify verbs is to consider the events or situations described by various types of verbs. Four event types are generally distinguished: stative, activity, accomplishment, and achievement (see Chapter 5).

- [19] a. stative verbs: 有 *you3* 'have,' 姓 *xing4* 'have the surname of,' 像 *xiang4* 'resemble,' 喜欢 *xi3huan1* 'like'
- b. activity verbs: 做 *zuo4* 'make,' 劝 *quan4* '(try to) persuade/advise,' 吃 *chi1* 'eat,' 打 *da3* 'beat,' 念 *nian4* 'read,' 看 *kan4* 'look, read'
- c. achievement verbs: 到 *dao4* 'arrive,' 忘 *wang4* 'forget,' 丢 *diu1* 'lose,' 死 *si3* 'die'
- d. accomplishment verbs: 毙 *bi4* 'shoot and kill,' 灭 *mie4* 'extinguish,' 娶 *qu3* 'marry (a woman),' 绝杀 *jue2sha1* 'absolutely kill,' 劝服 *quan4fu2* 'persuade,' 杀死 *sha1si3* 'kill,' 打破 *da3po4* 'break,' 压倒 *ya1dao3* 'crash'

In their typical use, stative verbs like those in [19a] describe events that do not involve change. Activity verbs like those in [19b] represent dynamic events that continue for a period of time without a clear endpoint. Both types of verbs represent situations with no built-in inherent temporal endpoint.

Achievement verbs like 丢 *diu1* 'lose' and 死 *si3* 'die' in [19c] depict events of change that are completed instantly. Accomplishment verbs like those in [19d] represent events with an inherent endpoint at which the result state is realized. Many of the simple accomplishment verbs owe their existence to Classical Chinese or dialects. 毙 *bi4* 'killed' comes from Classical Chinese but has obtained a new meaning of 'killing with a gun' in Northern Dialect. When someone brags 我毙了三个 *wo3 bi4 le0 san1 ge4* 'I shot and killed three,' he makes it clear that three men were dead because of his shooting. Simple accomplishment verbs, however, are rare in Chinese. Typical accomplishment verbs are in the form of verb-resultative

(V-R) compounds like 跑丢 *pao3diu1* ‘run and got lost (typically for children and pets),’ 杀死 *sha1si3* ‘kill (attack-dead),’ 打破 *da3po4* ‘break (hit-break),’ and 压倒 *ya1dao3* ‘crash.’ The V part of the compound is typically an activity verb, while the R part is typically a stative verb or an adjective indicating the result state, and less frequently an achievement verb. Achievement verbs and accomplishment verbs both have a built-in (temporal) endpoint.

The temporal endpoint of achievement and accomplishment verbs can be explicitly marked either by a nominal phrase referring to a point in time or duration, indicating that the event or activity is completed at a particular point in time or within a certain amount of time, as illustrated in [20a] and [20b]. A simple duration phrase, however, can also occur with stative or activity verbs to imply a temporal endpoint. Note that in such cases, the endpoint can be at the beginning [20c] or at the end [20d] of the event, or both.

- [20] a. 列车将于两点半抵达上海。
 lie4che1 zai4 liang3 ge4 xiao3shi2 nei4 di3da2
 train PREP two CL hour within arrive
 shang4hai3
 Shanghai
 ‘The train will arrive at Shanghai at two thirty.’
- b. 防疫人员八小时内扑杀了所有的活禽。
 fang2yi4 ren2yuan2 ba1 xiao3shi2 nei4
 disease_control people eight hour within
 bu3sha1 le0 suo3you3 de0 huo2qin2
 catch_and_kill LE all DE live_bird
 ‘The disease control people killed all live birds in eight hours.’
- c. 他喜欢你很久了。
 ta1 xi3huan1 ni3 hen2jiu3 le0
 he like you a_long_time LE
 ‘He has been admiring (liking) you for a long time.’
- d. 国宴吃了三个小时。
 guo2yan4 chi1 le0 san1 ge4 xiao3shi2.
 state_banquet eat LE three CL hour
 ‘The state banquet lasted for three hours.’

When an activity verb takes a quantity phrase as an object, the predicate may be “measured out” and have an endpoint. 看 *kan4* ‘look, read’ itself does not indicate any end point of the activity, as in [21a], but when it takes 三本书 *san1 ben3 shu1* ‘three books’ as the object in [21b], the activity will end when the third book has been read. The predicate, namely the verb phrase, in [21b] thus has a temporal

endpoint and describes an accomplishment event, even though the verb is an activity verb.

- [21] a. 你去看书。
 ni3 qu4 kan4 shu1
 you go read book
 'You go ahead and read books.'
- b. 你去看三本书。
 ni3 qu4 kan4 san1 ben3 shu1
 you go look three CL book
 'You go ahead and read three books.'

Certain temporal expressions can appear after the verb in the form of a frequency phrase, as in [22a], or a duration phrase, as in [22b]. When the predicate is headed by an atelic verb, a frequency or duration phrase typically delimits a timeframe within which the action or event takes place and thus gives the event an endpoint. The duration or frequency phrase is the object of the verb and, when there is another object, the two usually form one nominal phrase, as in [22c].

- [22] a. 他跟着一个师父学了学几次, 学会了。
 ta1 gen1 zhe0 yi1 ge4 shi1fu4 xue2 ji3ci4
 he follow ZHE one CL master learn several_times
 xue2hui4 le0
 acquire LE
 'He practiced several times with a master and has learned it.'
- b. 他在上海住了十几年, 还不会说上海话。
 ta1 zai4 shang4hai3 zhu4 le0 shi2 ji3 nian2
 he PREP Shanghai live LE ten some year
 hai3 bu2 hui4 shang4hai3hua4.
 till NEG can Shanghainese
 'He has lived in Shanghai for more than a decade, but has not yet learned Shanghai Dialect.'
- c. 他跟着一个师父学了几次刀法, 可以动手做了。
 ta1 gen1 zhe0 yi1 ge4 shi1fu4 xue2 le0
 he follow ZHE one CL master learn LE
 ji3ci4 dao1fa3 ke3yi3 dong4shou3 zuo4 le0
 several_times knife_skill can start_work do LE
 'He practiced the carving method several times with a master, and should be able to do it.'

When the object is definite, the duration or frequency phrase could also occur after the object, as in [23a]. Other options are available, such as for the direct object to appear elsewhere via topicalization, passivization, the use of the *ba* construction, and verb reduplication constructions, as in [23b]. When the head of a predicate is telic, the duration or frequency phrase after the verb usually indicates the timeframe in which the resultant state lasts. The 七、八年 *qi1 ba1 nian2* ‘seven or eight years’ in [23c] represents the period between the death of the grandfather and the time of reference. Such a phrase can also function as a predicate, as in [23d], where it takes a clause as the subject and is modified by the adverbial 已经 *yi3jing1* ‘already.’

- [23] a. 妈妈打了孩子一巴掌。
 ma1ma0 da3 le0 hai2zi0 yi1 ba1zhang3
 mother slap LE child one palm
 ‘The mother slapped the child once.’
- b. 孩子玩电子游戏玩了一整天。
 hai2zi0 wan2 dian4zi3you2xi4 wan2 le0 yi1
 child play video_game play LE one
 zheng3tian1
 whole_day
 ‘The child played video games for the whole day.’
- c. 泽涛的爷爷已经死了七、八年了。
 ze2tao1 de0 ye2ye0 yi3jing1 si3 le0 qi1
 Zetao DE grandfather already die LE seven
 ba1 nian2 le0
 eight year LE
 ‘Zetao’s grandfather has been dead for seven or eight years.’
- d. 泽涛的爷爷死了已经七、八年了。
 ze2tao1 de0 ye2ye0 si3 le0 yi3jing1 qi1
 Zetao DE grandfather die LE already seven
 ba1 nian2 le0
 eight year LE
 ‘It has been seven or eight years since Zetao’s grandfather died.’

4.4. Arguments

Verbs may also differ from each other in the number and type of arguments they can take. An argument is a linguistically represented participant of an event, which is typically expressed by a noun phrase. In a drinking event like that in [24],

two participants are generally involved: the drinker and the drink. The former is usually said to have the thematic role of agent while the latter the role of theme. These two participants are expressed by two arguments, the agent as the subject and the theme in an object position.

- [24] 几个人喝了三瓶茅台。
 ji3 ge4 ren2 he1 le0 san1 ping2 mao2tai2
 some CL people drink LE three bottle Maotai_wine
 ‘A few of them drank three bottles of Maotai.’

Verbs can be classified according to the number and type of arguments they take:

- Single-argument verbs, either unaccusative ones whose single argument can occur in either the object position or the subject position, such as 开 *kai1* ‘open’ and 沉 *chen2* ‘sink,’ or unergative ones whose single argument typically occurs in the subject position, such as 哭 *ku1* ‘cry’ and 笑 *xiao4* ‘laugh.’
- Double-argument verbs, which have a subject and an object, such as 打 *da3* ‘hit’ and 吃 *chi1* ‘eat.’
- Triple-argument verbs, which have a subject and two objects, such as 送 *song4* ‘give’ and 借 *jie4* ‘borrow, lend.’
- Quadruple-argument verbs, which have a subject and three objects, such as 换 *huan4* ‘trade’ and 赌 *du3* ‘bet.’

Since verbs have at least one argument, the subject, they can also be categorized according to the number of non-subject arguments they can take: none, one, two, or three. Those without an object are traditionally called intransitive verbs; those with an object are transitive verbs; those taking two objects are ditransitive verbs; and those that have three additional arguments are tritransitive verbs.

4.4.1. Single-argument verbs

Single-argument verbs are often categorized into two types: unergative and unaccusative. Unergative verbs have an argument that characteristically bears the thematic role of agent and occupies the subject position, as in [25]. Unaccusative verbs have an argument that can occupy either the object or the subject position, as in [26] and [27].

- [25] a. 卖酒的人笑了, 刘家的人也笑了。
 mai4 jiu3 de0 ren2 xiao4 le0 liu2 jia1 de0
 sell wine DE person smile LE Liu family DE

ren2 ye3 xiao4 le0
 person also smile LE
 'The wine vendor smiled, and the Lius also smiled.'

- b. 他哭了, 眼泪流个不停。

ta1 ku1 le0 yan3lei4 liu2 ge4 bu4 ting2
 he cry LE tears flow CL NEG stop
 'He cried and the tears came down continuously.'

- [26] a. 来了一位朋友。

lai2 le0 yi1 wei4 peng2you3
 come LE one CL friend
 'Here came a friend.'

- b. 四天内走了近百位旅客。

si4 tian1 nei4 zou3 le0 jin4 bai3 wei4
 four day within leave LE near hundred CL
 lü3ke4
 tourist
 '(What happened was that) nearly a hundred tourists left within
 four days.'

- c. 死了两名伙伴, 五匹马。

si3 le0 liang3 ming2 huo3ban4 wu3 pi1 ma3
 die LE two CL buddy five CL horse
 '(What happened was that) two buddies and five horses died.'

- [27] a. 朋友们来了。

peng2you3men2 lai2 le0
 friends come LE
 'The friends came.'

- b. 旅客走了。

lü3ke4 zou3 le0
 passenger leave LE
 'The passengers have left.'

The position of the argument in an unaccusative verb depends on the information structure of the sentence and the definiteness of the argument. As the translation suggests, when new information is introduced, such as in [26b] and [26c], or when the referent (the friend) is not definite, as in [26a], the argument occurs in the post-verbal position. In contrast, the argument occurs in the pre-verbal subject position when the reference of the argument is known and definite, as in [27].

When the argument of an unaccusative verb occupies the post-verbal object position, the subject position can be left open, as in [26], or it can be filled, as in [28]. The extra element can be an experiencer/benefactor, as in [28a], a temporal phrase, as in [28b], or a locative phrase, as in [28c].

- [28] a. 航运公司连续沉了三条船。
 hang2yun4 gong1si1 lian2xu4 chen2 le0 san1
 shipping company consecutively sink LE three
 tiao2 chuan2
 CL ship
 ‘(What happened to the Shipping Corporation was that) three of their boats sank consecutively.’
- b. 去年走了八位学生。
 qu4nian2 zou3 le0 ba1 wei4 xue2sheng1
 last_year leave LE eight CL student
 ‘(What happened to the school was that) eight students quit last year.’
- c. 床上躺着一个满脸皱纹的老人。
 chuang2shang4 tang3 zhe0 yi1 ge4 man3 lian3
 on_the_bed lie ZHE one CL full face
 zhou4wen2 de0 lao3ren2
 wrinkle DE old_man
 ‘Lying on the bed was an old man with a face full of wrinkles.’

Another difference between unergative and unaccusative verbs is that agent-oriented adverbials can co-occur only with unergative verbs, as in [29], since the argument of an unergative verb is typically agent-like.

- [29] a. 月霞姨认真地笑了笑。
 yue4xia2 yi2 ren4zhen1 de0 xiao4 le0 xiao4
 YUOXIA aunt seriously DE smile LE smile
 ‘Auntie Yuexia laughed seriously.’
- b. 陈平故意大叫了起来。
 chen2ping2 gu4yi4 da4jiao4 le0 qi3lai2
 CHEN_PING intentionally yell LE QILAI
 ‘Ping CHEN yelled intentionally.’

4.4.2. Double-argument verbs

Double-argument verbs involve two participants in the events or situations, typically taking the subject and object positions.

- [30] a. 他打了这位女服装师。
 ta1 da3 le0 zhe4 wei4 nü3 fu2zhuang1shi1
 he hit LE this CL female fashion_designer
 'He hit the female fashion designer.'
- b. 我喜欢金庸小说。
 wo3 xi3huan1 jin1yong1 xiao3shuo1
 I like JinYong novel
 'I like novels by Jin Yong.'

Although the sentences in [30] and [28] all have a subject and an object, they have different properties. In [30a] and [30b], both arguments are required participants, whereas in sentences involving unaccusatives like in [28], only the object is the required argument of the verb.

It is common in Chinese for an argument of a verb to be left unexpressed and to be interpreted as if it is filled with an element that is interpreted according to the context.

- [31] a. 中国观众喜欢我们的表演, 裁判也喜欢。
 zhong1guo2 guan1zhong4 xi3huan1 wo3men0de0
 China audiences like our
 biao3yan3 cai2pan4 ye3 xi3huan1
 performance judge also like
 'The Chinese audience liked our performance, and the judges also liked [our performance].'
- b. 孔子死了两名弟子, 颜回也死了。
 kong3zi3 si3 le0 liang3 ming2 di4zi3 yan2hui2
 Confucius die LE two CL disciple Yan Hui
 ye3 si3 le0
 also die LE
 '(What happened to Confucius was that) two of his disciples died, and Yan Hui also died.'

In the second clause of [31a], the object is to be understood as 我们的表演 *wo3men0 de0 biao3yan3* 'our performance,' although it is actually unexpressed. In contrast, the second clause in [31b] can only yield the interpretation that 颜回 also died, even though the second clause has a structure parallel to that of the first one. 死 *si3* 'die' is an unaccusative verb that requires only one argument. The second participant in such a clause is not an argument and cannot be interpreted unless it is explicitly expressed. The second clause with only one filled argument

simply cannot have the reading of 颜回 ‘experiencing/suffering the death of the disciples.’

It is important to note that not all two-argument verbs involve a subject and an object. Many of them involve arguments in non-canonical positions and this issue will be discussed in section 4.5.

4.4.3. Triple-argument verbs

Verbs involving an argument that changes its state (including positions) are typically expressed by verbs with three arguments. In other words, the event represented by such verbs mostly concerns the transfer of objects, actual or virtual, from one person to another, and generally involves three participants: an agent who initiates the transfer, a theme that is transferred, and a beneficiary or maleficiary who receives or loses the theme. These ditransitive verbs thus have one subject and two objects, as shown in [32].

- [32] a. 我已经给了每个孩子一个大红包了。
 wo3 yi3jing1 gei3 le0 mei3 ge4 hai2zi0 yi1 ge4
 I already give LE every CL child one CL
 da4 hong2bao1 le0
 big red_envelope LE
 ‘I have already given each child a fat red envelope.’
- b. 他寄给我几十张照片。
 ta1 ji4 gei3 wo3 ji3 shi2 zhang1 zhao4pian4
 he mail give I some ten CL picture
 ‘He sent me scores of pictures.’
- c. 他教小学生国文。
 ta1 jiao1 xiao3xue2sheng1 guo2wen2
 he teach pupil Chinese
 ‘He teaches elementary school pupils Chinese.’
- d. 他就去公主的房间,问她这个问题。
 ta1 jiu4 qu4 gong1zhu3 de0 fang2jian1 wen4 ta1
 he thus go_to princess DE room ask she
 zhe4 ge4 wen4ti2
 this CL question
 ‘He then went to the Princess’s room and asked her this question.’
- e. 我们欠他八百万元。
 wo3men0 qian4 ta1 ba1bai3wan4 yuan2
 we owe he eight_million yuan
 ‘We owe him eight million dollars.’

In these sentences, the goal or source of the transfer occurs directly after the verb and is followed by the argument that changes the state of being transferred. When giving events are involved, the verbs are often compounds with 给 *gei3* ‘give’ as the second root, such as 寄给 *ji4gei3* ‘mail-give’ in [32b].

Some transitive verbs typically taking one object may allow an additional nominal phrase in the post-verbal position to create a double-object construction. 吃 *chi1* ‘eat’ atypically takes two objects in [33], 父母 *fu4mu3* ‘parents’ and 十年粮 *shi2 nian2 liang2* ‘ten years’ worth of food.’ The sentence has an extended meaning of eating: the boy lived off his parents for ten more years.

- [33] 他又吃了父母十年粮。
 ta1 you4 chi1 le0 fu4mu3 shi2 nian2 liang2
 he again eat LE parents ten year food
 ‘He lived off his parents for ten more years.’

Similar to the difference between one- and two-argument verbs, the difference between three-argument verbs and two-argument verbs with additional arguments lies in whether the third argument can be understood without being expressed. In ditransitive sentences like those in [34], one of the two objects can usually be left unexpressed when there is a clear context to indicate what the missing object is. Both sentences in [34] thus have a two-object reading, even though only one of them is overtly expressed.

- [34] a. 他要带回家送给妻子。
 ta1 yao4 dai4 hui2 jia1 song4gei3 qi1zi0
 he want take back home give_to wife
 ‘He wanted to bring [it] home to give [it] to his wife.’
 b. 朱熹的学生曾问他。
 zhu1xi1 de0 xue2sheng1 ceng2 wen4 ta1
 ZHU_XI DE student once ask he
 ‘Xi ZHU’s students once asked him [a question].’

4.4.4. Quadruple-argument verbs

Only a handful of verbs can take four arguments, including three objects. These objects typically are marked with different prepositions or occur in different positions. The four arguments in [35] are “I,” “one dollar in my hand,” “you,” and “one dollar,” with the last three being different objects. Like other types of verbs, any of the four arguments can be unrealized but will always be understood.

- [35] a. 我把手中的一块钱和你换一块钱。
 wo3 ba3 shou3zhong4 de0 yi1 kuai4 qian2 he2
 I BA in_hand DE one yuan money PREP
 ni3 huan4 yi1 kuai4 qian2
 you trade one yuan money
 'I trade one dollar in my hand with you for one dollar.'
- b. 一块钱, (和你) 换一块钱。
 yi1 kuai4 qian2 he2 ni3 huan4 yi1 kuai4
 one yuan money PREP you trade one yuan
 qian2
 money
 '(I) will trade one dollar (with you) for one dollar.'
- c. 我和你换一块钱/我换你一块钱。
 wo3 he2 ni3 huan4 yi1 kuai4 qian2 wo3
 I PREP you trade one yuan money I
 huan4 ni3 yi1 kuai4 qian2
 trade you one yuan money
 'I will trade (something) with you for one dollar.'

Another quadruple argument verb is 赌 *du3* 'bet' as exemplified in [36].

- [36] 一顿饭和你赌巴西不会夺冠。
 yi1 dun4 fan4 he2 ni3 du3 ba1xi1 bu4hui4
 one CL meal PREP you bet Brazil NEG_will
 duo2guan4
 win_championship
 '(I) bet one meal with you that Brazil will not win the championship.'

4.4.5. Verbs with "bleached" objects

There is another type of double-object sentence characteristically with a numeral phrase with a third-person singular pronoun *ta*, which is orthographically represented as either non-human 它 *ta1* 'it' or neutral human 他 *ta1* 'he,' as shown in [37] below. The pronoun occurs right after the verb and before the object to indicate the speaker's tentative or casual attitude. This particular third-person pronoun has been bleached of its meaning and reference. No other pronoun can serve this function.

- [37] a. 只要有空,我就一定唱它几句。
 zhi3yao4 you3 kong4 wo3 jiu4 yi1ding4 chang4
 as_long_as have free_time I then definitely sing
 ta1 ji3 ju4
 it several sentence
 'As long as I [have] time, I will definitely sing a few lines.'
- b. 你去跑他一大圈再回来。
 ni3 qu4 pao3 ta1 yi1 da4 quan1 zai4 hui2lai2
 you go run he one big lap again return
 'You go and run a big lap and back.'

A similar “bleached” element expressing tentativeness or casualness is the generic classifier 个 *ge4* ‘CL’ between a verb and its post-verbal constituent, which can be a nominal object as in [38a], an adjunct phrase, as the extent element in [38b], or a verb phrase, as the resultative in [38c]. The classifier 个 *ge4* ‘CL’ in these cases is depleted of much of its semantic content.

- [38] a. 你们可以在露天咖啡座上歇个脚。
 ni3men0 ke3yi3 zai4 lu4tian1 ka1fei1 zuo4 shang4
 you can PREP outdoor coffee seat on
 xie1 ge4 jiao3
 rest CL foot
 'You can have a rest at the outdoor café.'
- b. 咱们今晚唱个不停,玩个够。
 zan2men0 jin1wan3 chang4 ge4 bu4ting2 wan2 ge4
 we tonight sing CL non-stop play CL
 gou4
 enough
 'Let's sing non-stop and have lots of fun tonight.'
- c. 败兵到了这里一定会摔个人仰马翻。
 bai4bing1 dao4 le0 zhe4li3 yi1ding4 shuai1
 defeated_soldiers arrive LE here definitely fall_over
 ge4 ren2 yang3 ma3 fan1
 CL people lie horse tumble
 'The fleeing soldiers would fall like tumbling pebbles.'

These two “bleached” elements sometimes occur together and the object in this case can be any type of phrase possible after either of the bleached 它 *ta1* ‘it’ or 个 *ge4* ‘CL,’ with the order of 它 *ta1* ‘ta’ preceding 个 *ge4* ‘CL’ strongly preferred.

- [39] a. 我一定要一次就吃它个一百碗。
 wo3 yi1ding4 yao4 yi1 ci4 jiu4 chi1 ta1 ge4
 I definitely will one CL thus eat it CL
 yi1bai3 wan3
 one_hundred CL
 'I will definitely eat a hundred bowls at one sitting.'
- b. 黑熊冬季要睡它个几星期几个月的。
 hei1xiong2 dong1ji4 yao4 shui4 ta1 ge4 ji3
 black_bear winter_season will sleep it CL several
 ge4 xing1qi1 ji3 ge4 yue4 de0
 CL week several CL month DE
 'Black bears sleep for a few weeks or months in winter.'
- c. 他们每年都要玩它个轰轰烈烈。
 ta1men0 mei3nian2 dou1 yao4 wan2 ta1 ge4
 they every_year all will play it CL
 hong1hong1lie4lie4
 spectacularly
 'They get a spectacularly fun time every year.'
- d. 咱们吃它个片甲不留。
 zan2men0 chi1 ta1 ge4 pian4jia3bu2liu2
 we eat it CL nothing_left
 'Let's eat until nothing is left.'

4.4.6. Verbal argument verbs

Some verbs can take verb phrases as arguments. The subject and object of [40a] are both verbal phrases, and so is the subject of [40b].

- [40] a. 醉酒驾驶等于用车杀人。
 zui4jiu3 jia4shi3 deng3yu2 yong4 che1 sha1 ren2
 drunk drive amount_to use car kill people
 'To drive when drunk is the same as to use a car to kill people.'
- b. 笑能让人长寿。
 xiao4 neng2 rang4 ren2 chang2shou4.
 laugh can make people live_a_long_life
 'To laugh can make people live a long life.'

A few verbs can take a verb phrase as a complement to produce an interpretation similar to the verbal complement itself. The 调查 *diao4cha* 2 'investigate' in [41a] is a double-argument verb that takes a theme argument as its object. The same

idea is expressed in [41b] by a “complex predicate,” in which 调查 is the head of the object phrase and the semantic theme of 调查 is the object in the preposition phrase, while the main verb is a semantically bleached 做 *zuo4* ‘do.’ Although 做 is commonly used as a double-argument verb, it is considered a “generic” or “light” verb in cases like [41b] in the sense that it does not contribute much to the overall meaning of the predicate but makes the verbal complement a legitimate part of the predicate. The overall meaning of the complex predicate mainly comes from the verbal complement as well as the theme phrase in the adverbial preposition phrase.

- [41] a. 警察彻底调查了这起事故。
 jing3cha 2 che4di3 diao4cha 2 le0 zhe4 qi3 shi4gu4
 policeman thoroughly investigate LE this CL accident
 ‘The police thoroughly investigated the accident.’
- b. 警察对这起事故做了彻底调查。
 jing3cha 2 dui4 zhe4 qi3 shi4gu4 zuo4 le0
 policeman PREP this CL accident conduct LE
 che4di3 diao4cha 2
 thoroughly investigate
 ‘The police carried out a thorough investigation of the accident.’
- c. 法院应对证人予以保护。
 fa3yuan4 ying1 dui4 zheng4ren2 yu2yi3 bao3hu4
 court should PREP witness provide protection
 ‘The court should protect the witness.’

Other verbs in this category include 进行 *jin4xing2* ‘do,’ 有 *you3* ‘have,’ 加以 *jia1yi3* ‘make,’ 给以 *gei3yi3* ‘give,’ and so on, which are typically double-argument verbs. Such complicated predicates are commonly found in formal written genres.

4.5. Other post-verbal constituents

In addition to various types of arguments, duration, frequency phrases, and bleached elements that occur in the post-verbal position, some other elements are found post-verbally, such as descriptive expressions and expressions denoting the result or extent of an activity. In many cases, 得 *de0* ‘DE,’ which has a de-stressed neutral tone and is phonologically attached to the verb, often appears between the verb and the descriptive or resultative expressions.

The expressions after 得 *de0* ‘DE’ in [42] describe the manner, extent, or result of the activities. 快 *kuai4* ‘fast’ in [42a] describes the manner or extent of the activity of 游 *you2* ‘swim,’ 仔细 *zi3xi4* ‘careful’ in [42b] expresses the manner of 看 *kan4*

'look,' and 最快 *zui4 kuai4* 'the fastest' and 最重 *zui4 zhong4* 'the heaviest' in [42c] indicate the manner or extent of 跌 *die1* 'fall.'

[42] a. 看谁游得快。

kan4 shui2 you2 de0 kuai4
look who swim DE fast
'Let's see who swims faster.'

b. 大家都看得很仔细。

da4jia1 dou1 kan4 de0 hen3 zi3xi4
everyone all look DE very carefully
'Everyone looked at it carefully.'

c. 股市下挫时, 劣质股跌得最快最重。

gu3shi4 xia4cuo4 shi2 lie4zhi4 gu3 die1
stock_market downturn when low_quality stock fall
de0 zui4 kuai4 zui4 zhong4
DE most fast most heavy
'When the stock market goes down, low-quality stocks fall the fastest and lose the most value.'

In [43], 很厉害 *hen3 li4hai4* 'very bad' describes the extent to which the rumor has been 传播 *chuan2bo1* 'spread,' and the 很严重 *hen3 yan2zhong4* 'very bad' in [43b] depicts how severely the child has become 病 *bing4* 'ill.'

[43] a. 谣言传播得很厉害。

yao2yan2 chuan2bo1 de0 hen3 li4hai0
rumors spread DE very severe
'The rumor is recklessly spreading.'

b. 孩子病得很严重。

hai2zi0 bing4 de0 hen3 yan2zhong4
child ill DE very serious
'The child is gravely ill.'

The expressions after 得 *de0* 'DE' in [44] are predicates that describe the resultant state of a nominal phrase in the sentences, either the subject or the object. 很高 *hen3 gao1* 'very tall' in [44a] depicts the status of the subject 他 *ta1* 'he'; the 大 *da4* 'big' in [44b] is about the condition of the object 肚子 *du4zi0* 'belly'; and the 非常完善 *fei1chang2 wan2shan4* 'very comprehensive' in [44c] is an evaluation of 公路地图 *gong1lu4 di4tu2* 'highway map,' which is the object of a 把 *ba3* 'ba' construction.

- [44] a. 他已经长得很高了。
 ta1 yi3jing1 zhang3 de0 hen3 gao1 le0
 he already grow DE very tall LE
 'He has already grown very tall.'
- b. 你老喝啤酒, 喝得肚子都大了。
 ni3 lao3 he1 pi2jiu3 he1 de0 du4zi0 dou1
 you always drink beer drink DE belly even
 da4 le0
 big LE
 'You keep drinking beer and increasing the size of your belly.'
- c. 美国人把公路地图绘制得非常完善。
 mei3guo2ren2 ba3 gong1lu4 di4tu2 hui4zhi4 de0
 American BA highway map draw DE
 fei1chang2 wan2shan4
 very perfect
 'Americans have their highway maps made to perfection.'

The descriptive, resultative, or extent expressions following 得 *de0* 'DE' are all predicates with relevant properties, and can be modified by an adverb like 很 *hen3* 'very' and 非常 *fei1chang2* 'extraordinarily.' They can also combine with the verb without 得 *de0* 'DE' to form a single unit, which behaves very much like a compound or complex word. Being part of a compound or complex word, a descriptive, resultative, or extent expression generally cannot be further modified or conjoined by conjunction words like 又 *you4* 'as well.'

- [45] a. 他已经长高了。
 ta1 yi3jing1 zhang3 gao1 le0
 he already grow tall LE
 'He has already grown tall.'
- b. 这家伙真的是坏透了。
 zhe4 jia1huo0 zhen1de0 shi4 huai4 tou4 le0
 this guy really be bad completely LE
 'This guy is really bad to the core.'
- c. 失去控制的货车撞倒了好几个途人。
 shi1qu4 kong4zhi4 de0 huo4che1 zhuang4dao3 le0
 lose control DE truck knock_down LE
 hao3 ji3 ge4 tu2ren2
 quite several CL pedestrian
 'The runaway truck knocked down several pedestrians.'

As part of a compound or complex word, the verb can no longer bear the aspect marker directly and the marker 了 *le0* 'le' in [45c] has to occur outside the complex word. Another related property is that the object of the verb has to be put outside the compound or complex word, just like the 好几个途人 *hao3 ji3 ge4* 'several pedestrians' in [45c]. Because the object is not adjacent to the verb and the resultative expression can be predicative of either the subject or the object, sentences like [46] become potentially ambiguous. 累 *lei4* 'tired' in [46] demonstrates the physical condition of an animate entity and, given the content of the sentence, it can be predicative of either 老猎人 *lao3 lie4ren2* 'old hunter' or 那只狡猾的狐狸 *na4 zhi1 jiao3hua2 de0 hu2li0* 'that cunning fox.'

- [46] 老猎人追累了那只狡猾的狐狸。
lao3 lie4ren2 zhui1 lei4 le0 na4 zhi3 jiao3hua2
 old hunter chase tired LE that CL cunning
de0 hu2li2
 DE fox
 i. 'The old hunter chased the cunning fox and he got tired.'
 ii. 'The old hunter chased the cunning fox and it got tired.'

Such a compound or complex word sometimes allows certain word-level elements to operate inside. The verb 搬 *ban1* 'move' in [47a] takes two verbs 出 *chu1* 'exit' and 来 *lai2* 'come' to indicate the result of the moving, but it may take just one verb to create 搬出 *ban1chu1* 'move-exit (move out)' or 搬来 *ban1lai2* 'move-come (move in)' to indicate a slightly different result. Another element that can occur between the verb (V) and the result (R) is the potential infix 得 *de0* '-able' or its negative form 不 *bu4* '-not.' When the infix 得 appears inside a V-R complex word, it indicates that the situation represented by the R is achievable. The speaker of [47b] questions the listener's eating ability. Considering the amount of apples, the speaker asks whether the result of finishing all the apples is achievable. Sentence [47c] is a statement that indicates that the struggle of the 蜻蜓 *qing1ting2* 'dragonfly' is not able to achieve the result of breaking the spider web.

- [47] a. 老板娘又从客厅搬出来了四把椅子。
lao3ban3niang2 you4 cong2 ke4ting1 ban1 chu1lai2
 matron again PREP living_room move out

le0 si4 ba3 yi3zi0
 LE four CL chair
 'The female shop-owner moved out four more chairs from the living room.'

- b. 这么多苹果, 你吃得完吗?

zhe4me0 duo1 ping2guo3 ni3 chi1 de0 wan2
 so many apple you eat DE complete
 ma0
 MA

'So many apples, are you able to eat all of them?'

- c. 被困的蜻蜓还是冲不破蜘蛛网。

bei4 kun4 de0 qing1ting2 hai2shi4 chong1 bu4
 BEI trap DE dragonfly still rush NEG
 po4 zhi1zhu1wang3
 break spider_web

'The trapped dragonfly still was not able to break away from the spider web.'

If the expression after 得 *de0* 'DE' is descriptive or related to extent, no object can appear after 得 *de0* 'DE.' When it is necessary to have an object to depict a situation clearly, verb copying constructions like those in [48] are a common choice. The 吃得太多 *chi1 de0 tai4 duo1* 'eat too much' in [48a] is a general statement. When the verb is copied and an object is added, 吃肉 *chi1 rou4* 'eat meat' provides specific information on what is eaten. The 吹过头 *chui1 guo4 tou2* 'blow too much' in [48b] does not make much sense without a clear context, but when verb copying 吹牛 *chui1niu2* 'brag' brings the idiomatic meaning in, the sentence is clearer in meaning.

- [48] a. 你近来吃肉吃得太多。

ni3 jin4lai2 chi1 rou4 chi1 de0 tai4 duo1
 you recently eat meat eat DE too much
 'You have been eating too much meat recently.'

- b. 他这次吹牛吹过头了。

ta1 zhe4 ci4 chui1niu2 chui1 guo4tou2 le0
 he this CL brag brag over LE
 'He bragged too much this time.'

The verb copying strategy may be used in other cases. The 踢断了腿 *ti1 duan4 le0 tui3* 'kick-break leg' in [49a] makes perfect sense when the verb copying phrase 踢个球 *ti1 ge4 qiu2* 'kick (play) ball' ('casually,' as indicated by the presence of 个 *ge4* 'CL') provides the background information. Similarly, the verb 打 *da3* 'hit' in 打上瘾 *da3 shang4yin3* 'play to become addicted' is likely to have the common interpretation of 'hitting' if the verb copying phrase 打游戏 *da3 you2xi4* 'play video game' is not used to indicate a 'playing' reading. The main predicate

喊了这么多年 *han3 le0 zhe4me0 duo1 nian2* ‘talk for so many years’ in [49c] has a duration phrase as the object, and the theme object can no longer appear in the object position. The additional object position provided by verb copying allows both the theme object and the duration phrase to co-occur.

- [49] a. 他踢个球都踢断了腿。

ta1 ti1 ge4 qiu2 dou1 ti1 duan4 le0 tui3
he kick CL ball all kick break LE leg
‘He even broke his leg when playing football.’

- b. 小女儿打游戏打上瘾了。

xiao3 nü3er2 da3 you2xi4 da3 shang4yin3
youngest daughter play game play be_addicted
le0
LE
‘The youngest daughter was addicted to playing video games.’

- c. 政府喊「三通」喊了这么多年。

zheng4fu3 han3 san1tong1 han3 le0
government announce three-links announce LE
zhe4me0 duo1 nian2
so many year
‘The government talked about the three-links (between the Mainland and Taiwan) for so many years.’

4.6. Other types of objects

Verbs generally have their typical arguments in the subject and object positions. However, as mentioned in section 4.3, it is possible to have additional arguments, such as unaccusative verbs with their typical theme argument in the object position and an additional argument in the subject position. In addition, unergative verbs, which typically have an agent argument in the subject position, may take an additional argument in the object position. For instance, typical unergative verbs like 哭 *ku1* ‘cry’ or 伤心 *shang1xin1* ‘sad’ generally just take an agent subject. However, they can have an additional argument in the object position, as demonstrated in [50].

- [50] a. 我在这里哭什么? 我伤心什么?

wo3 zai4 zhe4li3 ku1 shen2me0 wo3
I PREP here cry what I
shang1xin1 shen2me0
sad what
‘What am I crying for here? I am sad about what?’

- b. 你笑什么?
 ni3 xiao4 shen2me0
 you laugh what
 'What are you laughing at?'
- c. 他们在叫什么?
 ta1men0 zai4 jiao4 shen2me0
 they ZAI shout what
 'What are they shouting [for/at]?'

In addition to the resultative V-R compounds, the so-called V-O (verb-object) compound is a very productive pattern in Chinese. V-O compounds present a range of interesting possibilities for object positions. The V-O compound 注资 *zhu4zi1* 'inject-capital' in [51a] behaves just like any transitive verb that takes an object. Some other V-O compounds, like 开刀 *kai1dao1* 'open-knife, operate,' also take objects like transitive verbs but require their object to occur before the verb in a prepositional phrase, as in [51b]. Another type of object-taking V-O, such as 作主 *zuo4zhu3* 'make-master, be in charge,' requires the object to be preposed, as in [51c]. Note that in both [51b] and [51c], speakers would not put the object in the post-verbal position.

- [51] a. 我方决定注资三亿美元。
 wo3fang1 jue2ding4 zhu4zi1 san1 yi4
 our_side decide inject_money three hundred_million
 mei3yuan2
 US_dollar
 'Our side has decided to inject three hundred million dollars.'
- b. 医生为病人开刀时, 为什么都要戴口罩?
 yi1sheng1 wei4 bing4ren2 kai1dao1 shi2
 doctor for patient operate when
 wei4shen2me0 dou1 yao4 dai4 kou3zhao4
 why all will wear mask
 'Why do doctors wear masks when they operate on patients?'
- c. 婚姻的事, 我自己作主。
 hun1yin1 de0 shi4 wo3 zi4ji3 zuo4zhu3
 marriage DE thing I self decide
 'I make my own decision on my marriage.'

It is possible for some V-O compounds to be separated between the V part and the O part in some contexts. Such compounds are often called 离合词 *li2he2ci2* 'separable compounds' and they usually vary in terms of how far the V and the

O can be separated. Internal modification is possible for most separable V-O compounds. [52a] and [52b] show with 开刀 *kai1dao1* ‘open-knife, to operate’ that manner modification can typically occur before the O as an adjective. [52c] shows that the theme phrase of the V-O compound 捧场 *peng3chang3* ‘hold-stage, to support’ occurs at the possessor position of the separated O. The same can be said about the idiom 找麻烦 *zhao3ma2fan0* ‘find fault’ in [52d]. Other such V-O idioms include 吃醋 *chi1cu4* ‘be jealous of,’ 开玩笑 *kai1wan2xiao4* ‘make fun of,’ and 敲竹杠 *qiao1 zhu2gang4* ‘beat-bamboo-pole, to extort.’ Some other V-O compounds like 怀疑 *huai2yi2* ‘hold-suspicion, doubt,’ 出席 *chu1xi2* ‘enter-mat, attend,’ and 关心 *guan1xin1* ‘concern-heart, care’ are established, commonly used, and have become regular transitive verbs in the sense that the V and the O are no longer separable, and any aspect marker has to appear after the O, as in [52e].

- [52] a. 病人最怕开错刀。
 bing4ren2 zui4 pa4 kai1 cuo4 dao1
 patient most fear open wrong knife
 ‘What patients fear most is the doctor [might] operate on the wrong part.’
- b. 最近开了个小刀。
 zui4jin4 kai1 le0 ge4 xiao3 dao1
 recently open LE CL small knife
 ‘(I) had a minor operation recently.’
- c. 我很希望捧阿妹的场。
 wo3 hen3 xi1wang4 peng3 a1mei4 de0 chang3
 I very hope support A-Mei DE event
 ‘I wish I could be there to support A-Mei’s concert.’
- d. 别找自己的麻烦。
 bie2 zhao3 zi4ji3 de0 ma2fan0
 do_not seek self DE trouble
 ‘Don’t make trouble for yourself; don’t create your own problems.’
- e. 警察怀疑过他儿子。
 jing3cha2 huai2yi2 guo4 ta1 er2zi0
 policeman suspect GUO he son
 ‘The police used to suspect his son.’

Verbal quantifiers of the form [number + verbal classifier] appear to be an additional object to the verb, just like frequency phrases described earlier at the beginning of section 4.2. For instance, 踹 *chuai4* ‘kick’ and 抽 *chou1* ‘slap’ can be quantified by the number of times kicking with a foot and slapping with

a hand occur, such as the 踹一脚 *chuai4 yi1 jiao3* ‘kick-a-foot, kick a kick’ and 抽俩耳光 *chou1 liang3 er3guang1* ‘slap two slaps’ in [53a]. Just like in the cases of frequency phrases and duration phrases, a definite direct object of the verb can occur between the verb and such expressions, or the direct object can occur elsewhere via topicalization, passivization, verb reduplication, etc. The same can be said about the 瞪一眼 *deng4 yi1 yan3* ‘stare-one-eye, stare once’ in [53b].

- [53] a. 老头踹了那男人一脚，还抽了他俩耳光。
 lao3tou2 chuai4 le0 na4 nan2ren2 yi1 jiao3 hai2
 old_man kick LE that man one foot then
 chou1 le0 ta1 liang3 er3guang1
 do LE he two slap_on_the_face
 ‘The old man kicked that man once and slapped him twice.’
- b. 妈妈瞪了我一眼。
 ma1ma0 deng4 le0 wo3 yi1 yan3
 mother stare LE I one eye
 ‘My mother stared at me once.’

A somewhat related phenomenon is the so-called “retained object” or “inner object.” Consider the action of peeling garlic presented in [54a]. The direct object of the verb 剥 *bo1* ‘peel’ is 皮 *pi2* ‘skin,’ but the garlic is affected by the peeling since the 皮 *pi2* ‘skin’ belongs to 大蒜 *da4suan4* ‘garlic.’ Such an action can be expressed by 剥大蒜皮 *bo1 da4suan4 pi2* ‘peel garlic-skin,’ but it can also be expressed as a verb taking two objects, as in [54a], the whole entity 大蒜 *da4suan4* ‘garlic’ and part of it 皮 *pi2* ‘skin.’ The latter is the direct object or inner object of the verb. Together, the verb and the inner object 剥皮 *bo1pi2* ‘peel skin’ affect the outer object. Literally, it means that what is done to the garlic is peeling (its) skin. The concept of a retained object is derived from the belief that the object is actually 大蒜皮 *da4suan4 pi2* and 大蒜 *da4suan4* is somehow taken out of the object position, leaving 皮 *pi2* stranded. Similarly, the topic 这几封信 *zhe4 ji3 feng1 xin4* ‘the several letters’ in [54b] can be considered the affected outer object of the action represented by the verb 贴 *tie1* ‘stick’ and the retained object 邮票 *you2piao4* ‘stamp.’

- [54] a. 将大蒜剥皮，用刀拍碎，入油锅炒香。
 jiang1 da4suan4 bo1pi2 yong4 dao1 pai1sui4
 BA garlic peel use knife smash
 ru4 you2guo1 chao3 xiang1
 put_into oil_pot fry fragrant
 ‘Peel the garlic, smash it with a knife, and put it into heated oil to stir-fry until its fragrance is released.’

- b. 这几封信我都贴了邮票, 你去寄了吧。

zhe4 ji3 feng1 xin4 wo3 dou1 tie1 le0
 this several CL letter I all paste LE
 you2piao4 ni3 qu4ji4 le0 ba0
 stamp you go mail LE BA
 'I have put stamps on these letters. Please mail them.'

Another type of object is considered non-canonical for collocation reasons. The verb 喝 *he1* 'drink' prototypically takes an object standing for liquid, which is considered the canonical object. In [55a], the canonical object 香槟 *xiang1bin1* 'champagne' appears after a copying verb, and the main verb takes 高脚杯 *gao1jiao3bei1* 'tall-stem glass' as its object, which is non-canonical because it stands for the instrument of drinking and typically appears in a prepositional phrase, as in [55b].

- [55] a. 他说喝香槟要喝高脚杯。

ta1 shuo1 he1 xiang1bin1 yao4 he1
 he say drink champagne should drink
 gao1jiao3bei1
 tall-stem_glass
 'He said tall-stem glasses are needed for drinking champagne.'

- b. 他说要用高脚杯喝香槟。

ta1 shuo1 yao4 yong4 gao1jiao3bei1 he1
 he say should use tall-stem_glass drink
 xiang1bin1
 champagne
 'He said one should drink champagne in tall-stem glasses.'

Verbs commonly found with this type of non-canonical object include activity verbs such as 吃 *chi1* 'eat' in [56], 跑 *pao3* 'run' in [57], and 写 *xie3* 'write' in [58], as well as 睡 *shui4* 'sleep,' 做 *zuo4* 'do,' and so on. The collocation of such verbs and their objects characteristically produces a special reading. The expressions in [56] mean 'to eat with a special instrument,' as in [56a], 'to eat at a specific location,' as in [56b], and 'to eat with a particular purpose,' as in [56c], and those in [56d] and [56e] mean 'to rely on something to make a living.' This diversity also applies to the collocations in [57] and [58].

- [56] a. 吃筷子{大碗, 刀叉}

chi1 kuai4zi0 da4wan3 dao1cha1
 eat chopstick big-bowl knife_fork
 'eat with chopsticks {big bowl, cutlery}'

- b. 吃{小摊子, 小馆, 餐厅, 食堂}
 chi1 xiao3tan1zi0 xiao3guan3 can1ting1 shi2tang2
 eat small_stall small_restaurant restaurant canteen
 'eat (at) {a small stall, small restaurant, restaurant, canteen}'
- c. 吃气氛
 chi1 qi4fen4
 eat atmosphere
 'eat to enjoy the atmosphere'
- d. 吃健保
 chi1 jian4bao3
 eat health_insurance
 'take advantage of health insurance'
- e. 吃老本
 chi1 lao2ben3
 eat old_capital
 'live off one's past gains'

- [57] a. 跑了好多村庄
 pao3 le0 hao3duo1 cun1zhuang1
 run LE many village
 'went to many villages (to collect data or do other work)'
- b. 常跑台北
 chang2 pao3 tai2bei3
 often run Taipei
 'often go to Taipei (such as for business)'
 - c. 跑印刷厂
 pao3 yin4shua1chang3
 run printing_factory
 'go to the printer's (for business)'
 - d. 跑记者会
 pao3 ji4zhe3hui4
 run press_conference
 'attend the press conference (as a journalist or a spokesperson)'
 - e. 跑客户
 pao3 ke4hu4
 run client
 'visit clients'

- f. 跑运输
 pao3 yun4shu1
 run transport
 'operate a transport business'

- [58] a. 写毛笔
 xie3 mao2bi3
 write brush_pen
 'write with a brush pen'
- b. 写楷书
 xie3 kai3shu1
 write kai_style
 'write in the kai style'
- c. 写黑板
 xie3 hei1ban3
 write blackboard
 'write on the blackboard'

Aspectual system

Sze-Wing Tang

Chinese has an aspectual system signaled by the presence of aspect markers, contrasting perfective and imperfective aspects. This chapter gives an overview of aspect markers in Chinese.

5.1. Definition of aspect

Aspect is a syntactic system where some intrinsic parts of clause meanings are associated with the internal temporal constituency of a situation. The aspect of a predicate refers to how the situation denoted by the predicate is being viewed, such as whether all or part of the situation is viewed. The term “situation” (also known as “Aktionsart” in the literature) refers to states and events (such as activities, accomplishments, and achievements) expressed by predicates according to their temporal properties (see Chapter 4). When we speak of a situation, we usually view it as a process consisting of a series of stages and phases, including the beginning, the continuation, and the completion. Each of these stages is an aspect that can be viewed.

Aspect is traditionally classified as either “perfective” or “imperfective.” When the perfective aspect is used, a situation is viewed in its entirety without explicit reference to any internal individual stages that make up the situation, namely, a complete situation with a beginning, middle, and end all included. Note that the notion of “completion” does not necessarily imply that the situation is “completed.” The perfective aspect focuses on all stages of the situation as a single whole, not just the end of the situation. Highlighting the end of a situation is just one of the possible meanings of the perfective aspect.

When the imperfective aspect is used, part of a situation is viewed with explicit reference to the internal temporal constituency of the situation. The situation described in a clause is not presented in its totality but instead is viewed from within, with a focus on the internal temporal structure or on some subinterval of time within the situation. Hence, the imperfective aspect is incompatible with situations that lack internal temporal structure.

Aspect can be represented by aspect markers. Two main kinds of aspect markers are distinguished to express perfectivity and imperfectivity, respectively, namely,

the perfective markers 了 *le0* and 过 *guo4* on the one hand, and the progressive marker 在 *zai4* and the continuous marker 着 *zhe0* on the other hand.

5.2. The perfective aspects

5.2.1. Perfective 了 *le0*

The perfective aspect can be indicated by the perfective marker 了 *le0* ‘LE,’ a suffix that is attached to the head of verbal or adjectival predicates. 了 *le0* ‘LE’ can be attached to monosyllabic verbs, such as 做 *zuo4* ‘do’ in [1a], and disyllabic verbs, such as verb-verb compounds like 扮演 *ban4yan3* ‘play’ in [1b], verb-adjective compounds like 提高 *ti2gao1* ‘raise’ in [1c], and verb-noun compounds like 关心 *guan1xin1* ‘care’ in [1d].

[1] a. 我们做了以下的安排:

wō3mēn0 zuo4 le0 yǐ3xià4 de0 ān1pái2
we make LE below DE arrangement
‘We did the following arrangements.’

b. 他扮演了最重要的角色。

tā1 bān4yǎn3 le0 zuì4 zhōng4yào4 de0 jué2sè4
he play LE most important DE role
‘He played the most important role.’

c. 提高了农村生活水准。

tígāo1 le0 nóngcūn1 shēng1huó2 shuǐ3zhūn3
raise LE rural living standard
‘(The industry) raised the living standard in rural areas.’

d. 您在高雄那么多年, 关心了许多题材。

nín2 zài4 gāo1xiōng2 nà4me0 duō1nián2
you PREP Kaohsiung so many_years

guān1xīn1 le0 xǔ3duō1 tí2cái2
be_concerned_about LE many topic

‘You were in Kaohsiung so many years and paid attention to so many topics.’

了 *le0* ‘LE’ can even be attached to trisyllabic verbs like the verb-adjective compound 刮干净 *gua1 gan1jing4* ‘shave-clean’ in [2a] and quadrisyllabic verbs like the verb-adjective compound 吸引干净 *xi1yin3 gan1jing4* ‘attract-clean’ in [2b]. The length of verbs does not affect the occurrence of 了 *le*.

- [2] a. 刮干净了胡子。
 gua1 gan1jing4 le0 hu2zi0
 shave clean LE beard
 '(He) has shaved himself clean.'
- b. 基本吸引干净了区域内的尘埃。
 ji1ben3 xi1yin3 gan1jing4 le0 qu1yu4 nei4 de0
 basically attract clean LE area inside DE
 chen2ai1
 dust
 '(It) has basically attracted all the dust in the area.'

了 *le0* 'LE' can also be attached to monosyllabic predicative adjectives, such as the 红 *hong2* 'red' in [3a], and disyllabic predicative adjectives, such as the 高兴 *gao1xing4* 'happy' in [3b].

- [3] a. 让我当场就红了眼眶。
 rang4 wo3 dang1chang3 jiu4 hong2 le0 yan3kuang4
 make I on_the_spot then red LE orbit
 '(The story) made my eyes red immediately.'
- b. 当主人买到我时,高兴了好几天。
 dang1 zhu3ren2 mai3 dao4 wo3 shi2 gao1xing4
 when host buy PREP I when happy
 le0 hao3ji3tian1
 LE quite_a_few_days
 'When the owner bought me, he was happy for quite a few days.'

The perfective marker 了 *le0* 'LE' can be attached to all action verbs, namely, the head of verbal predicates that denote activities, accomplishments, and achievements. With 了 *le0* 'LE,' the situation described in a clause is being viewed in its entirety or as a whole. For example, 哭 *ku1* 'cry' in [4a] denotes activities and 看这篇报导 *kan4 zhe4 pian1 bao4dao3* 'read this news report' in [4b] denotes accomplishments. 了 *le0* 'LE' in [4a] focuses on all stages of the situation of crying and all stages of reading the news report in [4b] as a single whole. The verbal predicate 吃饱 *chi1bao3* 'full' in [4c] is a verb-adjective compound, literally meaning 'eat-full,' and 了 *le0* 'LE' views all stages of the situation as a whole, including the action of eating and the result of being full.

- [4] a. 不知哭了多久。
 bu4 zhi1 ku1 le0 duo1jiu3
 NEG know cry LE how_long
 '(We) do not know how long (she) cried.'

- b. 读者看了这篇报导。
 du2zhe3 kan4 le0 zhe4 pian1 bao4dao3
 reader read LE this CL report
 'The readers read this news report.'
- c. 我吃饱了。
 wo3 chi1bao3 le0
 I eat_one's_full LE
 'I am full.'

Achievements are single-stage events. With an achievement predicate, 了 *le0* 'LE' views the single-stage event as a whole, as in [5a] and [5b] below.

- [5] a. 他已死了。
 ta1 yi3 si3 le0
 he already die LE
 'He already died.'
- b. 圣诞树就爆炸了。
 sheng4dan4shu4 jiu4 bao4zha4 le0
 Christmas_tree then explode LE
 'The Christmas tree then exploded.'

Stative verbal predicates and adjectival predicates denote states. When the head of such predicates are suffixed with 了 *le0* 'LE,' they are interpreted as inchoative, presenting the coming about of a state. The stative verbal predicate 知道了 *zhi1dao4 le0* 'have known' in [6a] no longer denotes the state denoted by 知道 *zhi1dao4* 'know' but is the change of state with an internal temporal structure, changing from not knowing the matter to having known it. The adjectival predicate 胖了 *pang4 le0* 'fat' in [6b] also denotes a change of state, from not being fat to having gained weight.

- [6] a. 他的朋友知道了这件事。
 ta1 de0 peng2you3 zhi1dao4 le0 zhe4 jian4 shi4
 he DE friend know LE this CL thing
 'His friends have learned this matter.'
- b. 虽然胖了点,但依稀还是当年的杰利。
 sui1ran2 pang4 le0 dian3 dan4 yi1xi1
 although fat LE a_little but can_be_told_out
 hai2shi4 dang1nian2 de0 jie2li4
 still that_year DE Jerry
 'Although (he) has gained weight a little bit, it seems to me he is still the same Jerry.'

Predicates with a perfective marker 了 *le0* 'LE' attached to their head do not necessarily denote a completed situation. For example, in the first clause of [7] the action of looking for the King was not completed until they found the King later on, which is expressed in the last clause. The 了 *le0* 'LE' in 找了好几天 *zhao3 le0 hao3ji3tian1* 'having looked for several days' focuses on all stages of the situation of looking for the King as a single whole. The precise endpoint of the action is determined by the context in [7] and is not signaled by the occurrence of 了 *le0* 'LE.'

- [7] 找了好几天, 都没有找到, 后来他们找到一座山上, 发现国王已经
饿死在那了。
- zhao3 le0 hao3ji3tian1 dou1 mei2you3 zhao3dao4
seek LE quite_a_few_days still NEG find
- hou4lai2 ta1men0 zhao3 dao4 yi1 zuo4 shan1
later they seek PREP one CL mountain
- shang4 fa1xian4 guo2wang2 yi3jing1 e4si3
on find king already starve_to_death
- zai4 na4 le0
PREP there LE
- 'They were looking for the King for quite a few days but didn't find him. Later on, they went to a hill and found that the King had already starved to death.'

Although the perfective marker 了 *le0* 'LE' may be used in sentences describing a situation of the past, as in [8a], it is not a past tense marker, which typically indicates that the time of action is before the time of speech. [8b] shows that 了 *le0* 'LE' can be used in a sentence that describes a future event, as indicated by the temporal adverbial 明天 *ming2tian1* 'tomorrow' (see Chapter 12).

- [8] a. 昨天晚上下了一场雨。
- zuo2tian1 wan3shang4 xia4 le0 yi1 chang3 yu3
yesterday night fall LE one CL rain
- 'It rained last night.'
- b. 今天, 你还好端端的站在这儿, 或许明天就已离开了世间。
- jin1tian1 ni3 hai2 hao3duan1duan1 de0 zhan4 zai4
today you still good DE stand PREP

zhe4er0 huo4xu3 ming2tian1 jiu4 yi3 li2kai1 le0
 here maybe tomorrow then already leave LE
 shi4jian1
 world
 'You are standing here with everything alright today, and perhaps
 would have left the world tomorrow.'

The appearance of 了 *le0* 'LE' is not directly related to the time of the event, and it can appear in sentences that do not have a clear indication of the action time or event time, such as imperatives like [9a] and conditional sentences like [9b]. 了 *le0* 'LE' is therefore not a tense marker, which indicates the relation between the time of action and the time of speech.

- [9] a. 回国别忘了我这个老朋友呀!
 hui2 guo2 bie2 wang4 le0 wo3 zhe4 ge4
 return country do_not forget LE I this CL
 lao3peng2you3 ya0
 old_friend YA
 'Don't forget me, your old friend, after returning home!'
- b. 如果真当了外交部长,那也是人民给的。
 ru2guo3 zhen1 dang1 le0 wai4jiao1bu4zhang3
 if really become LE minister_of_foreign_affairs
 na4 ye3 shi4 ren2min2 gei3 de0
 that also be people give DE
 'If she does become Minister of Foreign Affairs, the achievement
 (would not be hers) but a gift from the people.'

了 *le0* 'LE' is sometimes used in the first clause of a subtype of complex sentences, in which the first clause denotes a situation bounded by the subsequent event denoted by the second clause. In [10a] the predicate 看 *kan4* 'read' marked with 了 *le0* 'LE' denotes a perfective reading, in the sense that right after the situation of reading has taken place, the situation of knowing happens. The adverb 就 *jiu4* 'then' is used here to indicate the immediate temporal relationship between two situations, implying that the second situation follows from the first one (see Chapter 12). The same explanation applies to [10b] as well, in which the situation of leaving happens once the first situation of taking has been done. [10c] shows that a connective adjunct like 以后 *yi3hou4* 'thereafter' can appear in the first clause to mark the temporal relationship between the two clauses.

- [10] a. 你看了就知道。
 ni3 kan4 le0 jiu4 zhi1dao4
 you see LE then know
 'After you have read (it), then you know.'
- b. 拿了就走。
 na2 le0 jiu4 zou3
 hold LE then go
 'After taking it, then someone left.'
- c. 你看了以后, 就能对这次商展有更多的认识。
 ni3 kan4 le0 yi3hou4 jiu4 neng2 dui4 zhe4
 you see LE after then can PREP this
 ci4 shang1zhan3 you3 geng4duo1 de0
 CL commodity_exhibition have more DE
 ren4shi2
 knowledge
 'After you have read (it), then you know more about this exposition.'

Notice that there is a homophonous 了 *le0* 'LE' that occurs in the sentence-final position, as in [11a] and [11b] below, and follows the object if there is one. This 了 *le0* 'LE' is a "sentence-final particle."

- [11] a. 但是您找到答案了吗?
 dan4shi4 nin2 zhao3dao4 da2an4 le0 ma0
 but you find answer LE MA
 'However, have you found the answer?'
- b. 我们总算对行为艺术有点瞭解了。
 wo3men0 zong3suan4 dui4 xing2wei2yi4shu4 you3
 we at_last PREP performance_art have
 dian3 liao3jie3 le0
 some understanding LE
 'Finally we have some understanding of performance art.'

The perfective marker 了 *le0* 'LE' and the sentence-final particle 了 *le0* 'LE' can co-occur. In [12a] the 了 *le0* 'LE' attached to the compound verb 买好 *mai3hao3* 'have bought,' literally meaning 'buy-finish,' is the perfective marker, while the one following the object 明天晚上的飞机票 *ming2tian1 wan3shang4 de0 fei1ji1piao4* 'the ticket for the flight tomorrow night' is the sentence-final particle. The 见面 *xian4mian4* 'meet' in [12b] is a verb-noun compound, which literally means 'see faces.' When both the perfective marker 了 *le0* 'LE' and the sentence-final particle

了 *le0* 'LE' are used, the former is attached to the verb 见 *jian4* 'see' while the latter follows the bare noun 面 *mian4* 'face.'

- [12] a. 我已经买好了明天晚上的飞机票了。
 wo3 yi3jing1 mai3 hao3 le0 ming2tian1
 I already buy complete LE Tomorrow
 wan3shang4 de0 fei1ji1 piao4 le0
 night DE airplane ticket LE
 'I have already bought the ticket for the flight tomorrow night.'
- b. 两个好朋友就见了面了。
 liang3 ge4 hao3 peng2you3 jiu4 jian4 le0 mian4
 two CL good friend then see LE face
 le0
 LE
 'Then the two good friends met.'

The sentence-final particle 了 *le0* 'LE' has no direct relation with the head of the predicate, nor does it denote perfective meaning. The 了 *le0* 'LE' in [13a] is not used to view the situation of taking the flight in its entirety, but instead is used to indicate the fact that the situation of boarding the plane soon has become a reality, signaling a change of situation and its relevance to the situation at the time of reference. The sentence-final particle 了 *le0* 'LE' in [13b] is used to present new information to the addressee, namely, that in the situation at the time of reference the speaker says that paying a little money would suffice.

- [13] a. 他快要上机了。
 ta1 kuai4yao4 shang4 ji1 le0
 he soon board plane LE
 'He is going to board the flight soon.'
- b. 付一点钱就可以了。
 fu4 yi1dian3 qian2 jiu4 ke3yi3 le0
 pay a_bit money then OK LE
 'It will do to pay a little money.'

5.2.2. Perfective 过 *guo4*

The suffix 过 *guo4* is another marker used to indicate the perfective aspect, which is attached to the head of verbal predicates. The following examples show that 过 *guo4* can be attached to monosyllabic verbs, as in [14a], and disyllabic verbs, such as the verb-verb compound in [14b], the verb-adjective compound in [14c], and the verb-noun compound in [14d].

- [14] a. 他的政权也做过不少坏事。
 ta1 de0 zheng4quan2 ye3 zuo4 guo4 bu4shao3
 he DE regime also do GUO many
 huai4shi4
 evil_deed
 'His regime had also done many bad things.'
- b. 扮演过老师的角色。
 ban4yan3 guo4 lao3shi1 de0 jue2se4
 play GUO teacher DE role
 '(He) had played the role of a teacher.'
- c. 我在那里提高过自己的技术。
 wo3 zai4 na4li3 ti2gao1 guo4 zi4ji3 de0 ji4shu4
 I PREP there raise GUO self DE skill
 'I had improved my skills there.'
- d. 谁曾关心过我的痛苦?
 shui2 ceng2 guan1xin1 guo4 wo3 de0
 who ever be_concerned_about GUO I DE
 tong4ku3
 pain
 'Who cared about my pain?'

The perfective marker 过 *guo4* indicates the completion of an event or the end of a state. The final stage of the situation is no longer obtained and this implies some experience before the time of reference, namely, the situation has been experienced at least once. With the 过 *guo4* perfective, the situation of crying in [15a] and the situation of reading novels in [15b] have been experienced at least once.

- [15] a. 哭过千百遍。
 ku1 guo4 qian1 bai3 bian4
 cry GUO thousand hundred CL
 '(I) have cried so many times.'
- b. 他在小学期间, 已经读过不少小说。
 ta1 zai4 xiao3xue2 qi1jian1 yi3jing1 du2
 he PREP elementary_school period already read
 guo4 bu4shao3 xiao3shuo1
 GUO many novel
 'He had read many novels when he was in primary school.'

The situation conveyed by the sentence with 过 *guo4* is repeatable in the sense that the situation in principle could happen more than once. 千百遍 *qian1 bai3 bian4* ‘many times’ in [15a] indicates that the situation of crying could happen more than once. When 过 *guo4* is attached to a predicate that denotes a non-repeatable situation, the unnaturalness can be canceled out by pragmatic factors in the discourse. For example, death is normally not repeatable and the situation of dying is not expected to be compatible with the 过 *guo4* perfective. The sentence with 过 *guo4* in [16a] becomes acceptable because it actually describes a near-death experience, which is qualified by the possibility of describing that experience and the negative polarity of 不多见 *bu4 duo1 jian4* ‘not very common’ in the second clause. In [16b], the situation of dying is defined more narrowly and unconventionally, as in stopped breathing for an extensive period of time, so that we can see examples of the co-occurrence of 死 *si3* and 过 *guo4*.

- [16] a. 真正死过一次, 而且还能藉由图像表达经验的人或许就不多见了。
 zhen1zheng4 si3 guo4 yi1 ci4 er2qie3 hai2
 truly die GUO one CL and still
 neng2 jie4you2 tu2xiang4 biao3da2 jing1yan4 de0
 can via picture express experience DE
 ren2 huo4xu3 jiu4 bu4 duo1 jian4 le0
 people maybe then NEG often see LE
 ‘It would be rare for someone to be able to claim that s/he did die once and give a visual description of his/her experience.’
- b. 形容自己曾死过两次, 一次停止呼吸三十分钟一次四十分钟。
 xing2rong2 zi4ji3 ceng2 si3 guo4 liang3 ci4 yi1
 describe self ever die GUO two CL one
 ci4 ting2zhi3 hu1xi1 san1shi2 fen1zhong1 yi1 ci4
 CL stop breathe thirty minute one CL
 si4shi2 fen1zhong1
 forty minute
 ‘(She) describes that she died twice: each time without breathing for thirty minutes and the second time for forty minutes.’

Sentences with the perfective marker 过 *guo4* can imply past experience, if the time of reference is also the time of speech. For this reason, 过 *guo4* sometimes co-occurs with temporal adverbs referring to the past, such as 昨天 *zuo2tian1* ‘yesterday’ in [17a], 以前 *yi3qian2* ‘in the past’ in [17b], and 刚 *gang1* ‘just’ in [17c].

- [17] a. 昨天我找过你两次。
 zuo2tian1 wo3 zhao3 guo4 ni3 liang3 ci4
 yesterday I seek GUO you two CL
 'I tried to find you twice yesterday.'
- b. 我们以前谈过喜剧的问题。
 wo3men0 yi3qian2 tan2 guo4 xi3ju4 de0 wen4ti2
 we before talk GUO comedy DE issue
 'We discussed comedy issues in the past.'
- c. 不是才刚吃过早餐?
 bu4shi4 cai2gang1 chi1 guo4 zao3can1
 NEG just eat GUO breakfast
 'Haven't you just had breakfast?'

The perfective 过 *guo4* can also be used in conditional sentences, such as in [18]:

- [18] 如果你谈过恋爱, 会知道我在说什么。
 ru2guo3 ni3 tan2 guo4 lian4ai4 hui4 zhi1dao4
 if you talk GUO love will know
 wo3 zai4 shuo1 shen2me0
 I ZAI talk what
 'If you have experienced being in love, you will understand what I am saying.'

过 *guo4* indicates that the final stage of the situation is no longer obtained, namely, the situation has come to an end and the outcome of that situation does not hold true at the time of reference. The 过 *guo4* perfective thus requires a "discontinuity" between the final stage of the situation and the state of affairs after the time of reference.

Discontinuity is the main property that distinguishes the 过 *guo4* perfective and the 了 *le0* perfective. The situation denoted by the sentence with 了 *le0* is viewed as a whole, implying it has occurred before the time of reference, and the final stage is still obtained or the outcome of that situation holds true after the time of reference. Sentence [19a] with 过 *guo4* implies that the action of visiting America is over and he is no longer there at the time of reference, which coincides with the time of speech, namely, the final stage of the situation of visiting America is no longer obtained. The 了 *le0* in [19b] focuses on the fact that the situation of going to the East Coast has happened and they are no longer there. This sentence is true regardless of whether they are still in the East Coast or not. In the sentence with 过 *guo4* in [19c] the situation of dating each other has been experienced and is now over, conveying a discontinuity with regard to the time of reference, which is the time of speech or the present in this case. The 过 *guo4* in [19d] makes it clear that

when viewed as a whole, the previous visit to Kaohsiung is over and the last stage of the visit does not hold true. However, the experience gained from that visit is relevant to the current visit. For this reason, the perfective 过 *guo4* is sometimes termed “experiential aspect.”

- [19] a. 他是中国人, 去过美国。
 ta1 shi4 zhong1guo2ren2 qu4 guo4 mei3guo2
 he be Chinese go GUO the_United_States
 ‘He is Chinese, who had been to America.’
- b. 他们开了那辆车, 去了东部。
 ta1men0 kai1 le0 na4 liang4 che1 qu4 le0
 they drive LE that CL car go LE
 dong1bu4
 east
 ‘They drove that car and went to the East Coast.’
- c. 曾经好过的两个人, 还有可能和好吗?
 ceng2jing1 hao3 guo4 de0 liang3 ge4 ren2 hai2
 once good GUO DE two CL people still
 you3 ke3neng2 he2hao3 ma0
 have possibility reunite MA
 ‘Two persons who used to date each other, could they be with each other again?’
- d. 我以前来过高雄, 可以做你们的导游。
 wo3 yi3qian2 lai2 guo4 gao1xiong2 ke3yi3 zuo4
 I before come GUO Kaohsiung can be
 ni3men0 de0 dao3you2
 you DE tourist_guide
 ‘I was in Kaohsiung before and I could be your tour guide.’

过 *guo4* can also co-occur with the sentence-final particle 了 *le0*. The main part of [20a], 厂长看过这张表 *chang3zhang3 kan4 guo4 zhe4 zhang1 biao3* ‘the Director read the form,’ describes a situation and the sentence-final particle 了 *le0* denotes that the situation has become a reality at the time of reference. A likely scenario for this sentence is that someone is concerned with whether the Director has read the form and another person tells him that the Director is reading the form, so this scenario has become a reality. Similarly, a likely situation for using [20b] is a wife worrying about her child’s medication and her husband assuring her that it has become a reality because the child took the medication.

- [20] a. 厂长看过这张表了。

chang3zhang3 kan4 guo4 zhe4 zhang1 biao3 le0
 factory_director see GUO this CL form LE
 'The Director has read the form.'

- b. 孩子吃过药了。

hai2zi0 chi1 guo4 yao4 le0
 child eat GUO medication LE
 'The child took her medication.'

5.2.3. Perfective aspects and negation

Sentences with a perfective 过 *guo4* can appear in a negative form (see Chapter 6). The negators most often used are 没有 *mei2you3* or its variation 没 *mei2*, as in [21a] and [21b]. The negator 没有 *mei2you3* is a sentential scope negator indicating that the action or event represented by the predicate has not taken place and therefore does not exist at the time of reference. Since the predicate is marked with the perfective 过 *guo4*, the combination means that the subject never had the experience before; hence, the situation described is a first-ever experience.

- [21] a. 她活到五十多岁没出国, 心情真的很兴奋。

ta1 huo2 dao4 wu3shi2 duo1 sui4 mei2 chu1
 she live PREP fifty more year NEG leave
 guo4 guo2 xin1qing2 zhen1 de0 hen3 xing1fen4
 GUO country mood really DE very excited
 'She has never been abroad in her life of fifty years or so and is really excited.'

- b. 入行这么多年, 她还从来没有像今天这样红过。

ru4 hang2 zhe4me0 duo1 nian2 ta1 hai2
 enter career so many year she still
 cong2lai2 mei2you3 xiang4 jin1tian1 zhe4yang4
 ever NEG seem today like_this
 hong2 guo4
 red GUO
 'She has been in the performance business for so long and has never been so popular.'

- [22] a. 他不可能看过这份文件。

ta1 bu4ke3neng2 kan4 guo4 zhe4 fen4 wen2jian4
 he impossible see GUO this CL file
 'It is not possible that he saw this document before.'

- b. 我又不是结过几次婚, 怎么会知道?

wo3 you4 bu4shi4 jie2 guo4 ji3 ci4 hun1
 I again NEG get GUO several CL marriage
 zen3me0 hui4 zhi1dao4
 why can know

'It is not the case that I had several previous marriages. How could I know?'

The conditional clause in [23a] seems to be an exception. However, since the 不 *bu4* in this case carries the illocutionary force of volition, it has a status similar to a combination of a negative morpheme and a modal. [23a] thus has an interpretation about willingness, in contrast with [23b], which is about a fact.

- [23] a. 不试过几次, 就不会有感觉。

bu4 shi4 guo4 ji3 ci4 jiu4 bu4hui4 you3
 NEG try GUO several CL then NEG_will have
 gan3jue2
 feeling

'If you were not willing to have tried it several times, you would not get the feeling.'

- b. 没有试过几次, 就不会有感觉。

mei2you3 shi4 guo4 ji3 ci4 jiu4 bu4hui4
 NEG try GUO several CL then NEG_will
 you3 gan3jue2
 have feeling

'Had you not tried it several times, you would not have that feeling.'

Sentences with the 了 *le0* perfective can also appear in the negative form. Such a sentence typically has a negative and a modal combination, as in [24a] and [24b]. It is also possible for 了 *le0* to appear in a conditional clause negated by the morpheme 不 *bu4*, as in [25], but the 不 *bu4* should also be interpreted as a combination of negation and a modal of willingness.

- [24] a. 你又不是吃了火药, 干什么火气那么大?

ni3 you4 bu4shi4 chi1 le0 huo3yao4
 you again NEG eat LE gunpowder
 gan4shen2me0 huo3qi4 na4me0 da4
 why anger so big

'It is not the case that you have swallowed some gunpowder, and why are you so angry?'

- b. 他们还住在老地方, 不可能买了房子。

ta1men0 hai2 zhu4 zai4 lao3 di4fang0 bu4ke3neng2
they still live PREP old place impossible

mai3 le0 fang2zi0

buy LE house

'They are still living in the usual place, and it is not possible for them to have purchased a new house.'

- [25] 你不喝了这杯酒就不能走。

ni3 bu4 he1 le0 zhe4 bei1 jiu3 jiu4
you NEG drink LE this CL wine then

bu4neng2 zou3

cannot go

'You cannot leave if you are not willing to finish this cup of wine.'

An important property of the 了 *le0* perfective is that it does not appear with the negative modal combination 没有 *mei2you3* or its variation 没 *mei2*. When the need arises to indicate that some event has not taken place, sentences like [26a] and [26b] below will be used.

- [26] a. 太阳还没有出来呢, 着什么急啊?

tai4yang2 hai2 mei2you3 chu1lai2 ne0 zhao2
sun still NEG come_out NE hurry

shen2me0 ji2 a1

what hurry A

'The sun has not come out yet. Why are you so anxious?'

- b. 没买票不能进去。

mei2 mai3 piao4 bu4neng2 jin4qu4

NEG buy ticket cannot enter

'You cannot enter if you have not bought a ticket.'

5.3. The imperfective aspects

5.3.1. Progressive 在 *zai4*

The imperfective aspect is used to view part of a situation with explicit reference to an internal temporal constituency of the situation. A special case of the imperfective aspect is the progressive aspect, which focuses on the internal stages of non-stative events presented as ongoing or in progress. 在 *zai4* is the progressive marker in Chinese.

Unlike the perfective markers 了 *le0* and 过 *guo4*, the progressive marker 在 *zai4* is not a suffix. It is placed before the verbal predicate, like the monosyllabic verb 干 *gan4* ‘do’ in [27a] and the disyllabic verb 动摇 *dong4yao2* ‘shake’ in [27b].

- [27] a. 你在干什么?
 ni3 zai4 gan4 shen2me0
 you ZAI do what
 ‘What are you doing?’
- b. 现在这信念在动摇了。
 xian4zai4 zhe4 xin4nian4 zai4 dong4yao2 le0
 now this faith ZAI waver LE
 ‘This belief is now shaken.’

When the verbal predicate is modified by adverb adverbials, they typically precede 在 *zai4*, such as the temporal adverb 常常 *chang2chang2* ‘always’ in [28a], the scope adverb 都 *dou1* ‘all’ in [28b], and the attitude adverb 一定 *yi1ding4* ‘definitely’ in [28c].

- [28] a. 我常常在问自己。
 wo3 chang2chang2 zai4 wen4 zi4ji3
 I often ZAI ask self
 ‘I am always asking myself.’
- b. 我们每天都在制造垃圾。
 wo3men0 mei3tian1 dou1 zai4 zhi4zao4 la1ji1
 we everyday all ZAI make garbage
 ‘We are producing garbage every day.’
- c. 妈妈一定在骗我。
 ma1ma0 yi1ding4 zai4 pian4 wo3
 mother must ZAI lie I
 ‘My mother is definitely cheating me.’

Sentences in [29a] and [29b], however, show that manner adverbials, such as 逐渐 *zhu2jian4* ‘gradually,’ may be either on the left or on the right of 在 *zai4*.

- [29] a. 气氛才逐渐在转变。
 qi4fen1 cai2 zhu2jian4 zai4 zhuan3bian4
 ambience just gradually ZAI change
 ‘The atmosphere is changing gradually.’

- b. 环保观念已经在逐渐转变。

huan2bao3 guan1nian4 yi3jing1 zai4
environmental_protection concept already ZAI

zhu2jian4 zhuan3bian4

gradually change

'The concept of environmental protection is already changing gradually.'

If the adverbials are preposition phrases, such as 替我 *ti4 wo3* 'for me' in [30a], 为市场经济 *wei4 shi4chang3jing1ji4* 'for the market economy' in [30b], 对我 *dui4wo3* 'to me' in [30c], 跟你 *gen1ni3* 'with you' in [30d], 朝这个方向 *zhao1 zhe4 ge4 fang1xiang4* 'toward this direction' in [30e], and 向外 *xiang4wai4* 'to the outside world' in [30f], they predominantly follow 在 *zai4*.

- [30] a. 看到老师、校长、同学都在替我加油。

kan4dao4 lao3shi1 xiao4zhang3 tong2xue2 dou1 zai4
see teacher president classmate all ZAI

ti4 wo3 jia1you2

PREP I add_oil

'(I) see that the teachers, the principal, and the students are all encouraging me.'

- b. 其实全世界都在为市场经济伤脑筋。

qi2shi2 quan2shi4jie4 dou1 zai4 wei4
in_fact all_the_world all ZAI PREP

shi4chang3jing1ji4 shang1nao3jin1

market_economy vexing

'In fact the market economy is exercising the mind of everyone.'

- c. 一阵阵西风仿佛在对我说...

yi1 zhen4 zhen4 xi1feng1 fang3fu2 zai4
one CL CL westerly_wind seemly ZAI

dui4 wo3 shuo1

PREP I talk

'Winds blowing from the west are seemingly telling me...'

- d. 今天孩子在跟你讲话。

jin1tian1 hai2zi0 zai4 gen1 ni3 jiang3hua4
today child ZAI PREP you talk

'The children are talking to you today.'

- e. 现代的全球市场也在朝这个方向走。

xian4dai4 de0 quan2qiu2 shi4chang3 ye3 zai4
modern_times DE whole_world market also ZAI

chao2 zhe4 ge4 fang1xiang4 zou3

PREP this CL direction go

'The modern global market is also moving toward this direction.'

- f. 我们从出生开始就一直在向外看。

wo3men0 cong2 chu1sheng1 kai1shi3 jiu4 yi1zhi2

we PREP birth start then all_the_time

zai4 xiang4 wai4 kan4

ZAI PREP outside see

'We have been looking toward the outside world since we were born.'

The temporal adverb 正 *zheng4* 'just, right' can be used with 在 *zai4* to make the sentence slightly more emphatic. The use of 正 *zheng4* 'just, right' before 在 *zai4* in [31a] and [31b] makes the sentences more vivid in their narrative effect.

- [31] a. 我正在做功课。

wo3 zheng4zai4 zuo4 gong1ke4

I ZAI do homework

'I am just doing homework.'

- b. 我正在建造一座大教堂。

wo3 zheng4zai4 jian4zao4 yi1 zuo4 da4 jiao4tang2

I ZAI build one CL big church

'I am just building a big church.'

在 *zai4* or 正在 *zheng4zai4* may be used in sentences referring to the present, as in [32a] and [32b] below, in which 在 *zai4* or 正在 *zheng4zai4* co-occurs with the temporal adverb 现在 *xian4zai4* 'now.'

- [32] a. 妳现在在念什么?

ni3 xian4zai4 zai4 nian4 shen2me0

you now ZAI read what

'What are you studying?'

- b. 我家长现在正在看电视。

wo3 jia1zhang3 xian4zai4 zheng4zai4 kan4 dian4shi4

I parent now ZAI watch TV

'My parents are watching TV right now.'

The situation viewed by 在 *zai4* may be in progress at any time, either in the present, as in the above sentences, or in the past, as signaled by the temporal

adverbial 那个时候 *na4 ge4 shi2hou0* ‘that time’ in [33a] and 那天 *na4tian1* ‘that day’ in [33b]. Clearly, neither 在 *zai4* nor 正 *zheng4* is a marker of tense, which is directly related to the relation between the time of action and the time of speech.

- [33] a. 那个时候弟弟还在念幼稚园呢。

na4 ge4 shi2hou0 di4di0 hai2 zai4 nian4
that CL time younger_brother still ZAI read

you4zhi4yuan2 ne0
kindergarten NE

‘My younger brother was studying in kindergarten at that time.’

- b. 那天, 刚退伍的我正在台北找工作。

na4 tian1 gang1 tui4wu3 de0 wo3
that day just be_demobilized DE I

zheng4zai4 tai2bei3 zhao3 gong1zuo4
ZAI Taipei look_for job

‘On that day, I was looking for a job in Taipei after being discharged from the army.’

The progressive aspect focuses on one of the internal stages of non-stative events. The progressive marker 在 *zai4* can co-occur with verbal predicates that express activities, as in [34a], and accomplishments, as in [34b]. It cannot, however, co-occur with predicates that express achievements, such as 死 *si3* ‘die’ and 爆炸 *bao4zha4* ‘explode,’ as these events have no internal temporal stage; nor can it co-occur with stative predicates, such as 知道 *zhi1dao4* ‘know’ and 胖 *pang4* ‘fat,’ as they do not have internal stages either.

- [34] a. 妈妈一直在哭。

ma1ma0 yi1zhi2 zai4 ku1
mother all_the_time ZAI cry

‘My mother is crying all the time.’

- b. 我前一阵子在看一本那个日本的小说。

wo3 qian2 yi1zhen4zi0 zai4 kan4 yi1 ben3 na4
I before a_while ZAI see one CL that

ge4 ri4ben3 de0 xiao3shuo1
CL Japan DE novel

‘I was reading a Japanese novel some time ago.’

Some predicates, such as 敲 *qiao1* ‘knock’ and 咳嗽 *ke2sou40* ‘cough,’ typically denote single-stage, punctual events that occur very quickly, similar to achievements. They are, however, repeatable with no result or outcome, unlike achievements. Such single-stage events are also known as “semelfactives.” When semelfactive predicates are marked with 在 *zai4*, the situations are presented in repetitive sequences, also known as “iterative multiplicity.” For example, the predicate 敲门 *qiao1men2* ‘knock at the door’ in [35a] denotes a multiple-event reading involving a sequence of knocks, as signaled by the frequency phrases 一次 *yi1 ci4*, 又一次 *you4 yi1 ci4* ‘again and again’ and 五六次 *wu3 liu4 ci4* ‘five to six times.’ It turns out that there is some internal temporal structure or subinterval of time within the repetitive semelfactive event that is compatible with the progressive aspect. The effect of the progressive aspect is to change a single-stage event into a multiple-stage one. The case is similar in [35b], in which 咳嗽 *ke2sou4* ‘cough’ is interpreted as a multiple-stage event, not a single-stage one.

- [35] a. 有人在敲门, 敲了一次, 又一次, 大概是五六次吧。
 you3ren2 zai4 qiao1men2 qiao1 le0 yi1 ci4
 someone ZAI knock_on_a_door knock LE one CL
 you4 yi1 ci4 da4gai4 shi4 wu3 liu4 ci4 ba0
 again one CL around be five six CL BA
 ‘Somebody was knocking at the door and kept knocking again and again, perhaps five to six times.’
- b. 那个人在咳嗽。
 na4 ge4 ren2 zai4 ke2sou4
 that CL people ZAI cough
 ‘That person is coughing.’

5.3.2. Continuous 着 *zhe0*

Another imperfect aspect is signaled by 着 *zhe0* (written as 著 *zhe0*, especially in traditional character). 着 *zhe0* presents a continuous and stable situation without regard to the final endpoint of the situation, which is known as the “continuous aspect,” “durative aspect,” and “stative imperfect aspect” in the literature.

着 *zhe0* is a suffix attached to the head of verbal predicates. The head can be monosyllabic, such as 看 *kan4* ‘look’ in [36a], or disyllabic, such as 等待 *deng3dai4* ‘wait’ in [36b].

- [36] a. 她无言地看着我。
 ta1 wu2yan2 de0 kan4 zhe0 wo3
 she speechless DE look ZHE I
 ‘She was looking at me silently.’

- b. 我等待着爱情的胜利。

wo3 deng3dai4 zhe0 ai4qing2 de0 sheng4li4
 I wait ZHE love DE victory
 'I am waiting for the victory of love.'

When it is attached to the head of predicates that denote positions or postures, 着 *zhe0* focuses on stativeness of position and posture, presenting the internal stages of situations in a static manner, as [37a], [37b], and [37c] illustrate:

- [37] a. 他只是安静地坐着。

ta1 zhi3shi4 an1jing4 de0 zuo4 zhe0
 he just quiet DE sit ZHE
 'He was just sitting quietly.'

- b. 店内小朋友一个个手上拿着一本书。

dian4 nei4 xiao3peng2you3 yi1 ge4 ge4 shou3
 store inside children one CL CL hand
 shang4 na2 zhe0 yi1 ben3 shu1
 on hold ZHE one CL book
 'Each of the children in the bookstore was holding a book in his hand.'

- c. 他也是跪着。

ta1 ye3 shi4 gui4 zhe0
 he also be kneel ZHE
 'He was kneeling too.'

着 *zhe0* may also focus on statives resulting from an action. The states of the national flags being hung in [38a], two big characters being written in [38b], and the door being open in [38c] are all resultative. Although the verbs 挂 *gua4* 'hang,' 写 *xie3* 'write,' and 开 *kai1* 'open' are action verbs, they do not convey an action in progress in these sentences. Instead, they convey a state that results from an action (i.e., being hung, being written, and being open) and 着 *zhe0* in these sentences focuses on a stative stage after the action has been accomplished by someone prior to the moment of observation.

- [38] a. 处处挂着国旗。

chu4chu4 gua4 zhe0 guo2qi2
 everywhere hang ZHE national_flag
 'The national flags are hanging everywhere.'

- b. 桶上写着两个大字。

tong3 shang4 xie3 zhe0 liang3 ge4 da4zi4
 tub on write ZHE two CL big_character
 'Two big characters are written on the bucket.'

- c. 当她看见门开着...
 dang1 ta1 kan4jian4 men2 kai1 zhe0
 when she see door open ZHE
 'When she saw the door was open...'

A limited number of adjectival predicates may have their head suffixed by 着 *zhe0*, such as 红 *hong2* 'red' in [39a] and 空 *kong1* 'empty' in [39b]. The situations in these sentences are all statives resulting from some action.

- [39] a. 她红着眼睛。
 ta1 hong2 zhe0 yan3jing1
 she red ZHE eye
 'Her eyes are red.'
- b. 原来的房子不少空着。
 yuan2lai2 de0 fang2zi0 bu4shao3 kong1 zhe0
 before DE house many empty ZHE
 'Many old houses are empty.'

着 *zhe0* often co-occurs with the sentence-final particle 呢 *ne0* to indicate a continuous situation with some gentle protest or request for an explanation, as in [40a] and [40b]. The gentle request for an explanation can sometimes be extended to mean a soft reprimand, as in [40c] and [40d], in that the speaker is complaining about the obvious ignorance on the part of the listener.

- [40] a. 村里人都等着呢!
 cun1 li3 ren2 dou1 deng3 zhe0 ne0
 village inside people all wait ZHE NE
 'The people in the village are all waiting!'
- b. 墙上贴着呢, 你不会看吗?
 qiang2 shang4 tie1 zhe0 ne0 ni3 bu4hui4 kan4
 wall on glue ZHE NE you NEG_can see
 ma0
 MA
 '(The notice) is posted on the wall. Can't you read it?'
- c. 国庆日还早着呢!
 guo2qing4ri4 hai2 zao3 zhe0 ne0
 national_day still early ZHE NE
 'The National Day is still too far away!'

- d. 情势复杂着呢。

qing2shi4 fu4za2 zhe0 ne0
 situation complex ZHE NE
 'The situation is so complicated.'

Similar expressions without 呢 *ne0* can be found, as in [41a], [41b], and [41c] below. The major difference between these two sets of examples is the availability of some additional illocutionary force associated with 呢 *ne0*, which conveys a gentle request for an explanation or a soft reprimand.

- [41] a. ...无数问题都等着他。

wu2shu4 wen4ti2 dou1 deng3 zhe0 ta1
 many problem all wait ZHE he
 'Many questions are waiting for him.'

- b. 全世界看着你。

quan2shi4jie4 kan4 zhe0 ni3
 all_the_world see ZHE you
 'The world is watching you.'

- c. 屋外贴着红红的春联。

wu1 wai4 tie1 zhe0 hong2hong2 de0
 house outside glue ZHE red DE
 chun1lian2
 New_Year_scrolls
 'Red couplets for the Chinese New Year are being posted outside the house.'

Similar to the progressive marker 在 *zai4*, the continuous marker 着 *zhe0* can be used in sentences referring to the present or the past, carrying no indication of tense. [42a] shows that 着 *zhe0* can be used in a sentence that refers to the present. The clauses in [42b] show that 着 *zhe0* can co-occur with the temporal adverbs 昨天 *zuo2tian1* 'yesterday' and 今天 *jin1tian1* 'today.'

- [42] a. 她现在孤独地过着贫苦的日子。

ta1 xian4zai4 gu1du2 de0 guo4 zhe0 pin2ku3
 she now lonely DE live ZHE poverty-stricken
 de0 ri4zi0
 DE day
 'She is now living a poor, lonely life.'

- b. 礼拜天下着雨, 昨天也下着雨, 今天仍旧下着雨。

li3bai4tian1 xia4 zhe0 yu3 zuo2tian1 ye3 xia4 zhe0
 Sunday fall ZHE rain yesterday also fall ZHE

yu3 jin1tian1 reng2jiu4 xia4 zhe0 yu3
 rain today still fall ZHE rain
 'It was raining on Sunday. It was also raining yesterday. It is still
 raining today.'

Although the progressive marker 在 *zai4* and the continuous marker 着 *zhe0* are both imperfective markers, they are used in different contexts. [43a] describes what a boy was doing, whereas [43b] describes the appearance of a woman. The verb 穿 *chuan1* 'to wear' in [43a] denotes an ongoing activity meaning 'putting on' and 在 *zai4* views the internal stages of the situation of putting on new pants. The verb 穿 *chuan1* in [43b], however, denotes a state resulting from the action of putting on a pair of loose linen pants and means 'wearing.' 着 *zhe0* is used to focus on the result.

- [43] a. 一名九岁的小男生在穿新裤子时...
 yi1 ming2 jiu3 sui4 de0 xiao3 nan2sheng1 zai4
 one CL nine year DE small boy ZAI
 chuan1 xin1 ku4zi0 shi2
 wear new pants time
 'When a nine-year-old boy was putting on his new pants...'
- b. 她穿着一件宽松的亚麻布衬裤。
 ta1 chuan1 zhe0 yi1 jian4 kuan1song1
 she wear ZHE one CL loose_and_comfortable
 de0 ya4ma2bu4 chen4ku4
 DE linen_cloth (under)pants
 'She was wearing a pair of loose linen pants.'

The same can be said about the contrast between [44a] and [44b]. When the verb 放 *fang4* 'put' takes the progressive marker 在 *zai4* in [44a], it is interpreted as an action verb meaning 'to put into,' with the internal stages of the ongoing action being viewed. When it takes a continuous marker 着 *zhe0*, as in [44b], the same verb is used to name a state resulting from the action of putting.

- [44] a. 起火前事主在往锅里放油。
 qi3huo3 qian2 shi4zhu3 zai4 wang3 guo1 li3
 fire before victim ZAI PREP pan inside
 fang4 you2
 put oil
 'The person was putting oil into the pot before the fire started.'

- b. 停在饭店外的一辆汽车行李箱内放着一枚大炸弹。

ting2 zai4 fan4dian4 wai4 de0 yi1 liang4
stop PREP hotel outside DE one CL

qi4che1 xing2li3xiang1 nei4 fang4 zhe0 yi1 mei2
car luggage inside put ZHE one CL

da4 zha4dan4

big bomb

'A big bomb was lying in the trunk of a car that was outside the hotel.'

着 *zhe0* is sometimes used in the first predicate of a complex sentence to provide a background for the second one. The situation denoted by the first predicate with 着 *zhe0* should have some internal stages extending over a certain period of time. For example, the first predicate 站 *zhan4* 'stand' marked with 着 *zhe0* in [45a] describes the posture of two people while they were having a 说话 *shuo1hua4* 'talk.' Similarly, the situation of crying in [45b] is presented as ongoing background to the situation of looking for the teacher.

- [45] a. 阳台上两个人站着说话。

yang2tai2 shang4 you3 liang3 ge4 ren2 zhan4
balcony on have two CL people stand

zhe0 shuo1hua4

ZHE talk

'Two people were talking while standing on the balcony.'

- b. 学生哭着去找老师。

xue2sheng1 ku1 zhe0 qu4 zhao3 lao3shi1

student cry ZHE go seek teacher

'The crying students looked for their teacher.'

The background predicate marked with 着 *zhe0* may contain a transitive verb and its object, such as the 听 *ting1* 'listen to' and 张学友的「真爱」 *zhang1xue2you3 de0 zhen1ai4* 'Jackie Cheung's True Love' in [46a], where the situation of listening to Jackie Cheung's *True Love* provides a background for the situation of falling asleep. Although the situation denoted by the verb 敲 *qiao1* 'tap, knock' in [46b] is a single-stage event, it is repeatable and, when marked with 着 *zhe0*, can be reinterpreted as an ongoing situation with internal stages, somewhat similar to activities. Hence, the first predicate 敲着盆子 *qiao1 zhe0 pen2zi0* 'tapping the bowl' in [46b] is an accompaniment to the situation of singing.

- [46] a. 每天要听着张学友的「真爱」入眠的忠义。
 mei3tian1 yao4 ting1 zhe0 zhang1xue2you3 de0
 everyday need listen ZHE Zhang_Xueyou DE
 zhen1ai4 ru4mian2 de0 zhong1yi4
 true_love fall_asleep DE ZHONGYI
 'Zhongyi falls asleep listening to Jackie Cheung's *True Love* every day.'
- b. 敲着盆子唱歌。
 qiao1 zhe0 pen2zi0 chang4 ge1
 knock ZHE pot sing song
 '(He) sang while tapping the bowl.'

5.3.3. Imperfective and negation

Sentences with progressive 在 *zai4* typically do not appear in negative form. In the rare cases in which negation is called for, the predominant form is for the negative morpheme 不 *bu4* to combine with a modal, such as the 是 *shi4* 'be' in [47a], and the 可能 *ke3neng2* 'can' in [47b].

- [47] a. 你又不是在上课, 怎么就不接电话?
 ni3 you4 bu4shi4 zai4 shang4ke4 zen3me0 jiu4
 you also NEG ZAI have_class why then
 bu4 jie1dian4hua4
 NEG answer_phone
 'It is not the case that you are having classes. Why didn't you answer the phone call?'
- b. 大年三十的, 她不可能在上班。
 da4nian2san1shi2 de0 ta1 bu4ke3neng2 zai4 shang4ban1
 New_Year's_Eve DE she impossible ZAI be_on_duty
 'It is New Year's Eve, and it cannot be the case that she is working.'

Sentences with continuous 着 *zhe0* typically do not appear in negative form either. When such a rare case does happen, the negative morpheme 不 *bu4* characteristically combines with a modal, such as the 应该 *ying1gai1* 'should' in [48] below:

- [48] 这种天气不应该都穿着短裤吧?
 zhe4zhong3 tian1qi4 bu4 ying1gai1 dou1 chuan1
 such weather NEG should all wear
 zhe0 duan3ku4 ba0
 ZHE shorts BA
 'Shouldn't it be the case that everyone is wearing shorts?'

In some very limited contexts, such as the question and answer pairs in [49], the combination 没有 *mei2you3* or its variation 没 *mei2* can be used to indicate that the event or action represented by the imperfective predicate has not happened.

[49] a. Teacher: 你又在玩游戏了吧?

ni3 you4 zai4 wan2 you2xi4 le0 ba0
you again ZAI play game LE BA
'Are you playing video games again?'

Student: 我没在玩游戏。

wo3 mei2 zai4 wan2 you2xi4
I NEG ZAI play game
'I was not playing video games.'

b. Mother: 你怎么又躺着了?

ni3 zen3me0 you4 tang3 zhe0 le0
you why again lay ZHE LE
'Why are you lying down again?'

Son: 我没躺着。

wo3 mei2 tang3 zhe0
I NEG lay ZHE
'I have not been lying down.'

Negation

Haihua Pan, Po Lun Peppina Lee, and Chu-Ren Huang

Negation in Chinese involves a negator which changes the polarity of a sentence or other linguistic unit it modifies. This chapter describes the use of negators in Chinese. A negator in Chinese is a word or root which expresses negative meaning. Common negative forms in Chinese include 不 *bu4* ‘not,’ 没(有) *mei2 (you3)* ‘not (have),’ and 别 *bie2* ‘don’t.’ We will discuss different types of negation according to the positions of the negators and the scope of negation. We will also discuss the interaction between the negators and aspects and predicates, as well as how focus affects the interpretation of negative sentences. Lastly, we will discuss negation involving questions, metalinguistic negation, and negative polarity items.

6.1. Scope of negation

6.1.1. The concept of scope

The scope of negation is the part of the meaning whose polarity is changed. The semantic scope of negation in Chinese is very often, though not always, parallel to its syntactic structure.

- [1] a. 张震岳依旧怡然自得, 完全不受流言影响。
 zhang1zhen4yue4 yi1jiu4 yi2ran2zi4de2
 ZHANG_ZHENYUE still happy_and_pleased
 wan2quan2 bu2 shou4 liu2yan2 ying3xiang3
 completely NEG SHOU rumor affect
 ‘Zhengyue Zhang remains delightful, and is not affected by the rumors at all.’
- b. 张震岳依旧怡然自得, 不完全受流言影响。
 zhang1zhen4yue4 yi1jiu4 yi2ran2zi4de2 bu4
 ZHANG_ZHENYUE still happy_and_pleased NEG
 wan2quan2 shou4 liu2yan2 ying3xiang3
 completely suffer rumor be_affected
 ‘Zhengyue Zhang remains delightful, and is not totally affected by the rumors.’

不 in [1a] negates the verb phrase 受流言影响 *shou4 liu2yan2 ying3xiang3* ‘affected by the rumors,’ and the whole negated phrase is then modified by the adverb 完全 *wan2quan2* ‘completely/totally,’ which is external to the scope of negation. If the adverb 完全 *wan2quan2* ‘completely/totally’ occurs after the negator, then it is inside the scope of 不 *bu4* ‘no’ negation, resulting in the ‘not completely’ interpretation of [1b].

6.1.2. Clausal negation and constituent negation

The scope of negation can be either the whole clause/sentence or restricted to a local constituent. Negation constructions are often classified according to their scope difference.

6.1.2.1. Clausal negation

Negation which changes the polarity of the whole sentence or clause is called clausal negation. Negators in such negation are called clausal negators.

- [2] (组委会) 也没有说明每场比赛有多少向公众出售的门票。
 zu3wei3hui4 ye3 mei2you3 shuo1ming2
 the_organizing_committee also NEG explain
 mei3 chang3 bi3sai4 you3 duo1shao3 xiang4
 every CL game have how_many PREP
 gong1zhong4 chu1shou4 de0 men2piao4
 public sell DE admission_ticket
 ‘(The organizing committee) did not make clear the number of tickets
 available for the public in each match.’

没(有) *mei2 (you3)* ‘not (have)’ in [2] takes scope over the entire clause where it appears. Clausal negation yields a negative clause and can be defined semantically in terms of contradictory opposition.

- [3] a. 小张没有去美国。
 xiao3zhang1 mei2you3 qu4 mei3guo2
 Xiaozhang NEG go_to United_States
 ‘Xiaozhang did not go to the U.S.’
 b. 小张去了美国。
 xiao3zhang1 qu4 le0 mei3guo2
 Xiaozhang go LE United_States
 ‘Xiaozhang went to the U.S.’

[3a] and [3b] are two statements that are contradictory to each other. They cannot both be true, but they also cannot both be false. The effect of clausal negation is to create a statement that is logically contradictory to the original one; hence, if either statement is true, then the other one must be false, and vice versa.

6.1.2.2. Constituent negation

Constituent negation operates on part of a sentence/clause only. It may also be referred to as subclausal negation. The scope of negation can be a verb phrase (VP), an adjective phrase (AdjP), an adverb phrase (AdvP), a noun phrase (NP), or a prepositional phrase (PP). Subclausal negation is also known as “internal negation” or “narrow negation.”

- [4] 两岸处于高度不信任状态, 复谈有相当难度。
 liang3an4 chu4yu2 gao1du4 bu2 xin4ren4
 cross-strait situated_in highly NEG trust
 zhuang4tai4 fu4tan2 you3 xiang1dang1
 condition resume_the_talk have quite
 nan2du4
 degree-of-difficulty

‘As China and Taiwan, the two sides of the strait, were in a state of “high degree of distrust,” resuming negotiations would be difficult.’

As a negator 不 *bu4* ‘no’ in [4] negates the verb 信任 *xin4ren4* ‘trust,’ which describes the state that both sides of the strait are in (i.e., not trusting each other). The negation scopes of 不 *bu4* ‘no’ and 没(有) *mei2you3* ‘not (have)’ will be discussed further in the next section.

6.2. The positions and scopes of negators

6.2.1. 不 *bu4*

6.2.1.1. The position of 不 *bu4*

As an adverb, 不 *bu4* ‘no’ precedes either an adverb phrase or an adjective phrase. When 不 *bu4* ‘no’ is added to a verb which denotes an action, it usually results in a volitional negation, equivalent in meaning to 不愿意 *bu4yvan4yi4* ‘not willing to,’ 不肯 *bu4ken3* ‘not willing to,’ and 不想 *bu4xiang3* ‘not want to.’

- [5] 美司法部长不评论有关台湾威胁美国安全的问题。
 mei3 si1fa3 bu4zhang3 bu4 ping2lun4
 America judiciary administer NEG comment_on

you3guan1 tai2wan1 wei1xie2 mei3guo2 an1quan2
concern Taiwan menace America safety

de0 wen4ti2
DE issue

'The United States Attorney General would not comment on the issue of whether Taiwan is threatening the national security of the United States.'

In [5], 不 *bu4* 'no' is a volitional negation indicating that the Attorney General refused to comment. In this context, a simple denial of the fact (i.e., 'did not comment') is expressed by the negator 没有 *mei2you3* 'no.' 不 *bu4* 'no' can also occur in a constituent negation.

- [6] 我不翻译, 他们怎么懂?
wo3 bu4 fan1yi4 ta1men0 zen3me0 dong3
I NEG translate they how understand
'If I had not done the translation, how would they understand (what people are saying)?'

When 不 *bu4* 'no' combines with an auxiliary, it negates the modality expressed by the auxiliary.

- [7] 情感选择回归到个人身上, 不应由国家以法律来规范人民。
qing2gan3 xuan3ze2 hui2gui1 dao4 ge4ren2
emotion choice go_back PREP individual

shen1shang4 bu4 ying1 you2 guo2jia1 yi3 fa3lü4
body_on NEG should PREP country PREP law

lai2 gui1fan4 ren2min2
come rule people
'Emotional commitments are personal (and) should not be imposed by the country through the implementation of laws.'

When 不 *bu4* 'no' is added to an adjective phrase or a predicate that denotes a property or a quality, it negates the property or quality in question.

- [8] 环境不佳的现实情境下, 不太适合进行促销活动。
huan2jing4 bu4 jia1 de0 xian4shi2 qing2jing4
environment NEG fine DE reality situation

xia4 bu2 tai4 shi4he2 jin4xing2 cu4xiao1
 under NEG too appropriate perform promotion
 huo2dong4
 activities

'In consideration of the adverse economic situation, it is not that appropriate to perform any promotional activities (at this moment).'

In [8], the negator 不 *bu4* occurs before a preverbal adjunct and its scope is over that adjunct. Hence, it is a comment on the appropriateness of the activity, without indicating whether the activity has actually taken place or not.

6.2.1.2. The scope of 不 *bu4*

The semantic difference in scope is marked syntactically by a difference in linear order, especially relative to other scope-taking elements like adverbs, quantifiers, and modals. Typically, the relative scope of adverbs and 不 *bu4* matches their relative linear order. When an adverb follows 不 *bu4*, it is within the scope of 不 *bu4* and is negated by 不 *bu4*, as in [9].

- [9] a. 他不好好的吃饭。
 ta1 bu4 hao3hao de0 chi1fan4
 he no properly DE eat
 'He does not eat properly.'
- b. 它不完全是书信体。
 ta1 bu4 wan2quan2 shi4 shu1xin4ti3
 it NEG completely be epistolary_style
 'This is not completely written in the style of a correspondence letter.'

不 *bu4* precedes the adverbs 好好 *hao3hao* 'properly' in [9a] and 完全 *wan2quan2* 'totally' in [9b] and negates the two adverbs by taking a wider scope over them. In contrast, when an adverb precedes 不 *bu4*, it is external to the scope of 不 *bu4* and is not part of the negation.

- [10] a. (他) 一直不讲话, 在那边画圈。
 ta1 yi1zhi2 bu4 jiang3hua4 zai4 na4bian1
 he all_the_time NEG speak PREP there
 hua4quan1
 draw_circle
 'He remained speechless, and kept drawing circles over there.'

- b. 因为没有经验,我完全不明白导演的要求。

yin1wei2 mei2you3 jing1yan4 wo3 wan2quan2 bu4
because not_have experience I totally NEG

ming2bai2 dao3yan3 de0 yao1qiu2
understand director DE demand

'Due to a lack of experience, I completely failed to understand the demand of the director.'

- [11] 我一定不去。

wo3 yi1ding4 bu2 qu4
I definitely NEG go

'I will definitely not go.'

The adverbs 一直 *yi1zhi2* 'always' in [10a] and 完全 *wan2quan2* 'totally' in [10b] precede 不 *bu4*, hence, they are not negated by 不 *bu4* but instead modify the full negative verb phrase. Likewise, in [11], the adverb 一定 *yi1ding4* 'definitely' is external to the scope of 不 *bu4* and asserts the certainty of "not going." Similarly, the relative scope of duration and the frequency adverb and the negator 不 match their relative linear order.

- [12] 他常常不刷牙。

ta1 chang2chang2 bu4 shua1ya2
he often NEG brush_one's_teeth

'It is often the case that (he) does not brush his teeth.'

In [12], the temporal frequency adverb modifies the negative VP. However, when a temporal frequency adverb follows 不 *bu4* 'no,' it is negated by 不 *bu4* 'no' together with the predicate.

- [13] 他不经常来这儿吃饭的。

ta1 bu4 jing1chang2 lai2 zhe4er0 chi1fan4 de0
he NEG often come here eat DE

'It is not often that he comes here to eat.'

The same linear order effect can be found with quantifiers such as 所有 *suo3you3* 'all,' 全部 *quan2bu4* 'all,' 很多 *hen3duo1* 'many,' and 一些 *yi1xie2* 'some.'

- [14] a. 他不喜欢所有这里的人。 [negator has scope over quantifier]

ta1 bu4 xi3huan1 suo3you3 zhe4li3 de0 ren2
he NEG like all here DE people

'He does not like anyone here.'

- b. 我不同意很多他的观点。 [negator has scope over quantifier]
 wo3 bu4 tong2yi4 hen3duo1 ta1 de0 guan1dian3
 I NEG agree many he DE viewpoint
 'I do not agree with many of his points.'

Although the fact that the scope of negation matches the relative linear order seems trivial, it is important to note that not all languages work this way. It is well known that in English, for instance, a negator and a quantifier can have a scope relation that is contrary to their linear order, such as in "All that glitters is not gold." In this English example, the negator "not" negates the whole sentence "All that glitters is gold," although it occurs after both the universal quantifier and the verb. Similarly, the relative scope of the modals and 不 *bu4* matches their relative linear order.

- [15] 他可能不来了。 [bu's main verb negation]
 ta1 ke3neng2 bu4 lai2 jian4 ni3 le0
 he probably NEG come see you LE
 'He probably will not come to see you.'
- [16] 公司的大陆包机, 民航局不愿(意)预测。 [bu's auxiliary negation]
 gong1si1 de0 da4lu4 bao1ji1
 company DE mainland chartered_airplane

 min2hang2ju2 bu4 yuan4yi4 yu4ce4
 the_civil_aviation_bureau NEG be_willing_to predict
 'Regarding mainland air charters by companies, the Civic Aviation Administration is not willing to make any predictions.'
- [17] 新西兰不应(该)有牦牛出现。 [bu's auxiliary negation]
 xin1xi1lan2 bu4 ying1gai1 you3 mao2niu2
 New_Zealand NEG should have yak
 chu1xian4
 appear
 'There should not be any yaks in New Zealand.'

The modals 愿意 *yuan4yi4* 'is willing to' in [16] and 应(该) *ying1(gai1)* 'should' in [17] follow 不 *bu4* and can be negated by it, as they are within its scope. [18] involves more than one negator.

- [18] 政府既定政策, 政府绝对不会不推动。

zheng4fu3 ji4ding4 zheng4ce4 zheng4fu3 jue2dui4
government established policy government for_sure

bu2 hui4 bu4 tui1dong4

NEG will NEG promote

'For established government policies, it is certain that it would not be possible for the government not to implement them.'

The modal 绝对 *jue2dui4* 'for sure' precedes the first negator 不 *bu4*, while another modal 会 *hui4* 'will' follows it. It is 会 *hui4* 'will,' instead of 绝对 *jue2dui4* that falls under the scope of the first negator and thus undergoes negation. The second negator 不 *bu4* in [18] negates the verb phrase 推动 *tui1dong4* 'promote,' which follows it and is within the scope of the negative modal.

6.2.2. 没(有) *mei2 (you3)*

The prevalence and complexity of the use of the negator 没(有) *mei2 (you3)* 'not (have)' can be attributed to its categorical ambiguity between a verb and an adverb, as well as to the free alternations of its two forms 没有 *mei2you3* 'not have' and 没 *mei2* 'not (have)' in most contexts. It can be either a verb that takes a nominal object or an adverb that modifies a verb. When it combines with nominals, 没有 *mei2you3* can be treated as the main verb 有 *you3* 'have' being negated by 没 *mei2* 'not.' However, since these instances of 没有 *mei2you3* 'not have' can be replaced by 没 *mei2* 'not,' it is more intuitive to simply treat both forms as free variants of the categorically ambiguous 没(有) *mei2 (you3)*. When it collocates with a predicate, the same variations occur and both function as an adverbial negator, which exercises negation upon the predicate. It is important to note that in all the examples in this book, unless otherwise specified, 没(有) *mei2 (you3)* can be freely substituted with 没 *mei2*, and vice versa.

- [19] 谈到宗教, 它是没有国界的。

tan2dao4 zong1jiao4 ta1 shi4 mei2you3 guo2
as_for religion it be NEG country

jie4 de0

boundary DE

'As for religion, it has no national boundaries.'

- [20] 在禅修中也就曾经整天没有吃东西, 也没有睡觉, 只是去思考。

zai4 chan2xiu1 zhong1 ye3 jiu4 ceng2jing1
at Zen-meditation in_the_process too then once

zheng3tian1 mei2you3 chi1 dong1xi0 ye3 mei2you3
 the_whole_day NEG eat thing too NEG

shui4jiao4 zhi3 shi4 qu4 si1kao3
 sleep only be go think
 'During practicing Zen-meditation, (s/he) had the experience of not eating anything and not sleeping for a whole day, just thinking.'

[19] exemplifies 没(有) *mei2 (you3)* as a verb taking a nominal object, while [20] is an example of it as an adverb modifying a predicate.

6.2.2.1. Negation types of 没(有) *mei2 (you3)*

没(有) *mei2 (you3)* 'not (have)' can negate either a verbal predicate or an adjectival one. When it negates a verbal predicate, it expresses the meaning that the event denoted did not happen or has not yet been completed, depending on the event types of the predicate.

- [21] a. 他入警 23 年, 没发生一起违法违纪的事。

ta1 ru4 jing3 23 nian2 mei2 fa1sheng1 yi1 qi3
 he join police 23 year NEG happen one CL

wei2fa3 wei2ji4 de0 shi4
 violateLaw violate_discipline DE thing
 'He joined the police force 23 years ago, and has never committed any misconduct or illegal act.'

- b. 祖辈传下的手艺不但没丢, 反而异乎寻常地热了。

zu3bei4 chuan2 xia4 de0 shou3yi4 bu4dan4
 ancestors pass_on down DE handicraft not_only

mei2 diu1 fan3er2 yi4hu1xun2chang2 de0 re4 le0
 NEG lose instead unexpectedly DE popular LE
 'The handicraft inherited from the ancestors not only was not lost, it has become unexpectedly popular.'

- [22] a. ...登到现在都还没有结婚。

deng1 dao4 xian4zai4 dou1 hai2 mei2you3 jie2hun1
 register PERP now all still NEG marry
 '(The announcement) was made (but)... till now they have not yet got married.'

- b. 会议还没有开完, 我还没有听完其他代表团的演讲。

hui4yi4 hai2 mei2 you3 kai1 wan2 wo3 hai2
meeting still NEG have proceed finish I still

mei2 you3 ting1 wan2 qi2ta1 dai4biao3tuan2 de0
NEG have listen finish other delegation DE

yan3jiang3

speech

'The meeting is not yet over, and I have not listened to the speech of other delegations yet.'

[21a] denies any occurrence of law-breaking acts, and [21b] denies the disappearance of the handicraft inherited from the ancestors. On the other hand, [22a] expresses that the event "getting married" has not yet been realized, and [22b] expresses that the meeting is not yet over. When conveying the meaning of 'not yet,' 没有 *mei2you3* 'not have' is typically preceded by the adverb 还 *hai2* 'yet.' This phenomenon will be discussed in more detail in Chapter 12.

When 没(有) *mei2 (you3)* 'not (have)' negates an adjectival predicate, it means that the state denoted by the adjectival predicate does not exist or the intended change of state has not been achieved at the time of reference.

- [23] 真正适合大陆居民购置的住宅没热起来, 内地广大有潜力的地区也没热起来。

zhen1zheng4 shi4he2 da4lu4 ju1min2 gou4zhi4 de0
truely fit mainland resident purchase DE

zhu4zhai2 mei2 re4 qi3lai2 nei4di4 guang3da4
houses NEG popular QILAI mainland vast

you3 qian2li4 de0 di4qu1 ye3 mei2 re4
have potentiality DE area also NEG popular

qi3lai2

QILAI

'(The market for) houses which are tailor-made for purchase by mainland residents did not heat up, neither did (the market in) the broad regions with potential in Mainland China.'

- [24] a. 心情兴奋得连觉都没睡好。

xin1qing2 xing1fen4 de2 lian2 jiao4 dou1 mei2
mood excited DE even sleep even NEG

shui4 hao3

sleep well

'(S/he) was so excited that s/he did not sleep well.'

- b. 也许是我们自己没讲清楚。

ye3xu3 shi4 wo3men0 zi4ji3 mei2 jiang3 qing1chu3
 maybe be we self NEG explain clear
 'It may be the case that we did not say it clearly.'

[23] expresses the meaning that the state of the market heating up has not been obtained yet. Similarly, it can also negate the state denoted by the descriptive complement, as in the 好 hao3 'well' in 睡好 shui4hao3 'sleep well' in [24a], and the postverbal adverb, as in the 清楚 qing1chu3 'clearly' in 讲清楚 jiang3qing1chu3 'say clearly' in [24b]. In contrast, the negator 不 bu4 used in the same context will deny the occurrence of the activity in the manner denoted by the modifier. For instance, 不睡好 bu2shui4hao3 'not sleep well' denies the intention or manner of sleeping well, while 不讲清楚 bu4jiang3qing1chu3 'not speak in a clear manner' means that the speaker spoke in a way that the content was not clearly presented. In both cases, the scope of negation is not on the activity denoted by the verb, but, rather on the state referred to by the adjective/adverb in question.

When 没(有) mei2 (you3) 'not (have)' modifies an adjectival predicate containing the degree adverb 这么/那么 zhe4me0/na4me0 'this/that,' it denies the fact that a certain degree of the property has been reached.

- [25] a. 但应用时的对应分歧, 实际上并没有那么严重。

dan4 ying4yong4 shi2 de0 dui4ying4 fen1qi2
 but use time DE correspond divergence
 shi2ji4shang4 bing4 mei2you3 na4me0 yan2zhong4
 as_a_matter_of_fact at_all NEG that_much serious
 'But the corresponding ambiguity in use is actually not that serious.'

- b. 进入海南的时间较晚, 运气没那么好。

jin4ru4 hai3nan2 de0 shi2jian1 jiao4 wan3
 enter Hainan_province DE time quite late
 yun4qi4 mei2 na4me0 hao4
 luck not that_much good
 '(They) entered Hainan quite late, (and) their luck was not that good.'

Similar to other modified adjectival constructions, 没(有) mei2 (you3) 'not (have)' negates the meaning of the degree adverb, but not the existence of the state denoted by the adjective.

6.2.2.2. Negation of predicate by 没有 mei2you3

When 没(有) mei2 (you3) 'not (have)' negates a predicate, 没 mei2 'not' and 没有 mei2you3 'not have' are free variants. 没(有) mei2 (you3) 'not (have)' denies either the

completion or the occurrence of an event or an action in question. However, this does not mean that 没(有) *mei2 (you3)* ‘not (have)’ can only deny an event which occurred in the past. In fact, it can also be used to deny the occurrence of an event in the future, as shown by the sentence in [26].

- [26] 明年这个时候你应该还没有毕业。
 ming2nian2 zhe4ge4 shi2hou0 ni3 ying1gai1 hai2
 next_year this time you should still
 mei2you3 bi4ye4
 NEG graduate
 ‘You should not have graduated yet by this time next year.’

In fact, 没(有) *mei2 (you3)* ‘not (have)’ functions like the negation form of the perfective 有 discussed in Chapter 5, and it takes a clause as its complement. 没 *mei2* ‘not’ is closely related to the perfective marker 了 *le0*, which would be realized as 有 *you3* ‘have’ when it occurs with 没 *mei3* ‘not.’ 有 *you3* ‘have’ asserts the existence of an event or a state. By directly negating 有 *you3* ‘have,’ 没 *mei2* ‘not’ in [27b] either denies the occurrence of an action or negates the existence of the situation (state or event), while [27d] denies the completion of an event.

- [27] a. 他去了日本。 [affirmative]
 ta1 qu4 le0 ri4ben3
 he go LE Japan
 ‘He went to Japan.’
 b. 他没(有)去日本。 [denial of the occurrence of an event]
 ta1 mei2you3 qu4 ri4ben3
 he NEG go Japan
 ‘He did not go to Japan.’
 c. 他没有生病。 [denial of the existence of a state]
 ta1 mei2you3 sheng1bing4
 he NEG fall_ill
 ‘He wasn’t ill.’
 d. 他没有写完作业。 [denial of the completion of an event]
 ta1 mei2you3 xie3wan2 zuo4ye4
 he NEG write_finish homework
 ‘He did not finish writing his homework.’

While [27a] affirms the occurrence of the event, [27b] denies its occurrence. 没(有) *mei2 (you3)* ‘not (have)’ can thus be considered as the negative counterpart

of the perfective marker 了 *le0*, hence, the marker of the non-existence or non-realization of an event. 了 *le0* and 有 *you3* 'have' should be taken as allomorphs of the same perfective morpheme. Therefore, we cannot simply consider 没 (有) *mei2 (you3)* 'not (have)' as a past tense negative particle. On the other hand, [27c] gives an example of 没 *mei2* 'not' denying the existence of a state, and [27d] is an example of 没 *mei3* 'not' denying the completion of an event.

Moreover, 没有 *mei2you3* 'not have' can also appear at the sentence-final position to form a tag question. What is being asked then is whether a certain action has been performed or not.

- [28] 你吃药没有?
 ni3 chi1 yao4 mei2you3
 you eat medication NEG
 'Did you take any medication?'

Similarly, the negator 不 *bu4* can also occur in the sentence-final position to form a tag question as in [29]. While 没 (有) *mei2 (you3)* is widely used in Chinese tag questions [28], the use of 不 *bu4* in tag questions is more restricted to northern dialects.

- [29] 你吃水果不?
 ni3 chi1 shui3guo3 bu4
 you eat fruit NEG
 'Do you (want to) eat fruit?'

When 没 *mei2* interacts with other scope-taking elements, the scope relation generally matches their linear order, as exemplified by the following sentences [30]–[32] in terms of scope between the negator and the underlined element.

- [30] (他)并幽自己一默,遗憾自己没能好好学中文。
 ta1 bing4 you1 zi4ji3 yi1 mo4 yi2han4
 he and make(fun_of) oneself one humor regret
 zi4ji3 mei2 neng2 hao3hao3 xue2 zhong1wen2
 oneself NEG can conscientiously learn Chinese
 '(S/he) also made fun of herself/himself, expressing regret in light
 of the fact that s/he did not study Chinese well.'

- [31] a. 将近七、八年没在台湾开唱,如今被「送做堆」开演唱会,两人
 乐观其成。
 jiang1jin4 qi1 ba1 nian2 mei2 zai4 tai2wan1
 nearly seven eight year NEG PREP Taiwan

kai1chang4 ru2jin1 bei4 song4zuo4dui1 kai1
sing now BEI bundled_together hold

yan3chang4hui4 liang3 ren2
concert two people

le4guan1qi2cheng2

optimistic_about_one's_success

'The two did not hold any concerts in Taiwan for nearly 7 to 8 years.
And now being "bundled together" to hold one, the two are more than
happy to see to its success.'

- b. 他在家里没读书。

ta1 zai4 jia1li3 mei2 du2 shu1
he be_at home NEG read book
'S/he did not study while s/he was at home.'

- [32] a. 召开第一次公听会时, 建设局没邀集所有里民。

zhao1kai1 di1yi1ci4 gong1ting1hui4 shi2
convene the_first_time public_hearing when

jian4she4ju2 mei2 yao1ji2 suo3you3
construction_bureau NEG invite all

li3 min2
a_unit_of_neighborhood citizen

'When calling for the first public hearing, the Construction Bureau
did not invite all citizens in the borough.'

- b. 但双方依然在作拉锯式的往返, 并没很多精彩的场面出现。

dan4 shuang1fang1 yi1ran2 zai4 zuo4
but both_sides still be_at do

la1ju4shi4 de0 wang3fan3 bing4 mei2
in_a_see-saw_form DE back_and_forth and NEG

hen3duo1 jing1cai3de0 chang3mian4 chu1xian4
many exciting scene appear

'But both sides are still engaged in a back-and-forth see-saw, and did
not create many exciting scenes.'

- c. 由于大都没办规划报建和用地等手续, 逃避各项税收...

you2yu2 da4dou1 mei2 ban4 bao4jian4
because mainly_all NEG attend_to report_construction

he2 yong4di4 deng3 shou3xu4 tao2bi4 ge4xiang4
and use_land etc. formalities avoid all_items

shui4shou1

tax

‘Since in most cases they did not go through the procedures of applying for land planning, building, and used approval to avoid paying various tax and levy...’

6.2.3. Variations between 不 *bu4* and 没 (有) *mei2 (you3)*

6.2.3.1. Negation and predicates

Although 不 *bu4* ‘no’ and 没 (有) *mei2 (you3)* ‘not (have)’ can both negate state and activity predicates, their meanings are different. 不 *bu4* ‘no,’ as a simple negator, denies the occurrence of an event (including state and activity), while 没 (有) *mei2 (you3)* ‘not (have)’ typically takes a scope over the event, which allows it to interact with various eventive attributes, including frequency, manner, and aspect, of an event that is currently taking place.

However, the occurrence of 没 (有) *mei2 (you3)* ‘not (have)’ with stative predicates is more restricted. For instance, it cannot occur with predicates denoting homogeneous states (i.e., states which can be divided into smaller states while remaining the same state), such as 诚实 *cheng2shi2* ‘honest,’ or non-volitional cognitive predicates, such as 像 *xiang4* ‘resemble,’ 知道 *zhi1dao4* ‘know,’ and 是 *shi4* ‘be.’ The underlying reason may be that, unlike 不 *bu4* ‘no,’ 没 (有) *mei2 (you3)* ‘not (have)’ is reserved for non-volitional negation. The strong association of 不 *bu4* ‘no’ with volition can be supported by the fact that 不 for Chinese speaking children is certainly one of the most frequently used in the 2- to 3-year-old stage to assert the child’s will. It is important to note that, in some cases, a homogeneous state can be coerced, such as the examples below involving the state of 饿 *e4* ‘being hungry’ in [33] and [34].

[33] a. (你)没有老, 只是成熟了。

ni3 mei2you3 lao3 zhi3shi4 cheng2shou2 le0
you NEG old only mature LE
‘(You) did not age, just became more mature.’

b. 他不饿。

ta1 bu4 e4
he NEG be_hungry
‘He is not hungry.’

- c. 他还没饿。

ta1 hai2 mei2 e4
he yet NEG be_hungry
'He is not hungry yet.'

- [34] a. 他不诚实。

ta1 bu4 cheng2shi2
he NEG be_honest
'He is not honest.'

- b. 他不像他爸爸。

ta1 bu2 xiang4 ta1 ba4ba0
he NEG like he father
'He does not like his father.'

- c. 他不知道问题出在哪里。

ta1 bu4 zhi1dao4 wen4ti2 chu1 zai4 na3li3
he NEG know problem lie_in PREP where
'He does not know where the problem lies.'

- d. 他不是小明的老师。

ta1 bu2 shi4 xiao3ming2 de0 lao3shi1
he NEG be XIAOMING DE teacher
'He is not Xiao Ming's teacher.'

[33a] gives the typical example of 没(有) *mei2 (you3)* 'not (have)' negating a change-of-state predicate (老 *lao3* 'to age, to grow old'). However, even though 饿 *e4* 'being hungry' cannot typically be negated by 没(有) *mei2 (you3)* 'not (have)' but can be negated by 不 *bu4* 'no' (as in [33b]), it is negated by 没(有) *mei2 (you3)* 'not (have)' in [33c]. This is because the introduction of the adverb 还 *hai2* 'yet' coerced and changed the meaning of 饿 *e4* 'being hungry' from 'being hungry' to 'become hungry,' a change of state that is now being denied at the current time. A more detailed discussion of the interaction between negation and aspects is given in Chapter 5.

6.2.4. Negation and imperatives

When an imperative sentence appears in a negative form to express persuasion or prohibition, 不要 *bu2yao4* 'should not' is the first choice in formal written genres. In informal spoken discourse, 不要 *bu2yao4* 'should not' is also used at times but it is often contracted to a monosyllabic word in various dialects, such as 别 *bie2* 'should not' in the Beijing dialect and in the Northeast, 包 *bao1* 'should not' in the

Shanxi dialect, and 莫 *mo4* 'should not' in the Hunan and Hubei dialects. Some of these contracted forms have found their way into Standard Mandarin and written genres, such as 别 *bie2* 'should not' and, to a much more limited extent, 莫 *mo4* 'should not,' which happens to be homophonous with the negative morpheme 莫 *mo4* 'should not' inherited from Classic Chinese.

别 *bie2* 'should not,' as an auxiliary verb, appears between the subject and the verb. The subject of negative imperative sentences with 别 *bie2* 'should not' is typically the second-person plural pronoun 你们 *ni3men0* 'you' or 大家 *da4jia1* 'you all,' as in [35a] and [35b], or the second-person singular pronoun 你 *ni3* 'you,' as in [35c]. In rare cases, the subject could be the first-person plural pronoun 我们 *wo3men0* 'we' or 咱们 *zan2men0* 'we,' as in [35d].

- [35] a. 他呼吁民众: 如果没有必要的话, 千万别上山。
 ta1 hu1yu4 min2zhong4 ru2guo3 mei2you3 bi4yao4
 he appeal public if not_have necessary
 de0 hua4 qian1wan4 bie2 shang4shan1
 DE words be_sure_to should_not go_to_mountain
 'He appealed to the public that if it is not necessary, do not go up the mountain.'
- b. (你们/大家) 停下来! 停下来! 别再跳下去了!
 ni3men2 da4jia1 ting2 xia4lai4 ting2
 you all stop imperative stop
 xia4lai2 bie2 tiao4 xia4qu4 le0
 imperative should_not jump imperative LE
 'Stop! Stop! Stop jumping!'
- c. 你别乱说话了。[second-person singular pronoun]
 ni3 bie2 luan4 shuo1 hua4 le0
 you should_not wrong talk words LE
 'You (all) stop saying what isn't true.'
- d. 我们/咱们别说话了。[first-person plural pronoun]
 wo3men0 zan2men0 bie2 shuo1 hua4 le0
 we we should_not talk words LE
 'Let's stop talking.'

When there is a need to express a polite persuasion, the polite marker 请 *qing3* 'please' could be used to tone down the imperative force of 别 *bie2* 'should not.'

- [36] 看节目的当儿, 大家请别忘记拨打电话: XXXX 或 YYYY, 捐款给肾脏病人。
 kan4 jie2mu4 de0 dang4er0 da4jia1 qing3
 watch show DE while we please
 bie2 wang4ji4 bo1da3 dian4hua4 XXXX huo4
 should_not forget dial telephone XXXX or
 YYYY juan1kuan3 gei3 shen4zang4 bing4ren2
 YYYY donate_money to kidney patient
 'While watching the show, please do not forget to dial XXXX or YYYY,
 for a donation to patients with kidney disease.'

Negative imperatives can also be expressed with combinations of negative morphemes and other auxiliary verbs, such as 不必 *bu2bi4* 'not necessary,' 不准 *bu4zhun3* 'not allowed,' and 不用 *bu2yong4* 'not needed,' in addition to 不要 *bu2yao4* 'should not.' Note that 别 *bie2* 'should not' is only used in imperatives, while 不要 *bu2yao4* 'should not,' 不用 *bu2yong4* 'not needed,' 不准 *bu4zhun3* 'not allowed,' and 不必 *bu2bi4* 'not necessary' represent a normal [不 *bu4* 'no' + modal auxiliary] form and thus may quite freely occur in various sentence types, such as declarative and interrogative sentences.

- [37] a. 你不必再来上课了!
 ni3 bu4bi4 lai2 shang4ke4 le0
 you not_necessary come have_class LE
 'You do not have to come to class again!'
- b. 他不必太操心了。
 ta1 bu4bi4 tai4 cao1xin1
 he not_necessary too worry
 'He does not have to worry too much.'
- [38] a. 你不用再说了!
 ni3 bu4yong4 zai4 shuo1 le0
 you need_not again talk LE
 'Say no more!'
- b. 他不用再担心他女儿了。
 ta1 bu4yong4 zai4 dan1xin1 ta1 nu3er2 le0
 he need_not again worry he daughter LE
 'He does not have to worry about his daughter anymore.'
- c. 他不用来考试吗? [question]
 ta1 bu4yong4 lai2 kao3shi4 ma1
 he not_need come take_exam MA
 'Doesn't he have to take the examination?'

不用 *bu2yong4* ‘need not’ can be contracted into 甬 *beng2* ‘need not’ in the Beijing dialect and other nearby places, and is used in spoken form only.

- [39] a. 这是小事, 甬请示了。
 zhe4 shi4 xiao3shi4 beng2 qing3shi4
 this be small_thing need_not ask_for_permission
 le0
 LE
 ‘This is a trivial issue. No need to ask for permission.’
- b. 好好听着就行了, 甬说话!
 hao3hao3 ting1 zhe0 jiu4 xing2 le0 beng2
 well listen_to ZHE then ok LE need_not
 shuo1 hua4
 speak word
 ‘Just listen carefully. No need to say a word!’

Although both 别 *bie2* ‘should not’ and 甬 *beng2* ‘need not’ are used to express prohibition or persuasion, they differ in subjectivity. 别 *bie2* ‘should not’ expresses subjectivity and indicates that the speaker does not want the hearer to perform an action, while 甬 *beng2* ‘need not’ does not express subjectivity explicitly but suggests that there is no reason or need for the hearer to act. Given the contrast, when the speaker does not want something to happen, he will use 别 *bie2* ‘should not’ instead of 甬 *beng2* ‘need not.’

- [40] 你别摔着了!
 ni3 bie2 shuai1 zhe0 le0
 you do_not fall_down suffer LE
 ‘(Watch out!) Don’t fall!’
- [41] 别把钱弄丢了!!
 bie2 ba3 qian2 nong4diu1 le0
 do_not BA money make_lose LE
 ‘Don’t lose the money!’

6.2.5. Multiple negation

When there is more than one negator in the sentence, the interpretation of the negative sentence is determined by the relative scope of the co-occurring negators. In Chinese, the relative scope of the negators matches their relative linear order in the sentence.

- [42] a. 他没(有)想过不好好读书的后果。
 ta1 mei2 you3 xiang3 guo4 bu4 hao3hao3 du2
 he not have think GUO NEG well read
 shu1 de0 hou4guo3
 book DE consequence
 'He has not considered the consequences of not studying hard.'
- b. 他没有不好好读书。
 ta1 mei2you3 bu4 hao3hao0 du2 shu1
 he NEG no well read book
 'It is not true that he did not study hard.'

6.3. Sublexical negation

Negation can also be expressed without a lexical negator in a negative construction, but with a negative morpheme in the word formation process. The morphemes commonly used are 无 *wu2* 'not,' 非 *fei1* 'not,' and 反 *fan3* 'against,' in addition to the negators 不 *bu4* 'no' and 没 *mei2* 'not.'

- [43] a. 无铅汽油
 wu2 qian1 qi4you2
 no lead gasoline
 'lead-free gasoline'
- b. 这个车箱是非吸烟区。(or 禁烟区)
 zhe4 ge4 che1xiang1 shi4 fei1 xi1yan1 qu1
 this CL carriage be NEG smoke zone
 'This car is a non-smoking zone.'
- c. 不织布
 bu4 zhi1 bu4
 NEG weave cloth
 'non-woven fabric'
- d. 没品
 mei2 pin3
 NEG taste
 'tasteless, crass'

It is important to note that in sub-lexical negation, the negative morpheme is always the first morpheme of the word. The most versatile of the sub-lexical negative morphemes is probably 非 *fei1* 'not,' as it is frequently used as a negator when appearing at the beginning of a relative clause headed by 者 *zhe3* '(of) the person.'

- [44] a. 非本地出生者
 fei1 ben3di4 chu1sheng1 zhe3
 NEG local born the_person
 ‘those who are not born locally’
- b. 非台湾护照持有者
 fei1 tai2wan1 hu4zhao4 chi2you3 zhe3
 NEG Taiwan passport hold the_person
 ‘those who do not hold Taiwan passports’
- c. 非长者一人申请者
 fei1 zhang3zhe3 yi1 ren2 shen1qing3 zhe3
 NEG senior one person apply the_person
 ‘those applicants who are not applying as a single elderly person’

The negation of relative clauses, such as those in [44], are commonly used in legal and formal documents but can also be used in spoken language in the right context. 非 *fei1* ‘not’ as a negator changes the referents to a complementary set of individuals to those who were defined by the relative clause. For instance, in [44a], the original relative clause refers to those who were born in a particular place under discussion, but the negated relative clause refers to all others (i.e., those who were not born in that place.) Similarly, [44b] refers to the complementary set of those who hold Taiwan passports, and [44c] refers to the complementary set of those elderly applicants who are applying alone.

6.4. Negative answers to questions

“Yes” and “no” serve as markers of positive and negative polarity in answers to yes–no questions. In English, the choice between “yes” and “no” depends simply on the logical polarity of the answer. In Chinese, however, the choice of positive or negative answers depends on whether the speaker agrees with the expressed presupposition of the question or not. In other words, a negative answer represents an objection to the stated presupposition, and it may or may not be associated with a negative statement.

- [45] Question: Are/Aren’t you going to the party?
 Answer:
 a. Yes, I am.
 b. No, I am not.

In English, regardless of whether the question is asked in an affirmative or negative way, it would not affect the choice of polarity of the answer.

- [46] a. 你参加那个宴会吗?
 ni3 can1jia1 na4 ge4 yan4hui4 ma0
 you attend that CL banquet MA
 'Will you go to the banquet?'
 b. 是(的)/对, 我参加。
 shi4de0 dui4 wo3 can1jia1
 yes right I attend
 'Yes, I will.'
 c. 不, 我不参加。
 bu4 wo3 bu4 can1jia1
 NEG I NEG attend
 'No, I won't.'
- [47] a. 你不参加那个宴会吗?
 ni3 bu4 can1jia1 na4 ge4 yan4hui4 ma0
 you NEG attend that CL banquet MA
 'Won't you go to the banquet?'
 b. 不, 我会参加。
 bu4 wo3 hui4 can1jia1
 NEG I will attend
 'Yes, I will.'
 c. 是(的)/对, 我不会去/参加。
 shi4de0 dui4 wo3 bu4 hui4 qu4 can1jia1
 yes right I NEG will go attend
 'No, I won't.'

[46] and [47] show that the use of a positive answer in Chinese is to show agreement with the presupposition, regardless of whether it is expressed in a positive or a negative sentence. Accordingly, a negative answer is used to show an objection to the presupposition.

6.5. Negative polarity items and negation

Negation can also be expressed by Negative Polarity Items (NPIs), such as 任何 *ren4he2* 'any' and 什么 *shen2me0* 'what(ever),' which are polarity-sensitive and their occurrence requires negative polarity contexts.

- [48] a. 小张没去过任何地方。
 xiao3zhang1 mei2 qu4 guo4 ren4he2 di4fang1
 XIAOZHANG NEG go GUO any place
 'Xiaozhang did not go to any places.'

- b. 在逻辑及道义上, 都没有什么道理。

zai4 luo2ji4 ji2 dao4yi4 shang4 dou1 mei2you3
PREP logic and moral on all NEG

shen2me0 dao4li3

what sense

'Either logically or morally, it does not make any sense.'

- c. 这些年来, 尽管我国女排仍旧没有在任何的国际赛上交出杰出的成绩单, 但是...。

zhe4xie1 nian2 lai2 jin4guan3 wo3 guo2
these year come although I country

nü3pai2 reng3jiu4 mei2you3 zai4 ren4he2
women_volleyball still not_have PREP any

de0 guo2ji4sai4 shang4 jiao1chu1 jie2chu1
DE international_competition on present excellent

de0 cheng2ji1dan1

DE report

'For these years, although our women's volleyball team did not perform very well in any international competition...'

The occurrence of 任何地方 *ren4he2 di4fang1* 'any places' in [48a] and 什么道理 *shen2me0 dao4li3* 'any sense' in [48b] requires a context of negation, and in this case is provided by the negator 没 *mei2* 'not.' In addition to these NPIs, there are some others, including 从来 *cong2lai2* 'from the start; ever' and 好险 *hao3xian3* 'narrow squeak; almost,' which strongly prefer to co-occur with a negator.

However, there are also Chinese NPIs which not only commonly occur in a negation context but also basically function as a negator, such as 几乎 *ji1hu1* 'almost,' 差点 *cha 4dian3* 'nearly,' and 险些 *xian3xie1* 'nearly.'

- [49] a. 晶体极小或几乎不存在。

jing1ti3 ji2 xiao3 huo4 ji1hu1 bu4 cun2zai4
crystal extremely small or almost NEG exist

'The crystal is extremely small or barely exists.'

- b. 农民差点血本无归。

nong2min2 cha 4dian3 xue2ben3wu2gui1
Farmer nearly down_the_drain

'The farmers barely got back what they had invested.'

- c. 记者险些惹上杀身之祸。

ji4zhe3 xian3xie1 re3shang1 sha1shen1zhi1huo4
reporter nearly incur_on fatal_disaster
'The reporter barely avoided getting killed.'

Just like negators, the NPIs 几乎 *ji1hu1* 'almost,' 差点 *cha1dian3* 'nearly,' and 险些 *xian3xie1* 'nearly' used in [49] above deny the actual occurrence of the stated events. However, unlike regular negators, these NPIs further imply that these events could and almost did happen.

6.6. Metalinguistic negation

Metalinguistic negation involves an objection to a previous utterance based on reasons other than the truthfulness of the linguistic meaning of that utterance. Metalinguistic negation in Chinese is commonly marked with the negators 不是 or 不. In addition, the negative polarity tag 才怪 *cai2guai4* 'this would indeed be weird' and a few other expressions are used in the same way as the English metalinguistic negation tag NOT.

- [50] 他不是胖, 是肥。

ta1 bu2 shi4 pang4 shi4 fei2
he NEG be fat be obese
'S/he is not fat, s/he is obese.'

- [51] 他不是向前看, 是向「钱」看。

ta1 bu2 shi4 xiang4 qian2 kan4 shi4 xiang4
he NEG be PREP forward look be PREP
qian2 kan4
money look
'S/he is not forward-looking; (s/he) is money-ward-looking.'

- [52] 小时候胖不是胖。

xiao3 shi2hou0 pang4 bu2 shi4 pang4
small time fat NEG be fat
'[Lit.] Being plump as a child is not being plump.'
'Don't judge success by performance in an early stage.'

In [50], since it is true that an obese person is fat, the negation cannot be on the truthfulness of the statement, and hence it is not a linguistic negation. The metalinguistic negation expresses an objection to that inadequate statement and underlines the statement that s/he is obese and beyond the usual standard of being merely fat. In [51], the metalinguistic negation is built upon the homophone

pair of 前 *qian2* ‘forward’ and 钱 *qian2* ‘money’ (both pronounced as *qian2*), again, to underscore the greedy money-driven nature of the subject. [52] shows that metalinguistic negation can even be used in conventional idioms. The idiom is a legacy inherited from times when Chinese people were mostly poor and being plump indicated a person’s wealth and success. Again, this cannot be a linguistic negation, since being plump at any age can be truly stated as being plump. However, the use of being well fed as an indication of being successful is of course only valid in one’s adult life.

[53] a. 变速箱寿命不短才怪。

bian4 su4 xiang1 shou4ming4 bu4 duan3
change velocity box life NEG short

cai2guai4

indeed_be_weird

‘It would be usual if the gearbox did not last long.’

b. 像你这样叫做有格调? 才怪。

xiang4 ni3 zhe4yang4 jiao4zuo4 you3 ge2diao4
like you this_way be_called have style

cai2guai4

indeed_be_weird

‘And to call people like you as having class? Definitely not.’

c. 我又中了大乐透(才怪)。

wo3 you4 zhong4 le0 da4 le4tou4 cai2guan4

I again win LE big lottery indeed_be_weird

‘I won the grand lotto again. (NOT.)’

[53a] shows that 才怪 *cai2guai4* ‘indeed be weird’ can be used as a predicate for metalinguistic negation. It denotes the speaker’s objection to the proposition stated in the clausal subject. The proposition encoded in the clause is typically in the form of a negation or a question. This usage can be expanded to the use of 才怪 *cai2guai4* ‘indeed be weird’ as either a tag, as in [53b], or as a comment, as in [53c]; both function like the emergent use of the English metalinguistic negation tag NOT.

Lastly, this discussion of metalinguistic negation in Chinese cannot be complete without mentioning one of the most (mis)quoted statements in Chinese philosophy by GongsunLongzi: 公孙龙子 *gong1sun1long2zi3* ‘Kung-sun Lung Tzu; a piece of historical literature,’ where the negator 非 *fei1* ‘not’ is used for metalinguistic negation, e.g. [54].

[54] 白马非马。

bai2 ma3 fei1 ma3

white horse NEG horse

'White horses are not horses.'

'[Lit.] A white horse is not a horse.'

'The concept of white-horseness is not the same as the concept of horseness.'

Classifiers

Kathleen Ahrens and Chu-Ren Huang

This chapter describes classifiers, which consist of sortal classifiers and measure words and are found in noun phrases in Chinese. The three semantic classes of sortal classifiers are individual classifiers, event classifiers, and kind classifiers; and the three semantic classes of measure words are container measure words, approximation measure words, and standard measure words. The classifier system is an important characteristic of Chinese. In this chapter, we will give examples of the most commonly used classifiers and discuss how sortal classifiers may coerce different interpretations from nouns.

7.1. Definition of classifiers

Classifiers [CL] in this chapter refer to the morphosyntactic category serving the semantic function of marking noun classes. Chinese has a particularly rich nominal classifier system that requires classifiers to occur in a noun phrase using the following construction:

Determiner (Det)/Number (Num)/Quantifier (Q) – Classifier (CL) – Noun (N)

A noun may select only one classifier (either a sortal classifier or a measure word) in any given context. Thus, sortal classifiers and measure words occur in complementary distribution and cannot co-occur. In what follows, we will first introduce a set of criteria to establish and differentiate the different types of classifiers based on their semantic functions and syntactic distribution.

Note that “classifier,” as well as its corresponding Chinese term 量词 *liang4ci2* (literally, measure + word), is often used to refer to both the broader grammatical category given above and its narrower subcategory with the specific semantic function of identifying a class. We will maintain the convention of using “classifier” (or 量词 *liang4ci2*) exclusively to refer to the grammatical category and will use the unambiguous terms of “sortal classifier” and “measure word” to refer to its subcategories.

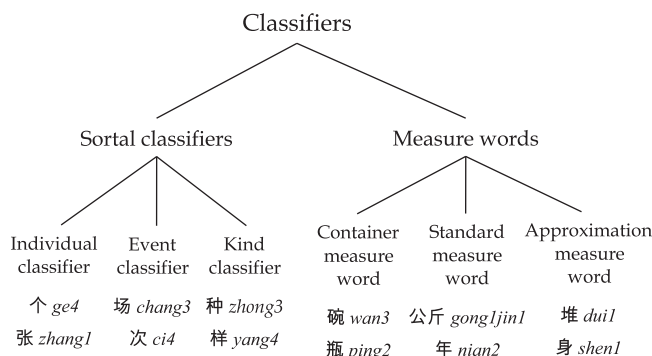


Figure 7.1 Taxonomy of classifiers

7.2. Semantic properties of classifiers

7.2.1. Taxonomy of classifiers according to their semantic functions

Sortal classifiers (often referred to as 分类词 *fen1lei4ci2* ‘classifier’ in Chinese literature) are usually considered the prototypical type of classifier, as they linguistically mark conventionalized conceptual noun classes. Sortal classifier selection may be motivated by the inherent properties of the noun, which are often conceptually salient and intuitive, as 只 *zhi1* selects animals, whereas 张 *zhang1* selects thin, broad objects. Measure words, on the other hand, are not typical classifiers, as they do not directly refer to noun classes. Instead, they provide a measuring unit, which may or may not directly depend on the inherent properties of the noun. The selection of nouns for measure words depends on world knowledge and experience. The taxonomy of classifiers is shown above in Figure 7.1, with some typical examples given for each type. These classifiers will be explained further in this chapter.

Sortal classifiers and measure words appearing with only a number or a determiner preceding them can refer to a noun phrase associated with that certain quality or feature, as in 这本 *zhe4 ben3* ‘this book.’ For example, the classifier 本 *ben3* refers to a book or a book-like object, as only books or book-like objects can co-occur with it. Another example is 两条 *liang3 tiao2*, which could refer to 两条鱼 *liang3 tiao2 yu2* ‘two fish’ or 两条绳 *liang3 tiao2 sheng2* ‘two strings of rope,’ among other possibilities in context. Moreover, in most genres of contemporary Chinese, nouns cannot be enumerated without co-occurring classifiers, as in *一书 *yi1 shu1* ‘one book.’ Lastly, sortal classifiers can often be used with a referential demonstrative without a numeral (always meaning ‘one’), as in 那辆汽车 *na4 liang4 qi4che1* ‘that car,’ but standard measure words cannot.

The primary semantic function of classifiers, including both sortal classifiers and measure words, is to individuate; that is, to identify the units for enumeration or reference. The classifying function of selecting different noun classes is

dependent on this primary function of individuation through the different units chosen to enumerate or refer to the nouns. Classes are formed by the enumerating/referring unit with which they can co-occur. This primary function leads to three types of the most frequent usages of classifiers in Chinese NPs: enumerating, ordering, and referring. In the enumerating usage, a classifier precedes the noun and is preceded by numerals, for example, 三只老虎 *san1 zhi1 lao3hu3* ‘three tigers.’ In the ordering usage, a classifier precedes the noun and is preceded by ordinal numbers, as in 第三只老虎 *di3san1 zhi1 lao3hu3* ‘the third tiger.’ In the referring usage, a classifier precedes the noun and is preceded by demonstratives followed by an optional numeral, as in 那两只老虎 *na4 liang3 zhi1 lao3hu3* ‘those two tigers’ and 那只老虎 *na4 zhi1 lao3hu3* ‘that tiger.’

It is important to note that when individuating, a classifier can select either a natural unit, as in 三只老虎 *san1 zhi1 lao3hu3* ‘three tigers,’ or a conventionalized unit by choosing a particularly relevant set of collections. Although both chopsticks and candy can be individuated with a natural unit (chopstick with 支 *zhi1*, as in 一支筷子 *yi1 zhi1 kuai4zi0* ‘a chopstick,’ and candy with 颗 *ke1*, as in 一颗糖果 *yi1 ke1 tang2guo3* ‘one piece of candy’), these nouns can also collocate with other classifiers to refer to other conceptually salient collections, as in 一包筷子 *yi1 bao1 kuai4zi0* ‘a package of chopsticks’ and 一包糖果 *yi1 bao1 tang2guo3* ‘a package of candies.’

In addition, a classifier functions to individuate and to provide enumerating units for the generic conceptual type referred to by the bare noun. As discussed in Chapter 8, bare nouns in Chinese refer to kinds, not individuals. For instance, 狗比猫大 *gou3 bi3 mao1 da4* ‘dogs are bigger than cats’ refers to the generalization that dogs as a kind are bigger than cats as a kind. This generalization is not contradicted by a specific statement, such as 这只猫比你家那只狗大 *zhe4 zhi1 mao1 bi3 ni3 jia1 na4 zhi1 gou3 da4* ‘this cat is bigger than that dog of yours.’ The classifier 只 *zhi1* in the second sentence serves two important functions, both to individuate the referent and to establish that this specific individual is an animal.

7.2.2. The semantic functions of classifier reduplication

Both the classifier and the numeral-classifier compound can be reduplicated for different semantic functions. The function of reduplicating classifiers is not unlike the vivid reduplication of adjectives. In examples like 屋子里到处都是一块块(的)金子 *wu1zi0 li3 dao4chu4 dou1 shi4 yi1 kuai4 kuai4 (de0) jin1zi0* ‘there are nuggets and nuggets of gold everywhere in the house,’ the emphasis is on both the individuated nature of the object and the implication that there are many of them. The numeral one is the only numeral allowed in the context, since the implication is that the actual number is too big to be counted/specified. This reduplication applies in general to sortal classifiers and container measure words. On the other hand, when a numeral-classifier compound is reduplicated, it is most commonly used

as an adverb to focus on the repeatable and quantifiable stages of a measured-out event in terms of the incremental amount of the participant role involved. For instance, 又看到体重一公斤一公斤的降 *you4 kan4dao4 ti3zhong4 yi1 gong1jin1 yi1 gong1jin1 de0 jiang4* '(you) then see that (you) are losing weight one kilogram by one kilogram' and 雁子排成人字, 三只三只飞 *yan4zi0 pai2 cheng2 ren2zi4, san1 zhi1 san1 zhi1 fei1* 'geese fly in formation, three by three.' Note that in this type of reduplication, the numeral carries crucial information and cannot be omitted or replaced. This type of reduplication applies to all classifiers' subclasses, except for approximation measure words.

7.3. Syntactic properties of classifiers

Three linguistic tests may be employed to establish two subcategories of classifiers. First, it has been observed that not all classifiers allow the [Num+CL+NP]/[Num+CL+DE+NP] alternation. Sortal classifiers, the most prototypical nominal classifier, do not tend to occur in this alternating construction; for example, 三只老虎 *san1 zhi1 lao3hu3* 'three tigers' and 那只狗 *na4 zhi1 gou3* 'that dog' are felicitous, but *三只的老虎 *san1 zhi1 de0 lao3hu3* 'three tigers' and *那只的狗 *na4 zhi1 de0 gou3* 'that dog' are not. However, the *de0* can occur following standard measure words and approximation measure words. For instance, for the standard measure word 秒 *miao3* it is possible to say either 三十秒广播广告 *san1shi2 miao3 guang3bo1 guang3gao4* or 三十秒的广播广告 *san1shi2 miao3 de0 guang3bo1 guang3gao4* 'a 30-second radio advertisement.' In addition, for the approximation measure word 线 *xian4* it is possible to say either 一线希望 *yi1xian4xi1wang4* or 一线的希望 *yi1xian4 de0 xi1wang4* 'some hope.' Note that sortal classifiers select a set of natural and inherent characteristics of the noun, while standard and approximation measure words refer to a set of conventionalized features which are independent of the head noun. This seems to be the main motivation for the difference in the distribution of the *de0*. It is interesting to note that the use of container measure words has a mixed nature: there is no selectional restriction between the container measure word and the noun as long as there is feasible real-world context, yet each container measure word also carries a set of natural and inherent characteristics itself. Hence, it is not surprising that container measure words allow the *de0*-insertion in some, but not all, contexts. For instance, the *de0* is commonly found to occur after container measure words in measured-out constructions, such as 每天最好能吃四到六碗的饭 *mei3tian1 zui4hao3 neng2 chi1 si4 dao4 liu4 wan3 de0 fan4* 'it is best to eat four to six bowls of rice each day.'

Second, sortal classifiers and container measure words allow limited modification by adjectives. The small set of adjectives allowed is highly dependent on the classifier, with the most common adjectives being the size adjectives 大 *da4* 'big,' as shown in [1a], and 小 *xiao3* 'small,' as shown in [1b]:

- [1] a. 两大块饼干
 liang3 da4 kuai4 bing3gan1
 two big CL cookie
 ‘two big pieces of cookies’
- b. 两小包糖果
 liang3 xiao3 bao1 tang2guo3
 two small CL candy
 ‘two small bags of candies’

Yet to the extent that direct modification is allowed, the interpretations differ according to different subtypes of classifiers. In [1a], the direct modification of a sortal classifier is semantically equivalent to the direct modification of the head noun, as in 两块大饼干 *liang3 kuai4 da4 bing3gan1* ‘two big pieces of cookie.’ It is important to note that such direct modification of a sortal classifier is restricted to the salient property of the noun class selected by that particular classifier. Hence, it is possible to say 一大块 *yi1 da4 kuai4* ‘a big piece,’ 一长条 *yi1 chang2 tiao2* ‘a long strip,’ and 一薄片 *yi1 bao2 pian4* ‘a thin slice’ because these classifiers select the properties of a sizable chunk, a long thin strip, and a thin two-dimensional object, respectively.

Conceptually, however, the size/dimensional properties belong to the object referred to by the noun, not to the noun class. Similarly, container measure words, as in [1b], are bona fide nouns whose properties can be modified. In this case, direct modification refers to the container, not to the contained. Hence, the meaning of [1b], with direct modification of the container measure word, has a different meaning from 一包小糖果 *yi1 bao1 xiao3 tang2guo3* ‘a bag of small candies’ with direct modification of the noun. The reasons why event classifiers, kind classifiers, and standard measure words cannot be directly modified can be explained semantically. Standard measure words represent a fixed measurement, while kind classifiers and event classifiers refer to abstract properties.

Third, approximation measure words are restricted in the selection of numerals, allowing only the numeral 一 *yi1* ‘one,’ as shown in [2], while other subclasses of classifiers do not have such restrictions.

- [2] 晴天一身汗, 雨天一身水
 qing2tian1 yi1 shen1 han4 yu3tian1 yi1 shen1
 fine_day one CL sweat rainy_day one CL
 shui3
 water
 ‘sweated all over under the sun, got splattered all over in the rain’

Table 7.1 Linguistic characteristics of classifier subcategories

		[Num+CL+NP]/ [Num+CL+DE+NP] alternation	Strict selection of numerals	Direct dimensional modification
Sortal classifiers	Individual classifiers	–	–	+ (Property of noun)
	Event classifiers	–	–	–
	Kind classifiers	–	–	–
Measure words	Container measure words	+	–	+ (Property of classifier/ container)
	Standard measure words	+	–	–
	Approximation measure words	+	–	–

Approximation measure words borrow a concrete locus in order to establish approximately the measure and/or extent of a mass noun or an abstract noun. As such, it does not provide a constant unit for enumeration and, hence, cannot co-occur with any numerals other than one. The linguistic tests of all classifier subcategories are given in Table 7.1.

7.4. Overview of classifier types

Sortal classifiers can be classified into three types based on their classificatory functions: individual classifiers, event classifiers, and kind classifiers. Similarly, measure words can be divided into three types based on their measuring functions: container measure words, approximation measure words, and standard measure words.

When the numeral one occurs before a sortal classifier or a measure word, it can refer to the quantity of one, or it could be an indeterminate reference. Similar to the ambiguity shown by bare nominals in Chinese (see discussion in Chapter 8, on nouns), the interpretation of “one-CL” is context dependent. The ambiguity may or may not be resolved by contextual information, as the concept of indeterminacy is not grammaticalized. All true classifiers and measure words can occur with a numeral other than one. The only exception is approximation measure words (which are also called pseudo-classifiers or temporary classifiers). As mentioned in section 7.3, this category of measure words typically co-occurs with the numeral one but not with other numerals or determiners.

An additional type of classifier often mentioned in the literature is 动量词 *dong4liang4ci2* ‘activity classifier,’ and its typical structure is [V-Num-CL]. A typical

example is 下 *xia4*, as in 打一下 *da3 yi1 xia4* ‘hit one time.’ Although they are known as activity classifiers, they are not bona fide classifiers, as they cannot be used to enumerate entities. Activity classifiers are discussed further in Chapter 4 on verbs.

7.4.1. Types of sortal classifiers

Individual classifiers, also known as general classifiers, classify both concrete objects, such as, 这棵树 *zhe4 ke1 shu4* ‘this tree,’ and abstract objects, such as 一个想法 *yi1 ge4 xiang3fa3* ‘one thought.’ They can also be used with grouped objects, such as 两双筷子 *liang3 shuang1 kuai4zi0* ‘two pairs of chopsticks.’ They usually precede the noun but may follow the noun, as in predicate use in 奇异果两个 *qi2yi4guo3 liang3 ge4* ‘two kiwifruit’ (e.g., in a listing context, such as ordering or inventory) or in Classic Chinese.

Event classifiers enumerate the occurrences of an event, such as 会议 *hui4yi4* ‘meeting.’ They can be used with nouns denoting events, as in 十场比赛 *shi2 chang3 bi3sai4* ‘ten competitions,’ or with count nouns specific to the events coerced to refer to the event, as in 一班车 *yi1 ban1 che1* ‘a scheduled run of public transportation.’

Kind classifiers enumerate types of objects, such as 十二种动物 *shi2er4 zhong3 dong4wu4* ‘twelve kinds of animals,’ but not individual objects.

7.4.2. Types of measure words

As mentioned in section 7.2, there are three types of measure words: container measure words, approximation measure words, and standard measure words. Container measure words are derived from a subset of container nouns. They specify quantity in terms of the number of containers that hold the objects, as in 一碗饭 *yi1 wan3 fan4* ‘a bowl of rice.’ It is important to note that container measure words co-occur with both COUNT and MASS nouns (e.g., 一碗花生 *yi1 wan3 hua1sheng1* ‘a bowl of peanuts,’ where 花生 *hua1sheng1* typically selects the individual classifier 颗 *ke1*, which classifies small round objects).

Approximation measure words refer to an approximate quantity and typically are preceded by the number one, as in 一身汗 *yi1 shen1 han4* ‘body full of sweat’ or 一份心意 *yi1 fen4 xin1yi4* ‘a sign of appreciation.’

Standard measure words measure concrete objects in terms of a specific standard measurement for length, area, weight, volume, and time, as in 八百公尺 *ba1 bai3 gong1chi3* ‘eight hundred meters,’ 半公斤脂肪 *ban4 gong1jin1 zhi1fang2* ‘half a kilogram of fat,’ 400 公升汽油 *400 gong1sheng1 qi4you2* ‘400 liters of petrol,’ and 三年 *san1 nian2* ‘three years.’

In what follows, we will further demonstrate with examples the function and usage of each classifier type based on the frequency of their co-occurrence

with particular nouns in the corpus. In section 7.7, we will discuss polysemous classifiers that have different classifier senses. Lastly, in section 7.8, we will discuss how sortal classifiers can coerce different meanings from nouns.

7.5. Sortal classifiers

7.5.1. Individual classifiers

Individual classifiers are prototypically viewed as categorizing a semantically salient perceptual property of a noun that refers to something that can be individuated. The functions and usages of individual classifiers in this section are exemplified by our discussion of the corpus's most frequently used individual classifiers.

个 *ge4* is the most frequently used individual classifier. It is often referred to as the generic classifier or the default classifier, as it individuates without specifying the shared semantic properties of the class of individuals it classifies. 个 *ge4* is frequently used with human nouns, such as 两个小孩 *liang3 ge4 xiao3hai2* 'two children' and 三个朋友 *san1 ge4 peng2you3* 'three friends.' In addition, it can be used with a wide range of concrete count nouns, such as 三四个月 *san1 si4 ge4 yue4* 'three or four months,' 整个国家 *zheng3 ge4 guo2jia1* 'the whole country,' 一个房子 *yi1 ge4 fang2zi0* 'a house,' and 两个苹果 *liang3 ge4 ping2guo3* 'two apples,' and abstract count nouns, such as 一个想法 *yi1 ge4 xiang3fa3* 'a thought,' 两个现象 *liang3 ge4 xian4xiang4* 'two phenomena,' 五个层面 *wu3 ge4 ceng2mian4* 'five levels,' and 这个系统 *zhe4 ge4 xi4tong3* 'this system.' Oftentimes, these nouns also have other more specific classifiers they may occur with, as in 两颗苹果 *liang3 ke1 ping2guo3* 'two apples.' Various sociolinguistic factors will influence the speaker's choice between the generic and the specific classifier.

There are, however, restrictions on the use of 个 *ge4*. For example, 个 *ge4* does not co-occur with mass nouns, such as 水 *shui3* 'water' and 土 *tu3* 'soil.' It also does not co-occur with count nouns that have strong semantic links with specific classifiers, such as 线 *xian4* 'thread,' 纸 *zhi3* 'paper,' and 书 *shu1* 'books.' 个 *ge4* also has non-classifier uses in idiomatic expressions, as in 起个大早 *qi3 ge4 da4 zao3* 'got up early' and 笑个不停 *xiao4 ge4 bu4ting2* 'laugh without stopping.' Some apparent counter examples of 个 *ge4* co-occur with mass nouns, such as 喝个水 *he1 ge4 shui3* '(please allow me to) drink a bit of water,' involving an aspectual sense and not a classifying one.

The most frequent individual classifiers, other than the generic classifier 个 *ge4*, are two classifiers individuating human beings: 位 *wei4* and 名 *ming2*. 位 *wei4* is the second most frequently used individual classifier, classifying people when they are referred to with the associated meaning of their roles or occupations, as in 同学/学生 *tong2xue2/xue2sheng1* 'students,' 老师 *lao3shi1* 'teachers,' and 朋友

peng2you3 ‘friends.’ It is also used when addressing a group of people, as in 各位女士 ge4wei4 nü3shi4 ‘ladies’ and 各位先生 ge4wei4 xian1sheng1 ‘gentlemen,’ when being polite, as when a restaurant host asks, 几位? ji3wei4? ‘How many (in your party)?,’ and when introducing one person to another, as in 我给你们介绍介绍。这位是从约旦来的萨先生。 wo3 gei3 ni3men0 jie4shao4 jie4shao4. zhe4 wei4 shi4 cong2 yue1dan4 lai2 de0 sa4 xian1sheng1. ‘Let me introduce you. This is Mr. Sa from Jordan.’ 位 wei4 is often used when referring to people who are well respected in a particular sociolinguistic group, as in 八位教授 ba1 wei4 jiao4shou4 ‘eight professors’ and 一位官员 yi1 wei4 guan1yuan2 ‘an official.’ However, when the roles or occupations have a negative connotation, they collocate only with 名 ming2, not 位 wei4, which limits the usage of 位 wei4.

名 ming2 is another frequently used individual classifier individuating people. It classifies people when they are referred to by their social role or occupation. However, unlike 位 wei4, it is not associated with the value of the job or occupation. Instead, it is used more generically, as in 一名男子 yi1 ming2 nan2zi3 ‘a man’ and 该名女子 gai1 ming2 nü3zi3 ‘that woman.’ When compared with the usage of 位 wei4 above, 名 ming2 is also preferred when referring to people who are less respected in a particular sociolinguistic group, for example, 歹徒 dai3tu2 ‘criminals’ and 罪犯 zui4fan4 ‘criminals.’ In addition, 名 ming2 is used most frequently when ranking individuals, as in 第一名 di4yi1ming2 ‘first place’ and 第二名 di4er4ming2 ‘second place,’ although it functions as a nominal and not a classifier in this usage.

A second group of frequently used classifiers classifies objects according to their shape, as in 条 tiao2, 根 gen1, 片 pian4, 块 kuai4, 颗/粒 ke2/li4, and 滴 di1. 条 tiao2 is the most frequently used individual classifier classifying the shape of an object. 条 tiao2 categorizes a variety of nouns that may be seen as embodying, to a greater or lesser degree, the prototypical attributes of long, thin, cylindrical, and flexible. 条 tiao2 classifies concrete nouns, such as 这条路/道路 zhe4 tiao2 lu4/dao4lu4 ‘this road,’ 一条腿 yi1 tiao2 tui3 ‘a leg,’ 两条船 liang3 tiao2 chuan2 ‘two boats,’ 一条裙子 yi1 tiao2 qun2zi0 ‘a skirt,’ 一条浴巾 yi1 tiao2 yu4jin1 ‘a bath towel,’ and 整条项链 zheng3 tiao2 xiang4lian4 ‘the whole necklace,’ as well as abstract nouns that may be seen as metaphorical extensions of the above-mentioned concrete attributes, such as 第十条规定 di4shi2 tiao2 gui1ding4 ‘Article X,’ 三十六条条分 san1shi2liu4 tiao2 tiao2wen2 ‘thirty-six clauses,’ 该条规则 gai1 tiao2 gui1ze2 ‘that rule,’ 十五条人命/命 shi2wu3 tiao2 ren2ming4/ming4 ‘fifteen lives,’ 整条生产线 zheng3 tiao2 sheng1chan3xian4 ‘the whole production line,’ and 这条新闻 zhe4 tiao2 xin1wen2 ‘this news.’

When quantifying a section of (legal) writing in an official document, 条 tiao2 is at the highest level (i.e., a number) compared with 项 xiang4 and 款 kuan3, as in 关税法第二十条第一项第六款 guan1shui4fa3 di4er4shi2 tiao2 di4yi1 xiang4 di4liu4 kuan3 ‘Custom Law Number 20 Section 1 Sub-section 6.’ In addition, animals such as 鱼 yu2 ‘fish,’ 金鱼 jin1yu2 ‘goldfish,’ 狗 gou3 ‘dogs,’ 猪 zhu1 ‘pigs,’ 龙 long2 ‘dragons,’ 蛇

she2 ‘snakes,’ and 毛虫 *mao2chong2* ‘caterpillars’ co-occur with 条 *tiao2*. For clothing, tops and jackets are usually classified by 件 *jian4*, whereas pants and skirts are classified by 条 *tiao2*. In reference to the individual classifier 只 *zhi1* discussed later in this section, 条 *tiao2* can also classify boats.

根 *gen1* classifies long, thin, and cylindrical objects, such as hair, including 二十一根头发 *er4shi2yi1 gen1 tou2fa4* ‘twenty-one hairs’ and 十根胡须 *shi2 gen1 hu2xu1* ‘ten beards.’ It also individuates cylindrical objects, such as 三根旗杆 *san1 gen1 qi2gan1* ‘three poles’ and 一根圆柱 *yi1 gen1 yuan2zhu4* ‘one cylinder,’ and string-like objects made of taut and elastic materials, including 两根弦 *liang3 gen1 xian2* ‘two strings (of a stringed instrument),’ 一根铁丝 *yi1 gen1 tie3si1* ‘one steel wire,’ and 一根电线 *yi1 gen1 dian4xian4* ‘one electric wire.’

The usages of 根 *gen1* and 条 *tiao2* are similar and, thus, are often interchangeable; for instance, 两根弦 *liang3 gen1 xian2* can be written as 两条弦 *liang3 tiao2 xian2* without any semantic difference. Both 根 *gen1* and 条 *tiao2* can be used to individuate objects with the prototypical attributes of long, thin, and cylindrical. In addition to these three attributes, 根 *gen1* has the attribute of being rigid, while 条 *tiao2* has the attribute of being flexible, so those objects with the attribute of winding flexibility usually prefer/select 条 *tiao2* instead of 根 *gen1*; thus, 一条马路 *yi1 tiao2 ma3lu4* ‘a road’ is the correct usage, not *一根马路 *yi1 gen1 ma3lu4*. Another distinction between 根 *gen1* and 条 *tiao2* is the abstractness of the nouns. Concrete nouns, such as 钢管 *gang1guan3* ‘steel tube,’ tend to collocate with 根 *gen1*, while abstract nouns, such as 线索 *xian4suo3* ‘clue’ and 人命 *ren2ming4* ‘life,’ tend to collocate with 条 *tiao2*. It is important to note that the very compact semantic content of a classifier means that it is possible for different salient semantic characteristics to play the role of different selectional preference of nouns. For instance, although the rigid versus flexible characteristic does not favor either *tiao2* or *gen1* for nouns such as 头发 *tou2fa4* ‘hair’ and 秧苗 *yang1miao2* ‘young rice plant,’ the fact that they are both rooted objects sets up the selectional preference of 根 *gen1* versus 条 *tiao2*, as 根 *gen1* also refers to roots.

张 *zhang1* is most frequently used to classify objects that are typically flat, two-dimensional, and horizontal, such as 一张专辑/唱片 *yi1 zhang1 zhuan1ji2* ‘an album,’ 一张照片/相片 *yi1 zhang1 zhao4pian4/xiang1pian4* ‘a photo,’ 几张牌 *ji3 zhang1 pai2* ‘a few cards,’ 三十张纸 *san1shi2 zhang1 zhi3* ‘thirty pieces of paper,’ 四张画 *si4 zhang1 hua4* ‘four paintings,’ 四十八张支票 *si4shi2ba1 zhang1 zhi1piao4* ‘forty-eight checks,’ 两张表 *liang3 zhang1 biao3* ‘two forms,’ 一张地图 *yi1 zhang1 di4tu2* ‘a map,’ 一张五百元礼券 *yi1 zhang1 wu3bai3 yuan2 li3quan4* ‘a 500-dollar coupon,’ and 一张收据 *yi1 zhang1 shou1ju4* ‘a receipt.’ It also classifies furniture that has a flat, rectangular, and two-dimensional main functional part, such as 一张双人床 *yi1 zhang1 shuang1ren2chuang2* ‘a double bed,’ 几张桌子 *ji3 zhang1 zhuo1zi0* ‘a few tables,’ 这张椅子 *zhe4 zhang1 yi3zi0* ‘this chair,’ 一张方形的书桌 *yi1 zhang1*

fang1xing2 de0 shu1zhuo1 ‘a rectangular desk,’ and 几张按摩椅 *ji3 zhang1 an4mo2yi3* ‘a few massage chairs.’ In addition, 张 *zhang1* classifies the face of a person, as well as facial expressions by extension, as in 整张脸 *zheng3 zhang1 lian3* ‘the whole face,’ 那张充满怒气的脸 *na4 zhang1 chong1man3 nu4qi4 de0 lian3* ‘that face full of anger,’ 一张自信的笑脸 *yi1 zhang1 zi4xin4 de0 xiao4lian3* ‘a confident, smiling face,’ and 一张臭脸 *yi1 zhang1 chou4 lian3* ‘an unhappy face.’ Lastly, it classifies nouns that can be opened wide, as in 那张嘴 *na4 zhang1 zui3* ‘that mouth’ and 这张鱼网 *zhe4 zhang1 yu2wang3* ‘this fishing net.’ These examples also underline the fact that classifiers represent a lexicalized convention, so gaps are to be expected. That is, the lexical convention predicts that all nouns which are selected by 张 *zhang1* will have at least one of the above characteristics, but it does not predict that all nouns that have the above characteristics will be selected by 张 *zhang1*.

片 *pian4* is used to classify objects that have the attributes of being flat and thin, such as 一片玻璃 *yi1 pian4 bo1li2* ‘a glass,’ 几片树叶 *ji3 pian1 shu4ye4* ‘a few leaves,’ 一片云 *yi1 pian4 yun2* ‘a cloud,’ 一片茶叶 *yi1 pian4 cha2ye4* ‘a tea leaf,’ and 数十片光碟 *shu4shi2 pian1 guang1die2* ‘dozens of discs.’ In addition, 片 *pian4* functions as an approximation measure word. See section 7.6.2 for a discussion on this point.

块 *kuai4* classifies concrete nouns that are typically a solid block shape or in piece form, such as 一块大石头 *yi1 kuai4 da4 shi2tou0* ‘a big rock,’ 一块空地 *yi1 kuai4 kong4di4* ‘a piece of open ground,’ 那块璧玉 *na4 kuai4 bi4yu4* ‘that piece of jade,’ 这块布 *zhe4 kuai4 bu4* ‘this piece of cloth,’ 这块(肥)肉 *zhe4 kuai4 (fei2) rou4* ‘this piece of (fat) meat,’ and 十块金子 *shi2 kuai4 jin1zi0* ‘ten pieces of gold.’ In terms of frequency, 块 *kuai4* is most often used as a classifier in modern colloquial Mandarin to refer to monetary units, as in 一万多块钱 *yi1 wan4 duo1 kuai4 qian2* ‘more than ten thousand dollars.’ It is crucial to differentiate the use of a classifier for monetary units and nouns for actual monetary units. 块 *kuai4* can be used as a unit for enumerating any monetary system, such as 一百块{美金/人民币/泰币} *yi1 bai3 kuai4{mei3jin1/ren2min2bi4/tai4bi4}* ‘one hundred US dollars/renminbi/Thai baht,’ although different nouns must be used to refer to the actual monetary units, as in 一百美元/人民币/泰铢 *yi1 bai3 mei3yuan2/ren2min2bi4/tai4zhu1* ‘one hundred US dollars/renminbi/Thai baht.’ The origin of this monetary usage is probably from classifying silver dollars. 块 *kuai4* also classifies land, as in 空地 *kong4di4* ‘open ground,’ 净土 *jing4tu3* ‘paradise,’ 荒地 *huang1di4* ‘wasteland,’ and 园地 *yuan2di4* ‘garden.’

Both 片 *pian4* and 块 *kuai4* serve a similar function, which is to classify concrete nouns in typical piece form, so 这块肉 *zhe4 kuai4 rou4* ‘this piece of meat’ and 那块乳酪 *na4 kuai4 ru3lao4* ‘that piece of cheese’ can be written as 这片肉 *zhe4 pian1 rou4* and 那片乳酪 *na4 pian1 ru3lao4*, respectively. However, the use of 片 *pian4* and 块 *kuai4* brings about slight differences in meaning. For example, 这块乳酪 *zhe4 kuai4 ru3lao4* ‘this piece of cheese’ emphasizes a more

three-dimensional-like state, while 那片乳酪 *na4 pian1 ru3lao4* ‘this piece of cheese’ implies that the piece is thin. In some cases, both 块 *kuai4* and 片 *pian4* can be used interchangeably without changing the semantic meaning, as in the case of 一块吐司 *yi1 kuai4 tu3si1* and 一片吐司 *yi1 pian4 tu3si1* ‘a piece of toast.’

颗/粒 *ke1/li4* typically classifies small round objects and those that are nearly round. Thus, 弹珠 *dan4zhu1* ‘marbles,’ 钻石 *zuan4shi2* ‘diamonds,’ 糖 *tang2* ‘candies,’ 子弹 *zi3dan4* ‘bullets,’ and 星星 *xing1xing1* ‘stars’ tend to collocate with 颗 *ke1*. 颗/粒 *ke1/li4* also classifies star-/planet-like objects, such as 星球 *xing1qiu2* ‘planets’ and 人造卫星 *ren2zao4 wei4xing1* ‘satellites.’ A minor difference between 颗 *ke1* and 粒 *li4* arises from the size of the round object. For example, 粒 *li4* is limited only to small or tiny round objects, whereas with relatively large round objects, 颗 *ke1* is used instead, as in 一颗炮弹 *yi1 ke1 pao4dan4* ‘a cannonball,’ not *一粒炮弹 *yi1 li4 pao4dan4*. It also classifies the heart as an organ, as in 一颗心 *yi1 ke1 xin1* ‘a heart,’ as well as its metaphoric extensions, such as 赤子之心 *chi4zi3 zhi1 xin1* ‘a pure heart of a newborn baby’ and 善良的心 *shan4liang2 de xin1* ‘a kind heart.’

滴 *di1* classifies a drop of liquid, such as 一滴水 *yi1 di1 shui3* ‘a drop of water,’ 一滴一滴的水珠 *yi1 di1 yi1 di1 de0 shui3zhu1* ‘drops of water,’ 几滴眼泪 *ji3 di1 yan3lei4* ‘a few tear drops,’ and 一滴血 *yi1 di1 xue4* ‘a drop of blood.’ 滴 *di1* and 点 *dian3* can be used to measure the volume of liquid and, thus, sometimes they are interchangeable without a change in semantic meaning, as in 一滴雨水 *yi1 di1 yv3shui3* and 一点雨水 *yi1 dian3 yu3shui3* ‘a drop of rain.’ However, the nouns that 点 *dian3* classifies are not limited only to liquid. 点 *dian3* can also classify round objects in other forms as long as they are perceived as the form of a speck, such as 一点火星 *yi1 dian3 huo3xing1* ‘a spark’ and 一点银星 *yi1 dian3 yin2xing1* ‘a silver star.’ Dripping liquid seldom collocates with 点 *dian3*; instead, it tends to collocate with 滴 *di1*. 滴 *di1* and 点 *dian3* can be used together to form an idiomatic phrase, as in 点点滴滴 *dian3dian3di1di1*, meaning the accumulation of small and tiny things together, or 一点一滴 *yi1dian3yi1di1*, meaning modifying the action to be completed slowly, bit by bit.

The third group of classifiers classifies different animal categories, such as 只 *zhi1*, 头 *tou2*, 条 *tiao2*, 尾 *wei3*, and 匹 *pi3*. 只 *zhi1* is the most frequently used individual classifier for animals, such as 一只猫 *yi1 zhi1 mao1* ‘a cat,’ 那只鸟 *na4 zhi1 niao3* ‘that bird,’ 两只老虎 *liang3 zhi1 lao3hu3* ‘two tigers,’ 五千多只流浪狗 *wu3 qian1 duo1 zhi1 liu2lang4gou3* ‘more than five thousand stray dogs,’ and 这只猪 *zhe4 zhi1 zhu1* ‘this pig.’ In addition to animals, 只 *zhi1* classifies pairs of the visible body parts of animals or humans, such as 这只右手 *zhe4 zhi1 you4shou3* ‘this right hand,’ 两只脚 *liang3 zhi1 jiao3* ‘two legs,’ and 一只眼睛 *yi1 zhi1 yan3jing1* ‘an eye,’ as well as objects that usually appear in pairs, such as 一只鞋/鞋子 *yi1 zhi1 xie2/xie2zi0* ‘a shoe’ and 一只袜/袜子 *yi1 zhi1 wa4/wa4zi0* ‘a sock.’ It can also be used to classify containers or utensils, as in 一只手表 *yi1 zhi1 shou3biao3* ‘a watch,’ 一只茶碗 *yi1 zhi1*

cha 2wan3 ‘a teacup,’ and 一只玉镯 *yi1 zhi1 yu4zhuo2* ‘a jade bracelet.’ It is important to note that the usage of the classifier 只 *zhi1* corresponds to two classifiers 隻 *zhi1* and 只 *zhi1* in traditional Chinese. 隻 is the default form; while 只 is an alternative form which can be used in place of 隻 only when classifying containers and utensils.

只 *zhi1* is also frequently used to classify ships, for example, 二十只船 *er4shi2 zhi1 chuan2* ‘twenty boats’ and 一只白帆船 *yi1 zhi1 bai2 fan1chuan2* ‘a white sailing boat.’ In addition, 条 *tiao2* and 艘 *sou1* also classify ships. The difference lies in the discourse genre as well as the size of the ships. When the genre is written, 艘 *sou1* tends to be used more frequently, while 条 *tiao2* and 只 *zhi1* are more commonly used when the genre is spoken. Relatively small ships, such as 木船 *mu4chuan2* ‘wooden boat’ and 帆船 *fan1chuan2* ‘sailing boat,’ tend to collocate with the classifiers 只 *zhi1* and 条 *tiao2*, while ships bigger in size and scale, such as 缉私艇 *ji1si1ting3* ‘coastguard cutter’ and 兵舰 *bing1jian4* ‘warship,’ tend to collocate with 艘 *sou1*.

Other classifiers for animals include 头 *tou2*, 条 *tiao2*, 尾 *wei3*, and 匹 *pi3*. 头 *tou2* classifies big animals with four legs, for example, 一头大象 *yi1 tou2 da4xiang4* ‘an elephant,’ 34 头的小老虎 *34 tou2 de0 xiao3 lao3hu3* ‘thirty-four little tigers,’ 五头狮子 *wu3 tou2 shi1zi0* ‘five lions,’ and 一头怪兽 *yi1 tou2 guai4shou4* ‘a monster.’ 头 *tou2* usually classifies animals that are bigger in size; thus, expressions such as *一头猫 *yi1 tou2 mao1* ‘a cat’ and *一头老鼠 *yi1 tou2 lao3shu3* ‘a mouse’ do not occur in the corpus.

As mentioned earlier in this section, 条 *tiao2* classifies animals such as 鱼 *yu2* ‘fish,’ 金鱼 *jin1yu2* ‘goldfish,’ 狗 *gou3* ‘dogs,’ 猪 *zhu1* ‘pigs,’ 龙 *long2* ‘dragons,’ 蛇 *she2* ‘snakes,’ and 毛虫 *mao2chong2* ‘caterpillars.’

尾 *wei3* classifies fish and shrimp, for example 四尾金鱼 *si4 wei3 jin1yu2* ‘four goldfishes’ and 几尾小虾 *ji3 wei3 xiao3 xia1* ‘a few shrimps.’ Both 条 *tiao2* and 尾 *wei3* can be used to classify fish, as in 一尾鱼 *yi1 wei3 yu2* and 一条鱼 *yi1 tiao2 yu2* ‘a fish.’ However, the use of 尾 *wei3* is restricted to written text only, while 条 *tiao2* can classify fish in both spoken and written language.

匹 *pi3* classifies only animals such as 马 *ma3* ‘horses,’ 骆驼 *luo4tuo2* ‘camels,’ 狼 *lang2* ‘wolves,’ and other similar types of animals. The usage of 匹 *pi3* for classifying animals is thus very limited. 匹 *pi3* used to classify 狼 *lang2* ‘wolves’ is a recent phenomenon. In addition to individuating certain animals, 匹 *pi3* is also used to classify a unit of power, such as 马力 *ma3li4* ‘horsepower,’ as it is derived from the usage of classifying horses. 匹 *pi3* also functions as a standard measure word, such as 一匹布 *yi1 pi3 bu4* ‘a bolt of cloth.’ See section 7.6.3 for a discussion on standard measure words.

The remaining list of individual classifiers highlights other commonly used individual classifiers.

把 *ba3* has three individual classifier usages. First, it classifies a thing with a handle or things that can be held in the hand, in particular, musical instruments and tools. For example, 剪刀 *jian3dao1* ‘scissors,’ 剑 *jian4* ‘swords,’ 手枪 *shou3qiang1* ‘guns,’ 雨伞 *yu3san3* ‘umbrellas,’ 小提琴 *xiao3ti2qin2* ‘violins,’ 笛子 *di2zi0* ‘flutes,’ and 椅子 *yi3zi0* ‘chairs’ are classified by 把 *ba3*. Second, it classifies fire, such as 三把野火 *san1 ba3 ye3huo3* ‘three camp/wild fires.’ Third, it classifies an abstract meaning of fire, as in 一把怒火 *yi1 ba3 nu4huo3* ‘a rage’; however, only 一把 *yi1 ba3* is allowed to collocate with this abstract meaning of fire. In addition, 把 *ba3* classifies objects that can be grasped by the hand as a container measure word, for example, 大把钞票 *da4 ba3 chao1piao4* ‘many bank notes,’ 一把青菜 *yi1 ba3 qing1cai4* ‘a bundle of vegetables,’ and 一把泥土 *yi1 ba3 ni2tu3* ‘a handful of mud.’ 把 *ba3* can also be used as an approximation measure word and as a container measure word. See section 7.6 for an additional discussion on this topic.

The individual classifiers 张 *zhang1* and 把 *ba3* refer to the functional part of any artifact. It is also crucial to understand that they refer to the functional part of a word. Hence, 一张椅子 *yi1 zhang1 yi3zi0* ‘one chair’ refers to the functional flat part for sitting, while 一把刀 *yi1 ba3 dao1* ‘one knife’ refers to the handle that is essential to the control of functionality of that artifact.

项 *xiang4* categorizes abstract entities or events that can be divided into parts or sections, such as 这项计划 *zhe4 xiang4 ji4hua4* ‘this project,’ 各项议题 *ge4xiang4 yi4ti2* ‘each topic,’ 这项措施 *zhe4 xiang4 cuo4shi1* ‘this measure,’ 多项活动 *duo1 xiang4 huo2dong4* ‘a number of activities,’ 这项比赛 *zhe4 xiang4 bi3sai4* ‘this competition,’ and 该项调查 *gai1 xiang4 diao4cha2* ‘that investigation.’ 项 *xiang4* is also used to refer to a section of (legal) writing in an official document, as in 第一条第二项规定 *di4yi1 tiao2 di4er4 xiang4 gui1ding4* ‘Number 1 Section 2 rule.’ 项 *xiang4* is at the middle level (i.e., a number) concerning a section of legal writing compared with 条 *tiao2* and 款 *kuan3*, which are two other individual classifiers that also refer to sections of legal writing, as in 关税法第二十条第一款 *guan1shui4fa3 di4er4shi2 tiao2 di4yi1 xiang4 di4liu4 kuan3* ‘Customs Law Number 20 Section 1 Sub-section 6.’

本 *ben3* classifies books or other bound volumes, such as 书 *shu1* ‘books,’ 小说 *xiao3shuo1* ‘novels,’ 诗集 *shi1ji2* ‘(collection of) poems,’ 著作 *zhu4zuo4* ‘writings,’ 杂志 *za2zhi4* ‘magazines,’ 小册子 *xiao3ce4zi0* ‘booklets,’ 辞典 *ci2dian3* ‘dictionaries,’ 散文集 *san3wen2ji2* ‘collections of prose,’ 笔记 *bi3ji4* ‘notebooks,’ 护照 *hu4zhao4* ‘passports,’ 手册 *shou3ce4* ‘handbooks,’ 圣经 *sheng4jing1* ‘Bible,’ 漫画 *man4hua4* ‘comics,’ and 教科书 *jiao4ke1shu1* ‘textbooks.’ 本 *ben3*, 册 *ce4*, 部 *bu4*, and 套 *tao4* can all be used to classify books. 本 *ben3* is the most common and most popular classifier for books and bound volumes, such as 一本笔记 *yi1 ben3 bi3ji4* ‘a notebook.’ The usage of 册 *ce4* is both more literal and more classical. Nowadays, 册 *ce4* is used to indicate a particular book among an entire series, for example,

册 *shang4ce4* ‘Volume One’ and 下册 *xia4ce4* ‘Volume Two.’ 部 *bu4* classifies either a book or a series of books. Lastly, 套 *tao4* classifies a set of objects, including sets of books, as in 一套八本书 *yi1 tao4 ba1 ben3 shu1* ‘a set of eight books.’ 本 *ben3* and 册 *ce4* classify books only, while 部 *bu4* and 套 *tao4* classify other nouns as well. It should be noted that the same classifiers are used regardless of whether the noun refers to the physical object/bound volume or its informational content.

部 *bu4* has four major usages. First, 部 *bu4* classifies motor vehicles, such as 70多部新车(子) *70 duo1 bu4 xin1 che1 (zi0)* ‘around 70 new cars,’ 五百部计程车 *wu3bai3 bu4 ji4cheng2che1* ‘five hundred taxis,’ 十余部汽车 *shi2yu2 bu4 qi4che1* ‘more than ten cars,’ 五部机车 *wu3 bu4 ji1che1* ‘five motorbikes,’ 两部轿车 *liang3 bu4 jiao4che1* ‘two sedans,’ 四部法拉利 *si4 bu4 fa3la1li4* ‘four Ferraris,’ and 十部休旅车 *shi2 bu4 xiu1lü3che2* ‘ten sport utility vehicles.’ 部 *bu4*, 台 *tai2*, and 辆 *liang4* can be used to classify cars, with 辆 *liang4* being used most frequently for this purpose. 部 *bu4* is used for bigger motor vehicles, whereas 台 *tai2* is used for smaller motor vehicles, such as 脚踏车 *jiao3ta4che1* ‘bikes,’ 推车 *tui1che1* ‘wagons,’ and 轮椅 *lun2yi3* ‘wheelchairs.’

Second, 部 *bu4* classifies machines and other more complicated artifacts with many functioning components, such as 四部机器 *si4 bu4 ji1qi4* ‘four machines,’ 一部电脑 *yi1 bu4 dian4nao3* ‘a computer,’ 一部传真 *yi1 bu4 chuan2zhen1* ‘a fax machine,’ 一部电视 *yi1 bu4 dian4shi4* ‘a TV,’ 一部时间机器 *yi1 bu4 shi2jian1ji1qi4* ‘a time machine,’ and 一部录影机 *yi1 bu4 lu4ying3ji1* ‘a video recorder.’ Both 部 *bu4* and 台 *tai2* can be used to classify machines without a significant difference in meaning.

Third, 部 *bu4* classifies books, as in 一部最伟大的书 *yi1 bu4 zui4 wei3da4 de0 shu1* ‘the greatest book,’ 这部预言书 *zhe4 bu4 yu4yan2 shu1* ‘this prophecy,’ and 两部小说集 *liang3 bu4 xiao3shuo1ji2* ‘two novel collections.’ When 部 *bu4* is used to classify books, it can refer to a single book or to a set of books; the difference is determined only by context. 部 *bu4* also classifies a series of classic books that contains many volumes, as in 十部小说 *shi2 bu4 xiao3shuo1* ‘ten novels,’ 整部十三经 *zheng3 bu4 shi2san1jing1* ‘the whole set of Thirteen Classics,’ 一部旧式史书 *yi1 bu4 jiu4shi4 shi3shu1* ‘a history book (printed or bound) in an old style,’ and 一部茶经 *yi1 bu4 cha 2jing1* ‘a book for tea.’

Fourth, 部 *bu4* classifies movies. For example, 电影/戏/影片 *dian4ying3/xi4/ying3pian4* ‘films,’ 纪录片 *ji4lu4pian1* ‘documentaries,’ 日剧 *ri4ju4* ‘Japanese TV dramas,’ 剧情片 *ju4qing2pian1* ‘feature films,’ and 短片 *duan3pian1* ‘short films’ can all co-occur with 部 *bu4*.

家 *jia1* classifies a family based on its original meaning, as in 一家人 *yi1 jia1 ren2* ‘a family’ and 三家美国人 *san1 jia1 mei3guo2ren2* ‘three American families.’ By extension, it can classify the houses families live in, such as 一家民宅 *yi1 jia1 min2zhai2* ‘a residence.’ In addition, it is frequently used with nouns referring to

institutions, such as 一家诊所 *yi1 jia1 zhen3suo3* ‘a clinic.’ It should be noted that 家 *jia1* and 户 *hu4* can be used interchangeably when referring to the home of a family.

When 家 *jia1* is used to refer to institutions, it often co-occurs with organizations and companies, such as 两家公司 *liang3 jia1 gong1si1* ‘two companies,’ 二十四家企业 *er4shi2si4 jia1 qi3ye4* ‘twenty-four enterprises,’ 几家美国银行 *ji3 jia1 mei3guo2 yin2hang2* ‘a few American banks,’ 这家啤酒屋 *zhe4 jia1 pi2jiu3wu1* ‘this beer house,’ and 100 家最佳航空公司 *100 jia1 zui4jia1 hang2kong1gong1si1* ‘the 100 best airline companies.’ It should be noted that both 家 *jia1* and 间 *jian1* can be used interchangeably, in most cases, when referring to organizations or companies. For example, 一家餐厅 *yi1 jia1 can1ting1* ‘a restaurant’ can also be written as 一间餐厅 *yi1 jian1 can1ting1*. 间 *jian1* is preferred, however, when referring to the physical building an organization occupies.

座 *zuo4* quantifies buildings, such as 六十四座小小的城堡 *liu4shi2si4 zuo4 xiao3xiao3 de0 cheng2bao3* ‘sixty-four small castles,’ 一座现代化的建筑物 *yi1 zuo4 xian4dai4hua4 de0 jian4zhu4wu4* ‘a modernized building,’ 三千两百座庙(宇) *san1qian1liang3bai3 zuo4 miao4 (yu3)* ‘3200 temples,’ 三座金字塔 *san1 zuo4 jin1zi4ta3* ‘three pyramids,’ 多座新教堂 *duo1 zuo4 xin1 jiao4tang2* ‘a few new chapels,’ and 这座世界级的博物馆 *zhe4 zuo4 shi4jie4ji2de0 bo2wu4guan3* ‘this world-class museum.’ 座 *zuo4*, 栋 *dong4*, 幢 *chuang4*, and 间 *jian1* are all used to classify buildings, as in 一栋别墅 *yi1 dong4 bie2shu4* ‘a villa,’ 一幢房子 *yi1 zhuang4 fang2zi0* ‘a building,’ and 三间卧房 *san1 jian1 wo4fang2* ‘three bedrooms.’ However, they refer to different kinds of buildings. 座 *zuo4*, 栋 *dong4*, and 幢 *chuang4* classify entire buildings or some bigger buildings, while 间 *jian1* typically co-occurs with smaller buildings or rooms inside the buildings.

In addition to buildings, *zuo4* classifies other constructions, such as 这座桥/桥梁/大桥 *zhe4 zuo4 qiao2/qiao2liang2/da4qiao2* ‘this bridge.’ 座 *zuo4* can also classify installed artifacts, such as 雕像 *diao1xiang4* ‘statues,’ 铜像 *tong2xiang4* ‘bronze statues,’ and 城市 *cheng2shi4* ‘city.’ Moreover, 座 *zuo4* classifies landforms with great mass, for example, 一座山/山头 *yi1 zuo4 shan1/shan1tou2* ‘a mountain’ and 一座岛/岛屿 *yi1 zuo4 dao3/dao3yu3* ‘an island.’

点 *dian3* classifies nouns that have the attribute of being viewed as a tiny drop or a spot, as in 几点黑斑 *ji3 dian3 hei1ban1* ‘a few black dots,’ 长空万点星 *chang2kong1 wan4 dian3 xing1* ‘tens of thousands of stars in a clear sky,’ and 一点火光 *yi1 dian3 huo3guang1* ‘a little spark.’ 点 *dian3* is also used to classify a variety of abstract nouns with the attributes of being listed out. Nouns such as 意见 *yi4jian4* ‘opinions,’ 原则 *yuan2ze2* ‘principles,’ 要求 *yao1qiu2* ‘requests,’ 理由 *li3you2* ‘reasons,’ and 结论 *jie2lun4* ‘conclusions’ all have the attribute of something that can be listed out; thus, they can collocate with the classifier 点 *dian3*. 点 *dian3* is also frequently used as an approximation measure word, as discussed in section 7.6.2. (See also the discussion on 滴 *di1* in the earlier part of this section.)

句 *ju4* is mostly used to classify sentences, such as 这句话 *zhe4 ju4 hua4* ‘this sentence,’ 那句名言 *na4 ju4 ming2yan2* ‘that famous remark,’ 几句诗 *ji3 ju4 shi1* ‘a few lines of a poem,’ 几句台词 *ji3 ju4 tai2ci2* ‘a few lines in a play,’ 一句漂亮的口号 *yi1 ju4 piao4liang4 de0 kou3hao4* ‘a beautiful slogan,’ 一句英文 *yi1 ju4 ying1wen2* ‘an English sentence,’ 一句玩笑话 *yi1 ju4 wan2xiao4hua4* ‘a joke,’ 一句脏话 *yi1 ju4 zang1hua4* ‘a dirty word,’ 一句深刻的俚语 *yi1 ju4 shen1ke4 de0 li3yu3* ‘a profound slang,’ and 几句咒语 *ji3 ju4 zhou4yu3* ‘a few magical spells.’

段 *duan4* classifies distance, as in 一段距离 *yi1 duan4 ju4li2* ‘a distance’ and 两段路 *liang3 duan4 lu4* ‘two sections of road/journey.’ It also classifies speech and words, such as 一段对话 *yi1 duan4 dui4hua4* ‘a conversation’ and 一段章节 *yi1 duan4 zhang1jie2* ‘a section.’ In addition, 段 *duan4* can also be used as an approximation measure word. See section 7.6.2 for further discussion on this topic.

The last group of frequent classifiers, 套 *tao4*, 分 *fen1*, and 件 *jian4*, deals with the parts of a whole that can be individuated. 套 *tao4* usually classifies a pack/set of artifacts and implies that the nouns refer to an artifact with conventionalized and enumerable parts, such as 整套书 *zheng3 tao4 shu1* ‘a whole set of books,’ 五十套电脑 *wu3shi2 tao4 dian4nao3* ‘fifty sets of computers,’ 这套仪器 *zhe4 tao4 yi2qi4* ‘this set of apparatus,’ 那套教材 *na4 tao4 jiao4cai2* ‘that set of teaching materials,’ 两套餐具 *liang3 tao4 can1ju4* ‘two sets of cutlery,’ 这套录音带 *zhe4 tao4 lu4yin1dai4* ‘this set of audiotapes,’ and 一套恭王府紫檀木家具 *yi1 tao4 gong1wang2fu3 zi3tan2mu4 jia1ju4* ‘a set of Padauk furniture from Prince Gong Mansion.’ 套 *tao4* also classifies a whole set of clothes that has a top half and a bottom half that is worn together, such as 球衣 *qiu2yi1* ‘soccer uniforms,’ 衣服 *yi1fu2* ‘clothes,’ 西装 *xi1zhuang1* ‘suits,’ and 礼服 *li3fu2* ‘tuxedos.’

套 *tao4* also classifies procedures or combinations of methods or events, as in 一套系统/制度 *yi1 tao4 xi4tong3/zhi4du4* ‘a system,’ 这套理论 *zhe4 tao4 li3lun4* ‘this theory,’ 那套方法 *na4 tao4 fang1fa3* ‘that way,’ 一套公式 *yi1 tao4 gong1shi4* ‘a formula,’ 这套管理模式 *zhe4 tao4 guan3li3 mo2shi4* ‘this management mode,’ 一套完整的大学评鉴机制 *yi1 tao4 wan2zheng3 de0da4xue2 ping2jian4 ji1zhi4* ‘a complete mechanism to evaluate the university,’ 一套功夫 *yi1 tao4 gong1fu0* ‘a system of martial arts,’ and 一套都市计划 *yi1 tao4 du1shi4 ji4hua4* ‘an urban plan.’

套 *tao4* and 组 *zu3* similarly classify entities that can be combined together. 套 *tao4* refers to the combination of non-living things in one set, such as 衣服 *yi1fu2* ‘clothes’ and 书 *shu1* ‘books,’ while 组 *zu3* typically refers to humans forming a team, as in 一组人马/人员 *yi1 zu3 ren2ma3/ren2yuan2* ‘a group of people’ and 一组同学 *yi1 zu3 tong2xue2* ‘a group of students.’ 组 *zu3*, however, can also classify a set of non-human entities when each member has a different function, such as 一组数字 *yi1 zu3 shu4zi4* ‘a set of numbers’ and 一组螺丝 *yi1 zu3 luo2si1* ‘a set of screws.’

分 *fen1* classifies a part of the whole entity, for example, 十分把握 *ten2 fen1 ba3wo4* ‘full confidence,’ 一分的天才 *yi1 fen1 de0 tian1cai2* ‘ten percent talent,’ and 三分熟 *san1 fen4 shu2* ‘rare (as in meat).’ Originally, 一分 *yi1 fen1* stood for

one-tenth of the whole, but this numeric value often cannot be interpreted literally in its classifier use. Moreover, idioms containing 分 *fen1* can be conventionalized, and these conventional expressions collocate with fixed numerals and cannot be changed; for instance, 入木三分 *ru4 mu4 san1 fen1* ‘penetrating.’ It is impossible to substitute 三分 *san1 fen4* with 四分 *si4 fen1*, 二分 *er4 fen4*, and so on. 三分 *san1 fen4* can also be collocated with emotional verbs, as in 畏惧三分 *wei4ju4 san1 fen4* ‘certain degree of fear.’ See section 7.7 for an additional discussion on 分 *fen1*.

件 *jian4* is used extensively as an individual classifier to classify clothes, in particular tops and jackets, such as 毛衣 *mao2yi1* ‘sweaters,’ 大衣 *da4yi1* ‘coats,’ 外套 *wai4tao4* ‘jackets,’ 衬衫 *chen4shan1* ‘shirts,’ 背心 *bei4xin1* ‘vests,’ 皮衣 *pi2yi1* ‘furs,’ 泳衣 *yong3yi1* ‘swimming suits,’ and 球衣 *qiu2yi1* ‘jerseys.’ Moreover, 间 *jian4* is used to count utensils/instruments/tools, such as 数件作品 *shu4 jian4 zuo4pin3* ‘a few pieces,’ 一件艺术品 *yi1 jian4 yi4shu4pin3* ‘a work of art,’ 百余件玉器 *bai3 yu2 jian4 yu4qi4* ‘more than a hundred pieces of jade,’ 这件物品 *zhe4 jian4 wu4pin3* ‘this piece,’ 一件雕塑品 *yi1 jian4 diao1su4pin3* ‘a sculpture,’ and 五十余件乐器 *wu3shi2 yu2 jian4 yue4qi4* ‘more than fifty musical instruments.’ When 件 *jian4* is used to classify utensils/instruments/tools, it refers to a broad category, not to a specific category. Thus, 件 *jian4* co-occurs with 乐器 *yue4qi4* ‘musical instruments’ and 作品 *zuo4pin3* ‘pieces’ instead of 笛子 *di2zi0* ‘flute’ or 画 *hua4* ‘painting.’

7.5.2. Event classifiers

Event classifiers individuate events and, as a type of sortal classifier, categorize events according to their salient eventive properties. They can classify event nouns, such as 本次比赛 *ben3 ci4 bi3sai4* ‘this competition,’ 该件火车对撞意外 *gai1 jian4 huo3che1 dui4zhuang4 yi4wai4* ‘that train crash accident,’ and 两场演讲 *liang3 chang3 yan3jiang3* ‘two talks.’ They can also coerce event readings from concrete count nouns specific to a particular event and classify that event, such as in 好多通电话 *hao3duo1 tong1 dian4hua4* ‘many telephone calls,’ 这班列车 *zhe4 ban1 lie4che1* ‘this scheduled train,’ and 一餐/顿饭晚餐 *yi1 can1/dun4 wan3can1* ‘a dinner.’ Event classifiers can individuate event instances (i.e., tokens), event types, or event stages.

Event classifiers which individuate event instances provide units for enumerating incidents of the same event types. They include 次 *ci4*, 场 *chang3*, 通 *tong1*, 回 *hui2*, and 顿 *dun4*. A special subtype of event instance classifiers is that which individuates scheduled events, such as 届 *jie4*, 期 *qi1*, and 班 *ban1*. This subset of scheduled event classifiers has the unique property of being able to enumerate unrealized events, such as 三班没开出的火车 *san1 ban1 mei2 kai1chu1 de0 huo3che1* ‘three scheduled trains which failed to depart’ and 两期没缴的房贷 *liang3 qi1 mei2 jiao3 de0 fang2dai4* ‘two unpaid mortgage installments.’

次 *ci4* is the most frequent and most typical event instance classifier. It counts the occurrences of an action and can thus be used as an activity classifier (see

discussion in Chapter 4, on verbs). For event classifier usage, 次 *ci4* is used to classify events, such as 本次院士会议 *ben3 ci4 yuan4shi4 hui4yi4* ‘this academician meeting,’ 此次活动 *ci3 ci4 huo2dong4* ‘this activity,’ 这次空难事件 *zhe4 ci4 kong1nan4 shi4jian4* ‘this air crash,’ 这次地震 *zhe4 ci4 di4zhen4* ‘this earthquake,’ 一次干净的比赛 *yi1 ci4 gan1jing4 de0 bi3sai4* ‘a competition without corruption,’ and 无数次考试 *wu2shu4 ci4 kao3shi4* ‘innumerable examinations.’ 次 *ci4* can also be used to enumerate events expressed by deverbal nouns (i.e., nouns derived from verbs), as in 比赛 *bi3sai4* ‘competitions,’ 考试 *kao3shi4* ‘examinations,’ 演出 *yan3chu1* ‘performances,’ 调查 *diao4cha2* ‘investigations,’ and 展览 *zhan3lan3* ‘exhibitions.’ 次 *ci4* cannot directly classify monosyllabic event nouns, such as 事 *shi4* ‘event.’ However, it can be used when such nouns occur as a separate part of a Verb–Object, such as 开了二次会 *kai1 le er4 ci4 hui4* ‘held two meetings.’ It can be argued that this is a structural variation of the activity classifier use of 开会二次 *kai1 hui4 er4 ci4* ‘held two meetings,’ as mentioned in section 7.4. These types of nouns can only collocate with 件 *jian4*, 桩 *zhuang1*, or 回 *hui2*, as in 一件/桩/回事 *yi1jian4/zhuang1/hui2shi4* ‘one event,’ for an event.

场 *chang3* is another event instance classifier. 场 *chang3* counts performance or competition events, as in 十场比赛 *shi2 chang3 bi3sai4* ‘ten competitions,’ 二十多场的文学演讲 *er4shi2 duo1 chang3 de0 wen2xue2yan3jiang3* ‘more than twenty literature talks,’ 六十六场演出 *liu4shi2liu4 chang3 yan3chu1* ‘sixty-six performances,’ 这场音乐会 *zhe4 chang3 yin1yue4hui4* ‘this concert,’ and 两场球赛 *liang3 chang3 qiu2sai4* ‘two ball games.’ 场 *chang3* also classifies hazardous events, including natural disasters and man-made accidents, as in 一场大雨 *yi1 chang3 da4yu3* ‘a rainstorm,’ 这场战争 *zhe4 chang3 zhan4zheng1* ‘this war,’ 一场极其恐怖的灾难 *yi1 chang3 ji2qi2 kong3bu4 de0 zai1nan4* ‘an extremely terrifying disaster,’ 那场车祸 *na4 chang3 che1huo4* ‘that car accident,’ 那场可能发生的金融风暴 *na4 chang3 ke3neng2 fa1sheng1 de0 jin1rong2 feng1bao4* ‘that potential financial tsunami,’ and 一场浩劫 *yi1 chang3 hao4jie2* ‘a disaster.’ 场 *chang3* can also be used as an activity classifier measuring actions. It emphasizes the complete cycle of an event, as in 一场噩梦 *yi1 chang3 e4meng4* ‘a nightmare’ and 一场骗局 *yi1 chang3 pian4ju2* ‘a fraud,’ and is very often used with nominal relational predicates, such as 朋友一场 *peng2you3 yi1 chang3* ‘friend’ (see discussion in Chapter 4, on verbs).

通 *tong1* and 顿 *dun4* are highly specified event instance classifiers. 通 *tong1* individuates phone calls, as in 两通电话 *liang3 tong1 dian4hua4* ‘two phone calls,’ 多通电话 *duo1 tong1 dian4hua4* ‘many calls,’ and 十多通恐吓电话 *shi2 duo1 tong1 kong3he4 dian4hua4* ‘more than ten threatening phone calls.’ 顿 *dun4* individuates meals, for example 一顿饭 *yi1 dun4 fan4* ‘a meal,’ 吃了一顿中国菜 *chi1 le0 yi1 dun4 zhong1guo2 cai4* ‘have a Chinese-style meal,’ and 一顿好吃的午餐 *yi1 dun4 hao4chi1 de0 wu3can1* ‘have a delicious lunch.’

As mentioned, 届 *jie4* and 期 *qi1* are both scheduled event classifiers, while 班 *ban1* is used specifically for scheduled transportation events. 届 *jie4* refers to

events which occur at regular intervals, while 期 *qi1* refers to events which occupy regular durations.

届 *jie4* classifies events that are held on a regular basis, for example, competitions, meetings, and elections, as in 三届世界杯 *san1 jie4 shi4jie4bei1* 'three World Cups,' 第十一届亚运 *di4shi2yi1 jie4 ya4yun4* '11th Asian Games,' 本届比赛 *ben3 jie4 bi3sai4* 'this year's competition,' 第六届世界游泳锦标赛锦标赛 *di4liu4 jie4 shi4jie4 you2yong3 jin3biao1sai4* '6th FINA World Swimming Championships,' 第 73 届奥斯卡 *di4qi1shi2san1 jie4 ao4si1ka3* '73rd Oscars,' 下届总统大选 *xia4 jie4 zong3tong3 da4xuan3* 'the next presidential election,' 第五届立法院 *di4wu3 jie4 li4fa3yuan4* '5th Legislative Yuan (of the Republic of China),' and 本届议会 *ben3 jie4 yi4hui4* 'this parliament.'

届 *jie4* also classifies titles and posts determined by regularly held events, such as 三届世界杯冠军 *san1 jie4 shi4jie4bei1 guan4jun1* 'three World Cup Championships' and 第六届学生会会长 *di4liu4 jie4 xue2sheng1hui4 hui4zhang3* 'the 6th President of the Student Union.' Both 届 *jie4* and 任 *ren4* can refer to the title and post of events. 任 *ren4*, however, is restricted to a title/duty with fixed terms.

期 *qi1* classifies events that involve stages of completion. Construction projects and plans typically collocate with 期 *qi1*, as in 第一、二期建设工程 *di4yi1, er4 qi1 jian4she4 gong1cheng2* 'the first and second phases of the construction project,' 第二期五年计划 *di4er4 qi1 wu3 nian2 ji4hua4* 'the second 5-year plan,' 第三期扩建计划 *di4san1 qi1 kuo4jian4ji4hua4* 'the third extension plan,' and 一期废水处理工程 *yi1 qi1 fei4shui3 chu4li3 gong1cheng2* 'a construction project for sewage/wastewater treatment.' 期 *qi1* also classifies the issue number of magazines/publications/lottery when used as an individual classifier.

Event type classifiers, such as 件 *jian4*, 桩 *zhuang1*, and 起 *qi3*, put events of the same type together to enumerate them. The events enumerated are of the same type but are not repetitions of the same event. It is important to note that the choice of event type or token classifiers is often dependent on the speaker's perspective. 三起车祸 *san1 qi3 che1huo4* 'three traffic accidents' refers to three independent events, while the use of the event token classifier 三次车祸 *san1 ci4 che1huo4* 'three traffic accidents' implies that the three occurrences are related, such as by the same car/driver or at the same intersection.

件 *jian4* classifies less specialized nouns, such as 一件事 *yi1 jian4 shi4/shi4qing2* 'an event,' 一件大事 *yi1 jian4 da4shi4* 'a big issue,' 一件好事 *yi1 jian4 hao3shi4* 'a good thing,' 两件案子 *liang3 jian4 an4zi0* 'two cases,' 每件申请案 *mei3 jian4 shen1qing3an4* 'each application,' 八百多件个案 *ba1bai3 duo1 jian4 ge4an4* 'more than eight hundred cases,' 这件谋杀案 *zhe4 jian4 mou2sha1an4* 'this murder,' 多件绑票案 *duo1 jian4 bang3piao4an4* 'many kidnappings,' and 十多件病例 *shi2 duo1 jian4 bing4li4* 'more than ten cases of this sickness.'

件 *jian4*, 桩 *zhuang1*, and 起 *qi3* can all be used to classify monosyllabic event nouns, with 件 *jian4* being the most common classifier. 起 *qi3* usually classifies crimes and accidents, such as 两起自杀案 *liang3 qi3 zi4sha1 an4* ‘two suicide cases.’ For other usages measuring events, 件 *jian3* and 桩 *zhuang1* can be used interchangeably, such as 一件/桩喜事 *yi1 jian4/zhuang1 xi3shi4* ‘a joyous occasion.’

Lastly, event stage classifiers individuate the natural segments or stages within an event. They include 步 *bu4*, 段 *duan4*, and 期 *qi1*. 步 *bu4* individuates by stages of a process, while 段 *duan4* typically individuates by duration. 期 *qi1*, as discussed above, is polysemous between the scheduled incident reading and a durational segment reading.

段 *duan4*, in addition to its individual classifier usage, is also frequently used as an event classifier to classify a period of time, as in 这段时间 *zhe4 duan4 shi2jian1* ‘this period of time,’ 一段缓冲时间 *yi1 duan4 huan3chong1shi2jian1* ‘a buffer time,’ 这段期间 *zhe4 duan4 qi1jian1* ‘this period,’ 此段过渡时期 *ci3 duan4 guo4du4shi2qi1* ‘this transition period,’ 那段快乐的日子 *na4 duan4 kuai4le4 de0 ri4zi0* ‘that happy time,’ 一段繁华岁月 *yi1 duan4 fan2hua2 sui4yue4* ‘a prosperous time,’ 一段相当漫长的历史 *yi1 duan4 xiang1dang1man4chang2 de0 li4shi3* ‘a very long history,’ and 这段美好的时光 *zhe4 duan4 mei3hao3 de0 shi2guang1* ‘a wonderful time.’ 段 *duan4* also classifies a complete episode of an event, as in 两段爱情 *liang3 duan4 ai4qing2* ‘two love relationships,’ 一段重要的过程 *yi1 duan4 zhong4yao4 de0 guo4cheng2* ‘a very important process,’ 每段感情 *mei3 duan4 gan3qing2* ‘each relationship,’ and 这段婚外情 *zhe4 duan4 hun1wai4qing2* ‘this extramarital affair.’

步 *bu4* refers to the steps in an event, as in 下一步工作 *xia4 yi1 bu4 gong1zuo4* ‘the next step’ and 采取第一步行动 *cai3qu3 di4yi1 bu4 xing2dong4* ‘take the first step of action.’ 步 *bu4* is used together with ordinal numbers and demonstratives, but not with cardinal numbers. Based on the nature of the type of event it classifies, a part of a procedure can be identified only sequentially; that is, even if the same activity is repeated, it will be repeated in sequential steps, not by the same step repeating itself.

7.5.3. Kind classifiers

More than a dozen kind classifiers are used to enumerate kinds of objects. Kind classifiers are the least restrictive in selecting their collocating nouns. They classify types of objects rather than the objects themselves, unlike individual classifiers. For example, 三种蝴蝶 *san1 zhong3 hu2die2* ‘three types of butterflies’ differs from 三只蝴蝶 *san1 zhi1 hu2die2* ‘three butterflies’ in that the former points out that there are three types of butterflies but does not specify how many butterflies there are of these three types, whereas the latter emphasizes the existence of three individual butterflies, regardless of their types.

种 *zhong3* is the most frequent kind classifier. It does not pose any restriction on the noun it selects. Concrete nouns, such as 人 *ren2* 'human beings,' 动物 *dong4wu4* 'animals,' 药/药物 *yao4* /*yao4wu4* 'medicine,' 工具 *gong1ju4* 'tools,' and 植物 *zhi2wu4* 'plants,' and abstract nouns, such as 情况/情形 *qing2kuang4* /*qing2xing2* 'situations,' 方式/方法 *fang1shi4* /*fang1fa3* 'ways,' 程度 *cheng2du4* 'degrees,' and 说法 *shuo1fa3* 'the ways of speaking,' can all collocate with 种 *zhong3*. It has been observed that 种 *zhong3* tends to co-occur with abstract nouns more often than with concrete nouns. When 种 *zhong3* is used with abstract nouns, it functions similarly to the individual classifier 个 *ge4* without any significant difference in meaning; thus, 一种情况 *yi1 zhong3 qing2kuang4* and 一个情况 *yi1 ge4 qing2kuang4* 'a situation' can often be used interchangeably. This is because the abstract concept of individuality often involves categorizing the nouns into general types. Hence, for these nouns, individual classifiers overlap their functions with general classifiers.

类 *lei4* ranks as the second most frequent kind classifier in the corpus. Similar to 种 *zhong3*, 类 *lei4* implies a sorting process, with similar objects being sorted together, as in 同类的问题 *tong2lei4 de0 wen4ti2* 'the same type of question,' 各类案件 *ge4 lei4 an4jian4* 'different types of cases,' 这一类病人 *zhe4 yi1 lei4 bing4ren2* 'this type of patient,' 各类亲子教育的书籍 *ge4 lei4 qin1 zi3 jiao4yu4 de0 shu1ji2* 'all types of parenting books,' and 这类电影的题材新颖 *zhe4 lei4 dian4ying3 de0 ti2cai2 xin1ying3* 'this kind of movie has a novel theme and content.' 类 *lei4* and 种 *zhong3* serve a similar function, which is to quantify the types of objects; thus, they can be used interchangeably without changing the semantic meaning, as in 这类案件 *zhe4 lei4 an4jian4* and 这种案件 *zhe4zhong3an4jian4* 'this type of case.'

样 *yang4* is rated the third most frequent kind classifier in the corpus. As a kind classifier, 样 *yang4* classifies the implied similarity in appearance or function of objects, as in 四样菜 *si4 yang4 cai4* 'four dishes,' 两样心情 *liang3 yang4 xin1qing2* 'two moods,' 每样商品 *mei3 yang4 shang1pin3* 'each commodity,' 各样的角色 *ge4 yang4 de0 jue2se4* 'all kinds of characters,' 两样乐器 *liang3 yang4 yue4qi4* 'two types of musical instruments,' and 不但养着百样人, 竟还养着百样花 *bu4dan4 yang3 zhe0 bai3 yang4 hua1* 'not only different types of people are raised, different types of flowers are planted.'

号 *hao4* is the fourth most frequent kind classifier and it classifies categories of human beings, as in 这号人物 *zhe4 hao4 ren2wu4* 'this character,' which refers to an unspecified characteristic that puts this person in a different category. 号 *hao4* can also be the number assigned to a noun for sorting purposes, as in 118号公路 *118 hao4 gong1lu4* 'Highway No. 118,' 第一号奏鸣曲 *di4yi1 hao4 zou4ming2qu3* 'Sonata No. 1,' 第218号法案 *di4 218 hao4 fa3an4* 'Bill No. 218,' 第四号台风 *di4si4 hao4 tai2feng1* 'Typhoon No. 4,' and 十一号太空船 *shi2yi1 hao4 tai4kong1chuan2* 'Spaceship No. 11.' This noun usage in a numbering system serves as a unique identifier but it is not a type of kind classifier.

款 *kuan3* is the fifth most frequent kind classifier in the corpus. As a kind classifier, 款 *kuan3* is used to classify categories of manufactured products. Clothes, cars, and electronic products can all be classified by 款 *kuan3*, as in 这款游戏 *zhe4 kuan3 you2xi4* ‘this game,’ 这款产品 *zhe4 kuan3 chan3pin3* ‘this product,’ 这款机种 *zhe4 kuan3 ji1zhong3* ‘this airplane model,’ 四十一款车款 *si4shi2yi1 kuan3 che1 kuan3* ‘41 styles of cars,’ 这款童装 *zhe4 kuan3 tong2zhuang1* ‘this children’s outfit,’ 一款的礼服设计 *yi1 kuan3 de0 li3fu2 she4ji4* ‘a tuxedo design,’ 这款手机 *zhe4 kuan3 shou3ji1* ‘this mobile phone,’ and 一款小型休旅车 *yi1 kuan3 xiao3xing2 xiu1lue3che1* ‘a small sport utility vehicle.’

式 *shi4* is the sixth most frequent kind classifier in the corpus. 式 *shi4* classifies the type of style or pattern of an abstract or concrete object. Concrete nouns, such as 家具 *jia1ju4* ‘furniture,’ 建筑物 *jian4zhu4wu4* ‘architecture,’ 赠品 *zeng4pin3* ‘gifts,’ 画具 *hua4ju4* ‘drawing utensils,’ and 游乐场 *you2le4chang3* ‘playground,’ and abstract nouns, such as 口味 *kou3wei4* ‘flavor,’ 弹药 *dan4yao4* ‘ammunition,’ and 美食佳肴 *mei3shi2jia1yao2* ‘delicacies,’ all co-occur with 式 *shi4*. For the left-hand collocation, 式 *shi4* collocates only with 各 *ge4* ‘every.’ It cannot co-occur with different numerals or demonstratives, such as 这 *zhe4* ‘this’ and 那 *na4* ‘that.’

The remaining eight kind classifiers are 派 *pai4*, 码 *ma3*, 档 *dang4*, 色 *se4*, 般 *ban1*, 等 *deng3*, 档子 *dang4 zi0*, and 码子 *ma3 zi0*.

7.6. Measure words

7.6.1. Container measure words

Container measure words are derived from container nouns. They do not specify the quantity of objects directly; instead, they do so indirectly in terms of the container that contains the object, as in 一篮水果 *yi1 lan2 shui3guo3* ‘a basket of fruit,’ 一盒蛋糕 *yi1 he2 dan4gao1* ‘a box of cake,’ and 四百多箱旧玩具 *si4bai3 duo1 xiang1 jiu4 wan2ju4* ‘more than four hundred boxes of old toys.’ There are many container nouns but not all of them can serve as container measure words. More than thirty container measure words are attested in the Sinica Corpus and the top ten container measure words are listed in Table 7.2.

7.6.2. Approximation measure words

Approximation measure words refer to an approximate quantity, for example 有些问题 *you3xie1 wen4ti2* ‘some questions,’ 一点颜色 *yi1 dian3 yan2se4* ‘certain/some color,’ and 一线希望 *yi1 xian4 xi1wang4* ‘some hope.’ A characteristic of approximation measure words is that they cannot be preceded by numerals other than 一 *yi1* ‘one,’ 这 (一) *zhi4 (yi1)* ‘this,’ and 那 (一) *na4 (yi1)* ‘that.’ Therefore, uses such as *两些问题 *liang3 xie1 wen4ti2* ‘two questions,’ *三点颜色 *san1 dian3 yan2se4* ‘three colors,’ and *五线希望 *wu3 xian4 xi1wang4* ‘five hopes’ are not found in the corpus.

Table 7.2 List of top ten container measure words

口	Kou3	Mouth
杯	Bei1	Cup
瓶	Ping2	Bottle
碗	Wan3	Bowl
包	Bao1	Bag
盘	Pan2	Plate
箱	Xiang1	Box
盆	Pen2	Basin
罐	Guan4	Can
桶	Tong3	Bucket

Since the “approximate quantity” is determined by the lexical meaning of the measure word, the quantity that approximation measure words convey varies. For example, 串 *chuan4* indicates ‘a sequence of,’ as in 一大串问题 *yi1 da4 chuan4 wen4ti2* ‘a long string of questions,’ 股 *gu3* indicates ‘a surge,’ as in 一股欲望 *yi1 gu3 yu4wang 4* ‘a surge of desire,’ 堆 *dui1* indicates ‘a bunch,’ as in 一堆想法 *yi1 dui1 xiang3fa3* ‘a bunch of ideas,’ and 头 *tou2* indicates ‘a head,’ as in 一头白发 *yi1 tou2 bai2fa4* ‘a head of white hair.’

Not all approximation measure words express a large quantity. For instance, 丝 *si1* and 缕 *lǚ3*, meaning ‘a thread,’ as in 一丝笑意 *yi1 si1 xiao4yi4* ‘a thread of a smile’ and 一缕轻烟 *yi1 lǚ3 qing1yan1* ‘a thread of smoke,’ together with 抹 *mo3*, which refers to “a slight touch,” as in 一抹幽香 *yi1 mo3 you1xiang1* ‘a touch of faint fragrance,’ all express a scant quantity. Furthermore, the quantity expressed by some approximation measure words is metaphorical, as in 一手好字 *yi1 shou3 hao4 zi4* ‘good writing skills,’ 一幅宁静悠远的村景 *yi1 fu2 ning2jing4 you1yuan3 de0 cun1 jing3* ‘a fantastic scene of the quiet village,’ and 一派学者风范 *yi1 pai4 xue2zhe3 feng1fan4* ‘scholar-like behaviors.’ Common approximation measure words found in the Sinica Corpus are identified in Table 7.3.

In addition to the above, the classifier 片 *pian4* is also capable of approximation measure word usage. When 片 *pian4* is used to describe the continuum of a landform, such as 这片土地 *zhe4pian4tu3di4* ‘this land,’ 一片茂盛的树林 *yi1 pian4 mao4sheng4 de0 shu4lin2* ‘a luxuriant forest,’ 一片儿时生长的大地 *yi1 pian4 er2shi2 sheng1zhang3 de0 da4di4* ‘a land that I grew up in from my childhood,’ 这片汪洋 *zhe4 pian4 wang1yang2* ‘this large ocean,’ 那片荒野 *na4 pian4 huang1ye3* ‘the wilds,’ 一片沙漠 *yi1 pian4 sha1mo4* ‘a desert,’ 一片绿洲 *yi1 pian4 lǜ4zhou1* ‘an oasis,’ and 一片甘蔗园 *yi1 pian4 gan1zhe4 yuan2* ‘a sugar cane field,’ it is being used as an approximation measure word to measure conceptual meaning.

Table 7.3 List of top ten approximation measure words

股	Gu3	Water stream-like
幅	Fu2	Picture-like
点	Dian3	Spot/bit-like
堆	Dui1	Pile-like
丝	Si1	Thread-like
些	Xie1	Some
派	Pai4	An air of
线	Xian4	String-like
串	Chuan4	String
抹	Mo3	Covered by a wipe of the hand

As in the principle of approximation measure words stated above, 片 *pian4* can be preceded only by 一 *yi1* 'one,' 这(一) *zhe4 (yi1)* 'this,' and 那(一) *na4 (yi1)* 'that.' Therefore, uses such as *两片沙漠 *liang3pian4sha1mo4* 'two deserts' and *数片茂盛的树林 *shu4pian4mao4sheng4 de0 shu4lin2* 'many luxuriant forests' are not found in the corpus. In a few exceptional cases, 片 *pian4* can be preceded by numerals other than 一 *yi1* 'one,' 这(一) *zhe4 (yi1)* 'this,' and 那(一) *na4 (yi1)* 'that' to highlight the fact that the landform can be counted piece by piece, as in 三片沙滩 *san1pian4sha1tan1* 'three sandy beaches.' 片 *pian4* is also used to describe light or color that is evenly distributed, such as 一片黑暗漆黑 *yi1 pian4 hei1an4/qi1hei1* 'the darkness,' 一片阳光 *yi1 pian4 yang2guang1* 'a ray of sunlight,' 一片阴影 *yi1 pian4 yin1ying3* 'a shadow,' 一片绿色 *yi1 pian4 lü4se4* 'a field of green,' and 一片黄色 *yi1 pian4 huang2se4* 'a field of yellow.' It is also used to measure the scenery, for example, 一片晓色 *yi1 pian4 xiao3se4* 'a scene in the early morning,' 一片荒芜 *yi1 pian4 huang1wu2* 'a scene of desolation,' 一片好风光 *yi1 pian4 hao4 feng1guang1* 'a good scenery,' 一片浓郁的田园风光 *yi1 pian4 nong2yu4 de0 tian2yuan2 feng1guang1* 'a rich scenery of farmlands,' and 一片混乱 *yi1 pian4 hun4luan4* 'a scene of chaos.'

片 *pian4* categorizes the atmosphere in a particular environment, such as 一片死寂 *yi1 pian4 si3ji4* 'total silence,' 一片祥和 *yi1 pian4 xiang2he2* 'a harmonious atmosphere,' 一片宁静 *yi1 pian4 ning2jing4* 'total quietness,' 一片温馨 *yi1 pian4 wen1xin1* 'a warm atmosphere,' and 一片欢乐 *yi1 pian4 huan1le4* 'a joyful and happy moment.' Both 片 *pian4* and 团 *tuan2* can be used to describe the atmosphere, as in 一片欢乐 *yi1 pian4 huan1le4* and 一团欢乐 *yi1 tuan2 huan1le4* 'a joyful and happy moment.' 片 *pian4* and 团 *tuan2* can also function as activity classifiers to further illustrate the scenery created by the verbs, which is discussed further in Chapter 4, on verbs.

片 *pian4* classifies the kind and gentle feelings for people or appreciation of these feelings. Feelings such as 爱心 *ai4xin1* 'caring,' 痴心 *chi1xin1* 'infatuation,' and 好

心意 *hao3xin1yi4* ‘good feelings’ thus co-occur with 片 *pian4*. Besides 片 *pian4*, 番 *fan1* can also be used to describe kind and gentle feelings, as in 这番心意 *zhe4 fan1 xin1yi4* ‘these feelings.’ While 片 *pian4* and 番 *fan1* are used to categorize kind and gentle feelings for people, only 片 *pian4* can be used to describe the appreciation of these feelings. Therefore, it is acceptable to say 一片痴心 *yi1 pian4 chi1xin1* instead of 一番痴心 *yi1 fan1 chi1xin1* when referring to the appreciation of the feeling “infatuation.”

The last approximation measure word usage for 片 *pian4* is to describe a sound in great quantity. Sounds such as 喝采之声 *he1cai3 zhi1 sheng1* ‘cheering sound,’ 笑声 *xiao4sheng1* ‘laughter,’ 欢呼声 *huan1hu1sheng1* ‘(sound of) acclamation,’ and 反对之声 *fan3dui4 zhi1 sheng1* ‘(sound of) objection’ all collocate with 一片 *yi1pian4*. In contrast, the most common classifier for sound is 声 *sheng1*, which measures only the individuated sounds, as in 笑声一声一声 *xiao4sheng1 yi1 sheng1 yi1 sheng1* ‘the laughter comes one after another’ and 几声清脆的鸟鸣声 *ji3 sheng1 qing1cui4 de0 niao3ming2 sheng1* ‘a few clear and crisp chirps,’ whereas 片 *pian4* is used to describe a large amount of sound that is given off at the same time. Therefore, different numerals can co-occur with 声 *sheng1*, while only the number 一 *yi1* ‘one’ can co-occur with 片 *pian4*.

In addition to 片 *pian4*, 点 *dian3* is also used as an approximation measure word for those objects that are small in quantity or in scale by further extending the individual classifier usage of classifying nouns that are viewed as a tiny drop, as in 这点钱 *zhe4 dian3 qian2* ‘this small amount of money,’ 半点差错 *ban4 dian3 cha1cuo4* ‘a minor mistake,’ 一点牛奶 *yi1 dian3 niu2nai3* ‘some milk,’ and 这点伤 *zhe4 dian3 shang1* ‘this tiny injury’ (as discussed in section 7.5.1). The suffix - 儿 *er0* can be added to 点 *dian3* to highlight the small quantity, but this tends to co-occur with measure nouns such as beer, as in 喝点儿酒 *he1 dian3er0 jiu3* ‘drink some beer,’ and money, as in 花点儿钱 *hua1 dian3er0 qian2* ‘spend a little money,’ with the exception of people, as in 一点(儿)人 *yi1 dian3(er0) ren2* ‘a few people.’

7.6.3. Standard measure words

Similar to container measure words, standard measure words do not measure the quantity of objects directly. Rather, they measure the objects in terms of a specific standard measurement unit. For example, 五块黄金 *wu3 kuai4 huang2jin1* ‘five pieces of gold’ and 五两黄金 *wu3 liang3 huang2jin1* ‘250 grams of gold’ are different. 块 *kuai4* is used as an individual classifier indicating five pieces of gold, while 两 *liang3* is used as a standard measure word indicating the weight of gold. One hundred and ten standard measure words are attested in the Sinica Corpus. It is important to note that the list of standard measure words is dependent on a standardized measurement system, not on linguistic conditions.

Table 7.4 List of top ten standard measure words

年	<i>Nian2</i>	Year
天	<i>Tian1</i>	Day
元	<i>Yuan2</i>	Dollar
岁	<i>Sui4</i>	Age
分	<i>Fen1</i>	Minute
度	<i>Du4</i>	Degree
日	<i>Ri4</i>	Day
吋	<i>Cun4</i>	Inch
公尺	<i>Gong1 chi3</i>	Meter
公里	<i>Gong1 li3</i>	Kilometer

The ten most frequent standard measure words in the Sinica Corpus are listed in Table 7.4.

7.7. Polysemous classifiers

Classifiers may be polysemous and can have multiple classifier senses. Below are some examples of classifiers with the greatest number of senses.

支 *zhi1* has the greatest number of senses. In total, 支 *zhi1* has eleven senses, of which nine are used as individual classifiers and two are used as standard measure words. As an individual classifier, 支 *zhi1* classifies human organizations, as in 职棒队 *zhi2bang4dui4* ‘a baseball team’ and 巡逻队 *xun2luo2dui4* ‘a patrol team.’ This is the most typical usage of 支 *zhi1*. Second, 支 *zhi1* classifies songs and dances, as in 一支歌 *yi1 zhi1 ge1* ‘a song,’ 这支迷人的舞 *zhe4 zhi1 mi2ren2 de0 wu3* ‘a charming dance,’ and 一支美妙的舞曲 *yi1 zhi1 mei3miaou4 de0 wu3qu3* ‘a wonderful dance tune.’ Third, 支 *zhi1* can classify different family lineages, for example, 一支旁系 *yi1 zhi1 pang2xi4* ‘a collateral line.’ Fourth, 支 *zhi1* classifies objects having the typical attributes of long, thin, and cylindrical. 支 *zhi1* thus collocates with 蜡烛 *la4zhu2* ‘candles,’ 香烟 *xiang1yan1* ‘cigarettes,’ 银钗 *yin2chai1* ‘silver hairpins,’ 笛子 *di2zi0* ‘flutes,’ 注射针筒 *zhu4she4zhen1tong3* ‘syringes,’ and 电击棒 *dian4ji1bang4* ‘stun guns.’ Fifth, 支 *zhi1* classifies video products, as in 录影带数千支 *lu4ying3dai4 shu4 qian1 zhi1* ‘a few thousand video tapes,’ 五百支港片录影带或影碟 *wu3bai3 zhi1 gang3 pian4lu4ying3dai4 huo4 ying3die2* ‘500 Hong Kong movie videos or discs of Hong Kong movies,’ and 这支广告影片 *zhe4 zhi1 guang3gao4 ying3pian4* ‘this advertisement.’ Sixth, 支 *zhi1* numerates the connection capacity of telephone lines, but not the telephone itself, for example, 两支专线电话 *liang3 zhi1 zhuan1xian4 dian4hua4* ‘two hotlines.’ When classifying the telephone itself, 部 *bu4*, 具 *zhu4*, and 台 *tai2* may all be used to refer to the telephone machinery. Seventh, 支 *zhi1* classifies how many times the batter hit the baseball, as in 一支两

分全垒打 *yi1 zhi1 liang3 fen1 quan2lei3da3* ‘a two-run home run.’ Eighth, 支 *zhi1* classifies a record of rewards and punishments, for example, 三支大过 *san1 zhi1 da4 guo4* ‘three major demerits’ and 两支嘉奖 *liang3 zhi1 jia1jiang3* ‘two awards.’ Lastly, 支 *zhi1* classifies financial stocks, as in 每个个别股 *mei3 zhi1 ge4bie2 gu3* ‘every individual stock.’ In the usage of a standard measure word, 支 *zhi1* measures the degree of brightness of lamps and the thinness of cotton yarn, for example, 六十支灯泡 *liu4shi2 zhi1 guang1 deng1pao4* ‘the brightness of the light bulb is equivalent to 60 candles’ and 四十五支纯毛纱布 *si4shi2wu3 zhi1 chun2mao2 sha1bu4* ‘45 thread-count (wool) cloth.’

分 *fen1* contains the second highest number of senses. In total, there are eight senses for 分 *fen1*, in which there are seven standard measure word senses and one sortal classifier sense. First, 分 *fen1* is a unit that measures time. It is the short form of 分钟 *fen1zhong1* ‘minutes.’ 二小时卅分 *er4 xiao3shi2 sa4 fen1* ‘two hours and thirty minutes’ and 下午五时五十五分 *xia4wu3 wu3 shi2 wu3shi2wu3 fen1* ‘fifty-five minutes after five o’clock in the afternoon’ are examples of this. Second, 分 *fen1* measures dollars, as in 一分钱 *yi1 fen1 qian2* ‘one cent/very little money’ and 一分一文 *yi1 fen1 yi1 wen2* ‘each and every penny.’ Third, 分 *fen1* quantifies the degree of longitude and latitude, such as 东经一百一十九度四十八分 *dong1jing1 yi1bai3yi1shi2jiu3 du4 si4shi2ba1 fen1* ‘119°48’ East’ and 北纬廿六度三十七分 *bei3wei3 nian4liu4 du4 san1shi2qi1 fen1* ‘26°37’ North.’ Fourth, 分 *fen1* measures weight such as 五两六分 *wu3 liang3 liu4 fen1* ‘5 *liang3* and 6 *fen1*’ (where 两 *liang3* is a standard Chinese weight unit lower than 斤 *jin1* ‘catty’ and 分 *fen1* is the weight unit lower than 两 *liang3*). Fifth, 分 *fen1* quantifies area (as a Taiwanese area measure, one 分 *fen1* is one-tenth of a 甲 *jia3*), as in 四甲三分 *si4 jia3 san1 fen1* ‘four *jia3* and three *fen1* of land,’ 四分田 *si4 fen1 tian2* ‘four *fen1* of a paddy field,’ and 二分地 *er4fen1di4* ‘two *fen1* of land.’ Sixth, 分 *fen1* measures marks or points, as in 四十分 *si4shi2 fen1* de0 *ji1fen1* ‘forty points’ and 输赢就在几分之间 *shu1ying2 jiu4 zai4 ji3 fen1 zhi1jian1* ‘the boundary between winning and losing will be drawn within a few points.’ Lastly, 分 *fen1* is the unit of interest rates, as in 一分四厘 *yi1 fen1 si4 li2* ‘1.4% interest rate.’

把 *ba3* has seven classifier senses. Of them, three are individual classifiers, three are approximation measure words, and one is a container measure word. For a discussion concerning individual classifier usage, please refer to section 7.3.1. For approximation measure word usage, 把 *ba3* measures three different things. First, 把 *ba3* measures a drop of liquid, as in 一把眼泪 *yi1 ba3 yan3lei4* ‘tear,’ 一把鼻涕 *yi1 ba3 bi2ti4* ‘snot,’ and 一把冷汗 *yi1 ba3 leng3han4* ‘sweat.’ In this sense, 把 *ba3* tends to co-occur only with 一 *yi1* ‘one.’ Second, 把 *ba3* quantifies age, in particular, describing those who are elderly, for example, 年纪一大把 *nian2ji4 yi1 da4 ba3* and 一把年纪 *yi1 ba3 nian2ji4* ‘old.’ Third, 把 *ba3* is used in fixed phrases, for example, 洗把脸 *xi3 ba3 lian3* ‘wash one’s face’ and 加把劲儿 *jia1 ba3 jin4er0* ‘to make an extra

effort.’ Lastly, 把 *ba3* is also regarded as an activity classifier, which is discussed further in Chapter 4, on verbs.

7.8. Sortal classifier coercion of noun senses

Different sortal classifiers can bring out different meanings in a noun, for example, the use of 部 *bu4* and 本 *ben3*. 本 *ben3* refers to individual books, as in 两本笔记 *liang3 ben3 bi3ji4* ‘two notebooks,’ 两本诗集 *liang3 ben3 shi1ji2* ‘two collections of poems,’ and 五本小说 *wu3 ben3 xiao3shuo1* ‘five novels,’ while 部 *bu4* refers to individual books and to the content of individual books, as in 第一部小说《自由女性》 *di4yi1 bu4 xiao3shuo1 zi4you2 nü3xing4* ‘the first novel of *Zi you nu xing*’ and 中国最早一部诗集《诗经》 *zhong1guo2 zui4zao3 yi1 bu4 shi1ji2 shi1jing1* ‘the very first collection of poems in China – *Shi jing*.’ Thus, 本 *ben3* can occur with notebooks, poems, and novels, while 部 *bu4* co-occurs only with books. Furthermore, when 部 *bu4* is used, the content of the books referred to is different, which is not necessarily the case with 本 *ben3* (i.e., 十四部武侠小说 *shi2si4 bu4 wu3xia2xiao3shuo1* ‘fourteen different swordsman novels’ and 十四本武侠小说 *shi2si4 ben3 wu3xia2xiao3shuo1* ‘fourteen swordsman novels (without specifying whether they are the same book or not)’).

Semantic type-shifting can also occur when what is being referred to is the same object. For example, 具 *ju4* refers to telephones, as in 两具电话 *liang3ju4 dian4hua4* ‘two telephones’ (i.e., the object sitting on the desk), while 线 *xian4* refers to telephone lines or telephone numbers, as in 两线电话 *liang3 xian4 dian4hua4* ‘two lines of a telephone.’ Both 具 *ju4* and 线 *xian4* are individual classifiers. However, 具 *ju4* selects machinery, while 线 *xian4* selects a line-like object, including the more abstract meaning of lines of communication.

Another example of semantic type-shifting with the same object can be seen with 花 *hua1* ‘flower.’ The individual classifier 朵 *duo3* refers to the bud of a plant or flower, as in 一朵花 *yi1 duo3 hua1* ‘a flower,’ while the individual classifier 株 *zhu1* refers to the plant itself, as in 一株花 *yi1 zhu1 hua1* ‘a flower.’ This same shifting in instantiated meaning is applicable to all nouns that end in the morpheme 花 *hua1* ‘flowers,’ such as 兰花 *lan2hua1* ‘orchids,’ 樱花 *ying1hua1* ‘cherry blossoms,’ and 荷花 *he2hua1* ‘lotuses.’

The semantic coercion of nominal meaning is the result of matching possible meaning represented by the noun and the classifier. Neither the classifier alone nor the noun alone can decide the coerced meaning. In other words, the head noun must have a possible interpretation to allow coercion to happen, as illustrated by the examples discussed in this section.

Thus, sortal classifiers can coerce nouns to have a particular individual reading depending on the information entailed in the classifier itself. In fact, this semantic

type-shifting is not limited to the individual reading of nouns. Classifiers can also type-shift nouns from an individual reading to an event reading. For example, 电话 *dian4hua4* ‘telephones’ can refer to the telephone itself and the event of a phone call. When using the kind classifier 一具 *yi1 ju4 dian4hua4* ‘a telephone,’ this specifies a particular style or design of the telephone. When using the event classifier 一通 *yi1 tong1 dian4hua4*, this refers to the event of a telephone call. Similarly, 火车 *huo3che1* ‘train’ can co-occur with the individual classifier 列 *lie4*, as in 一列 *yi1 lie4 huo4yun4huo3che1* ‘a train for freight,’ or with a kind classifier, as in 这种 *zhe4 zhong4 hao2hua2 zheng1qi4 huo3che1* ‘this kind of luxurious steam train,’ or with an event classifier, as in 一班 *yi1 ban1 huo3che1* ‘a scheduled train.’ Thus, the noun can be part of an individuated reading, or a kind reading, or an event reading, depending on the classifier with which it occurs.

Nouns and nominal phrases

Dingxu Shi

This chapter is concerned with the structure of nominal phrases and the syntax of the lexical categories that function primarily within that structure: determinatives, numerals, classifiers, and nouns.

8.1. Distinctive properties of nouns and nominal phrases

The category of nouns consists of lexical items that characteristically represent entities, real or imagined, in the concrete or virtual world. The predominant function of nouns is to be the ultimate head of nominal phrases.

8.1.1. Summary of defining properties of nominal phrases

- 1 Nominal phrases are prototypically capable of functioning as an argument in clause structures, namely, as the subject or the object.
- 2 A full-fledged nominal phrase characteristically appears in the order of DET(erminer)–NUM(ber)–CL(assifier)–N(oun), as in 那三条鱼 *na4 san1 tiao2 yu2* ‘those three fish.’ Although the sequential order of DET–NUM–CL–N cannot be altered, nominal phrases with one or more positions not filled with any lexical item are common.

8.1.2. Summary of defining properties of nouns

- 1 Functions: In addition to being the ultimate head of nominal phrases, nouns sometimes function as dependents of other elements, such as the modifier of another noun, as in 火车站 *huo3che1zhan4* ‘train station’ and 家具商店 *jia1ju4 shang1dian4* ‘furniture store’; the modifier of a verb, as in 枪杀 *qiang1sha1* ‘gun-kill, to kill with a gun’ and 油炸 *you2zha2* ‘oil-fry, to deep fry’; and the object in a compound verb, such as 怀疑 *huai2yi2* ‘hold-suspicion, to doubt’ and 投资 *tou2zi1* ‘put-in money, to invest.’
- 2 Inflection: There is no overt inflectional marking on nouns for gender, case, or agreement, but pronouns can take the suffix 们 *men0* ‘PL’ to indicate plurality, as can human nouns sometimes. Other than the suffix 们 for pronouns and human nouns, plurality is represented by relevant numerals and classifiers in a nominal phrase.

- 3 Structural position: The noun always occupies the final position of a nominal phrase. The modifier always precedes the modified.

8.1.3. Pronouns

Pronouns are treated as a subcategory of nouns, in the sense that they have functions similar to nouns, although they typically stand alone in nominal phrases.

8.1.4. Other functions of nominal phrases

In addition to the function of argument in clauses, nominal phrases can function as the object in preposition phrases, while temporal and/or locative nominal phrases can function as adverbials.

8.2. Overview of noun classes and nominal phrases

8.2.1. Noun classes

Nouns can be classified according to their syntactic behavior, as common nouns like 树 *shu4* ‘tree’ in [1], proper nouns like 中国 *zhong1guo2* ‘China’ and 海地 *hai3di4* ‘Haiti’ in [2], and pronouns like 她 *ta1* ‘she’ in [3], although there is no orthographic indication to differentiate proper and common nouns. Proper nouns and pronouns behave similarly in clause structures since they can function independently as definite nominal phrases.

- [1] 那几棵树都结果了。
 na4 ji3 ke1 shu4 dou1 jie2guo3 le0
 that several CL tree all bear_fruit LE
 ‘Those trees have borne fruits.’
- [2] 中国将向海地派驻维和警察。
 zhong1guo2 jiang1 xiang4 hai3di4 pai4zhu4
 China will PREP Haiti dispatch
 wei2he2 jing3cha2
 peacekeeping policeman
 ‘China will send a peacekeeping police force to Haiti.’
- [3] 她明天回来。
 ta1 ming2tian1 hui2lai2
 she tomorrow return
 ‘She will be back tomorrow.’

8.2.2. Basic structure of nominal phrases

The most important part of a nominal phrase is the head position, which is always final and is filled with a simple noun or a compound noun. The other important

positions in a nominal phrase are the Determiner (DET), which is filled by a determinative, the Number (NUM), which is filled by a numeral or a quantifier, and the Classifier (CL), which is filled by a classifier.

Various combinations are possible, and the examples in [4] below show the common ones:

- [4] a. 这三头牛 [DET-NUM-CL-N]
 zhe4 san1 tou2 niu2
 this three CL cow
 'these three cows'
- b. 那张桌子 [DET-CL-N]
 na4 zhang1 zhuo1zi0
 that CL table
 'that table'
- c. 那地方 [DET-N]
 na4 di4fang0
 that place
 'that place'
- d. 两只麻雀 [NUM-CL-N]
 liang3 zhi1 ma2que4
 two CL sparrow
 'two sparrows'
- e. 新车子 [N]
 xin1 che1zi0
 new car
 'new car(s)'
- f. 那几件 [DET-NUM-CL]
 na4 ji3 jian4
 that several CL
 'those several pieces'
- g. 这块 [DET-CL]
 zhe4 kuai4
 this CL
 'this piece'
- h. 这 [DET]
 zhe4
 this
 'this'

The modifier of a nominal element can be either a word or a phrase marked with 的 *de0* 'DE.' Three layers of the nominal phrase, beginning with DET, NUM, and N respectively, can be modified by a phrase with the marker 的 *de0* 'DE,' as in [5a], [5b], and [5c], respectively.

- [5] a. 你刚买的那几条小狗
 ni3 gang1 mai3 de0 na4 ji3 tiao2 xiao3 gou3
 you just buy DE that several CL small dog
 'these three puppies you just bought'
- b. 树上的两只喜鹊
 shu4 shang4 de0 liang3 zhi1 xi3que4
 tree on DE two CL magpie
 '(these) two magpies that are on the tree'
- c. 这些今年录取的新生
 zhe4xie1 jin1nian2 lu4qu3 de0 xin1sheng1
 these this_year admit DE entrant
 'these new students who were admitted this year'

No case has been found in which a layer beginning with CL is modified by a phrase marked with 的 *de0* 'DE.' When modification by such a phrase is called for, the NUM position is always filled with an actual numeral or quantifier, and the modifier always occurs before NUM, as shown in [6a] and [6b].

- [6] a. 找个学生
 zhao3 ge4 xue2sheng1
 seek CL student
 'look for a student'
- b. i. *找刚报到的个学生
 zhao3 gang1 bao4dao4 de0 ge4 xue2sheng1
 seek just register DE CL student
- ii. 找刚报到的一个学生
 zhao3 gang1 bao4dao4 de0 yi1 ge4 xue2sheng1
 seek just register DE one CL student
 'look for a student who just registered'

The word filling certain positions of a nominal phrase can be modified by another word and the two words form a single unit that functions as one word. The classifier in CL can be modified by a word, regardless of the shape of the nominal phrase, as in [7a] and [7b]. A few cases have been found where the numeral in NUM

is modified by a word, as in [7c]. No case has been found in the corpus where a determinative in DET is modified by a word.

- [7] a. 一长条绳子
 yi1 chang2 tiao2 sheng2zi0
 one long CL rope
 'a long rope'
- b. 这一整箱玩具
 zhe4 yi1 zheng3 xiang1 wan2ju4
 this one whole CL toy
 'this whole box of toys'
- c. 这里要便宜近一千块钱呢。
 zhe4li3 yao4 pian2yi4 jin4 yi1qian1 kuai4
 here will cheap near one_thousand CL
 qian2 ne0
 money NE
 'It is cheaper here by close to a thousand dollars.'

The noun in N can also be modified by a word, as in [8a] and [8b], and the compound word can be further modified by other words, as in [8c] and [8d].

- [8] a. 那两只黑乌鸦
 na4 liang3 zhi1 hei1 wu1ya1
 that two CL black crow
 'the two black crows'
- b. 五栋刚盖好的高房子
 wu3 dong4 gang1 gai4 hao3 de0 gao1
 five CL just build complete DE tall
 fang2zi0
 house
 'five high-rise buildings newly completed'
- c. 这瓶冰冻德国啤酒
 zhe4 ping2 bing1dong4 de2guo2 pi2jiu3
 this CL freeze Germany beer
 'the bottle of chilled German beer'
- d. 一点本地新鲜有机蔬菜
 yi1dian3 ben3di4 xin1xian1 you3ji1 shu1cai4
 a_bit local fresh organic vegetable
 'some local fresh organic vegetables'

A nominal phrase modified by a 的 *de0* 'DE' phrase and a word modified by another word differ in several aspects. A major one is that the latter characteristically has a fixed and sometimes derived meaning. 黄的鱼 *huang2 de0 yu2* means 'a fish that is yellow,' while 黄鱼 *huang2yu2* means 'a species of sea fish (yellow croaker),' even though it could also mean a fish with yellow color. Similarly, 白的菜 *bai2 de0 cai4* 'white vegetable' denotes any type of vegetable of which the color is white, while 白菜 *bai2cai4* means 'a particular species of vegetable,' namely, 'cabbage.'

Another major difference between the two is the structural requirement between the modifier and the modified. When an element in N, CL, or NUM is modified by a word, the two have to stay next to each other; otherwise, the modification relation cannot be established. The word 小 *xiao3* 'small' in [9a] only modifies the noun in N, while that in [9b] only modifies the classifier in CL. The 近 *jin4* 'near' in [9c] does not modify anything other than the numeral in NUM.

- [9] a. 那一百包小饼干
 na4 yi1bai3 bao1 xiao3 bing3gan1
 that one_hundred CL small cookie
 'those one hundred packs of small biscuits'
- b. 那一百小包饼干
 na4 yi1bai3 xiao3 bao1 bing3gan1
 that one_hundred small CL cookie
 'those one hundred small packs of biscuits'
- c. 那近一百包饼干
 na4 jin4 yi1bai3 bao1 bing3gan1
 that near one_hundred CL cookie
 'those slightly fewer than one hundred packs of biscuits'

A 的 *de0* 'DE' phrase has scope over everything on its right within the nominal phrase. The phrasal modifier 雪白的 *xue3bai2 de0* 'which is snow white' in [10a] modifies 床单 *chuang2dan1* 'sheet,' which is adjacent to it, but the same phrase in [10b] and [10c] also modifies 床单 *chuang2dan1* 'sheet,' even though the two are separated. The 的 *de0* 'DE' phrase in these two cases can only modify 床单 *chuang2dan1* 'sheet' because 雪白的 *xue3bai2 de0* 'which is snow white' is semantically not compatible with the other elements in the nominal phrase. The 大的 *da4 de0* 'which is large' in [10d], on the other hand, can be interpreted as modifying either 苹果 *ping2guo3* 'apple' or 堆 *dui1* 'pile,' which produces ambiguity because it is compatible with both.

- [10] a. 那一条雪白的床单
 na4 yi1 tiao2 xue3bai2 de0 chuang2dan1
 that one CL snow_white DE sheet
 'that white sheet'
- b. 那雪白的一条床单
 na4 xue3bai2 de0 yi1 tiao2 chuang2dan1
 that snow_white DE one CL sheet
 'that white sheet'
- c. 雪白的那一条床单
 xue3bai2 de0 na4 yi1 tiao2 chuang2dan1
 snow_white DE that one CL sheet
 'that white sheet'
- d. 大的那一堆苹果
 da4 de0 na4 yi1 dui1 ping2guo3
 big DE that one CL apple
 'that pile of large apples/that large pile of apples'

To sum up, of all the major positions in a nominal phrase, N, CL, and, to a limited extent, NUM can be filled with elements that are modified by a word. The sequence beginning with DET, NUM, and N can be modified by a phrase marked with 的 *de0* 'DE.' From a grammatical point of view, a word modifies a word while a phrase modifies a phrase. This entails that a nominal phrase consists of three layers, a DETP with DET as the head, a NUM-CLP with NUM-CL as the head, and an NP with N as the head. Each phrase can be modified by a 的 *de0* phrase, while the head word of each phrase can be modified by a word. Each member in the NUM-CL compound can be modified by a word, as is the compound as a whole. DET cannot be modified by a word for semantic reasons.

- [11] a. [DET-[NUM-CL]-N]
 b. [DETP-[NUM-CLP [NP]]]

8.2.3. Elements excluded from the nominal phrase category

Argument positions are sometimes filled by a clause, a verb phrase, or an adjective phrase, as in [12], [13], and [14], respectively. They bear some resemblance to nominal phrases but are excluded from the nominal phrase category, since they have other properties that nominal phrases do not share.

- [12] a. 列车发生故障有点出乎意料。
 lie4che1 fa1sheng1 gu4zhang4 you3dian3
 train happen breakdown some
 chu1hu1yi4liao4
 unexpected
 'It is a little surprising that the train broke down.'
- b. 美国媒体不关心谁会胜出。
 mei3guo2 mei2ti3 bu4 guan1xin1
 the_United_States media NEG be_concerned_about
 shui2 hui4 sheng4chu1
 who will win
 'The American media did not care who would win.'
- [13] a. 用清水冲洗伤口可以减轻痛楚。
 yong4 qing1shui3 chong1xi3 shang1kou3 ke3yi3
 PREP clear_water wash wound may
 jian3qing1 tong4chu3
 ease pain
 'To wash the wound with clean water could ease the pain.'
- b. 地方政府反对修筑拦水坝。
 di4fang1 zheng4fu3 fan3dui4 xiu1zhu4 lan2shui3ba4
 local government oppose build dam
 'The local government opposed constructing the dam.'
- [14] a. 高高兴兴才符合要求。
 gao1gao1xing4xing4 cai2 fu2he2 yao1qiu2
 happy just conform_to requirement
 'To be happy is a must in order to meet the requirement.'
- b. 老板喜欢干净。
 lao3ban3 xi3huan1 gan1jing4
 boss like clean
 'The boss likes cleanliness.'

8.3. The function of DET

DetP is the outmost layer of a nominal phrase and its head position DET is occupied by a determinative, which establishes the relationship between the nominal phrase and the discourse or the immediate environment; namely, it determines the definite or indefinite status of the nominal phrase.

Four types of elements can appear in the DET position: basic determinatives, universal quantifier determinatives, existential quantifier determinatives, and interrogative determinatives.

8.3.1. Basic determinatives

8.3.1.1. Demonstratives

The most common basic determinatives are proximal demonstrative 这 *zhe4* ‘this’ and distal demonstrative 那 *na4* ‘that,’ as shown in [15]. By using a demonstrative, the speaker assumes that the listener is able to identify the set of entities being represented by the nominal phrase. Demonstratives thus give a definite reading to nominal phrases. They also bring spatial deixis to the nominal phrase in that 这 *zhe4* ‘this’ indicates the relative closeness between the set being discussed and the speaker, while 那 *na4* ‘that’ indicates the relatively large distance between the two.

- [15] a. 这几件陶器是最近出土的。 [proximal]
 zhe4 ji3 jian4 tao2qi4 shi4 zui4jin4
 this several CL pottery be recently
 chu1tu3 de0
 excavate DE
 ‘These porcelain vessels were unearthed recently.’
- b. 市议会正在审议那个提案。 [distal]
 shi4yi4hui4 zheng4zai4 shen3yi4
 city_council ZAI examine_and_discuss
 na4 ge4 ti2an4
 that CL motion
 ‘The City Council is debating that legislation.’

Several Classical Chinese demonstratives are still used occasionally, especially in formal written genres. They include proximal 此 *ci3* ‘this,’ distal 彼 *bi3* ‘that,’ deictic proximal 本 *ben3* ‘the’ (which refers to the speaker or an entity closely related to the speaker in the discourse), and pure definite 该 *gai1* ‘the’ (which refers to an entity already mentioned in the discourse), examples of which are illustrated in [16] below. These demonstratives have partially retained their Classical Chinese properties and prototypically appear with no lexical element in NUM or no lexical element in both NUM and CL.

- [16] a. 此件送总裁办公室。 [proximal]
 ci3 jian4 song4 zong3cai2 ban4gong1shi4
 this CL send president office
 'This document should be sent to the President's office.'
- b. 已经到达彼岸。 [distal]
 yi3jing1 dao4da2 bi3 an4
 already arrive that bank
 '(It) already arrived at the other side of the river.'
- c. 本次列车由上海开往南京。 [deictic proximal]
 ben3 ci4 lie4che1 you2 shang4hai3 kai1wang3
 this CL train PREP SHANGHAI leave_for
 nan2jing1
 NANJING
 'This train travels from Shanghai to Nanjing.'
- d. 该生在校期间表现突出。 [pure definite]
 gai1 sheng1 zai4 xiao4 qi1jian1 biao3xian4
 the student PREP school period perform
 tu1chu1
 outstanding
 'The student had an outstanding performance at the school.'

8.3.1.2. Indefinite determinatives

There are no indefinite determinatives in contemporary Chinese. In the rare cases where such a word is needed, the Classical Chinese 某 *mou3* '(a) certain' is used, as in [17]. A nominal phrase with 某 in DET is the predominant choice when the speaker does not want to identify the set of entities being discussed; in other words, an indefinite nominal phrase represents a set that cannot be identified.

- [17] a. 某(一位)老师有不同意见。
 mou3 yi1 wei4 lao3shi1 you3 bu4tong2 yi4jian4
 certain one CL teacher have different opinion
 'A certain teacher has a different opinion.'
- b. 某些人总是妒忌别人的成功。
 mou3xie1 ren2 zong3shi4 du4ji4 bie2ren2 de0
 some people always jealous others DE
 cheng2gong1
 success
 'Certain people are always jealous of others' success.'

8.3.1.3. Alternative-additive determinatives

Alternative-additive determinatives are usually chosen in cases where the speaker has already talked about some entity or entities and continues to introduce some other ones. 另 *ling4* '(an)other' or 另外 *ling4wai4* '(an)other' is used largely to introduce an additional set of entities similar to the set under discussion, as in [18]. When a set of entities has been identified and a subset has been discussed, a nominal phrase with 其他 *qi2ta1* 'the other(s)' or 其余 *qi2yu2* 'the rest' in DET can be used to introduce the other subset, as in [18b] and [18c]. The nominal phrase marked with 其他 cannot stand for a singleton set.

- [18] a. 另一张桌子上坐着几个女工。 [additive]
ling4 yi1 zhang1 zhuo1zi0 shang4 zuo4 zhe0
 another one CL table on sit ZHE
ji3 ge4 nü3gong1
 several CL woman_worker
 'Sitting at another table were some female workers.'
- b. 小提琴独奏很好, 其他三个节目也不错。 [alternative]
xiao3ti2qin2 du2zou4 hen3 hao3 qi2ta1 san1 ge4
 violin solo very good other three CL
jie2mu4 ye3 bu4 cuo4
 show also NEG bad
 'The violin solo is great and the other three performances are also good.'
- c. 我只认识汪经理, 其余几位陌生得很。 [exclusive-alternative]
wo3 zhi3 ren4shi2 wang1 jing1li3 qi2yu2 ji3
 I only know WANG manager the_rest several
wei4 mo4sheng1 de0 hen3
 CL strange DE very
 '(Of all the people involved) I only know Manager Wang and the rest are strangers to me.'

8.3.2. Universal quantifier determinatives

Several universal quantifiers can function as determinatives. When the distributive universal quantifier 每 *mei3* 'every' occupies DET, the nominal phrase represents each and every subset of a set that has been mentioned in the discourse or is identifiable in the environment. Sentence [19] thus means that each and every student, likely those under the speaker's supervision, should be prepared.

[19] 每(一)个同学都必须做好充分准备。

mei3 yi1 ge4 tong2xue2 dou1 bi4xu1 zuo4
 every one CL classmate all must make
 hao3 chong1fen4 zhun3bei4
 complete adequate preparation
 'Every student must be well prepared.'

The 各 *ge4* 'every, each' in [20a] means that the request of signing up goes to individual parents on the spot, namely, a set identifiable in the environment. The emphasis of [20a] is on the distribution of the request to individual subsets and exceptions are allowed. If 每 *mei3* 'every' replaced 各 in [20a], the request would apply to each and every subset without exception. Another difference between the two is that 每 is compatible with any numeral in NUM so that phrases like 每三辆卡车 *mei3 san1 liang4 ka3che1* 'every three trucks' and 每十只羊 *mei3 shi2 zhi1 yang2* 'every ten sheep' are possible. 各, on the other hand, is only compatible with the numeral – *yi1* 'one,' which actually does not appear overtly, and the size of each set is represented by the classifier. The nominal phrase in [20a] represents singleton subsets because of 位 *wei4* 'respectful individual' and the one in [20b] represents subsets with at least two members because of 组 *zu3* 'group'.

[20] a. 各位家长请先来这里签到。

ge4wei4 jia1zhang3 qing3 xian1 lai2 zhe4li3
 each parent please first come here
 qian1dao4
 sign_in
 'Each parent please come here to sign up.'

b. 各组同学分头行动。

ge4 zu3 tong2xue2 fen1tou2 xing2dong4
 each group classmate separately act
 'Each group of students acts separately.'

8.3.3. Existential quantifier determinatives

When the existential quantifier 任何 *ren4he2* 'any' appears in DET, the nominal phrase either represents a free choice or yields the reading of a negatively oriented polarity-sensitive item in a non-affirmative context.

A free choice 任何 *ren4he2* 'any' indicates that an arbitrary subset can be selected from a known set and the predicate applies to that subset. The NUM position of such a phrase is typically filled with — *yi1* 'one,' as in [21a], but it could be filled with any numeral, such as in [21b]. Sometimes both NUM and CL are left open

without any lexical element, as in [21c], and the most natural interpretation for such a nominal phrase is that its NUM position is filled with — *yi1* ‘one,’ while the CL is position filled with a classifier compatible with the element in N. This means that the subset represented by 任何人 *ren4he2 ren2* ‘any person’ may vary in size, depending on the covert classifier. A non-affirmative 任何 *ren4he2* ‘any’ characteristically appears in the scope of negation, as in [21d], which means the prohibition against leaving should apply to any chosen subset of the students taking the exam.

- [21] a. 付款后顾客可以拿走任何一筐鱼。
 fu4kuan3 hou4 gu4ke4 ke3yi3 na2zou3
 pay_a_sum_of_money after customer may take_away
 ren4he2 yi1 kuang1 yu2
 any one CL fish
 ‘The customer can take away any basket of fish after he has paid the money.’
- b. 世界上任何两个人之间, 最多只隔着六个人。
 shi4jie4 shang4 ren4he2 liang3 ge4 ren2 zhi1jian1
 world on any two CL people between
 zui4duo1 zhi3 ge2 zhe0 liu4 ge4 ren2
 at_most only separate ZHE six CL people
 ‘Between any two persons in the world, there are at most six other persons.’
- c. 任何人都有权力表达自己的意见。
 ren4he2 ren2 dou1 you3 quan2li4 biao3da2 zi4ji3
 any people all YOU right express self
 de0 yi4jian4
 DE opinion
 ‘Anybody would have the right to present his opinion.’
- d. 考试期间不准任何学生离开。
 kao3shi4 qi1jian1 bu4zhun3 ren4he2 xue2sheng1
 test period forbid any student
 li2kai1
 leave
 ‘Do not let any student leave during the exam period.’

8.3.4. Interrogative determinatives

When its DET position is filled with the interrogative determinative 哪 *na3* ‘which,’ the nominal phrase represents a subset to be identified from a known set.

哪 can appear in the sequence DET-NUM-CL-N, such as 哪两个学生 *na3 liang3 ge4 xue2sheng1* ‘which two students.’ It can also appear in the sequence of DET-CL-N, such as 哪位老师 *na3 wei4 lao3shi1* ‘which teacher.’ 哪 occasionally appears in the sequence DET-N, with the noun in N denoting a calendar unit or a location, as in 哪天 *na3 tian1* ‘which day,’ 哪月 *na3 yue4* ‘which month,’ 哪年 *na3 nian2* ‘which year,’ 哪里 *na3li3* /哪儿 *na3er0* ‘which place,’ and 哪站 *na3 zhan4* ‘which station.’

A nominal phrase with a 哪 in DET potentially has two distinctive readings. One is that of an existential quantifier similar to a phrase with 任何 *ren4he2* ‘any’ in DET, which could be a free choice, available when the phrase appears in a yes-no question or falls under the scope of the inclusive adverb 都 *dou1* ‘all,’ as in [22a] and [22b], or a negatively oriented polarity-sensitive one, available when the phrase appears in a non-affirmative context, as in [22c]. The other reading is that of an interrogative phrase. In sentences like [22d], the speaker has in mind a set of books and uses 哪几本书 *na3 ji3 ben3 shu1* ‘which books’ to ask for the identity of a subset.

- [22] a. 您要见哪位老师吗?
 nin2 yao4 jian4 na3 wei4 lao3shi1 ma0
 you want see which CL teacher MA
 ‘Do you want to see any teacher?’
- b. 她哪种裙子都敢穿。
 ta1 na3 zhong3 qun2zi0 dou1 gan3 chuan1
 she which CL skirt all dare wear
 ‘She dares to wear any kind of skirt.’
- c. 不管你去哪里, 都不能忘了我。
 bu4guan3 ni3 qu4 na3li3 dou1 bu4neng2 wang4
 no_matter you go where all cannot forget
 le0 wo3
 LE I
 ‘No matter where you go, don’t forget me.’
- d. 你借了哪几本书?
 ni3 jie4 le0 na3 ji3 ben3 shu1
 you borrow LE which several CL book
 ‘Which books did you borrow?’

8.4. The function of NUM-CL

The middle layer of a nominal phrase is NUM-CLP. The head position of NUM-CL is filled with a numeral-classifier compound. The element in NUM is a numeral

or a quantifier, while that in CL is a classifier, and the two words form a single unit with the latter as the head.

8.4.1. Elements in the NUM position

The NUM position is the locus for numbers. Except for pronouns and some nouns representing human beings, the element in N of most nominal phrases does not have an inflectional marking for numbers and the relevant information is mainly provided by the numeral in NUM.

8.4.1.1. Cardinal numerals

The NUM position is prototypically filled with a cardinal numeral. Cardinal numerals are built with the basic digits — *yi1* ‘one,’ 二 *er4* ‘two,’ 三 *san1* ‘three,’ 四 *si4* ‘four,’ 五 *wu3* ‘five,’ 六 *liu4* ‘six,’ 七 *qi1* ‘seven,’ 八 *ba1* ‘eight,’ and 九 *jiu3* ‘nine’; counting units 十 *shi2* ‘ten,’ 百 *bai3* ‘hundred,’ 千 *qian1* ‘thousand,’ 万 *wan4* ‘ten thousand,’ and 亿 *yi4* ‘hundred million’; and the place holder 零 *ling2* ‘zero.’

The numeral expressing a number below 10 is simply a basic digit. A numeral expressing a number between 10 and 9,999 is a sequence of counting units 千 *qian1* ‘thousand,’ 百 *bai3* ‘hundred,’ and 十 *shi2* ‘ten,’ each preceded by a basic digit as the multiplier, and then a basic digit. The value of such a number is the sum of the basic digit and the product of each multiplier and its counting unit. If the multiplier for 十 *shi2* ‘ten’ is 一 *yi1* ‘one,’ it is prototypically omitted when the number is smaller than 20 and is often omitted when the number is larger than 100. If the multiplier of a counting unit is zero, a 零 *ling2* ‘zero’ is inserted to hold the place. Only one 零 *ling2* ‘zero’ is needed when two or more neighboring counting units have a zero multiplier, and no 零 *ling2* ‘zero’ is needed if the basic digital and its neighboring counting units are all zero, as in [23e].

- [23] a. (一) 十八
 yi1 shi2 ba1
 one ten eight
 ‘eighteen’
- b. 四百五十六
 si4 bai3 wu3 shi2 liu4
 four hundred five ten six
 ‘four hundred and fifty-six’
- c. 三千六百九十九
 san1 qian1 liu4 bai3 jiu3 shi2 jiu3
 three thousand six hundred nine ten nine
 ‘three thousand six hundred and ninety-nine’

d. 八千零七

ba1 qian1 ling2 qi1
 eight thousand zero seven
 'eight thousand and seven'

e. 六千

liu4 qian1
 six thousand
 'six thousand'

The sequence expressing a number smaller than 10,000 is the base for larger numbers. A numeral expressing a number between 10,000 and 99,999,999 consists of two sequences: a base sequence and another one that is the product of a base sequence and the counting unit 万 *wan4* 'ten thousand.' The value of the numeral is the sum of the two sequences, as in [24a] and [24b]. A numeral expressing 100,000,000 or above consists of three sequences: a base sequence, a second sequence with 万 *wan4* 'ten thousand' as the counting unit, and a third one with 亿 *yi4* 'hundred million' as the counting unit. The value of the number is the sum of the three sequences, as in [24c].

[24] a. 三万五千零八

san1 wan4 wu3 qian1 ling2 ba1
 three ten_thousand five thousand zero eight
 'thirty-five thousand and eight'

b. 三千六百五十二万七千九百(一)十一

san1 qian1 liu4 bai3 wu3shi2er4 wan4
 three thousand six hundred fifty-two ten_thousand
 qi1 qian1 jiu3 bai3 yi1 shi2yi1
 seven thousand nine hundred one eleven
 'thirty-six million five hundred and twenty-seven thousand nine hundred and eleven'

c. 三十三亿九千六百八十五万四千八百八十八

san1shi2san1 yi4 jiu3 qian1 liu4
 thirty-three hundred_million nine thousand six
 bai3 ba1shi2wu3 wan4 si4 qian1
 hundred eighty-five ten_thousand four thousand
 ba1 bai3 ba1shi2ba1
 eight hundred eighty-eight
 'three billion three hundred and ninety-six million eight hundred and fifty-four thousand eight hundred and eighty-eight'

A nominal phrase with a cardinal numeral in NUM represents an exact number of entities with the classifier in CL as the measurement unit, as in [25].

- [25] a. 那三瓶啤酒
 na4 san1 ping2 pi2jiu3
 that three CL beer
 ‘those three bottles of beer’
- b. 六千五百七十九块砖头
 liu4qian1 wu3bai3 qi1shi2jiu3 kuai4
 six_thousand five_hundred seventy-nine CL
 zhuan1tou0
 brick
 ‘6,579 bricks’

8.4.1.2. Approximate numbers

The NUM position is at times filled with the combination of a cardinal numeral and a hedge word to indicate an approximate number. The hedge word can replace the end portion of a sequence of digits, as in [26]. It can also modify a numeral to produce an approximate compound. Some such hedge words can only modify a numeral with just one counting unit, as in [27a], [27b], and [27c], while some other hedge words can modify any numeral as long as it has a zero as the basic digit, as in [27d] and [27e].

- [26] a. 那二十多杯茶
 na4 er4shi2 duo1 bei1 cha 2
 that twenty more CL tea
 ‘those over twenty (between 21 and 29) cups of tea’
- b. 十来部电脑
 shi2 lai2 bu4 dian4nao3
 ten some CL computer
 ‘over ten (between 11 and 19) computers’
- c. 百把只鸡
 bai3 ba3 zhi1 ji1
 hundred some CL chicken
 ‘about one hundred (usually between 90 and 110) chickens’
- [27] a. 那上千亩土地
 na4 shang4 qian1 mu3 tu3di4
 that up_to thousand acre land
 ‘those close to one thousand (approximately between 900 and 999) acres of land’

- b. 成千头野牛
 cheng2qian1 tou2 ye3niu2
 thousands_of CL bison
 ‘thousands of buffalo’
- c. 近五亿元
 jin4 wu3yi4 yuan2
 near five_hundred_million yuan
 ‘close to five hundred million (a little below 500 million) yuan’
- d. 约三百五十名士兵
 yue1 san1bai3 wu3shi2 ming2 shi4bing1
 about three_hundred fifty CL soldier
 ‘about three hundred and fifty soldiers’
- e. 约九千多吨
 yue1 jiu3qian1 duo1 dun1
 about nine_thousand many ton
 ‘about a little over nine thousand tons’

Another way to express an approximate number is to fill the NUM position with two consecutive basic digits, as in [28a] and [28b], or to use two consecutive basic digits as the multiplier for one counting unit, as in [29].

- [28] a. 七八张桌子
 qi1ba1 zhang1 zhuo1zi0
 seven_or_eight CL desk
 ‘a few (roughly seven or eight) tables’
- b. 八九船沙子
 ba1jiu3 chuan2 sha1zi0
 eight_or_nine CL sand
 ‘a few (roughly eight or nine) shiploads of sand’
- [29] 这二三百个学生
 zhe4 er4san1bai3 ge4 xue2sheng1
 this two_or_three_hundred CL student
 ‘these few hundred (roughly 200 to 300) students’

A third way to express an approximate number is to use the quantifier 数 *shu4* ‘several’ or 几 *ji3* ‘several’ as the multiplier of a counting unit, as in [30]. The quantifier 几 *ji3* ‘several’ can also replace the rightmost digit of a sequence, as in [31].

- [30] a. 有数十名矿工正在井下作业。
 you3 shu4shi2 ming2 kuang4gong1 zheng4zai4 jing3
 YOU several_ten CL miner ZAI well
 xia4 zuo4ye4
 under work
 ‘Several dozen miners were working in the tunnels.’
- b. 广场上聚集了几百只鸽子。
 guang3chang3 shang4 ju4ji2 le0 ji3bai3
 square on gather LE several_hundred
 zhi1 ge1zi0
 CL pigeon
 ‘Several hundred pigeons gathered at the square.’
- [31] a. 他拿了十几张纸。
 ta1 na2 le0 shi2ji3 zhang1 zhi3
 he hold LE ten_several CL paper
 ‘He took over ten pieces of paper.’
- b. 这三千零几台拖拉机已经订出去了。
 zhe4 san1qian1ling2ji3 tai2 tuo1la1ji1 yi3jing1
 this three_thousand_zero_some CL tractor already
 ding4 chu1qu4 le0
 order out LE
 ‘The three thousand something tractors have been ordered.’

8.4.1.3. Ordinal numerals

The NUM position is at times filled with an ordinal numeral, which is formed by adding the prefix 第 *dì* ‘-th’ to a cardinal numeral. A nominal phrase with an ordinal number in NUM represents a chosen member in a known set of entities, which are ordered as a numerical sequence with the ordinal number indicating the chosen one’s position. Given the meaning of such nominal phrases, it is expected that their DET position is predominantly not filled with any lexical item, as in [32a] and [32b], although an overt demonstrative is occasionally found there, as in [32c].

- [32] a. 第一个学生
 di4 yi1 ge4 xue2sheng1
 ORDINAL one CL student
 ‘the first student’

- b. 第三亿九千五百六十七万零四十八名新生婴儿

di4 san1yi4 jiu3qian1
 ORDINAL three_hundred_million nine_thousand
 wu3bai3 liu4shi2qi1wan4 ling2 si4shi2ba1
 five_hundred sixty-seventen_thousand zero forty-eight
 ming2 xin1sheng1 ying1er2
 CL newly_born baby
 'the 395670048th newly born baby'

- c. 这第二间教室现在空着。

zhe4 di4 er4 jian1 jiao4shi4 xian4zai4 kong1
 this ORDINAL two CL classroom now empty
 zhe0
 ZHE
 'The second classroom is now empty.'

8.4.1.4. Quantifiers

The NUM position is occasionally filled with a quantifier to indicate a vague or approximate number. It can be a paucal quantifier, as in [33], or a multal quantifier, as in [34]. [33c] is unique in that it is ambiguous between an accurate reading and a vague reading, since 两 *liang3* 'two' is a cardinal numeral in most cases but sometimes has a quantifier reading for a vague small number.

- [33] a. 那几条船

na4 ji3 tiao2 chuan2
 that several CL ship
 'those few ships'

- b. 数块大石头

shu4 kuai4 da4 shi2tou0
 several CL big stone
 'several big stones'

- c. 过两天我去看你。

guo4 liang3 tian1 wo3 qu4 kan4 ni3
 GUO two day I go see you
 'I will visit you in two days/I will visit you in a few days.'

- [34] a. 那无数个冤魂

na4 wu2shu4 ge4 yuan1hun2
 that innumerable CL ghost_of_wrongly_accused
 'those ghosts of numerous victims'

- b. 多个村庄
 duo1 ge4 cun1zhuang1
 many CL village
 ‘many villages’

8.4.1.5. Interrogative quantifiers

The NUM position is sometimes filled with the interrogative quantifier 几 *ji3* ‘how many’ or 多少 *duo1shao3* ‘how many.’ 几 predominantly represents a number smaller than ten. Although 多少 can represent any number, it is seldom used to represent a number smaller than ten. Such a phrase appears mainly in a variable question to represent a number to be determined, as in [35]. The same phrase will have the reading of an existential quantifier when it falls under the scope of negation or appears in a yes–no question, as in [36]. A nominal phrase with an interrogative quantifier in NUM predominantly has no lexical determinative in DET.

- [35] a. 他偷了几辆车呢?
 ta1 tou1 le0 ji3 liang4 che1 ne0
 he steal LE how_many CL car NE
 ‘How many cars did he steal?’
- b. 你还剩多少钱?
 ni3 hai2 sheng4 duo1shao3 qian2
 you yet remain how_many money
 ‘How much money is left with you?’
- [36] a. 我们没有喝多少酒。
 wo3men0 mei2you3 he1 duo1shao3 jiu3
 we NEG drink many wine
 ‘We did not drink too much.’
- b. 你想买几条鱼吗?
 ni3 xiang3 mai3 ji3 tiao2 yu2 ma0
 you think buy several CL fish MA
 ‘Do you want to buy some fish?’

8.4.1.6. Non-lexical numeral

The NUM position can at times be left open with no lexical numeral. Such a nominal phrase should be interpreted as the NUM position filled with the numeral 一 *yi1* ‘one.’ 这本书 *zhe4 ben3 shu1* thus has the same meaning as 这一本书 *zhe4 yi1 ben3 shu1* ‘this copy of book’ does, while 那些花 *na4xie1 hua1* has the same reading as 那一些花 *na4 yi1xie1 hua1* ‘those flowers.’

8.4.2. Classifiers in the CL position

The CL position is filled with a classifier or a compound classifier. Under certain circumstances, CL can be left open with no lexical classifier. A detailed discussion of classifiers is provided in Chapter 7.

The classifier represents the unit with which the entities denoted by N are being counted. The unit may or may not coincide with the natural partition of the entities since it is the unit the speaker chooses for counting. If the unit signifies an individualized entity and the numeral in NUM is one, as in [37a], the nominal phrase will have a singular reading in the usual sense. If the unit signifies a collection of entities and the numeral in NUM is one, the nominal phrase will still be considered singular, but it actually represents a collection of entities, as in [37b].

- [37] a. 请给我一本红楼梦。
 qing3 gei3 wo3 yi1 ben3 hong2lou2meng4
 please give I one CL Dream_of_the_Red_Chamber
 'Please give me a copy of *Dream in the Red Chamber*.'
- b. 又买回来一堆没用的书。
 you4 mai3 hui2lai2 yi1 dui1 mei2yong4 de0 shu1
 again buy return one CL useless DE book
 '(You) bought a pile of useless books again!'

8.4.2.1. Compound classifiers

Classifiers may occasionally be modified by an adjective or a noun. Such a compound functions as a normal classifier in that it occupies the CL position as a unit for counting, but it also provides some additional information about certain aspects of the unit, as in [38].

- [38] a. 小贩又切了一薄片火腿。
 xiao3 fan4 you4 qie1 le0 yi1 bao2 pian4
 small vendor again cut LE one thin CL
 huo3tui3
 ham
 'The vendor cut off another thin slice of ham.'
- b. 那一大藤箱时装杂志都是她的。
 na4 yi1 da4 teng2 xiang1 shi2zhuang1 za2zhi4
 that one big cane CL fashion magazine

dou1 shi4 ta1 de0
all be she DE

‘All the fashion magazines in the big rattan box are hers.’

8.4.2.2. Duplicated classifiers

The CL position of a nominal phrase is at times occupied by a duplicated classifier, while the NUM position is filled with the numeral — *yi1* ‘one,’ lexically or non-lexically. When a speaker chooses such a nominal phrase, he is typically considering all members of a known set one by one. The speaker of sentence [39a] is saying that 挺立在天地之间 *ting3li4 zai4 tian1di4 zhi1jian1* ‘stand straight between the sky and the earth’ is true to each of the mountains he sees, while the speaker in [39b] is saying that if you look at the tables one by one, each of them is occupied without exception.

[39] a. 那一座座山峰挺立在天地之间。

na4 yi1 zuo4 zuo4 shan1feng1 ting3li4 zai4
that one CL CL mountain stand_upright PREP

tian1di4 zhi1jian1
sky_and_earth between

‘Those peaks stand between the sky and the earth.’

b. 张张桌子都有人。

zhang1 zhang1 zhuo1zi0 dou1 you3 ren2
CL desk all YOU people

‘All the tables are occupied.’

8.4.2.3. Non-lexical classifiers

The CL position is at times left open without a lexical classifier, commonly appearing without a lexical numeral but with a lexical determinative, as in 这人 *zhe4 ren2* ‘this person’ and 那房子 *na4 fang2zi0* ‘that house.’ A nominal phrase with a non-lexical classifier but with a lexical numeral, which is predominantly — *yi1* ‘one,’ is rare and is usually found in semi-Classical expressions like that in [40a], in casual speech like that in [40b], or in paired expressions like that in [40c].

[40] a. 一票难求。

yi1 piao4 nan2 qiu2
one ticket hard request

‘It is hard to get even one ticket.’

- b. 树上坐一小孩。
 shu4 shang4 zuo4 yi1 xiao3hai2
 tree on sit one child
 'A child is sitting on the tree.'
- c. 一家住一头。
 yi1 jia1 zhu4 yi1 tou2
 one home live one end
 'Each family lives at one end.'

8.4.3. Bare NUM-CLP

The DET position in a nominal phrase is sometimes left open without any lexical determinative when the NUM-CL and N positions are filled with lexical elements to form a bare NUM-CLP.

The function of determinatives is to establish the definite or indefinite status of the nominal phrase, and such a function is still present even when the DET position is not filled with a lexical determinative. The only indefinite determinative (i.e., 某 *mou3* 'a certain') in contemporary Chinese comes from Classical Chinese and has very limited distribution. When the need arises for a nominal phrase to represent something new in the current discourse, such as in the existential sentences in [41a] and [41b], a bare NUM-CLP prototypically becomes the only choice. Such an NUM-CL phrase occasionally appears in the subject position marked with the semantically almost void existential verb 有 *you3* 'there be,' as in [41c], to indicate that it represents something new in the discourse.

- [41] a. 系里最近来了一位女老师。
 xi4 li3 zui4jin4 lai2 le0 yi1 wei4
 department inside recently come LE one CL
 nü3 lao3shi1
 female teacher
 'A female teacher joined our department recently.'
- b. 桌子上摊着一些剪报。
 zhuo1zi0 shang4 tan1 zhe0 yi1xie1 jian3bao4
 desk on lay ZHE some clipping
 'On the table lay some newspaper clips.'
- c. 有两家服装厂倒闭了。
 you3 liang3 jia1 fu2zhuang1 chang3 dao3bi4 le0
 YOU two CL clothing factory go_bankrupt LE
 'Two garment factories went under.'

When the DET position is without a lexical determinative, the NUM position is at times filled with a non-lexical numeral as well, especially in colloquial speech. The non-lexical numeral is always — *yi1* ‘one.’

- [42] 来杯冰啤酒。
 lai2 bei1 bing1 pi2jiu3
 come CL ice beer
 ‘Give me a glass of chilled beer.’

When there is a clear context to indicate the definite status of a nominal phrase, a bare NUM–CLP can have a definite reading, namely, having a non-lexical demonstrative in DET. The 三束花 *san1 shu4 hua1* ‘three bouquets of flowers’ phrase in [43] appears in the topic position to represent things that have been mentioned in the previous discourse, and the bare NUM–CLP is definite. Definite bare NUM–CLPs can be found in either the subject or the object positions, but indefinite NUM–CLPs are characteristically found in the object position of unaccusative verbs.

- [43] 三束花我都要。
 san1 shu4 hua1 wo3 dou1 yao4
 three CL flower I all want
 ‘I want all these three bouquets of flowers.’

8.5. Nouns and the N position

NP is the inner layer in a nominal phrase and its head is the locus for the essential information of the whole phrase. The N position is predominantly filled with a simple noun or a compound noun but it can sometimes be left open without a lexical noun. The noun represents a type of entity, which is its denotation, while the nominal phrase prototypically represents an entity or a set of entities, which is called its referent, and the relationship between the nominal phrase and its referent is known as reference.

8.5.1. Simple nouns and compound nouns

A simple noun consists of a single morpheme. Simple nouns usually have just one syllable, such as 猫 *mao1* ‘cat,’ 狗 *gou3* ‘dog,’ 水 *shui3* ‘water,’ 油 *you2* ‘oil,’ and so on. They sometimes have two syllables, such as 蟋蟀 *xi1shuai4* ‘cricket,’ 葫芦 *hu2lu2* ‘gourd,’ 芙蓉 *fu2rong2* ‘lotus,’ 琥珀 *hu3po4* ‘amber,’ 鹌鹑 *an1chun2* ‘quail,’ and so on. Simple nouns with three or more syllables do exist, but they are predominantly loanwords from other languages, such as 俱乐部 *ju4le4bu4* ‘club’ and 可口可乐 *ke3kou3ke3le4* ‘Coca-Cola’ from English.

A compound noun consists of two or more morphemes. The components of a compound noun can be free or bound morphemes. The relationship between

morphemes in most compound nouns can be described in terms of syntactic structure, regardless of whether the components are free or bound. One of the structural relations is coordination, in that the morphemes have equal status and make an equal contribution to the compound's meaning. The meaning of 朋友 *peng2you3* 'friend' is directly derived from 朋 *peng2* and 友 *you3*, both of which mean 'friend,' while that of 衣帽 *yi1mao4* 'apparel' is derived as a simple sum of 衣 *yi1* 'clothes' and 帽 *mao4* 'caps.' The meaning of 骨肉 *gu3rou4* 'kindred' is metaphorically derived from 骨 *gu3* 'bone' and 肉 *rou4* 'flesh,' while the meaning of 矛盾 *mao2dun4* 'contradiction' is related to that of 矛 *mao2* 'spear' and 盾 *dun4* 'shield' via a fable in which spears and shields are the key elements in two contradictory statements.

The morphemes in a compound noun can have a modification relation as well, with a nominal morpheme as the head in the final position. The meaning of some such compounds is directly derivable from their components, as in the case of 午饭 *wu3fan4* 'lunch,' which is directly related to 午 *wu3* 'noon' and 饭 *fan4* 'meal.' The meaning of other such compounds is indirectly related to their components, as in 大腕 *da4wan4* 'big shots,' which literally means 'big wrist' but metaphorically stands for powerful persons.

Some compound nouns are derived from compound verbs or adjectives. 管家 *guan3jia1* 'steward' literally means to 管 *guan3* 'manage' 家 *jia1* 'home,' but it is commonly used to mean the person who manages the home. 孤寡 *gu1gua3* 'orphans and widows' is the conjunction of the two adjectives 孤 *gu1* 'orphaned' and 寡 *gua3* 'widowed,' but it is commonly used as a noun meaning orphans and widows, a social group that is most vulnerable.

A compound noun can conjoin with other nouns or be modified by other words to produce a larger compound, as in the case of 奶油巧克力生日蛋糕 *nai3you2 qiao3ke4li4 sheng1ri4 dan4gao1* 'butter chocolate birthday cake.' Theoretically, a compound noun can be infinitely long, although practically, a noun will not be too long.

8.5.2. Elements in N

N is the ultimate head position of a nominal phrase and is prototypically filled with a noun, either a simple noun, as in [44a], or a compound noun, as in [44b]. On rare occasions a pronoun can appear in N with overt elements in DET, NUM, or CL. The 你 *ni3* 'you' in [45a] represents a person to whom the speaker is talking while day-dreaming. The 他 *ta1* 'he' in [45b] is neither deictic nor anaphoric. The listener always refers to her boyfriend as 他 *ta1* 'he' without prior context and the speaker is making fun of her by using 他 *ta1* 'he' in the same way. 他 *ta1* 'he' in this case represents 你的男朋友 *ni3 de0 nan2peng2you3* 'your boyfriend' and is not a pronoun per se.

- [44] a. 这两头猪都摔伤了。
 zhe4 liang3 tou2 zhu1 dou1 shuai1shang1 le0
 this two CL pig all stumble_and_hurt LE
 'The two pigs were hurt.'
- b. 前面开过来一辆重型扫雪车。
 qian2mian4 kai1 guo4lai2 yi1 liang4 zhong4xing2
 front drive come one CL heavy
 sao3xue3che1
 snow_sweeper
 'Here comes a heavy-duty snow sweeper.'
- [45] a. 我这才想起了那个同桌的你。
 wo3 zhe4 cai2 xiang3qi3 le0 na4 ge4
 I thus just think_of LE that CL
 tong1zhuo1 de0 ni3
 sit_at_same_table DE you
 'Only now I recalled you who used to sit next to me.'
- b. 什么时候也让我见见你的那个他。
 shen2me0 shi2hou0 ye3 rang4 wo3 jian4jian4 ni3
 what time also let I see you
 de0 na4 ge4 ta1
 DE that CL he
 'Let me see that "he" of yours some time.'

Unlike pronouns, a noun in N characteristically carries no overt marker for number, but in rare cases a noun representing human beings can take the plural marker 们 *men0* 'PL' to express the speaker's sentiment, either fondness, as in [46a], or hatred, as in [46b].

- [46] a. 同学们都夸我能干。
 tong2xue2 men0 dou1 kua1 wo3 neng2gan4
 classmate PL all praise I able
 'All classmates were saying good words about my ability.'
- b. 让那些贪官们来看看!
 rang4 na4xie1 tan1guan1 men0 lai2 kan4kan4
 let those corrupt_officials PL come see
 'Let these greedy officials see this!'

8.5.3. Nominal phrases without a lexical noun

The N position of a nominal phrase is at times not filled with any lexical noun. Although such a phrase does not have a lexical head to provide denotation and consequently has no lexical base for establishing reference, it nonetheless represents an entity or a set of entities that has been mentioned in the discourse or is identifiable in the environment. The phrase thus behaves like a complex pronominal with a non-lexical pronoun as its head, which depends on an antecedent for reference similar to the English pronoun “one.”

The non-lexical pronoun after 这几块 *zhe4 ji3 kuai4* ‘these few pieces’ in [47a] is most likely to take 砖头 *zhuan1tou0* ‘brick’ in the previous clause as its antecedent. The non-lexical pronoun after 两只 *liang3 zhi1* ‘two counts’ in [47b] is most likely to take 蜜蜂 *mi4feng1* ‘bee’ in the context as its antecedent. Sometimes the antecedent of the non-lexical pronoun in N is far away in the discourse or has to be inferred from the environment. The non-lexical pronoun after 一口 *yi1 kou3* ‘one take’ in [47c] has no clear antecedent in the immediate discourse and its referent has to be inferred from the utterance itself, which is part of a confession by a cocaine addict. The non-lexical pronoun is most likely to refer to the theme of the narrative, namely, cocaine. Sentence [47d] is taken from a conversation in a store where a customer utters it while pointing at a coat. The non-lexical pronoun after 这一件 *zhe4 yi1 jian4* ‘this piece’ is deictic and refers to the coat.

- [47] a. 那三块砖头都碎了, 这几块也不能用了。

na4 san1 kuai4 zhuan1tou0 dou1 sui4 le0
that three CL brick all smash LE

zhe4 ji3 kuai4 ye3 bu4neng2 yong4 le0
this several CL also cannot use LE

‘The three bricks are broken and those (ones) are useless.’

- b. 飞来了一群蜜蜂, 有两只停在了白花上。

fei1 lai2 le0 yi1 qun2 mi4feng1 you3 liang3
fly come LE one CL bee YOU two

zhi1 ting2 zai4 le0 bai2 hua1 shang4
CL stop PREP LE white flower on

‘A swarm of bees flew in and two of them came down to the white flower.’

- c. 我已经上瘾了, 没多久就想抽上一口。

wo3 yi3jing1 shang4yin3 le0 mei2 duo1jiu3 jiu4
I already be_addicted LE NEG long thus

xiang3 chou1shang4 yi1kou3
 think smoke one_sip
 'I became addicted and would crave one after a short while.'

d. 我要这一件。

wo3 yao4 zhe4 yi1 jian4
 I want this one CL
 'I want this one.'

A nominal phrase with a non-lexical pronoun in N can have NUM, CL, or both left open without any lexical element. The non-lexical pronoun after 这扇 *zhe4 shan4* 'this leaf' in [48a] is most likely to take 门 *men2* 'door' as the antecedent. The subject phrase in the second clause of [48b] has a demonstrative 那 *na4* 'that' in DET, no lexical numeral in NUM, no lexical classifier in CL, and a non-lexical pronoun in N that takes 山水画 *shan1shui3hua4* 'painting of mountains and rivers' as its antecedent.

[48] a. 堂屋的两扇门都换过了, 这扇也该换了。

tang2wu1 de0 liang3 shan4 men2 dou1 huan4
 main_hall DE two CL door all change
 guo4 le0 zhe4 shan4 ye3 gai1 huan4 le0
 GUO LE this CL also should change LE
 'The two doors of the hall have been replaced and this one should be replaced as well.'

b. 墙上挂着一幅山水画, 那还是爷爷的。

qiang2 shang4 gua4 zhe0 yi1 fu2 shan1shui3
 wall on hang ZHE one CL landscape
 hua4 na4 hai2shi4 ye2ye0 de0
 painting that still Grandpa DE
 'On the wall was a painting of scenery. That was Grandpa's.'

8.5.4. Bare NP

The DET, NUM, and CL positions in a nominal phrase are sometimes all left open without any lexical element, while the N position is filled with a lexical noun. Such a bare NP can have either a definite or an indefinite reading. Which meaning is actually realized depends on the speaker's intention and, consequently, the structural position the speaker assigns to the bare NP. The bare NP in [49a] is in the topic position of the sentence and is therefore definite, while the bare NP in [49b] appears in the post-verbal position of an existential sentence and is indefinite. A bare NP can represent either a single entity or a set of entities, depending on

the context. The 衣服 *yi1fu2* ‘clothes’ in [49a] is plural since it falls under the scope of the collective adverb 都 *dou1* ‘all,’ while the 客人 *ke4ren2* ‘guest’ in [49b] can be singular or plural since there is no clear context.

- [49] a. 衣服我都拿来了, 你穿吧。
 yi1fu2 wo3 dou1 na2lai2 le0 ni3 chuan1 ba0
 clothes I all bring LE you wear BA
 ‘All the clothes are here. Put them on.’
- b. 来客人了, 赶快去招呼。
 lai2 ke4ren2 le0 gan3kuai4 qu4 zhao1hu1
 come guest LE hastily go greet
 ‘Here comes a guest/guests. Hurry up and take care of him/them.’

8.6. Pronouns

Pronouns form a close class that is prototypically used anaphorically or deictically; in other words, they represent an entity or a set of entities that has been mentioned in the previous discourse or can be identified in the environment. A pronoun occupies the N position in a nominal phrase and such a phrase prototypically appears as a bare NP. Pronouns are usually classified as personal pronouns, reflexive pronouns, and interrogative pronouns.

8.6.1. Personal pronouns

Personal pronouns form the largest category of pronouns and can be subdivided into core and peripheral members.

8.6.1.1. Core members

Core personal pronouns are classified according to the deictic category of persons, in the sense that the first-person pronoun 我 *wo3* ‘I’ refers to the speaker and the second-person pronoun 你 *ni3* ‘you’ refers to the listener. The third-person pronoun can be deictic in that it represents some person(s) other than the speaker and the listener; it can also be anaphoric in that it refers to a nominal phrase mentioned in the discourse. Third-person pronouns are represented by three different orthographic forms – 他 *ta1* ‘he,’ 她 *ta1* ‘she,’ and 它 *ta1* ‘it’ – but all three actually have the same pronunciation and should be considered one and the same morpheme. The different orthographic forms are inventions of the early twentieth century, together with two seldom used forms – 祂 *ta1* ‘He’ for God and 牠 *ta1* ‘it’ for animal. The same thing happened to the second-person pronoun, which has a rarely used female form 妳 *ni3* ‘you’ and a divinity form 祢 *ni3* ‘thou’ (in Classical Chinese, this is actually an old form for a father who has passed away).

The second-person pronoun has a polite form 您 *nin2* ‘you,’ with which a speaker addresses people who have higher social status or are deemed to be worthy of respect.

The plural form of all personal pronouns is constructed in the same way, by adding the plural suffix -们 *men0* ‘PL’ to the personal pronouns to produce 我们 *wo3men0* ‘we,’ 你们 *ni3men0* ‘you,’ 他们 *ta1men0* ‘they,’ 她们 *ta1men0* ‘they (female),’ and 它们 *ta1men0* ‘they (non-human).’ The second-person polite form 您 *nin2* ‘thou’ does not have a morphologically marked plural counterpart. In cases where a polite form is needed for a second-person plural pronoun, a complex form like 您三位 *nin2 san1 wei4* ‘your honorable three’ will be used.

The first-person plural pronoun 我们 has an inclusive counterpart 咱们 *zan2men0* ‘we.’ The difference between the two lies in the fact that when a speaker uses 咱们, he includes the listener in his group or on his side, while the speaker does not make such a categorization if he chooses 我们. 咱们 is used characteristically in colloquial speech, especially in that by Northern people.

咱们 has a singular variation 咱 *zan2* ‘I,’ which sometimes appears in casual speech but does not necessarily express a singular meaning. The 咱 in [50] can be interpreted either as ‘I’ or as ‘we,’ and such a 咱 carries some vulgar flavor.

[50] 登台表演? 哪有咱的份啊?

deng1tai2 biao3yan3 na3 you3 zan2 de0
get_on_stage perform which YOU I_or_we DE
fen4 a1
share A

‘To perform on the stage? Do I/we have such luck?’

An accepted way of showing one’s modesty is using 我们 in places where 我 is normally used, as in [51]. The opposite is also true under some other circumstances. In official written documents, the first-person singular 我 typically replaces the plural 我们 to create expressions like 我校 *wo3 xiao4* ‘our school,’ 我厂 *wo3 chang3* ‘our factory,’ and 我公司 *wo3 gong1si1* ‘our company.’ Similarly, in official documents the second-person singular 你 sometimes replaces the plural 你们 to create expressions like 你单位 *ni3 dan1wei4* ‘your unit’ and 你站 *ni3 zhan4* ‘your station.’

[51] 今天我们讲第三课。

jin1tian1 wo3men0 jiang3 di4san1 ke4
today we teach the_third lesson
‘Today I will lecture on Lesson Three.’

Unlike other pronouns, the non-human third-person 它 *ta1* 'it' characteristically does not appear in lexical form, but it must be lexical when it functions as the object of a preposition.

8.6.1.2. Peripheral members

Peripheral personal pronouns characteristically appear in a specific context and have limited distribution. The third-person 其 *qi2* 'he/she/it' inherited from Classical Chinese is used largely in formal genres. It is predominantly anaphoric and is seldom used deictically. 其 represents the third-person of all numbers, persons, genders, and cases. When 其 represents the possessor of a nominal phrase, the marker 的 *de0* 'DE' never appears. Expressions like 其财产 *qi2 cai2chan3* 'his/her properties' and 其后果 *qi2 hou4guo3* 'its consequence' are anaphoric in the sense that the 其 refers to a nominal phrase mentioned in the discourse. The same can be said about the 其 in fixed expressions like 其中 *qi2zhong1* 'among them,' 其余 *qi2yu2* 'the rest of them,' and 其间 *qi2jian1* '(during) that time.'

Other peripheral personal pronouns include third-person unspecified 人家 *ren2jia1* 'other person(s),' 别人 *bie2ren2* 'other person(s),' and 旁人 *pang2ren2* 'other person(s),' which represent either singular or plural pronouns, as well as plural 大家 *da4jia1* 'all persons' and 大伙儿 *da4huo3er0* 'all persons.' 人家, 别人, and 旁人 are both deictic and anaphoric. The difference lies in the fact that 人家 and 别人 are typically used in colloquial speech, while 旁人 is mostly used in writing. 人家 or 别人 is sometimes used by girls as a first-person singular pronoun to show their charming side or to seek attention.

大家 *da4jia1* 'all persons' or 大伙儿 *da4huo3er0* 'all persons' represents a group of people present in the environment or mentioned before in the discourse. 大家 and 大伙儿 typically refer to people other than the speaker and the listener. However, when the speaker wants to show his solidarity with the listener, especially in imperative sentences, he can use 大家 or 大伙儿 as an inclusive plural pronoun. The 大家 in [52] actually represents everybody on the spot, including the speaker, the listener, and all the other people.

[52] 大家一起唱。

da4jia1 yi1qi3 chang4
everyone together sing
'Let's sing together.'

8.6.2. Reflexive pronouns

A reflexive pronoun predominantly depends on an antecedent nominal phrase for reference and the two prototypically appear in the same clause or sentence. There are two types of reflexive pronouns: the basic form 自己 *zi4ji3* 'self' and the derived

form, such as 我自己 *wo3 zi4ji3* ‘myself’ or 他们自己 *ta1men0 zi4ji3* ‘themselves,’ which consists of a core pronoun and the basic reflexive pronoun.

The basic form 自己 *zi4ji3* ‘self’ can have an antecedent of any person and number, as shown in [53]. Another characteristic of 自己 is that it can appear in the subject position, as in [53a], or in the object position, as in [53b]. It can also appear in other positions, such as inside a relative clause as in [51c]. 自己 can sometimes appear in a clause other than the one containing its antecedent, as in [53d] and [53e], and the dependency relation between the two is often referred to as long-distance anaphora.

- [53] a. 作者相信自己可以解释这一现象。
zuo4zhe3 xiang1xin4 zi4ji3 ke3yi3 jie3shi4 zhe4
 author believe self may explain this
yi xian4xiang4
 one phenomenon
 ‘The author believes that he can explain the phenomenon.’
- b. 你们要照顾好自己。
ni3men0 yao4 zhao4gu4 hao4 zi4ji3
 you will care_for good self
 ‘You should take good care of yourselves.’
- c. 我把自己做的视频上传到新浪网。
wo3 ba3 zi4ji3 zuo4 de0 shi4pin2 shang4chuan2
 I BA self make DE video upload
dao4 xin1lang4 wang3
 PREP Sina net
 ‘I uploaded the video clip I made to Sina.com.’
- d. 乡民们以为神明会保佑自己, 纷纷去上香。
xiang1min2 men0 yi3wei2 shen2ming2 hui4 bao3you4
 villager PL think gods will bless
zi4ji3 fen1fen1 qu4 shang4xiang1
 self one_after_another go offer_incense
 ‘The villagers thought the god would bless them and went to offer incense.’
- e. 老板交代秘书到自己家里去取钱。
lao3ban3 jiao1dai4 mi4shu1 dao4 zi4ji3 jia1 li3
 boss tell secretary PREP self home in
qu4 qu3 qian2
 go get money
 ‘The boss asked his secretary to go to his home to get some money.’

A derived reflexive pronoun predominantly appears in the same clause with its antecedent. It is typical for the derived reflexive pronoun and its antecedent to occupy the object and the subject positions, respectively, as in [54] below:

- [54] a. 我要对老婆说:“我要改变我自己”。
- wo3 yao4 dui4 lao3po0 shuo1 wo3 yao4 gai3bian4
I want PREP wife talk I want change
wo3 zi4ji3
I self
'I will tell my wife: "I will change myself."'
- b. 她只不过是自私的, 她只爱她自己。
- ta1 zhi3bu4guo4 shi4 zi4si1 de0 ta1 zhi3 ai4
she only be selfish DE she only love
ta1 zi4ji3
she self
'She is just selfish. She only loves herself.'

A reflexive pronoun can sometimes be found in a non-argument position. The 自己 *zi4ji3* 'self' in [55] does not form a derived reflexive pronoun with 他 *ta1* 'he' but rather is in the adverbial position of the clause following 银行账号 *yin2hang2 zhang4hao4* 'bank account.' This emphasizes that it is the subject 他 *ta1* 'he' who is using the bank account for his own benefit.

- [55] 老总拿我的身份证开银行账号他自己用, 怎么办?
- lao3zong3 na2 wo3 de0 shen1fen4zheng4 kai1
manager hold I DE id_card open
yin2hang2 zhang4hao4 ta1 zi4ji3 yong4 zen3me0
bank account_number he self use how
ban4
do
'The boss took my ID to open a bank account for himself. What should I do?'

The basic reflexive 自己 *zi4ji3* 'self' has two colloquial counterparts – 自个儿 *zi4ger0* 'self,' which is characteristically used by northerners, and 自家 *zi4jia1* 'self,' which is used by southerners.

8.6.3. Interrogative pronouns

An interrogative pronoun predominantly represents an entity or a set of entities to be identified, namely, for new information to be provided. Of these, 谁 *shui2* 'who' represents human beings and 什么 *shen2me0* 'what' represents non-human

entities. An interrogative pronoun can function as the modifier of certain nouns to form compounds like 谁家 *shui2 jia1* ‘whose home,’ 什么地方 *shen2me0 di4fang0* ‘what place, where,’ 什么时候 *shen2me0 shi2hou0* ‘what time, when,’ 什么原因 *shen2me0 yuan2yin1* ‘what reason,’ and 什么书 *shen2me0 shu1* ‘what book.’ The interrogative morpheme 怎 *zen3* ‘how’ does not appear independently but can form compounds like 怎么 *zen3me0* ‘how,’ 怎样 *zen3yang4* ‘(in) what way, how,’ and 怎么样 *zen3me0yang4* ‘(in) what way, how.’

An interrogative pronoun or compound typically functions as the variable in a question in which the speaker wants to learn the identity of something. The 谁 *shui2* ‘who,’ 什么 *shen2me0* ‘what,’ and 什么地方 *shen2me0 di4fang0* ‘what place, where’ in [56a], [56b], and [56c], respectively, are all to be replaced in the answer by nominal phrases representing an appropriate entity or a set of entities.

- [56] a. 你在跟谁说话啊?
 ni3 zai4 gen1 shui2 shuo1hua4 a1
 you ZAI PREP who talk A
 ‘Who are you talking to?’
- b. 你说咱们吃什么呢?
 ni3 shuo1 zan2men0 chi1 shen2me0 ne0
 you talk we eat what NE
 ‘What do you say we should eat?’
- c. 什么地方出产的莲子最好吃?
 shen2me0 di4fang0 chu1chan3 de0 lian2zi3 zui4
 what place produce DE lotus_seeds most
 hao3chi1
 delicious
 ‘Which place produces the best lotus seeds?’

Interrogative pronouns or compounds in variable questions behave like nominal phrases in that they stay in the position where nominal phrases are typically called for. The speaker of [56b] uses 什么 *shen2me0* ‘what’ to inquire about the proper food and, in spite of its position inside an embedded clause, 什么 *shen2me0* ‘what’ has scope over the entire sentence. The compound 什么地方 *shen2me0 di4fang0* ‘what place, where’ in [56c] also has scope over the whole sentence, in spite of its position inside a relative clause.

An interrogative pronoun or a compound sometimes functions as the adverbial in a sentence, such as the temporal adverbial 什么时候 *shen2me0 shi2hou0* ‘what time, when’ in [57a] and the manner adverbial 怎样 *zen3yang4* ‘(in) what way, how’ in [57b].

- [57] a. 飞机什么时候起飞?
 fei1ji1 shen2me0 shi2hou0 qi3fei1
 airplane what time take_off
 'When will the plane take off?'
 b. 您要我们怎样处理呢?
 nin2 yao4 wo3men0 zen3yang4 chu3li3 ne0
 you want we how handle NE
 'In what way do you want us to handle it?'

In some rare cases, an interrogative pronoun does not stay in its usual position, such as the 什么 *shen2me0* 'what' in the topic position of [58a]. Although it requires an answer, [58a] is actually an echo question. When the speaker hears a topic-comment construction like [58b] and is not sure whether he has heard it right, he could utter [58a] to confirm the identity of the topic.

- [58] a. 什么你不要了?
 shen2me0 ni3 bu4 yao4 le0
 what you NEG want LE
 'What do you not want?'
 b. 房子我不要了。
 fang2zi0 wo3 bu4 yao4 le0
 house I NEG want LE
 'The house, I do not want it anymore.'

Interrogative pronouns or compounds can have a non-interrogative interpretation when they appear in certain environments. The 什么 *shen2me0* 'what' in [59a] appears in a yes-no question and should be interpreted as an existential quantifier. The 谁 *shui2* 'who' in [59b] falls under the scope of the inclusive adverb 都 *dou1* 'all' and should also be interpreted as an existential quantifier. The 什么 *shen2me0* 'what' in [59c] falls under the scope of the negative morpheme 没 *mei2* 'not' and has the interpretation of a negatively oriented polarity-sensitive item. 什么好看的衣服 *shen2me0 hao3kan4 de0 yi1fu2* therefore means '(not) any attractive clothes.' The two 什么 *shen2me0* 'what' in the conditional sentence [59d] form a discontinuous unit with a fixed non-interrogative paired reading. The 什么 *shen2me0* 'what' in the adverbial clause should be interpreted as an existential quantifier, while the one in the main clause should be understood as a third-person pronoun referring back to the first 什么. The speaker uses 什么 *shen2me0* 'what' in [59e] not because he wants to know the identity of the book but because he has forgotten the name of the book. [59e] is not a variable question, nor does 什么 *shen2me0* 'what' need an answer.

- [59] a. 想买点什么吗?
 xiang3 mai3 dian3 shen2me0 ma0
 think buy some what MA
 'Do you want to buy anything?'
- b. 谁给钱都说得过去。
 shui2 gei3qian2 dou1 shuo1de2guo4qu4
 who pay all be_justifiable
 'It does not matter who pays for it.'
- c. 昨天没见到什么好看的衣服。
 zuo2tian1 mei2 jian4dao4 shen2me0 hao3kan4 de0
 yesterday NEG see what beautiful DE
 yi1fu2
 clothes
 'We did not see any attractive clothes yesterday.'
- d. 这几个家伙疯了, 看见什么拿什么。
 zhe4 ji3 ge4 jia1huo0 feng1 le0 kan4jian4
 this several CL guy mad LE see
 shen2me0 na2 shen2me0
 what take what
 'These guys were crazy. If they saw something, they would take it.'
- e. 你昨天说的那本什么书来了没有?
 ni3 zuo2tian1 shuo1 de0 na4 ben3 shen2me0 shu1
 you yesterday talk DE that CL what book
 lai2 le0 mei2you3
 come LE NEG
 'Has the book you talked about yesterday arrived?'

8.6.4. Zero pronouns

A pronoun generally functions alone as a nominal phrase. It sometimes appears as a zero form, which is either anaphoric or deictic. The subject position of the embedded clause in [60a] is filled with a zero pronoun, which is most likely to refer to the matrix subject 老爸 *lao3ba4* 'Daddy.' However, it would refer to the cleaning lady if [60a] was uttered as an answer to the question in [60b]. The zero pronouns in both cases are anaphoric. The zero pronoun in [60c], on the other hand, is deictic, and refers to something present in the environment.

- [60] a. 老爸说 Ø 今天不来了。

lao3ba4 shuo1 Ø jin1tian1 bu4 lai2 le0
 daddy talk Ø today NEG come LE
 'Daddy said he would not come today.'

- b. 钟点工怎么还没来?

zhong1dian3gong1 zen3me0 hai2 mei2 lai2
 hourly_worker why yet NEG come
 'Why hasn't the cleaning lady come?'

- c. 拿过来吧。

na2 guo4lai2 ba0
 hold come BA
 'Bring it over.'

8.6.5. Pronouns and possessive relation

Possessive pronouns are not an independent category, and possessive relation is predominantly represented by a construction consisting of a possessor phrase marked with 的 *de0* 'DE' and a possessed phrase. The possessor phrase is either a pronoun, as in 我的家乡 *wo3 de0 jia1xiang1* 'my hometown,' 咱们的老板 *zan2men0 de0 lao3ban3* 'our boss,' 她的弟弟 *ta1 de0 di4di0* 'her younger brother,' 人家的一块地 *ren2jia1 de0 yi1 kuai4 di4* 'somebody's land,' 自己的那一家公司 *zi4ji3 de0 na4 yi1 jia1 gong1si1* 'one's own company,' and 谁的孩子 *shui2 de0 hai2zi0* 'whose child,' or a nominal phrase, as in 爸爸的鞋 *ba4ba0 de0 xie2* 'father's shoes,' 楼上邻居的狗 *lou2shang4 lin2ju1 de0 gou3* 'upstairs neighbor's dog,' and 那幢房子的后墙 *na4 zhuang4 fang2zi0 de0 hou4 qiang2* 'that house's rear wall.'

Since the possessor phrase has the same morphological configuration as a modifier phrase, a phrase like 他的书 *ta1 de0 shu1* 'his book, a book about him' can represent not only a possessive relation but also a modification relation. This means that such a nominal phrase can have quite a number of readings. Some of the possible readings of 他的书 *ta1 de0 shu1* 'his book, a book about him' are listed in [61], and the possessive reading is the most prominent one.

- [61] a. 他拥有的书

ta1 yong1you3 de0 shu1
 he own DE book
 'the books that he owns'

- b. 他写的书

ta1 xie3 de0 shu1
 he write DE book
 'the books he wrote'

c. 他装帧的书

ta1 zhuang1 zhen1 de0 shu1
 he bind lay_out DE book
 'the books he decorated'

d. 他背着的书

ta1 bei1 zhe0 de0 shu1
 he carry ZHE DE book
 'the books he is carrying'

e. 他卖的书

ta1 mai4 de0 shu1
 he sell DE book
 'the books he is selling'

f. 他负责编辑的书

ta1 fu4ze2 bian1ji2 de0 shu1
 he be_in_charge edit DE book
 'the books he edited'

When the possessed is represented by a nominal phrase with a demonstrative in DET, the marker 的 *de0* 'DE' of the possessor phrase can sometimes be left out, as in [62].

[62] a. 我家那房子的天然气初装费要 2800。

wo3 jia1 na4 fang2zi0 de0 tian1ran2qi4 chu1
 I home that house DE natural_gas first

 zhuang1 fei4 yao4 2800
 install fee need 2800
 'The natural gas installation fee for our house is 2,800 dollars.'

b. 我这表妹野着呢。

wo3 zhe4 biao3mei4 ye3 zhe0 ne0
 I this younger_female_cousin wild ZHE NE
 'This cousin of mine is really wild.'

When the possessed is a kinship term or represents inalienable possession such as body parts, the possessed phrase will be definite. The 的 *de0* 'DE' in such a possessive phrase could also be left out, even though there is no lexical demonstrative in the DET position of the possessed phrase. The subject in [63a] and [63b] thus has the same structure as a possessive construction marked with the *de0* 'DE.'

- [63] a. 你弟弟又喝醉了。
 ni3 di4di0 you4 he1zui4 le0
 you younger_brother again drink_drunk LE
 'Your brother got drunk again.'
- b. 她眼睛里只有儿子。
 ta1 yan3jing1 li3 zhi3you3 er2zi0
 she eye inside only son
 'There is only her son in her eyes.'

8.7. Apposition

An appositive modifier is one which when substituted for the whole nominal phrase in a declarative sentence always yields a sentence that is an entailment of the original, as shown by 刘翔 *liu2xiang2* 'Liu Xiang' in [64] below:

- [64] a. 刘翔这位冠军来自上海。
 liu2xiang2 zhe4 wei4 guan4jun1 lai2zi4
 LIU_XIANG this CL champion come_from
 shang4hai3
 SHANGHAI
 'Liu Xiang the champion came from Shanghai.'
- b. 刘翔来自上海。
 liu2xiang2 lai2zi4 shang4hai3
 LIU_XIANG come_from SHANGHAI
 'Liu Xiang came from Shanghai.'

8.7.1. Appositives

A prominent property of appositive nominal phrases is that the modifier and the modified can appear as the variable and value in a specifying copular sentence and the order can be reversed, as in [65b] and [65c].

- [65] a. 刘翔这位冠军。
 liu2xiang2 zhe4 wei4 guan4jun1
 LIU_XIANG this CL champion
 'Liu Xiang the champion.'
- b. 这位冠军是刘翔。
 zhe4 wei4 guan4jun1 shi4 liu2xiang2
 this CL champion be LIU_XIANG
 'The champion is Liu Xiang.'

- c. 刘翔是这位冠军。

liu2xiang2 shi4 zhe4 wei4 guan4jun1
 LIU_XIANG be this CL champion
 'Liu Xiang is the champion.'

Appositive modifiers are often proper names, but it is possible for a proper name to be the modified and a definite nominal phrase to be the modifier, as in [66].

- [66] 结果只好买这唯一的国货品牌李宁。

jie2guo3 zhi3hao3 mai3 zhe4 wei2yi1 de0
 as_a_result have_to buy this only DE
 guo2huo4 pin3pai2 li3ning2
 domestic_goods trademark LI_NING
 'By the end, I had to pick the only domestic brand LI Ning.'

The appositive modifier can be a pronoun as well, as in [67] below.

- [67] a. 你怎么就看上了我这个穷小子?

ni3 zen3me0 jiu4 kan4shang4 le0 wo3 zhe4 ge4
 you why thus favor LE I this CL
 qiong2 xiao3zi0
 poor boy
 'How come you fell in love with a poor chap like me?'

- b. 他们那几个混混根本不值得一提。

ta1men0 na4 ji3 ge4 hun4hun0 gen1ben3 bu4
 they that several CL punks at_all NEG
 zhi2de2 yi1 ti2
 worth one mention
 'They, the punks, are not worth mentioning at all.'

8.7.2. Appositive versus non-appositive modifiers

The defining feature of an appositive modifier is that the modifier and modified stand for the same set of entities. This distinguishes it from other modifiers with similar linear order like that in [68a]. The proper noun 王总 *wang2 zong3* 'CEO Wang' and the pronoun 他们 *ta1men0* 'they' also form a modification relation, but 王总 *wang2 zong3* 'CEO Wang' and 他们 *ta1men0* 'they' refer to different people, even though the former is a subset of the latter. This phrase should be interpreted as 'the guys with CEO Wang as the representative.' The same can be said about the two elements in 李勇他们 *li3yong3 ta1men0* 'the people of whom Li Yong is the representative' in [68b]. On the other hand, 李勇他们 *li3yong3 ta1men0* 'the people of whom Li Yong is the representative' and 这几个废物 *zhe4 ji3 ge4 fei4wu4* 'these

good-for-nothing' refer to the same group of people, and they can function as the variable and value in a specifying copular sentence. Thus, these two form an appositive relation.

- [68] a. 王总他们在里面开会。

wang2zong3 ta1men0 zai4 li3mian4 kai1hui4
CEO_WANG they PREP inside have_a_meeting
'CEO WANG and his people are holding a meeting inside.'

- b. 李勇他们这几个废物!

li3yong3 ta1men0 zhe4 ji3 ge4 fei4wu4
LI_YONG they this several CL good_for_nothing
'They are a good-for-nothing bunch, Li Yong and his cohorts!'

8.7.3. Appositive versus complement modifiers

The appositive relation is unique in that the marker 的 *de0* 'DE' never appears when an appositive phrase modifies a nominal phrase. Another uniqueness of an appositive modifier is that it can substitute the whole nominal phrase in a declarative sentence to yield a reading that is an entailment of the original. This distinguishes appositive modifiers from complement clauses marked with 的 *de0* 'DE' like the one in [69a], because the latter does not necessarily yield the entailment. Although 那篇报导 *na4 pian1 bao4dao3* 'that news report' is indeed 玉树发生 7.1 级地震 *yu4shu4 fa1sheng1 7.1 ji2 di4zhen4* 'a 7.1 earthquake occurred in Yushu,' the latter cannot substitute the whole nominal phrase to yield an entailment. Thus, [69b] has a reading different from that of [69a].

- [69] a. 玉树发生 7.1 级地震的那篇报导来得很及时。

yu4shu4 fa1sheng1 7.1 ji2 di4zhen4 de0 na4
Yushu happen 7.1 level earthquake DE that
pian1 bao4dao3 lai2 de2 hen3 ji2shi2
CL news_report come DE very in_time
'The news report that a 7.1 earthquake happened in Yushu came in time.'

- b. 玉树发生 7.1 级地震来得很及时。

yu4shu4 fa1sheng1 7.1 ji2 di4zhen4 lai2 de2
Yushu happen 7.1 level earthquake come DE
hen3 ji2shi2
very in_time
'That a 7.1 earthquake happened in Yushu came in time.'

8.8. Referential and non-referential use of nominal phrases

8.8.1. Referentiality and denotation

Nouns and nominal phrases represent entities in the real world or some fictional world. Nominal phrases are mostly referential in the sense that, by using one in a given occasion, a speaker intends it to pick out an entity or a set of entities as its referent, which is independently distinguishable by properties other than those inherent in the meaning of the phrase itself. This means that the reference relation between a nominal phrase and its referent is typically context-dependent. The 这种手机 *zhe4 zhong3 shou3ji1* ‘this kind of mobile phone’ in [70] represents inherently a set of entities of a particular type, and can be used on different occasions to refer to many distinct sets. In this particular sentence, it refers to the set that has been mentioned in the previous discourse or is present in the environment, and is therefore referential and definite.

- [70] 这种手机最近不怎么好卖。
 zhe4 zhong3 shou3ji1 zui4jin4 bu4 zen3me0 hao3
 this CL cell_phone recently NEG very good
 mai4
 sell
 ‘These cell phones do not sell well recently.’

Nouns are not themselves referential. Although 这种手机 *zhe4 zhong3 shou3ji1* ‘this kind of mobile phone’ in [70] is referential, the 手机 *shou3ji1* ‘mobile phone’ in its N position is not, since 手机 *shou3ji1* ‘mobile phone’ only refers to a type of entity. The semantic relation between the type and the noun 手机 *shou3ji1* ‘mobile phone’ is termed “denotation” and the type is also known as denotation.

8.8.2. Referential and non-referential nominal phrases

Nouns themselves have denotation but do not have reference. By contrast, nominal phrases, including bare NPs that look like nouns, are mostly referential but some of them are used non-referentially at times.

8.8.2.1. Co-referential pronouns as a test for referentiality

In straightforward cases like that in [71], the difference between referential and non-referential nominal phrases can be brought out by testing whether a co-referential personal pronoun can be added in a separate clause:

- [71] a. 老板_i 出钱, 他_i 当然要得好处。
 lao3ban3 chu1qian2 ta1 dang1ran2 yao4 de2
 boss pay_out he of_course want gain
 hao3chu4
 benefit
 'The boss pays the money, and he of course wants the benefit.'
- b. i. *谁_i 出钱, 他_i 当然要得好处。
 shui2 chu1qian2 ta1 dang1ran2 yao4 de2 hao3chu4
 who pay_out he of_course want gain benefit
- ii. 谁_i 出钱, 谁_i 当然要得好处。
 shui2 chu1qian2 shui2 dang1ran2 yao4 de2
 who pay_out who of_course want gain
 hao3chu4
 benefit
 'He who pays expects to get something back.'

The 老板 *lao3ban3* 'boss' in [71a] refers to a particular person and is definite. The pronoun 他 *ta1* 'he' in the following clause is most likely referring to the same person, namely, co-referential with 老板 *lao3ban3* 'boss.' The 谁 *shui2* 'anyone' in [71b] denotes people but does not refer to any independently distinguishable person or set of persons. It is used non-referentially and the pronoun 他 *ta1* 'he' in the following clause cannot be co-referential with it. The only way to have a nominal element co-referential with such a 谁 *shui2* 'anyone' is to use another 谁 in the following clause, as in [71c], to produce a pair reading in which the second 谁 *shui2* 'anyone' actually means 'that person.'

8.8.2.2. Nominal phrases that are inherently non-referential

Some nominal phrases are predominantly not independently distinguishable and are therefore non-referential. An interrogative phrase like the 什么地方 *shen2me0 di4fang0* 'where' in [72a] is not referential since it cannot be identified with any entity or set of entities. A free choice existential quantifier can be non-referential under certain circumstances. The 任何生物 *ren4he2 sheng1wu4* 'any living thing' in [72b] falls under this category. The most prominent reading of [72b] is that the predicate 都必须进行呼吸 *dou1 bi4xu1 jin4xing2 hu1xi1* 'all must breathe' applies to any arbitrary subset of the types of entities denoted by 生物 *sheng1wu4* 'living thing.' The nominal phrase 任何生物 *ren4he2 sheng1wu4* 'any living thing' is not identifiable with any particular set of entities and is therefore non-referential.

- [72] a. 什么地方可以买到冬笋呢?
 shen2me0 di4fang0 ke3yi3 mai3dao4
 what place may buy
 dong1sun3 ne0
 winter_bamboo_shoots NE
 'Where could one buy winter bamboo shoots?'
- b. 任何生物都必须进行呼吸。
 ren4he2 sheng1wu4 dou1 bi4xu1 jin4xing2 hu1xi1
 any sentient_being all must proceed breathe
 'Any sentient being must breathe.'

8.8.2.3. Nominal phrases expressing pure quantity

A bare NUM-CLP can at times be found in sentences describing a pure quantitative relationship, in the sense that the speaker is using the denotation of the noun and the quantity represented by the numeral-classifier without picking out any set of entities as the referent of the phrase. Both 五斤面粉 *wu3 jin1 mian4fen3* 'five half-kilo of flour' and 四十个馒头 *si4shi2 ge4 man2tou0* 'forty buns' in [73a] are used here to describe the amount of flour needed for making a certain number of buns, but not to identify any particular flour or buns. The relationship between 一张床 *yi1 zhang1 chuang2* 'one bed' and 三个人 *san1 ge4 ren2* 'three persons' in [73b] is also that of pure quantity.

- [73] a. 五斤面粉可以做四十个馒头。
 wu3 jin1 mian4fen3 ke3yi3 zuo4 si4shi2
 five half-kilogram flour may make forty
 ge4 man2tou0
 CL steamed_bun
 'Five half-kilos of flour is enough to make forty buns.'
- b. 一张床睡三个人。
 yi1 zhang1 chuang2 shui4 san1 ge4 ren2
 one CL bed sleep three CL people
 'One bed is enough for three persons to sleep on.'

8.8.2.4. Nominal phrases related to activities

Bare NPs are occasionally found in verb phrases describing types of activities, such as the 读书 *du2shu1* 'read book' in [74]. The bare NP 书 *shu1* 'book' in this case does not represent any particular set of books, neither does it represent books as a type of entity. 书 *shu1* 'book' is part of an activity verb phrase that means

'going to school' and cannot serve as the antecedent of any pronominal. Thus, it is non-referential.

- [74] 我要读书。
 wo3 yao4 du2shu1
 I want read
 'I want to go to school.'

8.8.3. Definiteness and genericity

The reference of a nominal phrase is characteristically context-dependent. When the speaker expects the listener to be able to identify the referent of a nominal phrase, he will use a definite phrase, namely, one with a demonstrative in DET. When the speaker does not expect the speaker to be able to identify anything, he will use an indefinite nominal phrase, which predominantly has no lexical indefinite determinative in DET.

A nominal phrase will have a generic reading when it is in the scope of an expression denoting unlimited states, namely, a situation type that potentially holds for all times. A nominal phrase with a generic reading prototypically appears as a bare NP and it characteristically refers to a type of entity. The 宠物 *chong3wu4* 'pets' in [75a] refers to pets as a type of animal and the neighbor's love for them seems to hold for as long as she lives. The 蘑菇 *mo2gu1* 'mushrooms' in [75b] refers to a type of living thing and the statement 是一种真菌 *shi4 yi1 zhong3 zhen1jun4* 'be a type of fungi' holds for all time. These two bare NPs have a generic reading.

- [75] a. 邻居喜欢养宠物。
 lin2ju1 xi3huan1 yang3 chong3wu4
 neighbor like raise pet
 'Our neighbor loves to keep pets.'
- b. 蘑菇是一种真菌。
 mo2gu1 shi4 yi1 zhong3 zhen1jun1
 mushroom be one CL fungus
 'Mushrooms are a type of fungi.'

8.9. Proper names, proper nouns, and vocatives

8.9.1. Proper names and proper nouns

Proper names are expressions that have been conventionally adopted as the name of a particular entity or a set of entities, while proper nouns are those that are specialized in the function of heading proper names. Although proper names and proper nouns have distinctive properties, they are not represented with distinctive orthographic shapes.

The most common proper names are personal names like 李白 *lǐbái2* ‘LI Bai’ and 司马迁 *sīmǎ3qiān1* ‘SIMA Qian,’ in which the first part is the family name while the second part is the given name. Other proper names include place names, such as 咸阳市 *xiányáng2 shì4* ‘City of Xianyang’ and 吉林省 *jílín2 shěng3* ‘Jilin Province,’ and names for ethnic groups, such as 苗族 *miáo2zú2* ‘Miao, Mhong’ and 瑶族 *yáo2zú2* ‘Yao.’

Proper names sometimes have a proper noun as the head, such as 小李 *xiǎo3 lǐ3* ‘Little Li,’ 黑彝 *hēi1 yí2* ‘Black Yi,’ and 北疆 *běi3 jiāng1* ‘Northern Xinjiang,’ while they at other times have a common noun as the head, such as 北京大学 *běi3jīng1 dǎ4xué2* ‘Peking University,’ 安徽中心 *ānhuī1 zhōng1xīn1* ‘Anhui Center,’ and 鲁迅文学奖 *lǔ3xūn4 wén2xué2 jiāng3* ‘the LU Xun Literature Prize.’

8.9.2. Vocatives

Nominal phrases sometimes serve as terms of address, namely, as vocatives. Common vocatives include proper names, as in [76a], occupational terms, as in [76b], kinship terms, as in [76c], official titles, as in [76d], status terms, as in [76e], general terms, as in [76f], and derogative terms, as in [76g].

- [76] a. 毛晓铭, 请你到后台来。
 mao2xiǎo3míng2 qǐng3 nǐ3 dào4 hòu4tai2 lái2
 MAO_XIAOMING please you PREP backstage come
 ‘MAO Xiaoming, please come to the back stage.’
- b. 大夫, 我能不能不打针?
 dàifu0 wǒ3 néng2bù4néng2 bù4 dǎ3zhēn1
 doctor I can_not_can NEG shoot
 ‘Doctor, could I not have the shot?’
- c. 舅妈, 给点水喝。
 jiu4ma1 gei3 dian3 shui3 he1
 aunt give some water drink
 ‘Auntie, [please] give me some water to drink.’
- d. 刘校长, 请进。
 liu2 xiao4zhang3 qǐng3 jìn4
 LIU president please enter
 ‘President LIU, please come in.’
- e. 大人, 饶命。
 dà4rén2 ráo2míng4
 your_highness spare_one’s_life
 ‘Your Majesty, please spare my life.’

- f. 老乡, 你听我说。

lao3xiang1 ni3 ting1 wo3 shuo1
fellow_villager you listen I talk
'Buddy, please listen to me.'

- g. 混蛋, ! 这也是你能坐的吗?

hun2dan4 zhe4 ye3 shi4 ni3 neng2 zuo4 de0
bastard this also be you can sit DE

ma0
MA
'You bastard! Is this what you can sit on?'

8.10. Nominal phrases as propositions, predicates, or sentences

Declarative sentences are mainly used to assert propositions, which have truth values, but a phrase or a combination of phrases may also at times assert propositions.

8.10.1. Propositional nominal phrases

Phrases that can assert propositions independently include verb phrases, adjective phrases, and nominal phrases.

8.10.1.1. Proposition-asserting verb phrases

A verb phrase asserting a proposition typically represents an action that normally requires some participant(s) in addition to the verb. The 跑了 *pao3 le0* 'have run away' in [77a] requires an agent that is typically animate. It can assert a proposition only when it appears in a discourse where some entity capable of running has been mentioned or is identifiable in the environment. If the entity has not run away, the proposition is false. The four verb phrases 毕业 *bi4ye4* 'graduate,' 留校任教 *liu2xiao4 ren4jiao4* 'stay at the same school to teach,' 结婚 *jie2hun1* 'get married,' and 生孩子 *sheng1 hai2zi0* 'have kids' in [77b] all require an agent in order to assert propositions and its identity has to be recoverable from the context.

- [77] a. 跑了。

pao3 le0
run LE
'(It/he/she/they) has run away.'

- b. 后来就比较简单了。毕业, 留校任教, 结婚, 生孩子。

hou4lai2 jiu4 bi3jiao4 jian3dan1 le0 bi4ye4
later thus relatively simple LE graduate

liu2xiao4 ren4jiao4 jie2hun1 sheng1 hai2zi0
 stay_in_school teach marry give_birth child
 ‘It became simpler after that: graduating, becoming a teacher at his
 school, getting married, and having kids.’

8.10.1.2. Proposition-asserting adjective phrases

An adjective phrase asserting a proposition typically describes the property of an entity or a set of entities. The exclamative sentence in [78a] consists of just 漂亮 *piao4liang0* ‘beautiful’ but nevertheless asserts a complete proposition with a truth value. The proposition makes sense only when a set of entities with the property of 漂亮 *piao4liang0* ‘beautiful’ is identifiable in the environment or in the relevant discourse. If the set does not have that property, the proposition is false. The 很硬 *hen3 ying4* ‘very hard,’ 很凉 *hen3 liang2* ‘very cold,’ and 很不舒服 *hen3 bu4 shu1fu0* ‘very uncomfortable’ in [78b] all describe properties of an entity, most likely the 地板 *di4ban3* ‘floor’ in the previous clause. Each property asserts a proposition that would be false if the 地板 *di4ban3* ‘floor’ did not have that property.

- [78] a. 漂亮!
 piao4liang0
 beautiful
 Beautiful!
- b. 她躺在地板上。很硬。很凉。很不舒服。
 ta1 tang3 zai4 di4ban3 shang4 hen3 ying4 hen3
 she lie PREP floor on very hard very
 liang2 hen3 bu4 shu1fu0
 cold very NEG comfortable
 ‘She was lying on the floor. (It was) hard. (It was) cold. (It was)
 uncomfortable.’

8.10.1.3. Proposition-asserting nominal phrases

The most prominent feature of proposition-asserting nominal phrases is that they can assume different roles in the proposition. The exclamative sentence in [79a] represents the speaker’s admiration for a particular dog identifiable in the immediate environment, while 好聪明的小狗 *hao3 cong1ming2 de0 xiao3 gou3* ‘a smart little dog’ denotes a subset of dogs. The proposition of [79a] is true if the dog in question has the relevant properties and belongs to that subset. Sentence [79b] is part of a conversation in a business transaction. The proposition asserted by 三千块 *san1qian1 kuai4* ‘three thousand (dollars)’ is true if the exact amount of money changes hands but is false if the amount is not correct or no transaction

takes place. [79c] is also an exclamative sentence and the proposition is true if there is a fire in the immediate environment. The two nominal phrases in [79d] appear at the beginning of a novel to assert two separate propositions, which are falsifiable depending on whether the story happens in a suffocating summer and whether there is a burning sun. The first six nominal phrases in [79e] form a list of things to be worried about in life. Each of them asserts a proposition that will become false if it is not a 烦恼 *fan2nao3* ‘worry.’

- [79] a. 好聪明的小狗!
 hao3 cong1ming0 de0 xiao3 gou3
 so smart DE small dog
 ‘What a smart puppy!’
- b. 三千块。
 san1qian1 kuai4
 three_thousand CL
 ‘Three thousand dollars.’
- c. 火! 起火了!
 huo3 qi3huo3 le0
 fire fire LE
 ‘Fire! There is a fire!’
- d. 炎夏, 如火的骄阳。
 yan2xia4 ru2 huo3 de0 jiao1yang2
 hot_summer as fire DE blazing_sun
 ‘Hot summer. The burning sun.’
- e. 工作。工资。房子。房价。孩子。学校。无穷无尽的烦恼。
 gong1zuo4 gong1zi1 fang2zi0 fang2jia4 hai2zi0
 job salary house housing_price child
 xue2xiao4 wu2qiong2wu2jin4 de0 fan2nao3
 school endless DE worry
 ‘Job. Salary. House. Housing price. Kids. School. Endless worries.’

Another way for a nominal phrase to assert a proposition is for it to appear as the simple answer to a variable question. Such a nominal phrase asserts a proposition that is the equivalent of a complete answer to the question, as in [80].

- [80] a. A: 您找谁?
 nin2 zhao3 shui2
 thou seek who
 ‘Who are you looking for?’

B: 张教授。

zhang1 jiao4shou4

Zhang professor

‘(I am looking for) Prof. Zhang.’

b. A: 几点开车?

ji3 dian3 kai1che1

which hour depart

‘When will the train leave?’

B: 八点。

ba1dian3

eight_o'clock

‘(It will leave at) eight o'clock.’

c. A: 晚上在什么地方等你?

wan3shang0 zai4 shen2me0 di4fang0 deng3 ni3

evening PREP what place wait you

‘Where should I wait for you tonight?’

B: 王府井地铁站A出口。

wang2fu3jing3 di4tie3 zhan4 A chu1kou3

Wangfujing subway station A exit

‘Exit A of the Wangfujing Subway Station.’

8.10.2. Nominal predicates

Two nominal phrases occasionally work together to assert a proposition, usually playing the roles of subject and predicate. The way in which the proposition is asserted differs from case to case.

8.10.2.1. Nominal predicates with a conventionalized interpretation

Sentences with a nominal phrase as the predicate appear predominantly in informal speech or in genres that mimic casual speech. It is quite common for such a sentence to bear a reading that fits conventions in daily life. Sentence [81a] asserts a proposition that is true if the speaker’s sister-in-law is from Hunan. This is the most prominent relation between a person and a birthplace, which is the meaning of 湖南人 *hu2nan2 ren2* ‘a person (born) in Hunan.’ Sentence [81b] asserts a proposition that will be true if Han Han is twenty-seven years old. This is the typical relation between a person and an age. Given the conventions of society, the most typical relation between 今天 *jin1tian1* ‘today’ and 星期三 *xing1qi2san1* ‘Wednesday’ in [81c] is that the two describe the same point of time, namely, the day when the sentence is uttered is a Wednesday.

- [81] a. 我嫂子湖南人。
 wo3 sao3zi0 hu2nan2 ren2
 I sister_in_law Hunan_province people
 'My sister-in-law is from Hunan Province.'
- b. 韩寒才二十七岁。
 han2han2 cai2 er4shi2qi1 sui4
 HAN_HAN just twenty-seven year
 'HAN Han is just twenty-seven years old.'
- c. 今天星期三。
 jin1tian1 xing1qi1san1
 today Wednesday
 'Today is Wednesday.'

The proposition asserted by [81a] is true if the speaker's sister-in-law is from Hunan. If a proposition with an opposite truth condition is to be asserted, a copular 是 *shi4* 'be' is needed to support 不 *bu4* 'not,' as in [82a]. Similarly, [82b] and [81b] have opposite truth conditions. [82c] and [81c] also have opposite truth conditions.

- [82] a. 我嫂子不是湖南人。
 wo3 sao3zi0 bu4 shi4 hu2nan2 ren2
 I sister_in_law NEG be Hunan_province people
 'My sister-in-law is not from Hunan Province.'
- b. 韩寒不是才二十七岁。
 han2han2 bu4 shi4 cai2 er4shi2qi1 sui4
 HAN_HAN NEG be just twenty-seven year
 'HAN Han is not just twenty-seven years old.'
- c. 今天不是星期三。
 jin1tian1 bu4 shi4 xing1qi1san1
 today NEG be Wednesday
 'Today is not Wednesday.'

Sentence [83] also asserts a proposition based on conventions. The prototypical relation between a location and an entity is that of existence and [83] is true if the peanut shells are on the table. In order to assert a proposition with an opposite truth condition, an existential verb 有 *you3* 'there be' is needed to support 没 *mei2* 'not,' as in sentence [84].

- [83] 桌子上一堆花生壳。

zhuo1zi0 shang4 yi1 dui1 hua1sheng1 ke2
 desk on one CL peanut shell
 'There is a pile of peanut shells on the table.'

- [84] 桌子上没有[一堆]花生壳。

zhuo1zi0 shang4 mei2you3 yi1 dui1 hua1sheng1
 desk on NEG one CL peanut
 ke2
 shell
 'There is no peanut shell on the table.'

8.10.2.2. Nominal predicates with a context-dependent interpretation

A sentence with a nominal phrase as the predicate may occasionally have the potential of asserting a number of propositions and which one is actually asserted depends on the context. If the clause 你一条腿 *ni3 yi1 tiaoz tui3* 'you one leg' in [85a] appears in isolation, the most likely proposition it asserts would be that the listener has just one leg. In the story from which [85a] is extracted, the hero got some extra pay and treated his buddies to some chicken. This clear context coerces the clause to assert a proposition that is true if some of his buddies ate a chicken leg, and forces 他一块胸脯 *ta1 yi1 kuai4 xiong1pu2* 'he one breast' to assert a proposition that is true if some other buddies ate a piece of chicken breast. The clause 助理工程师二室一厅 *zhu4li3 gong1cheng2shi1 er4 shi4 yi1 ting1* 'assistant engineers two-bedroom' in [85b] is coordinated with 工程师住三室一厅 *gong1cheng2shi1 zhu4 san1 shi4 yi1 ting1* 'engineers live in three-bedroom' and it is natural for the two to assert parallel propositions. The two propositions are true if engineers live in three-bedroom apartments while assistant engineers live in two-bedroom apartments. The two clauses in [85c] could assert quite a number of propositions, but in the context of a meeting on literature research, it is most logical for them to assert propositions about topics. 你们都莎士比亚 *ni3men0 dou1 sha1shi4bi3ya4* 'you all Shakespeare' is true when all researchers other than the speaker are planning to study Shakespeare, while 我就乔叟 *wo3 jiu4 qiao2sou3* 'I then Chaucer' is true when the speaker is planning to study Chaucer.

- [85] a. 他买了四只鸡, 大伙儿你一条腿, 他一块胸脯, 真过瘾。

ta1 mai3 le0 si4 zhi1 ji1 da4huo3er0 ni3
 he buy LE four CL chicken everyone you

yi1 tiao2 tui3 ta1 yi1 kuai4 xiong1pu2 zhen1
one CL leg he one CL chest really

guo4ying3

satisfy

'He bought four (pieces of) chicken. Somebody ate a leg, and somebody else ate a piece of breast. It was great.'

- b. 住房分配上规定: 工程师住三室一厅, 助理工程师二室一厅。

zhu4fang2 fen1pei4 shang4 gui1ding4 gong1cheng2shi1
housing allot on stipulate engineer

zhu4 san1 shi4 yi1 ting1 zhu4li3
live three room one living_room assistant

gong1cheng2shi1 er4 shi4 yi1 ting1
engineer two room one living_room

'In terms of housing arrangement, an engineer gets a three-bedroom apartment and an assistant engineer gets a two-bedroom one.'

- c. 你们都莎士比亚, 我就乔叟。

ni3men0 dou1 sha1shi4bi3ya4 wo3 jiu4 qiao2sou3
you all Shakespeare I thus Chaucer

'You all want to study Shakespeare. I will therefore study Chaucer.'

8.10.2.3. Nominal predicates with shifted meaning

In some rare cases, a nominal phrase undergoes a semantic shift when it asserts a proposition. Such a shift is predominantly a one-off effort to achieve a certain rhetorical effect, but the shift may sometimes settle down to create a new word.

The 绅士 *shen1shi4* 'gentleman' in [86a] does not denote a type of male human being but instead represents a type of action, namely, to act like gentlemen. The new meaning of 绅士 *shen1shi4* 'gentleman' brings in some syntactic properties that were not available before the shift in meaning, such as being modified by the adverbial 就 *jiu4* 'then.' The 高山流水 *gao1shan1liu2shui3* 'high mountains and running water' in [86b] represent both real entities and a famous classic music piece. In this context, they represent properties of the music produced by a 古筝 *gu3zheng1* 'guzheng,' a string instrument. Because of the shift in meaning, 高山流水 *gao1shan1liu2shui3* 'high mountains and running water' behave like adjectives and are modified by a degree adverbial 非常 *fei1chang2* 'extremely.' 林黛玉 *lin2dai4yu4* 'LIN Daiyu' in [86c] is a heroine in the classic novel 红楼梦 *hong2lou2meng4* 'Dream of the Red Chamber' and is well known for her delicate feeling toward everything. In this particular sentence, 林黛玉 *lin2dai4yu4* 'LIN Daiyu' embodies the properties represented by this fictional character, namely,

very tender and often crying over fallen flowers. It is therefore modified by a degree adverbial 那么 *na4me0* 'so.'

- [86] a. 好,我们就绅士一次,帮帮小姐。
hao4 wo3men0 jiu4 shen1shi4 yi1 ci4 bang1bang1
 good we thus gentleman one CL aid
xiao3jie3
 lady
 'OK. Let's act as gentlemen for once and help the lady.'
- b. 我喜欢古筝,非常古典,非常高山流水。
wo3 xi3huan1 gu3zheng1 fei1chang2 gu3dian3
 I like guzheng very classical
fei1chang2 gao1shan1liu2shui3
 very high_mountains_and_running_water
 'I like guzheng. It sounds very classical and is very vivid.'
- c. 花开花落都是天命,现代社会不再那么林黛玉。
hua1 kai1 hua1 luo4 dou1 shi4 tian1ming4
 flower open flower fall all be fate
xian4dai4 she4hui4 bu2zai4 na4me0 lin2dai4yu4
 modern society no_more that LIN_DAIYU
 'It is fate that flowers blossom or shed. Modern society is no longer that fragile.'

The 阳光 *yang2guang1* 'sunshine' in [87] has been a typical noun up until the end of the twentieth century, representing a type of entity. It then appeared in a popular song to represent the typical properties of modern teenage boys and girls, such as being bright, cheerful, and energetic. The shift in meaning went further when the same word started to appear in formal genres to mean being transparent, clean, and open in politics and government operations. The ad hoc usage of 阳光 *yang2guang1* 'sunshine' has now settled down and 阳光 has become an adjective, while remaining a noun with a different meaning.

- [87] 她是一个非常开朗非常阳光、很聪明很上进的女孩。
ta1 shi4 yi1 ge4 fei1chang2 kai1lang3 fei1chang2
 she be one CL very cheerful very
yang2guang1 hen3 cong1ming0 hen3 shang4jin4 de0
 sunshine very smart very diligent DE
nü3hai2
 girl
 'She is an extremely cheerful, extremely bright, very smart, and very diligent girl.'

8.10.3. Combination of nominal phrases and sentence-final particles

A nominal phrase can at times be combined with a sentence-final particle to form a sentence. The particle not only gives a sentential status to the combination but also marks the sentence type, while the nominal phrase represents the core information of the sentence. In sentence [88a], 明天 *ming2tian1* ‘tomorrow’ represents the new information added to the current discourse and the particle 呢 *ne0* ‘NE’ indicates that this is a variable question or an A-not-A question. A possible scenario for using this question is that several friends were discussing activities for that day and one of them wanted to know the activities for the next day. Another possible scenario is that they went hiking that day and someone wanted to know whether they would do the same the next day. The former is a variable question while the latter is an A-not-A question. The same range of readings is available for [88b] and [88c], but [88c] can also be used to inquire about things in the immediate environment. A typical scenario is that a mother asked her son the whereabouts of her husband when she did not see him.

- [88] a. 明天呢?
 ming2tian1 ne0
 tomorrow NE
 i. ‘What are we going to do tomorrow?’
 ii. ‘Will we do the same tomorrow?’
- b. 你呢?
 ni3 ne0
 you NE
 ‘Where will you go?’
 ‘Will you do it?’
- c. 你爸爸呢?
 ni3 ba4ba0 ne0
 you father NE
 ‘Where is your father?’

The question in [89] consists of 中文系 *zhong1wen2xi4* ‘Department of Chinese,’ which represents information newly introduced to the discourse, and the particle 吗 *ma0* ‘MA,’ which is associated with a yes-no question. The question has many possible readings. One of them is that the speaker is asking whether the Department of Chinese is the destination of a letter, in the context that several clerks are talking about outgoing mail. Such a question can be used to inquire about things in the immediate environment as well, such as a person at the door of an office asking about the identity of the department.

- [89] 中文系吗?
 zhong1wen2xi4 ma0
 department_of_Chinese MA
 'Is this the Department of Chinese?'

The nominal phrase 妈 *ma1* 'mother' in [90] is referential, referring to the speaker's mother, and the sentence-final particle 呀 *ya1* 'YA' marks an exclamative sentence. The combination represents the speaker's surprise, fear, or joy, very much like the English expression "Oh my God!"

- [90] 妈呀
 ma1 ya0
 mother YA
 'Oh my Mother!'

One of the functions of sentence-final particles is to bear the special intonation pattern associated with a particular sentence type. The particle 吗 *ma0* 'MA' in [89] bears a rising intonation in normal speech. If 吗 *ma0* 'MA' is taken out of [89] and the rising intonation falls on the last syllable of the nominal phrase 中文系 *zhong1wen2xi4* 'Department of Chinese,' the sentence will remain a yes-no question. The sentence-final particle 呀 *ya0* 'YA' in [90] bears a falling intonation. If the particle is taken out, the syllable 妈 *ma1* 'mother' can be prolonged to bear the falling intonation. However, a prolonged 妈 *ma1* 'mother' with a falling intonation represents several interpretations, such as an exclamative for grumbling, charming, or arguing. Thus, the surprise reading actually becomes less prominent.

Relative constructions

Stephen Matthews and Virginia Yip

This chapter deals with the modification of nouns by relative clauses. A relative clause is a clause (containing minimally a verb) that specifies the reference of a noun phrase. The types of relative clauses discussed in this chapter are shown in Figure 9.1.

Relative clauses share the same position as other modifiers, as shown in Figure 9.2. The prenominal position leads to two characteristics of Chinese relative clauses, the first of which is the lack of relative pronouns (such as *who*, *which*, and *that*) or relative adverbs (such as *when*, *where*, *why*, and *how*). The syntactic and semantic functions of these pronouns are fulfilled by the head noun. Hence, instead of relative adverbs, we find general nouns denoting time, location, cause, manners, etc., frequently used as head nouns in a relative clause to indicate the relation between the relative clause and the head noun (e.g., 时候 *shi2hou0* ‘time,’ 地方 *di4fang1* ‘place,’ 原因 *yuan2yin1* ‘reason,’ and 样子 *yang4zi0* ‘way’). The second characteristic of Chinese relative clauses is the relation between the relative clause and the head noun, which is restrictive. The restrictive relation between the relative clause and the head noun is typically based on the event described by the relative clause. When the head noun serves a grammatical function of the relative clause, the relative clause can be classified as a subject relative clause,

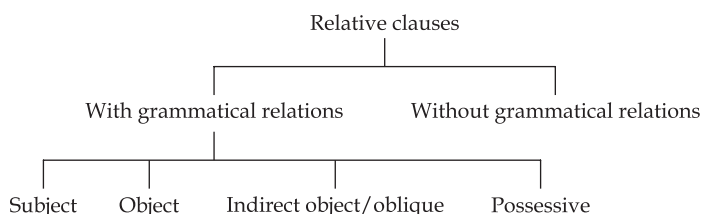


Figure 9.1 Classification of noun-modifying constructions

[[[MODIFYING PHRASE] 的 *de0* ‘DE’] Noun]

Figure 9.2 Template for noun-modifying phrases

an object relative clause, etc. (see section 9.2.). If there is no explicit grammatical function for the head noun, the head noun typically refers explicitly to its eventive relation with the relative clause (see section 9.3.).

9.1. Basic properties of relative clauses

Modifiers in Chinese consistently precede the noun (see Chapter 8) and this generalization also applies to relative clauses and other clauses that modify a noun. The prenominal placement of the relative clause has a number of properties, based on the fact that relative clauses are relatively long or are “heavy” constituents.

A summary of basic properties of relative clauses is as follows:

- 1 It is rare for more than one clause to modify a noun, especially in spoken language.
- 2 Noun phrases modified by heavy relative clauses tend to be placed at the beginning of the sentence through topicalization (see section 9.4.2.).
- 3 Relative clauses in spoken Chinese are essentially restrictive in function, whereas those in European languages may be either restrictive or non-restrictive.
- 4 A relative clause may consist of a phonetically null verb, whose overt recovery is crucially determined by the event relation held between the modifying noun and the modified noun, as exemplified in [1a]–[1e].

- [1] a. (穿) 红衣服的女孩
 chuan1 hong2 yi1fu2 de0 nü3hai2
 wear red clothes DE girl
 ‘girls who wear a red coat’
- b. 木头(做) 的桌子
 mu4tou0 zuo4 de0 zhuo1zi0
 wood make DE desk
 ‘tables made of wood’
- c. 剑桥出版社(出) 的书
 jian4qiao2 chu1ban3she4 chu1 de0 shu1
 Cambridge publisher publish DE book
 ‘books published by Cambridge University Press’
- d. 诚品(卖) 的书
 cheng2pin3 mai4 de0 shu1
 Eslite sell DE book
 ‘books sold by Eslite Bookstore’

e. 鲁迅(写)的书

lu3xun4 xie3 de0 shu1
 LU_XUN write DE book
 'books written by LU Xun'

9.1.1. DE as a marker of modification

Relative clauses are part of a general pattern of noun modification where modifying phrases precede the noun and are linked to it by the particle 的 *de0* 'DE' (see Chapter 8, section 8.2.2.). In example [2], the clause 以前住在台北 *yi3qian2 zhu4 zai4 tai2bei3* 'used to live in Taipei' modifies 日本人 *ri4ben3ren2* 'Japanese people.'

- [2] 以前住在台北的日本人
yi3qian2 zhu4 zai4 tai2bei3 de0 ri4ben3ren2
 before live PREP Taipei DE Japanese
 'Japanese people who used to live in Taipei'

The modifying phrase ending with 的 *de0* 'DE' may be a clause, as in [2], or another constituent, such as a noun phrase or a preposition phrase. In example [3], the head noun 女孩 *nü3hai2* 'girl' is modified by the noun phrase 长头发 (的) *chang2 tou2fa4 (de0)* '(with) long hair,' while in [4] it is modified by the clause 头发很长 *tou2fa0 hen3 chang2* 'whose hair is very long,' with a similar meaning in each case.

- [3] 为什么男生都喜欢长头发的女孩?
wei4shen2me0 nan2sheng1 dou1 xi3huan1 chang2
 why boy all like long
tou2fa4 de0 nü3hai2
 hair DE girl
 'Why do boys like girls with long hair?'
- [4] 守护甜心里面黄色头发很长的那个女孩是谁?
shou3hu4 tian2xin1 li3mian4 huang2se4 tou2fa4
 guard sweetheart inside yellow hair
hen3 chang2 de0 na4 ge4 nü3hai2 shi4 shui2
 very long DE that CL girl be who
 'Who is the girl whose golden hair is very long in *Guardian Angel Sweetheart*?'

In the written language, the particle 之 *zhi1* is used as a literary variant of 的 *de0* 'DE.' In relative clauses, therefore, 之 *zhi1* may appear in the same position as 的 *de0* 'DE,' as shown in [5].

- [5] 「八十三年元月份环境监测结果」所做之建议
 ba1shi2san1nian2 yuan2yue4fen4 huan2jing4 jian1ce4
 83 January environment inspection
 jie2guo3 suo3 zuo4 zhi1 jian4yi4
 result SUO make DE suggestion
 'The proposal which was made based on the results of an environmental
 inspection in January 1994 (i.e., the 83rd year since the establishment of
 the Republic of China in 1911)'

Note here that the use of the particle 所 *suo3* 'SUO,' like 之 *zhi1* 'ZHI,' belongs to a formal register and appears most commonly in object relative clauses (see section 9.2.2).

9.1.2. Word order variations in relative constructions

When a noun phrase includes a demonstrative and/or a numeral as well as a relative clause, alternative orderings are possible. In each case the classifier immediately follows the demonstrative/numeral as usual (see Chapter 7), giving two main alternative orders with different information structures but without meaning changes:

- [i] [[[RELATIVE CLAUSE] 的 *de0* 'DE'] [(Demonstrative) Number Classifier Noun]]

- [6] 嫁给你的一百个理由
 jia4gei3 ni3 de0 yi1bai3 ge4 li3you2
 marry you DE one_hundred CL reason
 'a hundred reasons for marrying you'
- [7] 希望大家继续讨论今天提到的一些问题。
 wo3 xi1wang4 da4jia1 ji4xu4 tao3lun4 jin1tian1
 I hope everyone continue discuss today
 ti2dao4 de0 yi1xie1 wen4ti2
 mention DE some question
 'I hope everybody will continue to discuss certain questions which we
 mentioned today.'

- [ii] [(Demonstrative) Number Classifier [[RELATIVE CLAUSE] 的 *de0* 'DE'] Noun]

- [8] 一千个伤心的理由
 yi1qian1 ge4 shang1xin1 de0 li3you2
 one_thousand CL grief DE reason
 'A Thousand Reasons for Being Sad' [title of a song]

- [9] 中研院是一部载客的飞机。

zhong1yan2yuan4 shi4 yi1 bu4 zai4ke4 de0
 Academia_Sinica be one CL carry_passengers DE
 fei1ji1
 airplane
 'Academia Sinica is a passenger-carrying airplane.'

When a relative clause and an adjective modify the same noun, the relative clause generally comes first and the adjective occurs immediately before the noun. In [10], the adjective 微弱 *wei1ruo4* 'weak' follows a relative clause and both modify the head noun 声音 *sheng1yin1* 'sound.' Similarly for [11], the adjective 严重 *yan2zhong4* 'serious' follows a relative clause and both modify the head noun 问题 *wen4ti2* 'problem.'

- [10] 在人类灵魂深处呼唤着的微弱声音

zai4 ren2lei4 ling2hun2 shen1chu4 hu1huan4
 PREP human_beings soul depths call
 zhe0 de0 wei1ruo4 sheng1yin1
 ZHE DE weak sound
 'the weak sound summoned up from deep within the human spirit'

- [11] 全球面对的严重问题

quan2qiu2 mian4dui4 de0 yan2zhong4 wen4ti2
 whole_world face DE serious problem
 'the serious problems that the whole world faces'

9.1.3. Relative clauses as restrictive modifiers

In terms of semantics, relative clauses in Chinese are all restrictive. There are three cases. First, a relative clause can serve to restrict the reference of the head noun, as shown in [12].

- [12] (成果)应归于曾栽培过他的老师。

cheng2guo3 ying1 gui1yu2 ceng2 zai1pei2 guo4
 result should attribute_to ever nurture GUO
 ta1 de0 lao3shi1
 he DE teacher
 '(The result) should be attributed to the teachers who have nurtured him.'

Here the relative clause 曾栽培过他 *ceng2 zai1pei2 guo4 ta1* 'have nurtured him' specifies that only those teachers who were involved in nurturing the scholar concerned deserve credit for the result.

Second, a relative clause can be used to restrict the situation type represented by the head noun in terms of time, location, cause, content of cognition, etc., as in [13]. A relative clause of this type typically entertains no grammatical relations with respect to the head noun (see section 9.3).

- [13] a. 她写论文的日子
 ta1 xie3 lun4wen2 de0 ri4zi0
 she write thesis DE day
 'the days of her writing the thesis'
- b. 我家宝贝长高的原因
 wo3 jia1 bao3bei4 zhang3gao1 de0 yuan2yin1
 I home baby grow_tall DE reason
 'the reason my baby has grown taller'
- c. 我唱歌的声音
 wo3 chang4ge1 de0 sheng1yin1
 I sing DE sound
 'the voice of my singing'

Here the head nouns 日子 *ri4zi0* 'days,' 原因 *yuan2yin1* 'cause,' and 声音 *sheng1yin1* 'voice' are restricted by the relative clauses 她写论文 *ta1 xie3 lun4wen2* 'she wrote the thesis,' 我家宝贝长高 *wo3 jia1 bao3bei4 zhang3gao1* 'my baby has grown taller,' and 我唱歌 *wo3 chang4ge1* 'I sing,' respectively, with these clauses providing specifying information or indicating the topic of the day, cause, and voice concerned in context.

Third, a relative clause can serve to restrictively modify the head noun by means of specifying the situation type that is associated with the referent denoted by the head noun. This typically includes examples where the modifyee is a definite expression, a proper name, or a pronoun, as shown in [14a]–[14c], respectively.

- [14] a. 戴眼镜的那个男生很高。
 dai4 yan3jing4 de0 na4 ge4 nan2sheng1 hen3 gao1
 wear glasses DE that CL boy very tall
 'That boy who wears glasses is very tall.'
- b. 跌倒的刘翔依然是中国的骄傲。
 die1dao3 de0 liu2xiang2 yi1ran2 shi4 zhong1guo2
 fall_down DE LIU_XIANG still be China
 de0 jiao1ao4
 DE pride
 'The LIU Xiang that fell down is still the pride of China.'

- c. 未满十八岁的她看起来很羞涩。

wei4man3 shi2ba1 sui4 de0 ta1 kan4qi3lai2
 have_not_yet_been 18 year DE she look
 hen3 xiu1se4
 very shy
 'She that is not yet 18 looks quite shy.'

Here the relative clauses perform two functions: to mark that the referent of the modifyee needs to be understood as being subcategorized, and to help identify the referent that is contextually concerned. To illustrate, [14a] is uttered most naturally in the context where the demonstrative does not suffice to pick out a unique referent, such as when there is more than one boy in the scene that might be referred to as 那个男生 *na4 ge4 nan2sheng1* 'that boy,' while 戴眼镜 *dai4 yan3jing4* 'wears glasses' can indicate which referent the speaker intends to refer to. 跌倒 *die1dao3* 'fell down' in [14b] divides the referent of LIU Xiang in terms of the event that LIU Xiang participated in, along which line we may have the LIU Xiang who fell down in contrast with, for example, the LIU Xiang who won the medal. 未满十八岁 *wei4man3 shi2ba1 sui4* 'is not yet 18' in [14c] restricts 她 *ta1* 'she' in terms of the particular life stage she is currently in, with 她 *ta1* 'she' in different life stages being taken as different referents.

9.1.4. Headed and headless clauses

In the examples presented so far, the modified noun is the head of the noun phrase. The head noun can also be omitted, resulting in a "headless" relative clause. There are several circumstances in which a relative clause without a head noun is used:

- 1 When the reference of the missing noun is generic, as in "anyone who" or "anything that..." exemplified by [15] and [16].

- [15] 不会得奖的是谁?

bu4hui4 de2jiang3 de0 shi4 shui2
 NEG_will win_a_prize DE be who
 'Who is it that will not win a prize?'

- [16] 一个私家基金所能做到的, 国家当然也可以做到。

yi1 ge4 si1jia1 ji1jin1 suo3 neng2 zuo4dao4 de0
 one CL private fund SUO can achieve DE
 guo2jia1 dang1ran2 ye3 ke3yi3 zuo4dao4
 country of_course also may achieve
 'What a private fund or an individual can do, a country can naturally do too.'

- 2 In the subject position of emphatic constructions with the copula 是 *shi4* 'SHI,' as shown in [17]–[19].

- [17] 令人难以相信的是研究院内竟然处处脏乱不堪。
 ling4 ren2 nan2yi3 xiang1xin4 de0 shi4
 make people difficult believe DE be
 yan2jiu1yuan4 nei4 jing4ran2 chu4chu4
 research_center inside unexpectedly everywhere
 zang1luan4 bu4kan1
 dirty unbearably
 'What people find hard to believe is that inside the research center it is so dirty and untidy everywhere.'
- [18] 也许他在拖地时,脑子里想的是尘螨与生态环境平衡的关系哩!
 ye3xu3 ta1 zai4 tuo1di4 shi2 nao3zi0
 maybe he PREP mop_the_floor when head
 li3 xiang3 de0 shi4 chen2man2 yu3
 inside think DE be dust_mite and
 sheng1tai4huan2jing4 ping2heng2 de0 guan1xi4 li0
 ecology balance DE relationship LI
 'Perhaps when he is mopping the floor, what is in his mind is the relation between dust mites and ecological balance!'
- [19] 陪伴高楼大厦的是交通的阻塞与环境的污染。
 pei2ban4 gao1lou2da4sha4 de0 shi4
 accompany high_buildings_and_large_mansions DE be
 jiao1tong1 de0 zu3se4 yu3 huan2jing4 de0 wu1ran3
 traffic DE jam and environment DE pollution
 'What comes with skyscrapers are traffic jams and environmental pollution.'

Headless relative clauses also play a role in the 是...的 *shi4...de0* 'SHI...DE' construction (see Chapter 17).

9.2. Grammatical relations in relative clauses

In a typical relative clause, the modified noun is understood as playing a particular grammatical role in the modifying clause. Based on the role of the modified noun, a number of subtypes of relative clause may be distinguished, such as subject relative clauses (see section 9.2.1.) and object relative clauses (see section 9.2.2.). In Chinese, as in other languages, subject and object relative clauses are much more frequent than other subtypes of relative clauses. In addition to the relative

clauses defined by grammatical relations between the noun and the modifying clause, other frequently used relative clauses rely on inherent eventive relation, such as time, location, and cause, with respect to the head noun (see section 9.3.).

The unusual combination of V-O order and prenominal relative clauses in Chinese (see section 9.1.) has implications for subject and object relative clauses. In particular, the word order in object relative clauses matches that of a main clause:

Main clause: NP V NP
 (Subject) (Object)

Moreover, if we assume a gap within the relative clause (see section 9.2.1), the head noun is closer to the gap in the object relative clause than that in the subject relative clause:

Subject relative clause: [Ø V NP 的 *de* 'DE'] N (Subject)
Object relative clause: [NP V Ø 的 *de* 'DE'] N (Object)

Since processing a relative clause involves linking the gap and the head noun that is understood as filling the gap, the shorter distance makes object relative clauses relatively easy to construct and interpret. These factors are thought to facilitate the construction and processing of relative clauses in Chinese. Whereas in English, subject relative clauses are simpler and more easily processed than object relative clauses, object relative clauses in Chinese are relatively easy to construct and to acquire.

9.2.1. Subject relative clauses

In a subject relative clause, the modified noun is understood as the subject of the modifying clause. The gap in the relative clause may be indicated by a zero (\emptyset), as in [20] and [21].

- [20] Ø 已开始作业的 新研究所
Ø yi3 kai1shi3 zuo4ye4 de0 xin1 yan2jiu1suo3
Ø already start work DE new research_center
'the new research center which has already started running'
- [21] 阅读史料的时候发现了一些 Ø 使我大吃一惊的 事实。
yue4du2 shi3liao4 de0 shi2hou0 fa1xian4 le0
read historical_materials DE time find LE
yi1xie1 Ø shi3 wo3 da4chi1yi1jing1 de0 shi4shi2
some Ø cause I be_greatly_shocked DE fact
'When reading through the historical text I discovered some facts that shocked me.'

In [20], 新研究所 *xin1 yan2jiu1suo3* ‘the new research center’ is understood as the subject of the modifying clause 已开始作业 *yi3 kai1shi3 zuo4ye4* ‘has started running.’ In [21], 事实 *shi4shi2* ‘facts’ is understood as the subject of the predicate 使我大吃一惊 *shi3 wo3 da4chi1yi1jing1* ‘made me shocked.’

9.2.2. Object relative clauses

In object relative clauses the modified noun is understood as the direct object of the modifying clause. This may be indicated by a zero in the object position of the modifying clause, as shown in [22].

- [22] 路上行人闻到 ∅ 的味道
lu4 shang4 xing2ren2 wen2dao4 ∅ de0 wei4dao4
 road on pedestrian smell ∅ DE smell
 ‘the smells that people walking on the street smell’

Here the head noun 味道 *wei4dao4* ‘smells’ is understood as the object of the verb 闻到 *wen2dao4* ‘smell.’ Similarly, this can be seen in [23] and [24].

- [23] 中研院和每一个学术机构都要认真寻找 ∅ 的策略
zhong1yan2yuan4 he2 mei3 yi1 ge4 xue2shu4
Academia_Sinica and every one CL academic
ji1gou4 dou1 yao4 ren4zhen1 xun2zhao3 ∅ de0
institute all will earnest seek ∅ DE
ce4lue4
strategy
 ‘a strategy which Academia Sinica and every academic institution needs to seriously pursue’

- [24] 况且学术研究本身与它获得 ∅ 的成果往往又是那么深奥难懂。
kuang4qie3 xue2shu4 yan2jiu1 ben3shen1 yu3 ta1
besides academic research per_se and it
huo4de2 ∅ de0 cheng2guo3 wang3wang3 you4shi4
gain ∅ DE result often also
na4me0 shen1ao4 nan2dong3
that abstruse hard_to_understand
 ‘Moreover, the academic institution itself and the results it obtains are always so complex and difficult to understand.’

The head noun can also serve as the direct object in a double-object construction such as the one with the verb 告诉 *gao4su4* ‘tell’ in [25].

- [25] 西医不会告诉你 Ø 的事实

xi1yi1 bu4hui4 gao4su4 ni3 Ø de0
 Western_medical_doctor NEG_will tell you Ø DE
 shi4shi2
 fact
 'the facts that a Western doctor won't tell you'

The particle 所 *suo3* 'SUO' appears most commonly in object relative clauses in formal registers. It immediately precedes the verb in the relative clause, as in [26].

- [26] 有违一个[有文化的国度所应具有]美学标准

you3wei2 yi1 ge4 you3 wen2hua4 de0 guo2du4
 against one CL YOU culture DE state

 suo3 ying1 ju4you3 de0 mei3xue2 biao1zhun3
 SUO should have DE aesthetics standard
 'going against the aesthetic standards that a civilized nation should possess'

所 *suo3* 'SUO' is associated with literary language and other formal registers. It matches the aesthetic content of its use, hence, the omission of 所 *suo3* 'SUO' in object relative clauses will change the style but will not affect the meaning. 所 *suo3* 'SUO' is commonly used in Bible translations, as illustrated in [27].

- [27] 因为知道我所信的是谁,也深信他能保全我所交付他的(提摩太后书 1:12)。

yin1wei4 zhi1dao4 wo3 suo3 xin4 de0 shi4 shui2
 because know I SUO believe DE SHI who

 ye3 shen1xin4 ta1 neng2 bao3quan2 wo3 suo3
 also firmly_believe he can maintain I SUO

 jiao1fu4 ta1 de0 ti2mo2tai4 hou4shu1 1:12
 pay he DE Timothy second_book 1:12
 'For I know whom I have believed and I am convinced that he is able to guard what I have entrusted to him until that day.' (2 Timothy 1:12)

所 *suo3* 'SUO' also occurs in some set expressions, such as 所有的 *suo3you3 de0* 'all (that exist)' and 我所说的 *wo3 suo3 shuo1 de0* 'what I am saying.'

9.2.3. Indirect object and oblique relatives

The head noun in the following relative clauses is understood as the indirect object of the modifying clause, as shown in [28] and [29].

- [28] 卖印花给她的那个客人在找我。

mai4 yin4hua1 gei3 ta1 de0 na4 ge4 ke4ren2
 sell stamp PREP she DE that CL guest
 zai4 zhao3 wo3
 ZAI seek I

‘The customer to whom I sold the stamps came looking for me again.’

- [29] 是之前拜托你帮我写情书给她的那个女生喔!

shi4 zhi1qian2 bai4tuo1 ni3 bang1 wo3 xie3
 be before request_a_favor you help I write
 qing2shu1 gei3 ta1 de0 na4 ge4 nü3sheng1 o1
 love_letter PREP she DE that CL girl O

‘It’s the woman who I previously requested you to write the love letter to!

Note the use of a “resumptive” pronoun; here the feminine form 她 *ta1* ‘she’ refers forward to the head noun, as 客人 *ke4ren2* ‘customer’ in [28] and as 女生 *nü3sheng1* ‘woman’ in [29]. In these examples the pronoun is required to serve as the object of the preposition 给 *gei3* ‘to.’ Similarly, comitative (“with”) and benefactive (“for”) relationships between the noun and the modifying clause can be expressed using a preposition and a resumptive pronoun to spell out the grammatical relation involved, as illustrated in [30] and [31].

- [30] 找到那个可以对他撒娇使性子的人

zhao3dao4 na4 ge4 ke3yi3 dui4 ta1 sa1jiao1
 find that CL may PREP he act_coquettishly
 shi3xing4zi0 de0 ren2
 let_out_one’s_feelings DE person

‘to find that person with whom one can be coy and let out one’s feelings’

- [31] 妈妈买了一台笔记型电脑给她的那个女生。

ma1ma0 mai3 le0 yi1 tai2 bi3ji4xing2dian4nao3
 mother buy LE one CL notebook computer
 gei3 ta1 de0 na4 ge4 nü3sheng1
 PREP she DE that CL girl

‘the girl for whom her mother purchased a laptop computer’

Note that this option arises only where the head noun is animate, allowing the personal pronoun 他 *ta1* ‘he’ or 她 *ta1* ‘she’ to be used (see Chapter 8, section 8.6.1).

An exceptional type of oblique relative clause involves the phrase 用...来 *yong4... lai2* ‘use... to,’ where the object of 用 *yong4* ‘use’ can be made the head noun without the need for a resumptive pronoun, as shown in [32].

[32] 不会是用 Ø 来玩游戏的那台电脑。

bu4hui4 shi4 yong4 Ø lai2 wan2 you2xi4 de0
NEG_will SHI use Ø come play game DE

na4 tai2 dian4nao3

that CL computer

'It will not be the computer which one uses to play video games.'

Here the head noun 电脑 *dian4nao3* 'computer' is understood as the object of 用...来 *yong4... lai2* 'use...to,' as indicated by the symbol Ø.

9.2.4. Possessive relative clauses

Possessive relative clauses arise where the speaker wishes to use a relative clause to modify a noun that has the role of possessor within that clause, as in "the boy whose mother called." The construction of such clauses depends on the grammatical relation of the possessed noun phrase with respect to other elements within the modifying clause. If the possessed NP is the subject of the clause, a gap is left where the subject would be, as in [33].

[33] Ø 孩子得诗人奖的虎妈

Ø hai2zi0 de2 shi1ren2 jiang3 de0 hu3 ma1

Ø child get poet prize DE tiger mother

'the tiger mother whose child got the poet's award'

Here 虎妈 *hu3 ma1* 'the tiger mother' is the possessor of 孩子 *hai2zi0* 'the child,' which is in turn the subject of the clause 得奖 *de2jiang3* 'got the award.' Similarly in [34], the head noun 飞机 *fei1ji1* 'airplane' is the possessor of 机身 *ji1shen1* 'fuselage.'

[34] 五年计画时是打算建造一部 Ø 机身重三十吨的大飞机。

wu3nian2 ji4hua4 shi2 shi4 da3suan4 jian4zao4 yi1
5_year plan when SHI plan build one

bu4 Ø ji1shen1 zhong4 san1shi2 dun1 de0 da4
CL Ø fuselage weigh 30 ton DE big

fei1ji1

airplane

'The 5-year plan envisages building a big airplane with a fuselage weighing 30 tons.'

9.2.5. Embedded relative clauses

It is possible to form a relative clause with a complex clause with multiple embedding, such as an object of the clausal object of the relative clause. In such cases,

whether a “resumptive” pronoun is required depends on the grammatical relation of the head noun in the embedded clause (see also section 9.2.3). In [35], the head noun 消防员 *xiao1fang2yuan2* ‘fireman’ has the subject role in the embedded clausal object of the matrix verb of the relative clause 报导 *bao4dao3* ‘to report’; hence, no resumptive pronoun is needed. In [36], the head noun 男人 *nan2ren2* ‘man’ is understood as the possessor of the object of 在 *zai4* ‘PREP’ within the relative clause; hence, a resumptive pronoun is needed.

- [35] 你就是报纸报导 Ø 昨天在火场救了三个小孩的消防员。
 ni3 jiu4shi4 bao4zhi3 bao4dao3 Ø zuo2tian1 zai4
 you exactly newspaper report Ø yesterday PREP
 huo3chang3 jiu4 le0 san1 ge4 xiao3hai2 de0
 fire_scene help LE three CL child DE
 xiao1fang2yuan2
 fireman
 ‘You are the fireman who was reported by the newspaper to have saved three kids from the fire yesterday.’
- [36] 老公是...(你)舍得在他身上花钱而居然不肉痛的男人。
 lao3gong1 shi4 ni3 she3de2 zai4 ta1 shen1
 husband be you be_willing_to PREP he body
 shang4 hua1qian2 er2 ju1ran2 bu4 rou4
 on spend_money but unexpectedly NEG flesh
 tong4 de0 nan2ren2
 pain DE men
 ‘A husband is... the man that you are willing to spend money on without finding it painful [literally, the man on whose body you are willing to spend money without finding it painful].’

9.3. Relative clauses without a grammatical relation

In the constructions described in section 9.2 the modified noun is understood as playing a particular grammatical function in the modifying clause; that is, the noun is understood as the subject, object, etc. of the relative clause. This is not always the case, however. Many Chinese relative clauses, similar to their counterparts in English but without the relative adverbs, lack such grammatical relation links. Instead, the noun is related to the relative clause by a relation in the event structure of the relative clause that is not grammaticalized but can be easily identified. For example, the clause may express the purpose to which the modifier noun is put, as in [37] and [38].

[37] 我想买部打游戏的电脑。

wo3 xiang3 mai3 bu4 da3 you2xi4 de0 dian4nao3
I think buy CL play game DE computer
'I want to buy a computer for playing games.'

[38] 了解中医整个理论的枢纽

liao3jie3 zhong1yi1 zheng3ge4 li3lun4 de0
understand Chinese_medicine whole theory DE
shu1niu3
hub
'(It is) a key to understanding the entire theoretical system of Chinese medicine'

Here it is not 枢纽 *shu1niu3* 'the hub' itself that understands the system of Chinese medicine: the modifying clause is therefore not a subject relative clause. Instead, "the hub" is one within which the whole system of Chinese medicine can be understood. The relationship between the clause and the head noun is not unlike the relation between topic and comment (see Chapter 17). For example, the head noun represents the general event type of a framework, while the relative clause elaborates the actual framework, as shown in [39].

[39] 在总经费每年增长约百分之十的架构下

zai4 zong3 jing1fei4 mei3 nian2 zeng1zhang3 yue1
PREP total fund every year grow about
bai3fen1zhi1shi2 de0 jia4gou4 xia4
10% DE framework under
'within the framework of total expenditure growing by about 10% each year'

Here the modifying clause "total expenditure growing by about 10% each year" is a full clause in its own right, in which the head noun 架构 *jia4gou4* 'the framework' does not play a grammatical role, nor is there any apparent gap in the modifying clause. Relative clauses of this kind are often described as "gapless relative clauses" and are treated as an extension of the prototypical relative clause with a gap, as described in sections 9.2.1.–9.2.2. Note that the restrictive function is retained in such cases: the clause still serves as a clue to identify the reference of the following noun. [40] exemplifies this concept.

[40] 这也是芝加哥大学能成为世界名校的创校理念。

zhe4 ye3 shi4 zhi1jia1ge1 da4xue2 neng2
this also be Chicago university can

cheng2wei2 shi4jie4 ming2xiao4 de0 chuang4
 become world famous_school DE found
 xiao4 li3nian4
 school idea

'This is the founding vision on the basis of which the University of Chicago became world famous.'

A variety of semantic relations may hold between the modifying clause and the head noun, as described in the following sections.

9.3.1. Relative clauses specifying time, location, and event type

The association between a noun and a relative clause may be one of time, location, or event type, all of which are often treated as inherent roles in any event. Hence, these relative clauses are very frequently used in Chinese, especially with the general nouns 时间 *shi2jian1* 'time' and 地点 *di4dian3* 'place,' as in [41].

- [41] 不然我们便会看到政治干扰学术的不幸场面。
 bu4ran2 wo3men0 bian4 hui4 kan4dao4 zheng4zhi4
 otherwise we then will see politics
 gan1rao3 xue2shu4 de0 bu4xing4 chang3mian4
 intervene academic DE unfortunate scene
 'Otherwise, we will see an unfortunate scenario in which politics intervenes in academic affairs.'

Here the head noun 不幸场面 *bu4xing4 chang3mian4* 'unfortunate scenario' is the setting in which the situation 政治干扰学术 *zheng4zhi4 gan1rao3 xue2shu4* 'politics intervenes in academic affairs' arises. Similarly, in [42] through [44] the clause modifies the time expression 日子 *ri4zi0* 'days.'

- [42] 怀念写信的日子。
 huai2nian4 xie3xin4 de0 ri4zi0
 think_of write_a_letter DE day
 '(I'm) missing the days of writing letters.'

- [43] 在我就任院长后的头两个星期...
 zai4 wo3 jiu4ren4 yuan4zhang3 hou4 de0
 PREP I take_up_a_post dean after DE
 tou2 liang3 ge4 xing1qi1
 first two CL week
 'In the first two weeks after I took up the position of Dean ...'

- [44] 每个学术机关的经费都不能成长的今天
 mei3 ge4 xue2shu4 ji1guan1 de0 jing1fei4 dou1
 every CL academic institution DE fund all
 bu4neng2 cheng2zhang3 de0 jin1tian1
 cannot grow DE today
 'today when every academic institution's funding is unable to grow'

The relative clause may restrict the general event type represented by the head noun, similar to restricting the referents of a personal noun, as in [45] and [46].

- [45] 你离开的事实
 ni3 li2kai1 de0 shi4shi2
 you leave DE fact
 'the fact that you are leaving'
- [46] 周先生希望自己在植物相生相克作用的研究受到国际肯定...
 zhou1 xian1sheng0 xi1wang4 zi4ji3 zai4 zhi2wu4
 Zhou Mr. hope self PREP plant
 xiang1sheng1xiang1ke4 zuo4yong4 de0 yan2jiu1
 mutual_promotion_and_restraint effect DE research
 shou4dao4 guo2ji4 ken3ding4
 receive international recognition
 'Mr. Zhou hopes his research on how plants constrain each other can gain global recognition...'

Here the general event type specified by the head noun 研究 *yan2jiu1* 'research' is further restricted by 在植物相生相克作用 *zai4 zhi2wu4 xiang1sheng1xiang1ke4 zuo4yong4* 'on how plants constrain each other,' which spells out the topic of the research. Similarly, a clause may specify the general event types of a talk or a rumor, as in [47] and [48].

- [47] 学习毛主席哲学着作的辅导报告
 xue2xi2 mao2 zhu3xi2 zhe2xue2 zhu4zuo4 de0
 learn MAO chair philosophy writings DE
 fu3dao3 bao4gao4
 tutor report
 'a seminar lecture on studying Chairman Mao's philosophical works'
- [48] 要把他卖掉的谣言也正在散播。
 yao4 ba3 ta1 mai4diao4 de0 yao2yan2 ye3
 will BA he sell DE rumors also

zheng4zai4 san4bo1

ZAI spread

'Rumors about him [a football player] being sold are also being spread.'

9.3.2. Relative clauses specifying cause

The relationship between a relative clause and a noun may be one of the causes of the event described by the relative clause. Nouns commonly used in this way include 原因 *yuan2yin1* 'reason' and 理由 *li3you2* 'reason,' as shown in [49]–[52].

- [49] 也许我家宝贝长高的原因是...

ye3xu3 wo3 jia1 bao3bei4 zhang3gao1 de0

maybe I home baby grow_tall DE

yuan2yin1 shi4

reason be

'Maybe the reason (why) my baby has grown taller is ...'

- [50] 爱上加拿大大不列颠哥伦比亚的十个理由

ai4shang4 jia1na2da4 da4bu4lie4dian1 ge1lun2bi3ya4

fall_in_love_with Canada Great_Britain Colombia

de0 shi2 ge4 li3you2

DE ten CL reason

'ten reasons for falling in love with Canada's British Columbia'

- [51] 形成黑斑的最大原因

xing2cheng2 hei1ban1 de0 zui4 da4 yuan2yin1

form dark_spot DE most big reason

'the major reason for forming dark spots'

- [52] 反倒是水质污染、垃圾公害等环境恶化才是水鸟消失的元凶。

fan3dao4 shi4 shui3zhi4 wu1ran3 la1ji1

on_the_contrary be water_quality pollution garbage

gong1hai4 deng3 huan2jing4 e4hua4 cai2

public_nuisance etc. environment deterioration just

shi4 shui3niao3 xiao1shi1 de0 yuan2xiong1

be aquatic_bird vanish DE chief_culprit

'On the contrary, deterioration of the environment such as water pollution and rubbish is the prime culprit for the disappearance of waterbirds.'

9.3.3. Relative clauses specifying content of cognition

Another frequently used event-oriented relative clause explicates the content of cognition. For example, the cognition noun 声音 *sheng1yin1* 'sound' can be

preceded by a relative clause elaborating the nature of the sound, as in [53] and [54].

[53] 她听到了鸟儿扑翅的声音。

ta1 ting1dao4 le0 niao3er0 pu1 chi4 de0
 she hear LE bird flap wing DE
 sheng1yin1
 sound
 'She heard the sound of a bird fluttering its wings.'

[54] 同一首曲子, 每个人唱出来的味道都不一样。

tong2 yi1 shou3 qu3zi0 mei3 ge4 ren2 chang4
 same one CL song every CL person sing
 chu1lai2 de0 wei4dao4 dou1 bu4 yi1yang4
 out DE taste all NEG same
 '(Even) with the same song, the flavor of each person singing is different.'

9.4. Relative clauses and topicalization

Relative clauses interact with topicalization in a number of ways. Elements within a relative clause may be topicalized (see section 9.4.1.). In addition, noun phrases containing relative clauses are especially prone to topicalization (see section 9.4.2.).

9.4.1. Topicalization out of a relative clause

A constituent contained inside a relative clause may undergo topicalization (see Chapter 17). Thus, given a relative clause of the form in [55a], the object can be topicalized, as in [55b].

[55] a. 读这本书的人不多。

du2 zhe4 ben3 shu1 de0 ren2 bu4 duo1
 read this CL book DE people NEG many
 'Those who read this book are few.'

b. 这本书读的人不多。

zhe4 ben3 shu1 du2 de0 ren2 bu4 duo1
 this CL book read DE people NEG many
 'Those who read this book are few.'

9.4.2. Object noun phrases modified by relative clauses

An object preceded by a noun-modifying clause is a potentially "heavy" and clumsy constituent. When such an object follows the verb, the noun itself is separated

from the verb by the intervening relative clause. In [56], the object 钥匙 *yao4shi0* ‘keys’ is separated from the verb by the noun-modifying clause 开实验室的 *kai1 shi2yan4shi4 de0* ‘for opening the laboratory.’

- [56] 你带来了 开实验室的钥匙 没有?
 ni3 dai4lai2 le0 kai1 shi2yan4shi4 de0 yao4shi0
 you bring LE open lab DE key
 mei2you3
 NEG
 ‘Have you brought the keys to open the laboratory?’

In practice, this configuration is often avoided by reformulating the sentence. One option is to apply topicalization, placing the object 开实验室的钥匙 *kai1 shi2yan4shi4 de0 yao4shi0* ‘the keys to open the laboratory’ first and creating an OSV sentence, as in [57]:

- [57] 开实验室的钥匙, 你带来了没有?
 kai1 shi2yan4shi4 de0 yao4shi0 ni3 dai4lai2 le0
 open lab DE key you bring LE
 mei2you3
 NEG
 ‘Have you brought the keys to open the laboratory?’

The weight of noun phrases, such as those modified by a relative clause, can therefore be one of the motivations for topicalization of the object of the sentence (see Chapter 17).

Adjectives and adjective phrases

Shi-Zhe Huang, Jing Jin, and Dingxu Shi

The category of adjectives consists of lexical items that characteristically represent properties of entities such as dimension, age, color, speed, etc. A full-fledged adjective phrase is typically composed of an adverb and an adjective. This chapter mainly deals with the modifying function of adjectives and adjective phrases.

10.1. Defining properties of adjectives

The properties that adjectives characteristically represent include the following:

- 1 Dimension (e.g., 长 *chang2* 'long,' 宽 *kuan1* 'wide,' 高 *gao1* 'tall').
- 2 Age (e.g., 老 *lao3* 'old,' 小 *xiao3* 'young/small,' 年轻 *nian2qing1* 'young').
- 3 Color (e.g., 红 *hong2* 'red,' 白 *bai2* 'white,' 绿 *lv4* 'green').
- 4 Speed (e.g., 快 *kuai4* 'fast, quick,' 慢 *man4* 'slow').
- 5 Quantity (e.g., 多 *duo1* 'many/more,' 少 *shao3* 'little/less,' 足够 *zu2gou4* 'enough').
- 6 Value/quality (e.g., 好 *hao3* 'good,' 坏 *huai4* 'bad,' 真 *zhen1* 'true,' 假 *jia3* 'false/fake,' 奇怪 *qi2guai4* 'strange,' 重要 *zhong4yao4* 'important,' 困难 *kun4nan2* 'difficult,' 简单 *jian3dan1* 'simple').
- 7 Personality (e.g., 大方 *da4fang1* 'generous,' 小气 *xiao3qi4* 'miserly,' 豪爽 *hao2shuang3* 'forthright,' 阴险 *yin1xian3* 'sinister').
- 8 Emotional, mental, psychological, physical, and physiological states (e.g., 高兴 *gao1xing4* 'glad,' 恐惧 *kong3ju4* 'scared,' 糊涂 *hu2tu0* 'confused,' 冷 *leng3* 'cold,' 热 *re4* 'hot,' 疲倦 *pi2juan4* 'fatigued,' 粗糙 *cui1cao1* 'coarse').

The predominant function of adjectives is to serve as a prenominal modifier or as the head of a predicate in clauses. The defining properties of adjectives include the following:

- 1 Adjectives have no morphological markings for gender, number, case, tense, or any other functional features. The main morphological derivation that adjectives undergo is partial or total reduplication, which results not in new word classes but in more vivid, intensified, and mitigated meanings and different syntactic functions (see section 10.3.2.).

- 2 When performing a modifying function, adjectives and adjective phrases always appear before nouns. Derived adjectives, namely those formed via derivational processes such as reduplication and affixation (see section 10.3.2.), typically work as part of a 的 *de0* 'DE' (attributive marker) phrase that modifies nouns, for example, 圆圆的脸 *yuan2yuan2 de0 lian3* 'roundish face' and 干巴巴的饼干 *gan1ba1ba1 de0 bing3gan1* 'dreadfully dry biscuit.' Non-derived adjectives are of two categories, each with distinct syntactic properties. Compound adjectives with an adverbial modifier generally require a 的 *de0* 'DE' (attributive marker) when modifying nouns, such as those in 雪白的衬衫 *xue3bai2 de0 chen4shan1* 'snow-white shirt' and 崭新的玩具 *zhan3xin1 de0 wan2ju4* 'brand-new toy.' Simple adjectives or coordinate compound adjectives may in general modify nouns with or without the marker 的 *de0* 'DE,' such as those in 新(的)书 *xin1 (de0) shu1* 'new book,' 脏(的)衣服 *zang1 (de0) yi1fu2* 'dirty clothes,' and 聪明(的)孩子 *cong1ming2 (de0) hai2zi0* 'smart child.'
- 3 Adjective phrases can function as the predicate of a clause. As shown in [1], a predicative adjective phrase typically has some dependent element, including a degree adverbial like 很 *hen3* 'very' and a negator like 不 *bu4* 'not.' It can also undergo some morphological changes, such as taking an A-not-A form or taking the suffix 的 *de0* 'DE,' which is a homophone of the attributive marker 的 *de0* 'DE.'

- [1] a. 他很聪明。
 ta1 hen3 cong1ming0
 he very smart
 'He is very smart.'
- b. 他不高。
 ta1 bu4 gao1
 he NEG tall
 'He is not tall.'
- c. 这家店小小的。
 zhe4 jia1 dian4 xiao3xiao3 de0
 this CL store small DE
 'This store is very small.'
- d. 他帅不帅?
 ta1 shuai4 bu4 shuai4
 he handsome NEG handsome
 'Is he handsome?'

- 4 Some adjectives become adverbs without undergoing morphological changes. Derived adverbs alter, clarify, or adjust the meaning contributions of the verbs

or verb phrases that follow them. A small number of monosyllabic derived adverbs can directly modify verbal roots to form compound verbs, such as those in [2]. The adverbial marker 地 *de0* ‘DE’ cannot appear between the adverb and the verb in such compounds.

- [2] a. 紧跟 *jin3gen1/tight_follow* ‘to follow closely’
 b. 慢跑 *man4pao3/slow_run* ‘to run slowly, jog’
 c. 高举 *gao1ju3/high_hold* ‘to hold high’

Derived adverbs that are formed via reduplication can assume the modifying function with or without 地 *de0* ‘DE’ (adverb marker), as shown in [3]:

- [3] a. 慢慢(地)跑向厨房
man4man4 (de0) pao3 xiang4 chu2fang2
 slowly DE run PREP kitchen
 ‘to run to the kitchen slowly’
 b. 糊里糊涂(地)结了婚
hu2li3hu2tu2 (de0) jie2 le0 hun1
 muddle-headedly DE get LE marriage
 ‘got married in a muddle-headed manner’

- 5 Adjectives in Chinese are typically polysemous in that the exact interpretation of an adjective crucially depends on the noun it modifies or the nominal phrase of which it is a predicate. The 快 *kuai4* ‘fast’ in 快马 *kuai4ma3* ‘fast horse’ is different from the same adjective in 快件 *kuai4jian4* ‘express delivery package,’ although the two interpretations have the same origin and are connected. Such polysemous adjectives may differ with regard to gradability. When 方 *fang1* ‘square’ is associated with 桌子 *zhuo1zi0* ‘table,’ it is non-gradable and cannot be modified by 很 *hen3* ‘very.’ When it is associated with 脸 *lian3* ‘face,’ 方 *fang1* ‘square’ is gradable and 脸很方 *lian3hen3fang1* ‘the face is very square’ is acceptable. Even typical non-gradable adjectives may become gradable when they are associated with certain nouns, like the 圆 *yuan2* ‘round’ in 月亮很圆 *yue4liang hen3 yuan2* ‘the moon was very round.’

10.2. Two major subclasses of adjectives in Chinese: non-derived adjectives and derived adjectives

Adjectives can undergo certain morphological processes and become derived ones.

10.2.1. Non-derived adjectives

Non-derived adjectives are those which have not undergone derivational processes, such as reduplication or affixation. They typically appear in monosyllabic

or disyllabic forms, while a few have more than two syllables. They can be divided into several subgroups based on the way they modify a nominal element, as listed in Table 10.1. Note that 的 *de0* 'DE' in the table is the attributive marker and the nominal phrase is an NP, a CL-NUMP, or a DP (see Chapter 8), while degree adverbs are those like 很 *hen3* 'very,' 挺 *ting3* 'quite,' and 非常 *fei1chang2* 'extremely' (see Chapter 12).

Regular gradable adjectives, either monosyllabic or polysyllabic, can modify a nominal element in all three patterns, namely, [NDA+N], [NDA+ 的 + NP] and [Degree Adverb + NDA + 的 + NP]. Regular gradable adjectives are the most versatile members of the adjective class. In contrast, the monosyllabic and polysyllabic non-derived adjectives in the other two subgroups behave quite diversely in terms of adnominal modification patterns.

Special gradable adjectives are traditionally called non-adnominal adjectives (非定形容词) in that they cannot modify a noun directly. Absolute adjectives are traditionally called non-predicative adjectives (非谓形容词) in that they do not function as the predicate in a clause. Special absolute adjectives are formed based on gradable adjectives by adding a morpheme that specifies a fixed point on the property scale. As the lexical meaning of special absolute adjectives already encodes a particular degree, similar to absolute adjectives, special absolute adjectives cannot be modified by degree adverbs. Special absolute adjectives differ from absolute adjectives in that they can serve as the predicate on their own, as shown in [4].

[4] a. 大门外石头冰凉。

da4 men2 wai4 shi2tou0 bing1liang2
big door outside stone cold
'The stone outside the front gate is very cold.'

b. 花朵极大, 中心鲜红, 边缘雪白。

hua1duo3 ji2 da4 zhong1xin1 xian1hong2
flower extremely big center bright_red
bian1yuan2 xue3bai2
edge snow_white
'The flowers are big; they are bright red in the center and snow white on the rim of the petal.'

10.2.2. Derived adjectives

Derived adjectives are formed via derivational processes such as reduplication and affixation. Derived adjectives are of two subtypes. One type is derived from regular gradable adjectives and the other is derived from non-adjective morphemes.

Table 10.1 Non-derived adjectives (NDAs) and their adnominal modification patterns (V: yes; X: no)

Non-derived adjectives (NDAs)	Examples	NDA + N	NDA + 的 + Nominal Phrase		Degree Adverb + NDA + 的 + Nominal Phrase
			NDA + 的 +	Nominal Phrase	
Monosyllabic regular gradable	高 <i>gao1</i> 'tall,' 红 <i>hong2</i> 'red,' 好 <i>hao3</i> 'good,' 脏 <i>zang1</i> 'dirty,' 冷 <i>leng3</i> 'cold,' 慢 <i>man4</i> 'slow,' 湿 <i>shi1</i> 'wet,' 软 <i>ruan3</i> 'soft,' 新 <i>xin1</i> 'new'	V	V	V	V
Monosyllabic special gradable	松 <i>song1</i> 'loose,' 紧 <i>jin3</i> 'tight,' 稳 <i>wen3</i> 'steady,' 累 <i>lei4</i> 'tired'	X	X	X	V
Monosyllabic absolute	竖 <i>shu4</i> 'vertical,' 横 <i>heng2</i> 'horizontal'	V	V	V	X
Polysyllabic regular gradable	漂亮 <i>piao4liang0</i> 'pretty,' 干净 <i>gan1jing4</i> 'clean,' 简单 <i>jian3dan1</i> 'simple,' 糊涂 <i>hu2tu0</i> 'muddle-headed,' 普通 <i>pu3tong1</i> 'common,' 具体 <i>ju4ti3</i> 'concrete,' 贫穷 <i>pingqiong2</i> 'poor,' 谦 虚 <i>qian1xu1</i> 'modest,' 安全 <i>an1quan2</i> 'safe'	V	V	V	V
Polysyllabic special gradable	专心 <i>zhuan1xin1</i> 'concentrated,' 刻苦 <i>ke4ku3</i> 'hard-working,' 和气 <i>he2qi4</i> 'kind and mild-mannered,' 了不起 <i>liao3bu4qi3</i> 'amazing, extraordinary'	X	V	V	V
Polysyllabic absolute	必然 <i>bi4ran2</i> 'necessary,' 本来 <i>ben3lai2</i> 'original, true,' 绝对 <i>jue2dui4</i> 'absolute'	V	V	V	X
Polysyllabic special absolute	雪白 <i>xue3bai2</i> 'snow-white,' 冰凉 <i>bing1liang2</i> 'ice-cold,' 喷香 <i>pen4xiang1</i> 'fragrant'	X	V	V	X

Adjectives derived from regular gradable ones are more common and are used more frequently.

Adjectives derived from regular gradable adjectives are typically derived by partial or total reduplication or by affixation. The most common patterns of derivation are presented in the following section. The syllables in the pre-derivation form are given as A, B, and C, while the syllables reduplicated by the process are given as a, b, and c.

10.2.2.1. Reduplication

In the process of total reduplication, either each morpheme is reduplicated as Aa or AaBb, like those in [5] and [6], or the whole morpheme sequence is reduplicated as ABab, like those in [7]. The adjectives that can undergo ABab reduplication are special absolute ones in which the B morpheme is modified by A, such as 雪白 *xue3bai2* 'snowy white.'

[5] A Aa

a. 脏衣服

zang1 yi1fu2

dirty clothes

'dirty clothes'

b. 有一天, 马路上趴着一个脏脏的乞丐。

you3 yi1 tian1 ma3lu4 shang4 pa1 zhe0 yi1

have one day road top sprawl ZHE one

ge4 zang1zang1 de0 qi3gai4

CL dirty-dirty DE beggar

'One day, a dirty-looking beggar was sprawling on the road.'

[6] AB AaBb

a. 漂亮的职工食堂

piao4liang4 de0 zhi2gong1 shi2tang2

beautiful DE staff canteen

'beautiful staff canteen'

b. 他们要盖一幢漂漂亮亮的村委会办公室。

ta1men0 yao4 gai4 yi1 zhuang4 piao4piao4liang4liang4

they want build one CL beautiful

de0 cun1wei3 ban4gong1shi4

DE village_council office

'They want to build a beautiful office for the Village Council.'

- [7] AB ABab
- a. 5月, 木棉花的果实张开嘴巴吐出**雪白**的棉絮。
 wu3yue4 mu4mian2hua1 de0 guo3shi2 zhang1kai1
 May cotton_tree DE fruit open
 zui3ba1 tu4chu1 xue3bai2 de0 mian2xu4
 mouth spit_out snow_white DE cotton
 'In May, the fruits of cotton trees opened up with snow-white cotton.'
- b. 门前一棵梨树开出了上百朵**雪白雪白**的梨花。
 men2qian1 yi1 ke1 li2shu4 kai1chu1 le0
 in_front_of_the_door one CL pear-tree grow_out LE
 shang4bai3 duo3 xue3bai2xue3bai2 de0 li2hua1
 around_hundred CL snow-white DE pear_flower
 'Hundreds of snow-white flowers blossomed on the pear tree in front of the door.'

Although both AaBb and ABab patterns are potentially available for all adjectives, most adjectives typically only have one reduplication form, and there are more adjectives in the AaBb form than those in the ABab form. A limited number of adjectives have both forms and the two characteristically have different properties. The AaBb form typically behaves like an adjective phrase, while the ABab form behaves more like an activity verb phrase. Derived from the same adjective 高兴 *gao1xing4* 'happy,' 高高兴兴 *gao1gao1xing4xing4* functions with 地 *de0* as an adjectival adverbial in [8a] and functions with 的 *de0* as an adjectival attributive in [8b], while the 高兴高兴 *gao1xing4gao1xing4* 'being happy' in [8c] functions as a verbal predicate. [8d] is a list of more adjectives that can have both AaBa and ABab forms. They are typically inchoative adjectives denoting attributes that are amenable to changes.

- [8] a. 孩子们高高兴兴地跳到水里。
 hai2zi0 men0 gao1gao1xing4xing4 de0 tiao4 dao4
 child PL happy DE jump PREP
 shui3 li3
 water in
 'The children jumped into the water happily.'
- b. 高高兴兴的孩子们一拥而上。
 gao1gao1xing4xing4 de0 hai2zi0 men0 yi1yong1er2shang4
 happy DE child PL swarm
 'The happy children swarmed in.'

- c. 让孩子们也高兴高兴吧。
 rang4 hai2zi0 men0 ye3 gao1xing4gao1xing4 ba0
 let child PL also happy BA
 'Let the children be happy too.'
- d. [i] 冷静 *leng3jing4* 'to be calm/to calm down'
 [ii] 热闹 *re4nao4* 'be lively, to bustle with noise and excitement, lively'
 [iii] 安静 *an1jing4* 'quiet, quiet down'
 [iv] 轻松 *qing1song1* 'to be relaxed/to relax'
 [v] 快活 *kuai4huo2* 'fun-loving, to enjoy life'

Two reduplicated forms Aa and Bb, derived from two monosyllabic adjectives, may sometimes be combined to create the complex derived form AaBb. Such a form represents properties found in a heterogeneous set of entities in which each subset has the properties represented by A or B. The 长长短短 *chang2chang2duan3duan3* 'long and short' in [9a] is not the AaBb form of the noun 长短 *chang2duan3* 'length,' but rather it is the combination of two reduplicated adjectives. This AaBb form has the reading of "the pegs on the wall are of various lengths." Similarly, the 大大小小 *da4da4xiao3xiao3* 'big and small' in [9b] means the ponds are of different sizes and the 高高低低 *gao1gao1di1di1* 'high and low' tells us that the hills are of various heights.

- [9] a. 土墙上钉满了长长短短的木头橛子。
 tu3 qiang2 shang4 ding1 man3 le0
 dirt wall on nail full LE
 chang2chang2duan3duan3 de0 mu4tou0 jue2zi0
 long_long_short_short DE wood pin
 'The dirt wall was full of wooden pegs long and short.'
- b. 场区内有大大小小的水塘几十个, 高高低低的山包近百个。
 chang3qu1 nei4 you3 da4da4xiao3xiao3 de0
 field inside have big_big_small_small DE
 shui3tang2 ji3 shi2 ge4 gao1gao1di1di1 de0
 pond several ten CL high_high_low_low DE
 shan1bao1 jin4 bai3 ge4
 hill around hundred CL
 'Within the area there were dozens of ponds big and small, and nearly a hundred hills high and low.'

When a bisyllabic or multisyllabic adjective undergoes partial reduplication, only one morpheme in the adjective is reduplicated. The second morpheme of

年轻 *nian2qing1* ‘young’ in [10] is reduplicated to give some sentimental flavor to the clause, unbelievable sadness in this case. The first morpheme of 傻气 *sha3qi4* ‘silly’ in [11] is reduplicated and a semantically empty 里 *li0* is added as an infix. The sentence thus means the girl was lovely and silly.

- [10] 一个**年轻轻的**桥梁专家突然就倒下了。

yi1 ge4 nian2qing1qing1 de0 qiao2liang2 zhuan1jia1
 one CL young DE bridge expert
 tu1ran2 jiu4 dao3xia4 le0
 suddenly then fall_down LE
 ‘Such a young expert on bridges suddenly fell dead.’

- [11] 女孩傻里傻气地笑着把钱拿了出来。

nü3hai2 sha3liosha3qi4 xiao4 zhe0 ba3 qian2 na2 le0 chu1lai2
 girl DE smile ZHE BA money take LE out
 ‘The girl took out the money with a silly smile.’

10.2.2.2. Affixation

Derivation by affixation predominantly involves monosyllabic adjectives. A common way to achieve this is to add to the root a suffix in the shape of a repeated syllable like the – 烘烘 *hong1hong1* in [12a]. Such a suffix typically does not have very clear semantic content but does make a distinctive contribution to the overall meaning of the derived adjective. When – 烘烘 *hong1hong1* is suffixed to 臭 *chou4* ‘stinky,’ the combination contributes a special interpretation to the sentence that the kitchen waste is emitting a foul smell constantly and heavily. Similarly, the adjective derived from the suffixation of – 喷喷 *pen1pen1* to 香 *xiang1* ‘fragrant’ in [12b] has a reading related to 香 *xiang1* ‘fragrant’ with a more vivid flavor, namely, releasing a sweet scent continuously and extensively. The adjective 干巴巴 *gan1ba1ba1* ‘dreadfully dry’ in [12c] is derived from 干 *gan1* ‘dry’ but has the added flavor of awfulness and unattractiveness because of the suffix – 巴巴 *ba1ba1*.

- [12] a. 曾经**臭烘烘**的泔脚被加工成高质量的有机肥。

ceng2jing1 chou4hong1hong1 de0 gan1jiao3 bei4
 once stinky DE kitchen_waste BEI
 jia1gong1 cheng2 gao1zhi4liang4 de0 you3ji1 fei2
 process into high_quality DE organic fertilizer
 ‘The kitchen waste that was once rampantly stinky is turned into
 high-quality organic fertilizer.’

- b. 全村每户都端来了一份**香喷喷**的菜。

quan2 cun1 mei3 hu4 dou1 duan1lai2 le0 yi1
all village every family all bring LE one

fen4 xiang1pen1pen1 de0 cai4

CL fragrant DE dish

'Every family in the village brought over a dish with a wonderful aroma.'

- c. 记者中心里除了一些**干巴巴**的饼干以外,连一瓶水都找不到。

ji4zhe3 zhong1xin1 li3 chu2le0 yi1xie1
journalist center inside except_for some

gan1ba1ba1 de0 bing3gan1 yi3wai4 lian2 yi1
dry DE cookie other_than even one

ping2 shui3 dou1 zhao3bu4dao4

CL water all not_find_out

'Except for some unappetizing dry biscuits, there was not even a bottle of water in the News Centre.'

This affixation also may be applied to a derived adjective to produce a complex form AaBb, like the 干干巴巴 *gan1gan1ba1ba1* 'awfully dry' in [13]:

- [13] 这些文字是不是比那些**干干巴巴**的传记更有意思?

zhe4xie1 wen2zi4 shi4 bu4 shi4 bi3 na4xie1
these word be NEG be than those

gan1gan1ba1ba1 de0 zhuan4ji4 geng4 you3yi4si0
dry DE biography more interesting

'Aren't these words more interesting than those boring dry biographies?'

Another type of suffix has a complex internal structure consisting of -不- *bu4*, which does not bear the meaning 'not' like its counterpart in non-affix use, and is in the form of two syllables, either two identical ones like those in [14a] or two different ones like those in [14b]–[14c]. Adjectives derived in this way generally have a negative or undesirable connotation.

- [14] a. [i] 酸 *suan1* 'sour'/酸不唧唧 *suan1bu4ji1ji1* 'really sour, sarcastic'

[ii] 傻 *sha3* 'foolish'/傻不唧唧 *sha3bu4ji1ji1* 'really stupid'

- b. [i] 灰 *hui1* 'gray'/灰不溜秋 *hui1bu4liu1qiu1* 'drab and grayish'

[ii] 黑 *hei1* 'black'/黑不溜秋 *hei1bu4liu1qiu1* 'black in an unpleasant way'

- [iii] 圆 *yuan2* ‘round’/圆不溜秋 *yuan2bu4liu1qiu1* ‘round in an unpleasant way’
- [iv] 中 *zhong1* ‘medium’/中不溜秋 *zhong1bu4liu1qiu1* ‘mediocre’
- [v] 酸 *suan1* ‘sour’/酸不溜秋 *suan1bu4liu1qiu1* ‘sarcastic’
- c. [i] 傻 *sha3* ‘stupid’/傻不拉叽 *sha3bu4la1ji1* ‘really stupid’
- [ii] 酸 *suan1* ‘sour’/酸不拉叽 *suan1bu4la1ji1* ‘really sour’ (for food or behavior)
- [iii] 土 *tu3* ‘uncultured, unstylish’/土不拉叽 *tu3bu4la1ji1* ‘uncultured, unsophisticated’
- [iv] 脏 *zang1* ‘dirty’/脏不拉叽 *zang1bu4la1ji1* ‘really dirty’
- [v] 软 *ruan3* ‘soft, soggy’/软不拉叽 *ruan3bu4la1ji1* ‘so (damningly) soft/soggy/weak’

Derived adjectives can come from non-adjectival categories. The complex adjective 虚虚实实 *xu1xu1shi2shi2* ‘fiction and fact intertwined’ in [15] is an AaBb form derived from 虚实 *xu1shi2* ‘real situation,’ which is a noun but is historically derived from the two adjectives 虚 *xu1* ‘empty’ and 实 *shi2* ‘solid.’ The AaBb form is now an adjective.

- [15] 这种虚虚实实的写法令人耳目一新。
 zhe4 zhong3 xu1xu1shi2shi2 de0 xie3 fa3
 this CL fiction_fact_intertwined DE write method
 ling4 ren2 er3mu4yi1xin1
 make people refreshing
 ‘This writing style of mixing suggestiveness and realism gives one a refreshingly novel experience of reading.’

The 风风火火 *feng1feng1huo3huo3* ‘bustling’ in [16a] looks like an AaBb form of 风 *feng1* ‘wind’ and 火 *huo3* ‘fire,’ which are both nouns, and the 形形色色 *xing2xing2se4se4* ‘various’ in [16b] seems to be an AaBb form of 形 *xing2* ‘shape’ and 色 *se4* ‘color,’ which are also nouns. Nevertheless, the two AaBb forms describe properties and are bona fide adjectives.

- [16] a. 当年风风火火的村长如今已经卸任。
 dang1nian2 feng1feng1huo3huo3 de0 cun1zhang3
 that_year energetic DE village_head
 ru2jin1 yi3jing1 xie4ren4
 now already be_relieved_from_one’s_post.
 ‘The strong and energetic former village head is retired now.’

- b. 它们把形形色色的污染物吃进肚子里。

ta1men0 ba3 xing2xing2se4se4 de0 wu1ran3wu4 chi1
 they BA various_kinds_of DE contaminant eat
 jin4 du4zi0 li3
 enter stomach inside
 'They ate various kinds of contaminants.'

10.3. Functions and properties of adjectives and adjective phrases

Non-derived gradable adjectives characteristically have the following properties:

- 1 When such adjectives or their phrases are negated, 不 *bu4* 'not' is predominantly the choice (see Chapter 6, section 6.2.).

- [17] a. 不良习惯

bu4liang2 xi2guan4
 NEG-good habit
 'bad habit'

- b. 不酸的橘子

bu4 suan1 de0 ju2zi0
 NEG sour DE orange
 'oranges which are not sour'

- c. 不漂亮的女生

bu4 piao4liang4 de0 nü3sheng1
 NEG beautiful DE girl
 'girls who are not pretty'

- 2 They can be modified by degree adverbials like 微 *wei1* 'slightly' and 很 *hen3* 'very,' as in [18].

- [18] [i] 微甜 *wei1 tian2* 'slightly sweet'

[ii] 少辣 *shao3 la4* 'little hot'

[iii] 很酸 *hen3 suan1* 'very sour'

[iv] 很湿 *hen3 shi1* 'very wet'

[v] 很漂亮 *hen3 piao4liang4* 'very pretty'

[vi] 很年轻 *hen3 nian2qing1* 'very young'

- 3 They can modify nouns directly or function as part of a 的 *de0* 'DE' (attributive marker) phrase to modify a nominal phrase, as in [19].

- [19] a. [i] 脏水 *zang1shui3* 'dirty water'

[ii] 破碗 *po4wan3* 'broken bowl'

- [iii] 新家俱 *xin1 jia1ju4* ‘new furniture’
 - [iv] 红屋顶 *hong2 wu1ding3* ‘red roof’
 - [v] 漂亮衣服 *piao4liang4 yi1fu0* ‘pretty clothes’
 - [vi] 民主气氛 *min2zhu3 qi4fen1* ‘democratic atmosphere’
 - [vii] 糊涂观念 *hu2tu2 guan1nian4* ‘muddled concepts, silly ideas’
- b.
- [i] 凉的水 *liang2 de0 shui3* ‘cold water’
 - [ii] 新的毛衣 *xin1 de0 mao2yi1* ‘new sweater’
 - [iii] 古怪的人 *gu3guai4 de0 ren2* ‘eccentric person’
 - [iv] 糊涂的观念 *hu2tu2 de0 guan1nian4* ‘muddled concepts’

On the other hand, derived adjectives have the following properties:

- [a] They can be directly negated by 不 *bu4* ‘not.’
- [b] They characteristically cannot be modified by degree adverbials. 很 *hen3* ‘very’ and other degree adverbs are not typically associated with them, since they already encode degree or intensity.
- [c] They typically perform a modification function as part of a 的 *de0* ‘DE’ (attributive marker) phrase, as in [20].

- [20] a. 臭烘烘的走廊
chou4hong1hong1 de0 zou3lang2
 stinky DE hallway
 ‘a hallway that is full of stinky smells’
- b. 清清楚楚的账目
qing1qing1chu3chu3 de0 zhang4mu4
 clean_and_clear DE account_book
 ‘a nice and clean ledger’

10.3.1. Adjectives in modifier positions

The most salient difference between non-derived gradable adjectives and derived adjectives is how they modify nominal phrases. Non-derived adjectives can modify nouns directly and can also function as part of a 的 *de0* ‘DE’ (attributive marker) phrase to modify nominal phrases. Derived adjectives, on the other hand, do not modify nouns directly and predominantly appear in 的 *de0* ‘DE’ (attributive marker) phrases that modify nominal phrases, as in [21].

- [21] a. 石榴树上挂着红红的花。
shi2liu2 shu4 shang4 gua4 zhe0 hong2hong2 de0
 pomegranate tree on hang ZHE red DE

hua1

flower

'Hanging on the pomegranate tree were red flowers.'

- b. 一条条干干净净的巷子, 充满生机。

yi1tiao2tiao2 gan1gan1jing4jing4 de0 xiang4zi0

several clean DE alley

chong1man3 sheng1ji1

be_full_of vitality

'All the clean small alleys were full of bustling life.'

- c. 按老习惯, 我该穿灰不溜秋的大襟衣服。

an1 lao3 xi2guan4 wo3 gai1 chuan1

PREP old habit I should wear

hui1bu4liu1qiu1 de0 da4jin1yi1fu2

gray DE garment_with_side_buttons

'According to the old way, I should wear dreadful gray coats with side buttons.'

Many adjectives, including most derived adjectives, can appear in a clause as the adverb, with or without the adverbial marker 地 *de0* 'DE,' as shown in [22].

- [22] 一幢幢石头农舍整齐地排列在村路两旁。

yi1zhuang4zhuang4 shi2tou0 nong2she4 zheng3qi2 de0

several stone farm_house trimly DE

pai2lie4 zai4 cun1 lu4 liang3 pang2

array PREP village road two side

'Stone farmhouses (were) neatly lined up on both sides of the village road.'

- [23] 姚明风风火火把NBA变成了一个中国人的舞台。

yao2ming2 feng1feng1huo3huo3 ba3 NBA bian4

YAO_MING energetically BA NBA change

cheng2 le0 yi1 ge4 zhong1guo2ren2 de0 wu3tai2

into LE one CL Chinese DE stage

'YAO Ming turned the NBA into a stage for Chinese through his fantastic performance.'

There seem to be exceptional cases where a derived adjective appears in front of an NUM-CL phrase without 的 *de0* 'DE' (attributive marker), as in [24] and [25a]. 的 *de0* 'DE' (attributive marker) may also appear before the NUM-CL phrase, as in [25b].

- [24] 地上堆着大大小小二十几个纸箱子。
 di4 shang4 dui1 zhe0 da4da4xiao3xiao3 er4shi2
 ground on pile ZHE big_and_small twenty
 ji3 ge4 zhi3 xiang1zi0
 some CL paper box
 'Lying on the ground were over twenty carton boxes, big and small.'

- [25] a. 平原的经验是什么? **简简单单**一个字: “实”!
 ping2yuan2 de0 jing1yan4 shi4 shen2me0
 Pingyuan_county DE experience be what
 jian3jian3dan1dan1 yi1 ge4 zi4 shi2
 simple one CL character true
 'What's Pingyuan County's magic formula? It simply boils down to one character: grounded!'

- b. 平原人的经验只有**简简单单**的一个字: 实。
 ping2yuan2 ren2 de0 jing1yan4 zhi3you3
 Pingyuan_county people DE experience only
 jian3jian3dan1dan1 de0 yi1 ge4 zi4 shi2
 simple DE one CL character true
 'The Pingyuan people's formula is a simple character: grounded.'

10.3.2. Non-derived and derived adjectives in argument positions

Non-derived and derived adjectives can also be used as arguments, as in [26].

- [26] a. 我向来追求平凡。
 wo3 xiang4lai2 zhui1qiu2 ping2fan2
 I as_always pursue ordinary
 'I always strive to be ordinary.'
- b. 简简单单也是一种幸福。
 jian3jian3dan1dan1 ye3 shi4 yi1 zhong3 xing4fu2
 simple also be one CL happiness
 'Being simple is also a kind of happiness.'

10.3.3. Adjective phrases

Adjective phrases (APs) typically appear in the form of [Degree Adverbial + A]. APs typically function as predicates, as part of the 的 *de0* 'DE' (attributive marker)

phrase that modifies nominal phrases, or as adverbials with or without 地 *de0* 'DE' (adverb marker).

All gradable adjectives can form adjective phrases with degree adverbials, which are typically degree adverbs like 有点 *you3dian3* 'a little,' 很 *hen3* 'very,' 极 *ji2* 'extremely,' 挺 *ting3* 'quite,' 非常 *fei1chang2* 'extremely,' etc. Some absolute adjectives may form adjective phrases with excessive or maximum degree adverbials, such as 非常完美 *fei1chang2 wan2mei3* 'extremely perfect' and 非常基本 *fei1chang2 ji1ben3* 'extremely fundamental.' Derived adjectives do not take adverbial degree modification.

Although an adjective phrase typically requires a 的 *de0* 'DE' (attributive marker) to modify a nominal phrase, as in [27], there are cases in which an AP seems to modify a nominal phrase directly. One of these cases is that 很 *hen3* 'very' appears in a small number of compound adjectives, such as 很多 *hen3duo1* 'a lot' and 很大 *hen3da4* 'very big.' These adjectives can modify nouns without the help of the *de0* 'DE' (attributive marker), as in [28]. Another case is that the *de0* 'DE' (attributive marker) does not have to appear when the nominal phrase being modified has an overt demonstrative, as in [29].

- [27] a. 地上放着一些**很脏**的算命用品。

di4 shang4 fang4 zhe0 yi1xie1 hen3 zang1 de0
ground on place ZHE some very dirty DE
suan4ming4 yong4pin3
fortune_telling tool
'On the ground were some dirty tools used for fortune telling.'

- b. 人们纷纷撤离到**较安全**的地带。

ren2men0 fen1fen1 che4li2 dao4 jiao4
people one_after_another retreat PREP comparatively
an1quan2 de0 di4dai4
safe DE area
'One by one, people evacuated to safer places.'

- [28] 这与商业银行的要求还有**很大距离**。

zhe4 yu3 shang1ye4yin2hang2 de0 yao1qiu2 hai2
this PREP commercial_bank DE requirement still
you3 hen3 da4 ju4li2
have very big distance
'This is still far away from meeting the requirement for a commercial bank.'

[29] 果然,她挑了樱桃红那条。

guo3ran2 ta1 tiao1 le0 ying1tao2hong2 na4
as_expected she pick LE cherry_red that
tiao2
CL

'She picked the cherry red one as expected.'

A third case is that the superlative morpheme 最 *zui4* 'most' can form a compound with quite a number of adjectives, and those compounds can modify nouns without 的 *de0* 'DE' (attributive marker), as shown in [30].

- [30] [i] 最差影片 *zuicha4 ying3pian4* 'the worst film'
[ii] 最佳选择 *zui4jia1 xuan3ze2* 'the best choice'
[iii] 最优秀教师 *zui4you1xiu4 jiao4shi1* 'the best teacher'
[iv] 最高建筑物 *zui4gao1 jian4zhu4wu4* 'the highest building'
[v] 最高档电脑 *zui4gao1dang4 dian4nao3* 'the most expensive computer'

The nouns modified by these 最-adjective compounds typically have at least two syllables and are often occupation terms, such as 教师 *jiao4shi1* 'teacher,' 导演 *dao3yan3* 'movie director,' and 媳妇 *xi2fu4* 'daughter-in-law.' Since a noun like 最佳导演 *zui4jia1 dao3yan3* 'best movie director' represents the superlative one in a set, the noun being modified typically represents a type of entity rather than a token, namely, the noun being modified is a hypernym rather than a hyponym. Because of this, 最稀有动物 *zui4xi1you3 dong4wu4* 'the rarest animal' is easy to accept, but 最稀有邻居家小狗 *zui4xi1you3 lin2ju1jia1 xiao3gou3* 'the rarest little dog of the next door neighbors' is hard to understand.

10.4. Adjectives and verbs: a comparison

Adjectives share many properties with verbs, especially stative verbs, but they do have certain differences. Both gradable adjectives and stative verbs like 喜欢 *xi3huan1* 'to like' and 相信 *xiang1xin4* 'to believe' denote states. As such, they can both be associated with 不 *bu4* 'not,' 都 *dou1* 'all,' and 也 *ye3* 'also,' be modified by degree adverbials, and appear in V-not-V form in an A-not-A alternative question. However, a stative verb can take an object, whereas an adjective predominantly does not have an object.

10.5. Special adjectives 多 *duo1* 'many/much,' 少 *shao3* 'few/little,' and 大 *da4* 'big'

多 *duo1* 'many/much' is a gradable adjective with many meanings, and the basic one is to describe relatively large quantities, namely, "many" or "much." When

used with its basic meaning, 多 *duo1* ‘many/much’ typically does not modify nouns directly but predominantly as part of a 的 *de0* ‘DE’ (attributive marker) phrase.

多 *duo1* has another meaning of ‘several/multiple.’ When used with this meaning, 多 *duo1* can modify nouns directly, as in 多人受伤 *duo1ren2 shou4shang1* ‘several people were injured,’ 多处感染 *duo1chu4 gan3ran3* ‘several places were infected,’ and 多民族国家 *duo1min2zu2 guo2jia1* ‘country with multiple ethnic groups.’ This usage of 多 *duo1* is mainly found in formal written genres.

多 *duo1* ‘several/multiple’ can appear in the NUM position of an NUM-CL phrase as a quantifier, as in 多块金牌 *duo1kuai4 jin1pai2* ‘several medals’ and 多篇文章 *duo1pian1 wen2zhang1* ‘several articles.’ It can also function as part of an approximate numeral, as in 三十多本书 *san1shiduo1ben3 shu1* ‘over thirty books’ (see Chapter 8, section 8.4).

多 *duo1* ‘many/much’ can also be used as an adverb in a verb phrase to indicate the quantity of activities, which can be physical, emotional, mental, and so on, as in [31].

- [31] a. 多吃点饭。
 duo1 chi1 dian3 fan4
 much eat a_little rice
 ‘Eat a little more rice.’
- b. 多做事, 少说话。
 duo1 zuo4 shi4 shao3 shuo1 hua4
 much do thing little talk words
 ‘Do more and speak less.’

The verb phrase modified by 多 *duo1* ‘many/much’ often has a verb quantifier phrase as its object, as in [32].

- [32] a. 小明打算在图书馆多待一会儿。
 xiao3ming2 da3suan4 zai4 tu2shu1guan3 duo1
 XIAOMING plan PREP library much
 dai1 yi1hui4er0
 stay a_while
 ‘Xiao Ming planned to stay a little longer in the library.’
- b. 下次我们要多准备几道菜。
 xia4ci4 wo3men0 yao4 duo1 zhun3bei4 ji3
 next_time we need much prepare several
 dao4 cai4
 CL dish
 ‘Next time we should prepare a few more dishes.’

多 *duo1* ‘many/much’ can also replace the degree adverb in a gradable adjective compound to turn it into an interrogative word, such as 多大 *duo1da4* ‘how big’ and 多长 *duo1chang2* ‘how long,’ to be used in variable questions, as in [33].

- [33] a. 你要多大的锅?
 ni3 yao4 duo1 da4 de0 guo1
 you want how big DE pot
 ‘How big a pot do you want?’
 b. 他们要住多久?
 ta1men0 yao4 zhu4 duo1 jiu3
 they want live how long
 ‘How long will they stay?’

In predicative uses, 多 *duo1* ‘many/much’ and 少 *shao3* ‘few/little’ are antonyms. The two sentences in [34] thus have opposite meanings.

- [34] a. 山上的树很多。
 shan1 shang4 de0 shu4 hen3 duo1
 mountain on DE tree very many
 ‘There are many trees on the mountain.’
 b. 山上的树很少。
 shan1 shang4 de0 shu4 hen3 shao3
 mountain on DE tree very few
 ‘There are few trees on the mountain.’

However, 多 *duo1* ‘many/much’ and 少 *shao3* ‘few/little’ are not antonyms in cases like [35], in which 多 *duo1* ‘many/much’ cannot replace 少 *shao3* ‘few/little’ to produce an opposite meaning. Unlike 多 *duo1* ‘many/much,’ 少 *shao3* ‘few/little’ is not used to form an interrogative word, nor is it used as a quantifier or as part of an approximate number.

- [35] 我很少在晚上喝咖啡。
 wo3 hen3shao3 zai4 wan3shang4 he1 ka1fei1
 I seldom PREP evening drink coffee
 ‘I seldom drink coffee in the evening.’

10.5.1. 大 *da3* ‘big’ and 很大 *hen3da4* ‘very big’

Phrases composed of 大 *da4* ‘big’ and its modifying degree adverbials, such as 很 *hen3* ‘very,’ 极 *ji2* ‘extremely,’ 挺 *ting3* ‘quite,’ 特 *te4* ‘extremely,’ etc., have some characteristics that are different from those of other APs.

While most APs require a 的 *de0* 'DE' (attributive marker) to modify a nominal phrase, a major feature of these 大 *da4* 'big' phrases is that they can modify verbal nouns directly, even though they can also appear in a 的 *de0* 'DE' (attributive marker) phrase. A verbal noun like those in [36] represents an action and typically has a morphologically identical counterpart that is a transitive verb. The modification patterns are shown in [37].

- [36] [i] 鼓舞 *gu3wu3* 'encouragement, to encourage'
 [ii] 支持 *zhi1chi2* 'support, to support'
 [iii] 启发 *qi3fa1* 'inspiration, to inspire'
 [iv] 刺激 *ci4ji1* 'provocation, to provoke'
 [v] 鞭策 *bian1ce4* 'urge, to urge'
 [vi] 诱惑 *you4huo4* 'seduction, to seduce'
 [vii] 帮助 *bang1zhu4* 'help, to help'
 [viii] 危害 *wei1hai4* 'endangerment, endanger'

- [37] a. 这对促进中美两国的文化交流有**很大的帮助**。
zhe4 dui4 cu4jin4 zhong1mei3 liang3 guo2 de0
 this PREP promote Sino_America two country DE
wen2hua4 jiao1liu2 you3 hen3 da4 de0
 culture communication have very big DE
bang1zhu4
 help
 'This would greatly promote the cultural exchanges between China and America.'
- b. 这一成果对他们的工作有**很大帮助**。
zhe4 yi1 cheng2guo3 dui4 ta1men0 de0 gong1zuo4
 this one outcome PREP they DE work
you3 hen3 da4 bang1zhu4
 have very big help
 'This result would help their work a lot.'
- c. 这种前景无疑对人们具有**极大的诱惑**。
zhe4 zhong3 qian2jing3 wu2yi2 dui4 ren2men0
 this CL prospect undoubtedly PREP people
ju4you3 ji2 da4 de0 you4huo4
 have extremely big DE temptation
 'This prospect undoubtedly gave people great allure.'

- d. 极为可观的利润给了武汉人**极大诱惑**。

ji2wei2ke3guan1 de0 li4run4 gei3 le0 wu3han4 ren2
remarkable DE profit give LE Wuhan people

ji2 da4 you4huo4
extremely big temptation

'The remarkable profits gave Wuhan residents great temptation.'

Comparison

Marie-Claude Paris and Dingxu Shi

This chapter discusses four types of comparative clauses and their interpretations in Chinese:

- 1 The affirmative superiority comparative expressed by a predicate.
- 2 The superiority comparative marker 比 *bi3* ‘than.’
- 3 The equality comparative marked with 跟 *gen1* ‘like’ and its variants 像 *xiang4* ‘like,’ 如 *ru2* ‘as,’ and 有 *you3* ‘YOU.’
- 4 The correlative comparative marked with 越...越... *yue4...yue4...* ‘the more... the more...’

When available, both the affirmative and the negative forms of these comparative clauses will be discussed.

11.1. Distinctive properties of comparative clauses in Chinese

A distinctive feature of Chinese comparatives is that there are only *two* types of markers for comparison. One is the superiority marker 比 *bi3* ‘than’ and the other is the equality marker 跟 *gen1* ‘with’ and its variants. Inferiority is conveyed by negative forms of superiority or equality comparatives.

The main pattern for comparatives is that the term being compared appears before the verb, usually as the subject, whereas the standard of comparison appears as the complement of a preposition, and the comparative phrase appears as the main predicate. The pattern can be summarized as the schema in [1], where A represents the term being compared, B represents the standard of comparison, MP represents the differential measure phrase, V represents the main part of the predicate, and the comparative marker is a preposition such as 比 *bi3* ‘than,’ 跟 *gen1* ‘with,’ 像 *xiang4* ‘like,’ 如 *ru2* ‘as,’ or 有 *you3* ‘YOU.’

- [1] A 比/跟/像/如/有 B (degree adverbial) V (MP)
 bi3/gen1/xiang4/ru2/you3

In [1], the two comparative terms A and B are typically nominal phrases, but they can sometimes be other phrases or even an incomplete phrase with ellipses.

In addition to comparatives in the shape of [1], there are correlative comparatives that contain a marker pair 越...越... *yue...yue4...* 'the more... the more...' and simple comparatives containing no preposition marker at all.

11.2. Affirmative superiority comparison

The comparative containing no preposition marker has the shape shown in [2], where the two comparative terms A and B are nominal phrases and the verb compound V_1-V_2 takes B as its complement, which can be followed by an optional MP.

[2] A V_1-V_2 B (MP)

The verbs occupying the V_1 slot are predominantly monosyllabic verbs of dimension, such as 多 *duo1* 'much,' 少 *shao3* 'less,' 大 *da4* 'big,' 小 *xiao3* 'small,' 长 *chang2* 'long,' 短 *duan3* 'short,' 高 *gao1* 'high,' and 低 *di1* 'low.' A differential measure phrase, such as the 一截 *yi1 jie2* 'a section' in [4], may optionally follow B. The V_2 found in the corpus are 过 *guo4* 'surpass,' 出 *chu1* 'beyond,' and more commonly, 于 *yu2* 'at, by.' Sentences [3] through [7] are examples of affirmative superiority comparisons.

[3] 医生多过病人。

yi1sheng1 duo1 guo4 bing4ren2
doctor more surpass patient
'There are more doctors than patients.'

[4] 大陆、南韩的实力高出一截。

da4lu4 nan2han2 de0 shi2li4 gao1chu1
mainland South_Korea DE strength high beyond
yi1jie2
a_section

'The Mainland Team and the South Korean Team were clearly stronger by a large margin.'

[5] 好奇多于同情。

hao4qi2 duo1 yu2 tong2qing2
curiosity much PREP sympathy
'(It is) more out of curiosity than sympathy.'

[6] 正面效果少于负面效果。

zheng4mian4 xiao4guo3 shao3 yu2 fu4mian4
positive effect less PREP negative

xiao4guo3

effect

'There are fewer positive effects than negative ones.'

- [7] 妇女的寿命长于男性。

fu4nü3 de0 shou4ming4 chang2 yu2 nan2xing4

woman DE life long PREP man

'Women's life expectancy is longer than men's.'

The standard of comparison in such clauses sometimes appears as the complement of the comparative marker 比 *bi3* 'than,' while the differential measure phrase still appears after the V_1 - V_2 , as in [8].

- [8] 我都比他们高出一或二公分。

wo3 dou1 bi3 ta1men0 gao1 chu1 yi1 huo4

I all than they high beyond one or

er4 gong1fen1

two centimeter

'Even I am taller than them by one or two centimeters.'

Another important characteristic of Chinese comparison constructions to bear in mind in this context is that the lexical meaning of scalar stative predicates in Chinese is comparative, not absolute, as discussed in Chapter 10. Hence, even when the standard of comparison and the differential measure phrase is not expressed, the simple X+V sentences still have a comparative reading. This can be illustrated by [9], based on sentence [6], and [10], based on sentence [7].

- [9] 正面效果少。

zheng4mian4 xiao4guo3 shao3

positive effect less

'Positive effects are fewer.'

- [10] 妇女的寿命长。

fu4nü3 de0 shou4ming4 chang2

woman DE life long

'Women's life expectancy is longer.'

11.3. Superiority comparatives marked with 比 *bi3* 'than'

Comparatives with the marker 比 *bi3* 'than' have the shape as shown in [11].

- [11] A (Neg) 比 B (degree adverbial) V (MP)

In [11], the negator “Neg” between the compared term A and the standard of comparison B is optional depending on the meaning, the obligatory V is the main part of the predicate, the optional degree adverbial is typically an adverb, and the differential MP is a complement in V.

11.3.1. The predicate in 比 *bi3* ‘than’ comparatives

The V found in 比 *bi3* ‘than’ comparatives is scalar in nature. It can be a gradable stative predicate like 高 *gao1* ‘tall’ in [12], an eventual predicate that has a direction scale like 上扬 *shang4yang2* ‘go up’ in [13], or the temporal progress of an event that is associated with a gradable property, such as a compound verb affixed with the continuous aspect of 下去 *xia4qu4* in [14].

[12] 他比我高。

ta1 bi3 wo3 gao1
he than I tall
‘He is taller than me.’

[13] 烈酒的价格可能比年底稍微上扬。

lie4jiu3 de0 jia4ge2 ke3neng2 bi3 nian2 di3
hard_liquor DE price maybe than year bottom
shao1wei1 shang4yang2
a_little go_up
‘The price of hard liquor may go up a little more than that at the end of the year.’

[14] 他的身体一天比一天消瘦下去。

ta1 de0 shen1ti3 yi1 tian1 bi3 yi1
he DE body one day than one
tian1 xiao1shou4 xia4qu4
day thin XIAQU
‘He got thinner day by day.’

When the predicate in such a comparative stands for an action like 买书 *mai3shu1* ‘buy books’ or 花钱 *hua1qian2* ‘spend money,’ the scalar requirement can be satisfied by a scalar adverbial, such as 多 *duo1* ‘more’ and 少 *shao3* ‘less’ in [15] and [16], together with a measurement phrase to indicate the difference.

[15] 我们比昨天多买了三本书。

wo3men0 bi3 zuo2tian1 duo1 mai3 le0 san1
we than yesterday more buy LE three

ben3 shu1
CL book
'We bought three more books than we did yesterday.'

- [16] 他比你少花了两块钱。
ta1 bi3 ni3 shao3 hua1 le0 liang3 kuai4 qian2
he than you less spend LE two CL money
'He spent two dollars less than you did.'

11.3.2. Terms of comparison

The compared term A and the standard of comparison B are typically parallel in their syntactic status, but they need not be. Comparisons in Chinese can also involve ellipses, such as in [17]. The standard of comparison in [17] is the pronoun 他们 *ta1men0* 'they' and the compared term is a nominal phrase consisting of 价位 *jia4wei4* 'price level' and its modifier 你的 *ni3 de0* 'your.'

- [17] 你的价位可以比他们稍微低的话...
ni3 de0 jia4wei4 ke2yi3 bi3 ta1men0 shao1wei1
you DE price_level can than them a_little
di1 de0 hua4
low DE case
'If your price (level) could be slightly lower than theirs...'

In [18] A is the pronoun 你 *ni3* 'you' while B is the pronoun 我 *wo3* 'I,' together with a locative and a temporal adverbial, in this case 国内 *guo2nei4* 'inside the country, home' and 一辈子 *yi1bei4zi0* 'the whole life, a lifetime.'

- [18] 你比我在国内一辈子还赚得多。
ni3 bi3 wo3 zai4 guo2nei4 yi1bei4zi0
you than I PREP within_the_country a_life_time
hai2 zhuan4 de0 duo1
still gain DE more
'You make more money than I do during my whole life at home.'

11.3.3. The degree adverbial

The slot of the degree adverbial is filled either by degree adverbs, like 更 *geng4* 'more' in [19] and 还 *hai2* 'still' in [20], or by a modal, like 要 *yao4* 'will' in [21].

- [19] 价格比散装的更高。
 jia4ge2 bi3 san3zhuang1 de0 geng4 gao1
 price than unpacked DE more high
 'The price is even higher than that of unpacked ones.'
- [20] 政府比人们还健忘。
 zheng4fu3 bi3 ren2men0 hai2 jian4wang4
 government than people still forgetful
 'The government is even more forgetful than the people.'
- [21] 他在父母亲的眼中是比别人都要好很多。
 ta1 zai4 fu4mu3qin1 de0 yan3 zhong1 shi4
 he PREP parents DE eye in SHI
 bi3 bie2ren2 dou1 yao4 hao3 hen3 duo1
 than others all will good very much
 'In his parents' eyes he is much better than others.'

It is also common for these adverbs and modals to appear in pairs, such as the sequences 还要 *hai2yao4* 'still will' and 还更 *hai2geng4* 'still more' in [22] and [23], respectively. Their sequential order cannot be changed because the scope of 还 *hai2* 'still' is wider than that of 更 *geng4* 'more' and 要 *yao4* 'will.'

- [22] 他比我还要高。
 ta1 bi3 wo3 hai2 yao4 gao1
 he than I still will tall
 'He is even taller than me.'
- [23] 他对慈济的爱却比在台湾时还更浓厚。
 ta1 dui4 ci2ji4 de0 ai4 que4 bi3 zai4
 she PREP Tzu_Chi DE love but than PREP
 tai2wan1 shi2 hai2 geng4 nong2hou4
 Taiwan when still more strong
 'Her love for the Tzu Chi Foundation (now) was even stronger than when she was in Taiwan.'

The main function of the degree adverbial is to bring in some additional meaning to a comparative clause. An important presupposition in the comparative in [22] is that 我 *wo3* 'I' is tall, and the main assertion is that 他 *ta1* 'he' is even taller. If the degree adverbial 还要 *hai2yao4* 'still will' is taken out of [22] to turn it into the comparative clause found in [12], there will no longer be any presupposition about the height of either 他 *ta1* 'he' or 我 *wo3* 'I.' [12] is true as long as there is a

height difference between 他 *ta1* ‘he’ and 我 *wo3* ‘I,’ even though both are actually short.

11.3.4. The differential measure phrase

The differential measure phrase (MP) in a comparative clause can be either predicative or nominal.

11.3.4.1. Predicative MPs

There are two types of predicative MPs. One type has no direct relation to the V, like 很多 *hen3 duo1* ‘much’ or its reduplicated form 很多很多 *hen2duo1hen2duo1* ‘very much’ in [24]. The other type is part of the complement marked with 得 *de0* ‘DE,’ such as in [25].

- [24] 这个社会比我们想像的要复杂很多很多。

zhe4 ge4 she4hui4 bi3 wo3men0 xiang3xiang4 de0
this CL society than we imagine DE

yao4 fu4za2 hen3duo1 hen3duo1
will complex more more

‘This society is much more complex than we had thought.’

- [25] 她的力量已比一般男孩子大得多。

ta1 de0 li4liang4 yi3 bi3 yi1ban1 nan2hai2zi0
she DE strength already than usual boy

da4 de0 duo1
big DE much

‘Her strength was already stronger than that of most boys.’

Note that in the degree complement, the 比 *bi3* ‘than’ phrase can either precede the V suffixed by 得 *de0* ‘DE’ or follow it, as in [26] and [27], respectively.

- [26] 他比我来得迟。

ta1 bi3 wo3 lai2 de0 chi2
he than I come DE late

‘He came later than I did.’

- [27] 他来得比我迟。

ta1 lai2 de0 bi3 wo3 chi2
he come DE than I late

‘He came later than me.’

11.3.4.2. Nominal MPs

The nominal differential MP is typically a quantifier like 一点 *yi1dian3* ‘a little bit’ in [28] or the 一个百分点 *yi1ge4 bai3fen1dian3* ‘one point of the percentage’ in [29]. It can also be a combination of a numeral and a classifier, as in [30].

- [28] 控制中心比一个足球场还要大上一点。
 kong4zhi4 zhong1xin1 bi3 yi1 ge4 zu2qiu2 chang3
 control center than one CL soccer field
 hai2 yao4 da4 shang4 yi1dian3
 still will big up a_bit
 ‘The control center is even a bit bigger than a soccer field.’
- [29] 成长率比第二季还要少一个百分点。
 cheng2zhang3 lü4 bi3 di4er4 ji4 hai2 yao4
 growth rate than second season still will
 shao3 yi1 ge4 bai3fen1dian3
 less one CL percent
 ‘The growth rate is one percent lower than that of the second quarter.’
- [30] 我都比他们多出一两公分。
 wo3 dou1 bi3 ta1men0 duo1 chu1 yi1 liang3
 I all than they much beyond one two
 gong1fen1
 centimeter
 ‘Even I am one or two centimeters taller than them.’

When the degree adverbial is a paucal adverb such as 稍微 *shao1wei1* ‘somewhat,’ the nominal differential measure phrase is typically (一)些 *(yi1) xie1* ‘a little,’ as in [31], or 一点 *yi1dian3* ‘a little.’

- [31] 团费会比一般行程稍微高些。
 tuan2 fei4 hui4 bi3 yi1ban1 xing2cheng2 shao1wei1
 tour fee will than usual itineraries a_little
 gao1 xie1
 high some
 ‘The fee for this tour may be slightly higher than that of normal itineraries.’

11.4. Negative 比 *bi3* ‘than’ constructions

The negative morpheme in a comparative construction can be either 不 *bu4* or 没有 *mei2you3*.

11.4.1. 不 *bu4* 'not' and negative 比 *bi3* 'than' constructions

The negative form of the comparative, such as in [32], typically does not take any differential MP. In the few cases where it does appear, the MP is predominantly an interrogative quantifier like 多少 *duo1shao3* 'how much' in [33], which yields the reading of an existential quantifier "much" under the scope of the negative morpheme.

- [32] 另加少许洗衣粉,效果不比漂白剂差。
 ling4 jia1 shao2xu3 xi3yi1fen3 xiao4guo3
 moreover add a_few laundry_detergent effect
 bu4 bi3 piao3bai2 ji4 cha 4
 NEG than white dose bad
 'Add a bit of detergent powder as an extra, and the result is not worse than bleach.'

- [33] 色彩的丰富性显然不比国画高多少。
 se4cai3 de0 feng1fu4xing4 xian3ran2 bu4 bi3
 color DE richness apparently NEG than
 guo4hua gao1 duo1 shao3
 Chinese_painting high much less
 'The richness of color is obviously not much better than Chinese paintings.'

Although the negation of superiority comparatives creates an inferiority comparative reading, what [32] actually says is that the result is almost the same as using bleach, while sentence [33] means the richness is better only to a very limited extent. The thin margin between the two comparative terms in such a sentence is shown clearly in [34].

- [34] 欧洲对企业改造的需求即使不比美国高,也与美国相当。
 ou1zhou1 dui4 qi3ye4 gai3zao4 de0 xu1qiu2
 Europe PREP enterprise reform DE need
 ji2shi3 bu4 bi3 mei3guo2 gao1 ye3
 even_though NEG than the_United_States high also
 yu3 mei3guo2 xiang1dang1
 PREP the_United_States equal
 'Even if the need for enterprise reform in Europe is not higher than that of the US, it is at least equal.'

11.4.2. 没有 *mei2you3* 'NEG' and negative 比 *bi3* 'than' constructions

The negation 没有 *mei2you3* denies the existence of an event (see Chapter 8). When 没有 *mei2you3* negates a comparative 比 *bi3* 'than' clause, as in [35] and [36], it functions similarly to double negation. In [35], the pair of statements progresses from the relevant fact that the speaker's group does not boast/claim to be stronger than others, to the additional claim (with 会 *hui4* 'will') of not being weaker than others. Moreover, the negation of the comparative clause in [36] serves to state that not even our parents can claim to be wiser than us.

[35] 我们并没有比谁强,更不会比谁差。

wo3men0 bing4 mei2you3 bi3 shui2 qiang2
we however NEG than who strong

geng4 bu4 hui4 bi3 shui2 cha4
more NEG will than who bad

'We were not (boasting to be) stronger than anyone. Yet nor will we be weaker than anyone.'

[36] 父母都没有比我们明事理。

fu4mu3 dou1 mei2you3 bi3 wo3men0 ming2
parents all NEG than we understand

shi4li3

logic

'Not even our parents could claim to be wiser than we are.'

11.5. 跟 *gen1* 'with' comparatives: comparison of equality and likeness11.5.1. Characteristics of 跟 *gen1* 'with' comparatives

The basic meaning of 跟 *gen1* 'with' as a comparative is that the two comparative terms are the same, as in 一样 *yi1yang4* 'the same.' The MP with regard to which two terms are equal may not appear in the sentence and has to be recovered from the context, as in the case of [37] and [38]. Sometimes a degree adverbial may appear before 一样 *yi1yang4* 'the same,' such as 差不多 *cha4bu4duo1* 'almost' in [38], which states that the equality is not one hundred percent.

[37] 我的心情跟你们一样。

wo3 de0 xin1qing2 gen1 ni3men0 yi1yang4
I DE mood PREP you same

'My feeling is the same as yours.'

- [38] 中国的天气跟美国的差不多一样。
 zhong1guo2 de0 tian1qi4 gen1 mei3guo2 de0
 China DE weather PREP the_United_States DE
 cha 4bu4duo1 yi1yang4
 almost same
 'The weather in China is almost the same as that in America.'

The equality of the two terms may also be represented by a gradable predicate modified by 一样 *yi1yang4* 'the same,' as in the case of [39] and [40].

- [39] 我要把他教得跟你一样厉害。
 wo3 yao4 ba3 ta1 jiao1 de0 gen1 ni3 yi1yang4
 I will BA he teach DE PREP you same
 li4hai0
 good
 'I will train him to be as good as you are.'

- [40] 他已经跟你一样胖了。
 ta1 yi3jing1 gen1 ni3 yi1yang4 pang4 le0
 he already PREP you same fat LE
 'He has already become as overweight as you (are).'

In addition to 跟 *gen1* 'with,' prepositions like 和 *he2* 'with' and 同 *tong2* 'with' can replace 跟 *gen1* 'with' in equality comparatives, as in [41] and [42].

- [41] 你也可以同我一样。
 ni3 ye3 ke3yi3 tong2 wo3 yi1yang4
 you also can PREP I same
 'You can be the same as me.'

- [42] 教室的设计和教堂一样。
 jiao4shi4 de0 she4ji4 he2 jiao4tang2 yi1yang4
 classroom DE design PREP church same
 'The design of the classrooms is the same as that of the church.'

The predicate of an equality comparative clause can also take a 得 *de0* 'DE' complement, and the equality adverbial 一样 *yi2yang4* 'the same' can appear either after or before 得 *de0* 'DE,' as in [43]–[44], respectively.

- [43] 他跟我跑得一样快。

ta1 gen1 wo3 pao3 de0 yi1yang4 kuai4
 he PREP I run DE same fast
 'He runs as fast as I do.'

- [44] 他跑得跟我一样快。

ta1 pao3 de0 gen1 wo3 yi1yang4 kuai4
 He run DE PREP I same fast
 'He runs as fast as I do.'

11.5.2. Negation of 跟 *gen1* 'with' comparatives

The equality comparative is typically negated by 不 *bu4* 'not,' which appears either after 跟 *gen1* 'with,' as in [45], or before 跟 *gen1* 'with,' as in [46] and [47].

- [45] 我的理由跟他们不一样。

wo3 de0 li3you2 gen1 ta1men0 bu4 yi1yang4
 I DE reason PREP they NEG same
 'My reasons are different from theirs.'

- [46] 我的灯笼不跟你们一样。

wo3 de0 deng1long2 bu4 gen1 ni3men0 yi1yang4
 I DE lantern NEG PREP you same
 'My lantern will not be the same as yours.'

- [47] 你为什么不能跟弟弟一样听话?

ni3 wei4shen2me0 bu4 neng2 gen1 di4di0
 you why NEG can PREP younger_brother
 yi1yang4 ting1hua4
 same obedient
 'Why can't you be as obedient as your (younger) brother?'

11.6. 像 *xiang4* 'like': a partial variant of 跟 *gen1* 'with'11.6.1. The nature of 像 *xiang4* 'like' equality comparatives

The equality comparative has a partial variant marked by 像 *xiang4* 'like.' The essential meaning of a 像 *xiang4* 'like' comparative is that the two terms of comparison look alike or behave similarly. One way to do this is to have one term function as the subject and the other to appear between the pair 像...一样 *xiang4...yi1yang4* 'the same as' or 像...一般 *xiang4...yi1ban1* 'the same as.' Sentence [48] thus means that the hall looks like a museum, while [49] states that the two groups behave alike.

- [48] 大厅看上去像博物馆一样。
 da4ting1 kan4shang4qu4 xiang4 bo2wu4guan3 yi1yang4
 parlor look_like seem museum same
 'The hall looks like a museum.'

- [49] 台湾观光客像日本观光客一般。
 tai2wan1 guan1guang1ke4 xiang4 ri4ben3
 Taiwan tourist seem Japan
 guan1guang1ke4 yi1ban1
 tourist same
 'The Taiwanese tourists behave like Japanese tourists.'

像 *xiang4* 'like' can also appear as an adverbial of a gradable predicate to convey the equality meaning, as in the case of [50].

- [50] 织出来的丝巾像蜘蛛网一样轻巧。
 zhi1 chu1lai2 de0 si1jin1 xiang4 zhi1zhu0
 weave out DE silk_scarf seem spider
 wang3 yi1yang4 qing1qiao3
 net same delicate
 'The silk scarf they produced looks as delicate as a spider web.'

The 像 *xiang4* 'like' comparative has a shortened form in which the two terms of comparison function as the subject and the object, respectively, as in [51]. Sometimes the standard of comparison appears in the form of a nominal phrase with 似的 *si4de* 'one that looks like' as the head. Sentence [52] thus means that she has the appearance of a thief, while [53] means that her body behaves like a tree with roots deep in the ground, namely, she could not move at all.

- [51] 中研院看起来像个杂院。
 zhong1yan2yuan4 kan4 qi3lai2 xiang4 ge4
 Academia_Sinica look QILAI seem CL
 da4za2yuan4
 housing_compound
 'Academia Sinica looks like a courtyard house shared by many families.'

- [52] 她像个小偷似的。
 ta1 xiang4 ge4 xiao3tou1 si4de0
 she seem CL thief like
 'She behaves like a thief.'

- [53] 她的身体就像生了根似的。

ta1 de0 shen1ti3 jiu4 xiang4 sheng1 le0 gen1
 she DE body thus seem grow LE root
 si4de0
 like

'Her body was stiff as if she had been fixed to the ground like (a tree) with roots.'

11.6.2. Negation of 像 *xiang4* 'like' comparatives

像 *xiang4* 'like' comparatives with a gradable predicate can be negated by 不 *bu4* or 没有 *mei2you3*. 不 *bu4* appears in sentences with an imperfective predicate, as in [54], while 没有 *mei2you3* occurs with a perfective predicate, as in [55] and [56].

- [54] 气候就不像高原那么寒冷。

qi4hou4 jiu4 bu4 xiang4 gao1yuan2 na4me0
 climate thus NEG seem highland that
 han2leng3
 cold

'The climate is not as cold as in the highland.'

- [55] 保育的观念还没有像今天这样深植人心。

bao3yu4 de0 guan1nian4 hai2
 environment_conservation DE concept yet
 mei2you3 xiang4 jin1tian1 zhe4yang4 shen1 zhi2
 NEG seem today like_this deep root
 ren2xin1
 people's_heart

'(At that time) the concept of environmental conservation was not yet deeply rooted in people's hearts as it is nowadays.'

- [56] 我的爱从来没像那时那么圣洁, 醇厚。

wo3 de0 ai4 cong2lai2 mei2 xiang4 na4shi2
 I DE love ever NEG seem at_that_time
 na4me0 sheng4jie2 chun2hou4
 that sacred pure_deep

'My love has never been so sacred, pure, and grounded as it was at that time.'

An important feature of negative 像 *xiang4* 'like' comparatives is that the equality adverbial 一样 *yi1yang4* 'the same' is replaced by a deictic adverbial, such as

这么 *zhe4mo0* ‘this manner,’ 这样 *zhe4yang4* ‘this way,’ 那么 *na4me0* ‘that manner,’ or 那样 *na4yang4* ‘that way.’ The deictic adverbial to be used is determined by the speaker according to his perception of the event described in the sentence, as well as the physical and temporal location he puts himself in. 那么 *na4me0* ‘that manner’ is used in [54] because 高原 *gao1yuan2* ‘highland’ is considered a place far away by the speaker, while 这样 *zhe4yang4* ‘this way’ is used in [56] because the speaker puts himself in the frame of 今天 *jin1tian1* ‘today’ when he reviews the environment issue.

11.7. 如 *ru2* ‘as’ equality comparatives

Equality comparatives can also be marked by 如 *ru2* ‘as,’ which can function as the head of a main predicate or occur as part of the pair 如...一样 *ru2...yi1yang4* ‘the same as,’ as shown in [57] and [58], respectively.

- [57] 中研院正如台湾的其他学术机构。
zhong1yan2yuan4 zheng4 ru2 tai2wan1 de0 qi2ta1
 Academia_Sinica exactly as Taiwan DE other
xue2shu4 ji1gou4
 Academic organization
 ‘Academia Sinica is exactly like other academic institutes in Taiwan.’

- [58] 姿势如吹笛一样。
zi1shi4 ru2 chui1 di2 yi1yang4
 gesture as brag flute same
 ‘The posture looks like flute playing.’

The negative form of 如 *ru2* ‘as’ comparatives is predominantly marked with 不 *bu4*, as in [59] and [60].

- [59] 成果不如预期。
cheng2guo3 bu4 ru2 yu4qi1
 result NEG as expectation
 ‘The outcome is not up to expectations.’
- [60] 今年资讯月的热度不如以往。
jin1nian2 zi1xun4 yue4 de0 re4du4 bu4
 this_year information month DE enthusiasm NEG
ru2 yi3wang3
 as previous
 ‘The enthusiasm for Information Month this year is not the same as in previous years.’

The negative 不如 *bu4ru2* comparative can have a scalar predicate, in which the 如 *ru2* ‘as’ phrase is an adverbial. Such a negative sentence typically conveys an inferiority reading instead of a simple inequality reading. Sentence [61] thus means that the box office of next year will be worse than this year’s, and [62] means that the situation is less serious.

- [61] 明年的票房大概不如今年理想。
 ming2nian2 de0 piao4fang2 da4gai4 bu4 ru2
 next_year DE box_office perhaps NEG as
 jin1nian2 li3xiang3
 this_year ideal
 ‘The box office of next year will perhaps not be as good as this year’s.’
- [62] 情势不如外界报导严重。
 qing2shi4 bu4 ru2 wai4jie4 bao4dao3 yan2zhong4
 situation NEG as outside report serious
 ‘The situation is less serious than what is being reported (abroad).’

11.8. 有 *you3* ‘YOU’ equality comparatives

Equality comparatives marked by 有 *you3* ‘YOU’ predominantly have a gradable predicate with a deictic adverbial 这么 *zhe4me0* ‘this manner’ or 那么 *na4me0* ‘that manner,’ which sometimes takes 这样 *zhe4yang4* ‘this way’ or 那样 *na4me0* ‘that way’ as a variant. The main meaning of such a comparative is that the term being compared has some property similar to that of the standard of comparison. The most likely scenario for sentence [63] is that the speaker is making a gesture to indicate a certain height and says that the girl is as tall as that, while the standard of comparison in [64] is the height of a table, which is far away from the speaker.

- [63] 她女儿有这么高了。
 ta1 nü3er2 you3 zhe4me0 gao1 le0
 she daughter YOU so tall LE
 ‘Her daughter is as tall as this.’
- [64] 她女儿有桌子那么高了。
 ta1 nü3er2 you3 zhuo1zi0 na4me0 gao1 le0
 she daughter YOU desk that tall LE
 ‘Her daughter is as tall as the desk.’

有 *you3* equality comparatives are commonly found in interrogative forms, as shown in [65].

- [65] 我妹妹有没有这么可爱?

wo3 mei4mei0 you3 mei2you3 zhe4me0
 I younger_sister YOU NEG so
 ke3ai4
 lovely
 'Is my kid sister as adorable?'

The negative form of 有 *you3* equality comparatives carries an inferiority reading. The second clause in [66] thus means that there are fewer big cities in China in comparison with the US, while [67] means that the issue is less simple, namely, more complicated than expected.

- [66] 中国有不少大城,可是没有美国那么多。

zhong1guo2 you3 bu4shao3 da4 cheng2 ke3shi4
 China have many big city yet
 mei2you3 mei3guo2 na4me0 duo1
 NEG the_United_States that much
 'China has quite a few big cities, but not as many as in the United States.'

- [67] 这事原来没有那么简单。

zhe4 shi4 yuan2lai mei2you3 na4me0 jian3dan1
 this thing originally NEG that simple
 'The issue turned out not to be as simple (as previously thought).'

11.9. 越...越... *yue4...yue4...* 'the more...the more...' correlative comparative constructions

A correlative comparative construction consists of two comparative phrases, each of which is marked with 越 *yue4* 'the more...'. The two comparative phrases can appear as two conjoined clauses or as a single clause with two conjoined predicates. The two comparative phrases can have the same subject, as in [68] and [69], which have conjoined predicates, and [70], which has conjoined clauses.

- [68] 雪越下越大。

xue3 yue4 xia4 yue4 da4
 snow the_more fall the_more big
 'It is snowing heavier and heavier.'

- [69] 公文也越堆越高。

gong1wen2 ye3 yue4 dui1 yue4 gao1
 official_document also the_more pile_up the_more high
 'The official documents are piling up higher and higher.'

[70] 寄生藤长得越大, 需要的养分就越多。

ji4sheng1teng2 zhang3 de0 yue4 da4
parasitic_woody_vines grow DE the_more big

xu1yao4 de0 yang3fen4 jiu4 yue4 duo1
need DE nutrient thus the_more much

'The bigger the parasitic woody vines grow, the more nutrients they need.'

A 越...越...yue4...yue4... 'the more...the more...' comparative indicates a proportional or a parallel decrease or increase along the two scales expressed by the two comparative phrases sharing the same subject, as in [68] through [70]. The same is true for sentences like [71], where the two comparative phrases have different subjects. [71] correlates the crying of the mother with her daughter's speech.

[71] 陈静越说, 她妈妈哭得越厉害。

chen2jing4 yue4 shuo1 ta1 ma1ma0 ku1 de0
CHEN_JING the_more say she mother cry DE

yue4 li4hai0
the_more hard

'The more Jing CHEN talked, the more her mother cried.'

Adverbs

Yung-O Biq and Chu-Ren Huang

This chapter is concerned with the morphosyntactic and functional properties of adverbs. The major types of adverbs, including temporal adverbs, degree adverbs, scope adverbs, and attitudinal adverbs, will be described. Some of the most commonly used adverbs will also be discussed in detail. An adverb typically modifies the properties of a verb, an adjective, or another adverb. Non-derived adverbs are not morphologically marked, while derived adverbs undergo a word-formation process, such as reduplication or de-suffixation. It is also important to notice that many phrasal units can have the same modifying functions and they are called adverbials. In this chapter, we will only focus on the non-derived adverbs.

12.1. Distinctive properties of adverbs

- Adverbs are typically monosyllabic or disyllabic. A significant number of disyllabic adverbs are formed by the reduplication of monosyllabic adjectives or adverbs.
- Adverbs are typically positioned to the left of the main predicate of a clause and to the left of the unit it modifies. Some adverbs can occur at the sentence-initial position, but others can never do so. When there is more than one adverb in a clause, their occurrence is ordered.
- Adverbs in Chinese can be largely classified into two groups. Descriptive adverbs typically have content word characteristics, including having a big number of members in this group and being open to neologism. Functional adverbs typically have functional word characteristics, including being a small closed group with versatile high-frequency grammatical features.

12.2. Overview of adverbs

Similar to many other languages, adverbs in Chinese form a loosely defined category in which members can differ from one another in their morphology, syntax, meaning, and function.

Chinese adverbs can first and foremost be divided into two groups: descriptive adverbs and functional adverbs (also called “restrictive” adverbs). Descriptive adverbs have a specific meaning that describes a particular aspect of an action or a

state, such as the manner or means of carrying out an action (e.g., 高声 *gao1sheng1* ‘loudly,’ 亲自 *qin1zi4* ‘in person’). Compared to functional adverbs, descriptive adverbs are more lexical, more of the “content” or “open-class” word type, and the majority of them are disyllabic or longer. Functional adverbs, on the other hand, are more of the “function” or “closed-class” word type. Many of them are disyllabic, such as 经常 *jing1chang2* ‘always’ and 虽然 *sui1ran2* ‘although,’ but those most frequently used are monosyllabic, such as 都 *dou1* ‘all’ and 才 *cai2* ‘just.’ Functional adverbs cover a wide spectrum of grammatical meanings, such as degree, scope, time, frequency, logical relations, textual relations, and the speaker’s stance. The total number of adverbs, hence, is smaller than open-class nouns and verbs but greater than closed-class prepositions. Most descriptive adverbs are derived from adjectives, which are discussed in Chapter 10. This chapter focuses on functional adverbs. They will be referred to as adverbs hereafter and, unless confusion arises, the term “adverbs” will replace “functional adverb phrases,” since adverb phrases predominantly consist of just the head adverb when they work as adverbials in a clause.

Adverbs typically modify verbs, as in [1], and adjectives, as in [2].

- [1] 你一直待我很好, 我会永远记着你。
 ni3 yi1zhi2 dai4 wo3 hen3 hao4 wo3 hui4
 you always treat I very well I can
 yong3yuan3 ji4 zhe0 ni3
 always sign ZHE you
 ‘You have always treated me well. I will remember you forever.’
- [2] 此种仪器非常昂贵, 只有中央卫生单位有类似仪器。
 ci3 zhong3 yi2qi4 fei1chang2 ang2gui4 zhi3you3
 this CL apparatus very costly only
 zhong1yang1 wei4sheng1 dan1wei4 you3 lei4si4
 central health unit have similar
 yi2qi4
 apparatus
 ‘This kind of apparatus is very expensive. Only the health department in the central government has something like it.’

There are cases where an adverb seems to modify a nominal phrase that serves as the predicate of a clause, underlining its event-modifying function, as in [3] (see Chapter 8).

- [3] 春节放假日数由除夕到初三, 一共四天。
 chun1jie2 fang4jia4 ri4 shu4 you2
 Spring_Festival have_day_off day number PREP

chu2xi4 dao4 chu1san1 yi1gong4
 New_Year's_Eve PREP third_day_of_New_Year altogether

si4 tian1

four day

'The Chinese New Year's holiday starts from New Year's Eve to the Third Day, altogether four days.'

Adverbs generally appear between the subject and the predicate, such as in [4].

- [4] 我反正没有事做, 决定送他去。

wo3 fan3zheng4 mei2you3 shi4 zuo4 jue2ding4
 I anyway NEG thing do decide

song4 ta1 qu4

send he go

'I was free anyway, so I decided to accompany him to the place.'

However, some adverbs can also appear before the subject, such as in [5].

- [5] 反正人老了, 迟早都得走的么。

fan3zheng4 ren2 lao3 le0 chi2zao3 dou1
 anyway people old LE sooner_or_later all

dei3 zou3 de0 me0

must go DE ME

'One way or another, people pass away when they get old.'

Adverbs can be modified by other adverbs but are characteristically not modified by elements from other grammatical categories. Another property of adverbs is that only a small number of them can be used alone in answering questions, for example, 也许 *ye2xu3* 'perhaps' and 一定 *yi1ding4* 'certainly.'

When there is more than one adverb appearing before the modified element, the order of these adverbs often reflects the meaning difference, with the adverb to the left having scope over (i.e., modifying) the adverb following. Such contrasts are most salient when negation is involved, such as in [6] and [7] (see Chapter 6).

- [6] 对离婚后的妻子及子女太不公平了。

dui4 li2hun1 hou4 de0 qi1zi3 ji2 zi3nü3 tai4
 PREP divorce after DE wife and kid too

bu4gong1ping2 le0

unfair LE

'This is extremely unfair to the divorced wife and her children.'

- [7] 做生意若对客户都公平不太容易。

zuo4sheng1yi4 ruo4 dui4 mei3 ge4 ke4hu4 dou1
do_business if PREP every CL client all

gong1ping2 bu4 tai4 rong2yi4
fair NEG too easy

'It is not very easy to be fair to every customer when doing business.'

Some adverbs are used as connective adjuncts to connect two predicates or two clauses. Among these conjunctive adverbs, some are used alone (e.g., 也 *ye3*, 就 *jiu4*); some are repeated as a pair (e.g., 也...也...*ye3...ye3...* 越...越...*yue4...yue4...*); and some are paired with other adverbs (e.g., 再...也...*zai4...ye3...*). The connecting function of adverbs will be discussed in more detail in Chapter 14.

Most adverbs are monosyllabic or disyllabic. However, there are also trisyllabic ones, such as 显然是 *xian3ranshi4* 'apparently.' Many disyllabic adverbs consist of reduplicated roots (e.g., 刚刚 *gang1gang1* 'just now,' 常常 *chang2chang2* 'often, frequently'). Although these disyllabic adverbs usually share similarity in meaning and function with their monosyllabic counterparts, neither the derivation nor the meaning of these adverbs can be predicted. For instance, an adverb in reduplication form seems to be linked to a verb (e.g., 偷偷 *tou1tou1* 'stealthily' and 偷 *tou1* 'to steal'), an adjective (e.g., 苦苦 *ku3ku3* 'indefatigably' and 苦 *ku3* 'bitter'), or a noun (e.g., 草草 *cao3cao3* 'hurriedly and carelessly' and 草 *cao3* 'grass'), or an adverb, as those given in the list below. Because the relation between the reduplicated adverb and the monosyllabic root is often lexically conventionalized and idiosyncratic, the reduplicated form is best described as a common morphological feature of adverbs.

暗暗 <i>an4an4</i> 'secretly'	每每 <i>mei3mei3</i> 'every time, often'
白白 <i>bai2bai2</i> 'for nothing, in vain'	明明 <i>ming2ming2</i> 'obviously'
草草 <i>cao3cao3</i> 'hastily'	默默 <i>mo4mo4</i> 'quietly'
常常 <i>chang2chang2</i> 'often'	偏偏 <i>pian1pian1</i> 'deliberately'
迟迟 <i>chi2chi2</i> 'slowly, tardily'	频频 <i>pin2pin2</i> 'frequently'
处处 <i>chu4chu4</i> 'everywhere'	恰恰 <i>qia4qia4</i> 'exactly, coincidentally'
大大 <i>da4da4</i> 'enormously'	悄悄 <i>qiao1qiao1</i> 'quietly'
单单 <i>dan1dan1</i> 'only, singularly'	轻轻 <i>qing1qing1</i> 'gently'
独独 <i>du2du2</i> 'only, alone'	冉冉 <i>ran3ran3</i> 'gradually'
泛泛 <i>fan4fan4</i> 'generally'	稍稍 <i>shao1shao1</i> 'slightly'
纷纷 <i>fen1fen1</i> 'one after another'	深深 <i>shen1shen1</i> 'deeply'
愤愤 <i>fen4fen4</i> 'angrily'	时时 <i>shi2shi2</i> 'often'

刚刚 <i>gang1gang1</i> 'a short while ago'	死死 <i>si3si3</i> 'deadly tightly'
乖乖 <i>guai1guai1</i> 'obediently'	通通 <i>tong1tong1</i> 'altogether'
好好 <i>hao3hao3</i> 'carefully'	偷偷 <i>tou1tou1</i> 'stealthily'
狠狠 <i>hen3hen3</i> 'very hard'	团团 <i>tuan2tuan2</i> 'all around'
缓缓 <i>huan3huan3</i> 'slowly'	往往 <i>wang3wang3</i> 'often'
渐渐 <i>jian4jian4</i> 'gradually'	微微 <i>wei2wei2</i> 'slightly, a little'
仅仅 <i>jin3jin3</i> 'just'	徐徐 <i>xu2xu2</i> 'slowly'
紧紧 <i>jin3jin3</i> 'tightly'	一一 <i>yi1yi1</i> 'one by one'
久久 <i>jiu3jiu3</i> 'for a long, long time'	早早 <i>zao3zao3</i> 'well in advance'
快快 <i>kuai4kuai4</i> 'quickly'	整整 <i>zheng3zheng3</i> 'fully'
牢牢 <i>lao2lao2</i> 'tightly'	淳淳 <i>zhun1zhun1</i> 'earnestly and tirelessly'
连连 <i>lian2lian2</i> 'repeatedly'	足足 <i>zu2zu2</i> 'fully'

Another frequently seen morphological form is “X + 是 *shi4*,” where X is itself an adverb, for example, 显然 + 是 *xian3ran2 shi4* = 显然是 *xian3ran2shi4* ‘apparently.’ Again, the derived word shares almost exactly the same meaning and function as the adverb preceding 是 *shi4*. Consider the following examples.

- [8] 这份特别的广告**显然**打动了消费者的心。
zhe4 fen4 te4bie2 de0 guang3gao4 xian3ran2
 this CL special DE advertisement apparently
da3dong4 le0 xiao1fei4zhe3 de0 xin1
 move_emotionally LE consumer DE heart
 ‘This special ad has apparently had an effect on the consumers.’

- [9] 酒**显然是**变质了, 大不了就是变成醋吧。
jiu3 xian3ran2 shi4 bian4zhi2 le0 da4bu4liao3
 wine apparently SHI spoil LE serious
jiu4shi4 bian4cheng2 cu4 ba0
 then_SHI change_into vinegar BA
 ‘The wine has apparently gone bad. Well, it will turn into vinegar in the worst case.’

Since functional adverbs express grammatical rather than lexical meaning, they are often pronounced with a reduced stress, rendering a light tone reading. The origin of functional adverbs is not restricted to the vernacular and some of them are residues from Classical Chinese, such as 甚 *shen4* ‘very,’ 颇 *po1* ‘rather,’ and 尚 *shang4* ‘yet.’ These adverbs are rarely used in spoken genres but are still frequently seen in written genres. It is also important to note that monosyllabic forms often

indicate a formal register, especially when corresponding disyllabic forms are also available, such as in [10].

- [10] 她功课颇好, 为人甚佳, 以致人缘极佳。
 ta1 gong1ke4 po3 hao4 wei2ren2 shen4 jia1
 she homework rather good behave very fine
 yi3zhi4 ren2yuan2 ji2 jia1
 lead relations_with_other_people extremely fine
 'Her academic performance is rather good, and she is also a very nice
 person. So she is very popular.'

12.3. Types of functional adverbs

Functional adverbs can be further divided into a number of subgroups, including the important subtype of negation adverbs, which were already covered in Chapter 6.

12.3.1. Temporal adverbs

Temporal adverbs represent the temporal properties of an event and can be categorized according to the temporal facets they pertain to. Duration adverbs represent a length of time during which actions or states last, including 永远 *yong3yuan3* 'forever,' 始终 *shi3zhong1* 'always,' 一向 *yi1xiang4* 'always,' 一直 *yi1zhi2* 'always,' 仍旧 *reng2jiu4* 'still,' 仍然 *reng2ran2* 'still' (for a long duration), and 暂且 *zhan4qie3* 'for the time being' (for a short duration). Examples of duration adverbs are shown in [11]–[13].

- [11] 爸爸一直希望我们有一个能去学医。
 ba4ba0 yi1zhi2 xi1wang4 wo3men0 you3 yi1 ge4
 father always hope we YOU one CL
 neng2 qu4 xue2 yi1
 can go learn medicine
 'Father has always hoped that one of us can study medicine.'
- [12] 昨天下着雨, 今天仍旧下着雨。
 zuo2tian1 xia4 zhe0 yu3 jin1tian1 reng2jiu4 xia4
 yesterday fall ZHE rain today still fall
 zhe0 yu3
 ZHE rain
 'It was raining yesterday, and it is still raining today.'

- [13] 故事的结尾惊心动魄, 暂且 卖个关子。

gu4shi4 de0 jie2wei3 jing1xin1dong4po4 zhan4qie3
story DE end soul-stirring for_the_moment

mai4 ge4 guan1zi0
sell CL seal

'The ending of the story will be explosive, and for now let's keep it a secret.'

Some adverbs express a point in time, typically the event time, in relation to the time of reference, such as 刚 *gang1* 'just now,' 立刻 *li4ke4* 'immediately,' and 马上 *ma3shang4* 'right away' for a short interval between two time points, as in [14], and 忽然 *hu1ran2* 'suddenly' for an unexpected happening at the event time point, as in [15].

- [14] 她马上拉着我的手往外走。

ta1 ma3shang4 la1 zhe0 wo3 de0 shou3
she at_once pull ZHE I DE hand

wang3wai4 zou3
outward go

'Immediately she took up my hand and walked out.'

- [15] 有一天, 这头牛忽然发狂, 又将新主人撞死了。

you3yi1tian1 zhe4 tou2 niu2 hu1ran2 fa1kuang2
one_day this CL ox suddenly go_mad

you4 jiang1 xin1 zhu3ren2 zhuang4 si3 le0
again BA new host hit dead LE

'One day the cattle suddenly got crazy, ran into its new owner, and killed him.'

Some adverbs describe the aspectual facet of an event, such as 曾 *ceng2* 'once,' 曾经 *ceng2jing1* 'once,' 已经 *y3ijing1* 'already,' and 早已 *zao3yi3* 'long time ago' for the realis mood; 快 *kuai4* 'about to,' 就要 *jiu4yao4* '(be) going to,' 迟早 *chi2zao3* 'sooner or later,' and 早晚 *zao3wan3* 'sooner or later' for the irrealis mood; and 正 *zheng4* 'currently' and 正在 *zheng4zai4* 'currently' for the progressive aspect. Examples of this type of adverb are shown in [16]–[18].

- [16] 我曾住过纽约, 后来以柏克莱为家。

wo3 ceng2 zhu4 guo4 niu3yue1 hou4lai2 yi3
I ever live GUO New_York later PREP

bo2ke4lai2 wei2 jia1
Berkeley as home

'I used to live in New York, but later I lived in Berkeley.'

- [17] 这件事**快**完结了,你不用担心。

zhe4 jian4 shi4 kuai4 wan2jie2 le0 ni3 bu4yong4
 this CL thing fast end LE you need_not
 dan1xin1
 worry
 'This matter is soon to be over. Don't worry.'

- [18] 超过 30 个鹦鹉种群**正**处于灭亡的危机之中。

chao1guo4 30 ge4 ying1wu3 zhong2qun2 zheng4
 exceed thirty CL parrot schools right_now
 chu3yu1 mie4wang2 de0 wei1ji1
 be_in_a_certain_condition die_out DE crisis
 zhi1zhong1
 among
 'Over thirty species of parrots are right now facing extinction.'

Frequency adverbs represent the frequency of an event taking place within a timeframe, such as 老(是) *lao3 (shi4)* 'always' and 总(是) *zong3 (shi4)* 'always' for high frequency; 时 *shi2* 'often,' 常 *chang2* 'often,' 时时 *shi2shi2* 'often,' 经常 *jing1chang2* 'often,' 常常 *chang2chang2* 'often,' 渐渐 *jian4jian4* 'gradually,' 频频 *pin2pin2* 'frequently,' 连连 *lian2lian2* 'consecutively,' and 日渐 *ri4jian4* 'day by day' for middle frequency; and 偶然 *ou3ran2* 'by accident, occasionally,' 偶尔(而) *ou3er3* 'occasionally,' and 有时 *you3shi2* 'sometimes' for low frequency. Examples of this type of adverb are shown in [19]–[21].

- [19] 她当学生时,数学**老是**考不及格。

ta1 dang1 xue2sheng1 shi2 shu4xue2 lao3shi4
 she be student when mathematics always
 kao3 bu4ji2ge2
 examine fail
 'When she was a student, she always failed the math exams.'

- [20] 生病期间,他仍**时时**关心着《台湾文艺》的编务。

sheng1bing4 qi1jian1 ta1 reng2 shi2shi2
 fall_ill period he still often
 guan1xin1 zhe0 tai2wan1 wen2yi4 de0
 be_concerned_about ZHE Taiwan literature_and_art DE

bian1 wu4

edit matters

'Even when he was ill, he still cared about the editorial matters for Taiwan Wenyi constantly.'

- [21] 十二月中旬至二月: 平均温度 15 度, **有时** 降至 10 度或更低。

shi2er4yue4 zhong1xun2 zhi4 er4yue4 ping2jun1

December middle to February average

wen1du4 15 du4 you3shi2 jiang4 zhi4 10

temperature 15 degree at_times drop to 10

du4 huo4 geng4 di1

degree or more low

'From mid December to February, the average temperature is 15 degrees, and occasionally it can be as low as 10 degrees or even lower.'

Order adverbs deal with the order of events. They include 先 *xian1* 'first,' 接着 *jie1zhe0* 'afterwards,' 然后 *ran2hou4* 'and then,' 依次 *y1ici4* 'following the order,' 随后 *sui2hou4* 'afterwards,' 随即 *sui2ji2* 'right after,' 接连 *jie1lian2* 'consecutively,' 相继 *xiang1ji4* 'one after another,' and 陆续 *lu4xu4* 'one after another' for different aspects of sequencing. Some of these adverbs are shown in [22]–[23].

- [22] 我休息片刻, 然后开始教课。

wo3 xiu1xi2 pian4ke4 ran2hou4 kai1shi3 jiao1ke4

I rest moment then start teach_a_lesson

'I took a short break, and then started teaching.'

- [23] 这家公司相继成立马来西亚, 韩国, 大陆等九家海外分公司。

zhe4 jia1 gong1si1 xiang1ji4 cheng2li4

this CL company in_succession found

ma3lai2xi1ya3 han2guo2 da4lu4 deng3 jiu3 jia1

Malaysia Korea mainland etc. nine CL

hai3wai4 fen1gong1si1

abroad branch_offices

'The company has established nine branch offices, one after another, in Malaysia, Korea, and Mainland China.'

Repetition adverbs address the recurrences of an event, as in [24]. They include 又 *you4* 'again,' 也 *ye3* 'also,' 再 *zai4* 'again, once more,' 还 *hai2* 'more, still,' 重 *chong2* 'again,' 一再 *y1zai4* 'again and again,' 再三 *zai4san1* 'again and again,' and 重新 *chong2xin1* 'once again.'

- [24] 作者一再强调饮食,运动和冥想是健康的三大要素。

zuo4zhe3 yi1zai4 qiang2diao4 yin3shi2 yun4dong4
 writer repeatedly stress diet exercise
 he2 ming2xiang3 shi4 jian4kang1 de0 san1 da4
 and meditation be healthy DE three big
 yao4su4
 components

'The author emphasizes again and again that diet, exercise, and
 meditation are the three important components for staying healthy.'

The type of adverbs selected is dependent on the situation type of the verb. For example, achievement verbs like 死 *si3* 'die' and 破 *po4* 'become broken' are typically associated with time point adverbs or duration adverbs in the realis mood, as in 忽然死了 *hu1ran2 si3le0* 'suddenly died' and 已经破了 *yi3jing1 po4le0* 'already broken,' rather than adverbs indicating continuation.

Temporal adverbs indicate the temporal aspect of the predicate. Temporal adverbs and temporal nouns are sometimes hard to distinguish, since some of them are morphologically and lexically similar, such as the adverb 刚 *gang1* 'just now' versus the noun 刚才 *gang1cai2* 'just now.' Some temporal nouns have the same function of adverbs in that they can serve as adverbials, as in 现在就去 *xian4zai4 jiu4 qu4* 'Go now!,' in which 现在 *xian4zai4* 'now' is a noun, and 立刻去 *li4ke4 qu4* 'go immediately!,' in which 立刻 *li4ke4* 'immediately' is an adverb (see Chapter 8).

When a temporal adverb co-occurs with other adverbs, it is usually positioned after attitudinal adverbs but before descriptive adverbs, negation adverbs, and degree adverbs. Scope adverbs may precede or follow temporal adverbs. Consider the examples in [25–30].

- [25] 这本大部头著作居然已经被抢购一空。 [after attitudinal adverb]

zhe4 ben3 da4bu4tou2 zhu4zuo4 ju1ran2
 this CL multiple-volumed work unexpectedly
 yi3jing1 bei4 qiang3gou4yi1kong1
 already BEI sell_out

'Unexpectedly, this big work has been sold out already.'

- [26] 这些公共建设已经迅速地获得重建。 [before descriptive adverb]

zhe4xie1 gong1gong4 jian4she4 yi3jing1 xun4su4 de0
 these common build already rapid DE
 huo4de2 chong2jian4
 get rebuild

'These public constructions have already been rebuilt quickly.'

- [27] 店员告诉我这些书已经不流行了。 [before negation adverb]
 dian4yuan2 gao4su4 wo3 zhe4xie1 shu1 yi3jing1
 clerk tell I these book already
 bu4liu2xing2 e0
 out_of_fashion LE
 'The store clerk told me these books are out of fashion already.'
- [28] 现在学英文已经太晚了啦! [before degree adverb]
 xian4zai4 xue2 ying1wen2 yi3jing1 tai4 wan3 le0
 now learn English already too late LE
 'It is too late to study English now.'
- [29] 住在这儿的人都已经习惯了。 [after scope adverb]
 zhu4 zai4 zhe4er0 de0 ren2 dou1 yi3jing1
 live PREP here DE people all already
 xi2guan4 le0
 get_used_to LE
 'Everyone who lives here has already gotten used to it.'
- [30] 现在这种情况,我想你已经都看到了。 [before scope adverb]
 xian4zai4 zhe4 zhong3 qing2kuang4 wo3 xiang3 ni3
 now this CL situation I think you
 yi3jing1 dou1 kan4dao4 le0
 already all see LE
 'The current situations, I think you've already seen it all.'

12.3.2. Degree adverbs

Degree adverbs include those indicating an excessive degree, like 太 *tai4* 'too (much)' and 过份 *guo4fen4* 'excessively, too (much)'; a maximum degree, like 最 *zui4* 'the most' and 极 *ji2* 'extremely'; a high degree, like 很 *hen3* 'very,' 非常 *fei1chang2* 'very,' 相当 *xiang1dang1* 'quite,' and 十分 *shi2fen1* 'quite'; and a low degree, like 有 (一) 点 (儿) *you3 (yi1) dian3 (er0)* 'a little' and 稍微 *shao1wei1* 'a little bit.' Examples of this type of adverb are shown in [31]–[34].

- [31] 部分校长认为今年的考题太难。
 bu4fen4 xiao4zhang3 ren4wei2 jin1nian2 de0
 part principals think this_year DE
 kao3ti2 tai4 nan2
 examination_paper too hard
 'Some principals thought that this year's exam questions were too hard.'

- [32] 肝癌患者有极大比例来自 B 型肝炎带原者。

gan1ai2 huan4zhe3 you3 ji2da4 bi3li4 lai2zi4
liver_cancer patients YOU huge scale come_from

B xing2 gan1yan2 dai4 yuan2 zhe3

B type hepatitis carry plain -er

'An extremely large percentage of liver cancer patients are Hepatitis B carriers.'

- [33] 三十亿的经费确是一笔很大的数目。

san1shi2 yi4 de0 jing1fei4 que4 shi4
thirty hundred_million DE fund true be

yi1 bi3 hen3 da4 de0 shu4mu4

one CL very big DE amount

'The 3 billion budget is indeed a very large sum.'

- [34] 我有点后悔出门前没有多保点意外险。

wo3 you3dian3 hou4hui3 chu1men2 qian2 mei2you3
I some regret go_out before NEG

duo1 bao3 dian3 yi4wai4 xian3

more keep some accident insurance

'I regretted somewhat that before this trip I did not buy more accident insurance.'

Adverbs used in comparison are also degree adverbs, for example, 比较 *bi3jiao4* 'comparatively,' 更 *geng4* 'even more,' and 还 *hai2* 'still,' as in [35] (see Chapter 11).

- [35] 妈妈作了结论:「还是哥哥比较精明。」

ma1ma0 zuo4 le0 jie2lun4 hai2shi4 ge1ge0
mother do LE conclusion still elder_brother

bi3jiao4 jing1ming2

relatively smart

'Mother concluded: "The older brother is smarter."'

Some degree adverbs express not only degree but also the speaker's evaluative attitude toward what is talked about. The adverb 太 *tai4* 'too (much)' indicates that the speaker considers the situation "excessive." Among degree adverbs that express subjective evaluation, 绝顶 *jue2ding3* 'extremely, utterly' typically connotes a positive stance, as in [36], while 过于 *guo4yu2* 'overly, excessively' connotes a negative stance, as in [37].

- [36] 我也算绝顶聪明, 居然不知道心理治疗就是填写一堆表格。
 wo3 ye3 suan4 jue2ding3 cong1ming0 ju1ran2
 I also to_be utterly smart unexpectedly
 bu4 zhi1dao4 xin1li3zhi4liao2 jiu4shi4 tian2xie3
 NEG know psychotherapy exactly fill_in_a_form
 yi1 dui1 biao3ge2
 one pile form
 'I am also supposed to be extremely smart, but I did not know
 psychotherapy is just filling out a series of forms.'

- [37] 我们以前对这首民歌的理解过于浮浅了。
 wo3men0 yi3qian2 dui4 zhe4 shou3 min2ge1 de0
 we before PREP this CL folk_song DE
 li3jie3 guo4yu2 fu2qian3 le0
 comprehend too shallow LE
 'Our understanding of this folk song in the past was overly shallow.'

Another important characteristic of degree adverbs is their collocation with certain types of nouns. Such nouns, like the 英雄 *ying1xiong2* 'hero' in [38], are not actually used for the entities they represent but rather for the qualities or features of the entities. They are therefore no longer nouns but adjectives. When they are modified by degree adverbs, it is the qualities or the features that are being highlighted (see Chapter 8).

- [38] 一人做事一人当, 似乎很英雄。
 yi1 ren2 zuo4shi4 yi1 ren2 dang1
 one person work one person take_responsibility
 si4hu1 hen3 ying1xiong2
 seem very hero
 'Taking full responsibility for what one does seems heroic.'

Degree adverbs cannot be used alone, such as in answering a question. For the question 这件大衣很贵吗? *zhe4 jian4 da4yi1 hen3 gui4 ma0* 'Is this coat expensive?', the minimum answer has to be 很贵 *hen3 gui4* 'very expensive,' including both the adverb and the modified adjective. When co-occurring with other types of adverbs, degree adverbs usually follow attitudinal adverbs, temporal adverbs, and scope adverbs, but precede descriptive adverbs, as shown in [39]–[42]. Degree adverbs and negation adverbs may precede or follow each other to represent different meanings, as the contrast between [43]–[44] shows.

- [39] 这支新舞果然非常轰动。 [after attitudinal adverb]
 zhe4 zhi1 xin1 wu2 guo3ran2 fei1chang2
 this CL new dance as_expected very
 hong1dong4
 sensational
 'This new dance was indeed very sensational.'
- [40] 他曾经非常热衷于写诗。 [after temporal adverb]
 ta1 ceng2jing1 fei1chang2 re4zhong1yu2 xie3
 he once very be_enthusiastic_about write
 shi1
 poem
 'He was once very enthusiastic about poetry writing.'
- [41] 与会人员都相当赞同推行母语教学。 [after scope adverb]
 yu3hui4 ren2yuan2 dou1 xiang1dang1
 at_the_meeting people all quite
 zan4tong2 tui1xing2 mu3yu3 jiao4xue2
 agree implement mother_tongue teaching
 'Everyone at the meeting all fully supported using the mother tongue as the means of instruction.'
- [42] 影片非常刻意地避免偏向民主党或共和党。 [before descriptive adverb]
 ying3pian4 fei1chang2 ke4yi4 de0 bi4mian3
 film very on_purpose DE avoid
 pian1xiang4 min2zhu3dang3 huo4 gong4he2dang3
 lean_toward Democratic_Party or Republican_Party
 'The film was extremely careful in not leaning toward the Democratic Party or the Republican Party.'
- [43] 心情已经很不好了, 还要上课。 [before negation adverb]
 xin1qing2 yi3jing1 hen3 bu4 hao3 le0 hai2 yao4
 mood already very NEG good LE still need
 shang4ke4
 go_to_class
 'I was already in a very bad mood, and I still had to go to class.'
- [44] 走在阴暗的街道上, 心情实在不很舒服。 [after negation adverb]
 zou3 zai4 yin1an4 de0 jie1dao4 shang4 xin1qing2
 go PREP dark DE street on mood

shi2zai4 bu4 hen3 shu1fu0
 really NEG very comfortable
 'Walking on a dark street, I was not in a very good mood.'

12.3.3. Scope adverbs

There are three subtypes of scope adverbs. For universal coverage, 都 *dou1* 'all,' 全 *quan2* 'all,' and 一共 *yi1gong4* 'altogether' are frequently used, as in [45]. For minimum coverage, 只 *zhi3* 'only' and 光 *guang1* 'only, just' are frequently used, as in [46]. For approximation coverage, 大概 *da4gai4* 'about, approximately' and 大约 *da4yue1* 'about, approximately' are frequently used, as in [47].

- [45] 老公公将经过的情形全看得一清二楚。
 lao3gong1gong1 jiang1 jing1guo4 de0 qing2xing2 quan2
 old_man BA pass DE situation all
 kan4 de0 yi1qing1er4chu3
 see DE be_very_clear_about_this
 'The old man saw the whole thing completely and clearly.'
- [46] 从龙溪社区到小学, 只有一千五百公尺。
 cong2 long2xi1 she4qu1 dao4 xiao3xue2
 PREP Long_Xi community PREP elementary_school
 zhi3you3 yi1qian1 wu3bai3 gong1chi3
 only one_thousand five_hundred meter
 'From the Longxi Community to the elementary school, the distance is only 1,500 meters.'
- [47] 根据现有统计数字, 每年大概有两万台湾居民移出。
 gen1ju4 xian4you3 tong3ji4 shu4zi4 mei3nian2
 PREP existing statistic number every_year
 da4gai4 you3 liang3wan4 tai2wan1
 approximately YOU twenty_thousand Taiwan
 ju1min2 yi2 chu1
 resident move out
 'According to the statistics, every year there are approximately 20,000 people emigrating out of Taiwan.'

Some adverbs of minimum coverage suggest that the named items are the exceptional cases and the rest are in the opposite situation, such as 唯独 *we2idu2* 'only,' 只有 *zhi2you3* 'only,' and 偏偏 *pian1pian1* 'contrarily,' as shown in [48].

- [48] 抢婚季**只有**布农族才有, 其他各族就没有。

qiang3hun1 ji4 zhi3you3 bu4nong2 zu2
 bride_kidnapping season only Bunnun clan
 cai2 you3 qi2ta1 ge4 zu2 jiu4 mei2you3
 just have other each clan thus NEG

'The bride-kidnapping festival is only a tradition of the Bunnuns, and the other tribes do not have it.'

Some other minimum coverage adverbs "single out" the named item as the "in" one while they exclude the rest in the group, for example, 光 *guang1* 'only,' 单 *dan1* 'only,' and 仅仅 *jin2jin3* 'only,' as shown in [49]:

- [49] 高级的私立大学, 一年**光**学费就 20 万上下。

gao1ji2 de0 si1li4 da4xue2 yi1 nian2 guang1
 high_in_rank DE private college one year only
 xue2fei4 jiu4 er4shi2 wan4 shang4xia4
 tuition_fee thus twenty ten_thousand about

'In a good private college, the tuition alone costs about \$200,000 a year.'

In the approximation type, in addition to those describing vague quantities, such as 大约 *da4yue1* 'about, approximately,' there are adverbs indicating small quantities, such as 不过 *bu2guo4* 'just' and 最多 *zui4duo1* 'the most,' and adverbs indicating large quantities, such as 多半 *duo1ban4* 'over half, most,' 至少 *zhi4shao3* 'at least,' and 足足 *zu2zu2* 'not less than.' [50] and [51] are examples of approximation adverbs.

- [50] 台湾光复之初, 人口**不过** 600 余万人。

tai2wan1 guang1fu4 zhi1chu1 ren2kou3
 Taiwan recover_lost_land beginning population
 bu2guo4 liu4bai3 yu2 wan4 ren2
 only six_hundred over ten_thousand human

'When Taiwan came back to China, its population was just about six million.'

- [51] 今年虽说不景气, 仍**足足**赚了一亿元。

jin1nian2 sui1suo1 bu4jing3qi4 reng2 zu2zu2
 this_year though in_depressing_state still fully
 zhuan4 le0 yi1yi4 yuan2
 gain LE hundred_million yuan

'Although it was in recession this year, (they) still made a profit of no less than \$100 million.'

Scope adverbs can be divided into two subtypes: backward-referring ones and forward-referring ones. For backward-referring scope adverbs, such as 都 *dou1* ‘all,’ 全 *quan2* ‘all,’ 尽 *jin4* ‘all,’ 一概 *yi1gai4* ‘all,’ and 通通 *tong1tong1* ‘altogether,’ the quantity they refer to is positioned to their left in the clause. For forward-referring scope adverbs, such as 光 *guang1* ‘only,’ 只 *zhi3* ‘only,’ 仅仅 *jin3jin3* ‘only,’ 一共 *yi1gong4* ‘altogether,’ and 唯独 *wei2du2* ‘just,’ the quantity they refer to is positioned to their right. Examples of scope adverbs are shown in [52]–[55].

- [52] 我们通通是自愿的!

wo3men0 tong1tong1 shi4 zi4yuan4 de0
we all be volunteer DE
‘We are all voluntary!’

- [53] 出家人的清规, 他一概不理睬。

chu1jia1ren2 de0 qing1gui1 ta1 yi1gai4 bu4
monk DE monastic_rules he all NEG
li3hui4
follow
‘He ignored all of the rules of abstinence for monks.’

- [54] 暑期夏令营唯独忽略了智障儿。

shu3qi2 xia4ling4ying2 wei2du2 hu1lue4 le0
summer summer_camp only ignore LE
zhi4zhang4 er2
developmentally-disabled child
‘The summer camp ignores just the mentally disabled kids.’

- [55] 国文课本里一共有七课与母亲有关。

guo2wen2 ke4ben3 li3 yi1gong4 you3 qi1 ke4
Chinese textbook in altogether have seven lesson
yu3 mu3qin1 you3guan1
PREP mother concern
‘There are altogether seven lessons related to “mother” in the Chinese textbook.’

Backward-referring scope adverbs can have multiple NPs to their left, including a pre-posted object. In such cases, contextual information is required to resolve the ambiguity.

- [56] 谁欺侮她我们都不会放过。
 shui2 q1wu3 ta1 wo3men0 dou1 bu4 hui4
 who bully she we all NEG will
 fang4guo4
 let_go
 'We won't let go of anyone who abuses her.'

The 都 *dou1* 'all' in [56] may refer to the totality of the first nominal phrase, that is, the abusers; it may refer to the totality of the third nominal phrase, that is, the speakers; and it may also refer to both.

12.3.4. Attitudinal adverbs

Attitudinal adverbs indicate the speaker's attitude or commitment toward what she is saying. There are a large number of subtypes that cover a wide spectrum of possible subjective evaluations or estimates, and some of them bear more than one subtype of attitudinal meaning.

Concerning the truth or falsity of what is being said, the following express the speaker's stance: 真的 *zhen1de0* 'really,' 的确 *di2que4* 'indeed,' and 根本 *gen1ben3* '(not) at all.' Some attitudinal adverbs go with imperatives, for example, 千万 *qian1wan4* 'must always,' and some go with rhetorical questions, for example, 何必 *he2bi4* 'why bother' and 难道 *nan2dao4* 'unbelievable unless it is the case that.' Examples of this type of adverb are shown in [57]–[60].

- [57] 你真的决定要分手吗?
 ni3 zhen1de0 jue2ding4 yao4 fen1shou3 ma0
 you truly decide want break_up MA
 'Do you really want to break up?'
- [58] 你们的产品品质的确不错。
 ni3men0 de0 chan3pin3 pin3zhi2 di2que4 bu2cuo4
 you DE produce quality indeed good
 'The quality of your products is indeed good.'
- [59] 你千万别跟人说呀!
 ni3 qian1wan4 bie2 gen1 ren2 shuo1 ya0
 you absolutely do_not PREP people talk YA
 'Don't ever tell anyone!'
- [60] 你何必管我的死活?
 ni3 he2bi4 guan3 wo3 de0 si3huo2
 you why care_about I DE fate
 'Why would you care about my life or death?'

Concerning epistemicity, the reason or the background of what is being said, these adverbs include 难怪 *nan2guai4* ‘no wonder,’ 怪不得 *guai4bu4de2* ‘no wonder,’ 本来 *ben2lai2* ‘originally,’ and 原来 *yuan2lai2* ‘originally, it was the case that.’ Concerning the result, the ending, or the likely development of what is being said, these adverbs include 一定 *yi1ding4* ‘definitely,’ 恐怕 *kong3pa4* ‘probably, (I am) afraid that,’ 终究 *zhong1jiu1* ‘after all,’ 毕竟 *bi4jing4* ‘after all,’ 显然 *xian3ran2* ‘apparently,’ 反正 *fan3zheng4* ‘one way or another,’ and 好歹 *hao2dai3* ‘for better or worse.’ The 怪不得 *guai4bu4de2* ‘no wonder’ in [61] marks the epistemic attitude of the speaker, while the 毕竟 *bi4jing4* ‘after all’ in [62] indicates the result of the event.

- [61] 处处林木, 空气洁净, 怪不得移居这里的人如此之多。
chu4chu4 lin2mu4 kong1qi4 jie2jing4 guai4bu4de2
 everywhere woods air clean no_wonder
yi2min2 zhe4li3 de0 ren2 ru2ci3zhi1duo1
 emigrate here DE people so_many
 ‘There are trees everywhere and the air is clean. No wonder so many people come to live here.’

- [62] 不管怎么说, 东德人毕竟得到了他们最想要的东西。
bu4guan3 zen3me0 shuo1 dong1de2 ren2
 no_matter why talk East_German people
bi4jing4 de2dao4 le0 ta1men0 zui4 xiang3yao4 de0
 after_all gain LE they most want DE
dong1xi0
 thing
 ‘No matter what, the East German people got what they wanted the most after all.’

Concerning the gap between one’s assumption or expectation and how things actually turn out, these adverbs include 恰好 *qia4hao3* ‘fortuitously, coincidentally,’ 正巧 *zheng4qiao3* ‘coincidentally,’ 幸亏 *xing4kui1* ‘fortunately,’ 好在 *hao3zai4* ‘luckily,’ 倒 *dao4* ‘contrary to one’s expectation,’ 反(而) *fan3 (er2)* ‘contrary to one’s expectation,’ 竟然 *jing4ran2* ‘contrary to one’s expectation,’ 偏偏 *pian1 (pian1)* ‘contrary to one’s wish,’ 居然 *ju1ran2* ‘beyond one’s expectation,’ and 其实 *qi2shi2* ‘in fact, actually.’ Concerning one’s strong will, compromise, or sense of making do, these adverbs include 偏 *pian1* ‘insisting on having one’s will,’ 宁可... *ning4ke3*... ‘(not)...but rather...,’ 非得 *fei1dei3* ‘insisting on having to,’ 只好 *zhi2hao3* ‘having no choice but,’ and 不得不 *bu4de2bu4* ‘having no choice but.’ These types of adverbs are shown in [63]–[68].

- [63] 餐馆不大, 好在这时还没有客人, 我便陪着她。
 can1guan3 bu4 da4 hao3zai4 zhe4shi2 hai2
 restaurant NEG big luckily this_moment yet
 mei2you3 ke4ren2 wo3 bian4 pei2 zhe0 ta1
 NEG guest I then accompany ZHE she
 'The restaurant was not big. Fortunately, there was no other guest at this moment and I sat with her.'
- [64] 读者不会感到无聊枯燥反而觉有趣。
 du2zhe3 bu2 hui4 gan3dao4 wu2liao2 ku1zao4
 reader NEG can feel silly dull_and_dry
 fan3er2 jue2 you3qu4
 instead feel amusing
 'The readers would not feel bored but interested.'
- [65] 夫妻吵架, 女儿竟然充当和事佬。
 fu1qi1 chao3jia4 nü3er2 jing4ran2 chong1dang1
 couple quarrel daughter unexpectedly act_as
 he2shi4lao3
 peacemaker
 'The couple got into a fight and their young daughter became the peacemaker unexpectedly.'
- [66] 走回去吧! 其实也不远。
 zou3 hui2qu4 ba0 qi2shi2 ye3 bu4 yuan3
 walk back BA in_fact also NEG far
 'Let's walk back! In fact, it is not too far.'
- [67] 参加旅游团的人越来越少, 大家宁可自己去玩。
 can1jia1 lü3you2tuan2 de0 ren2 yue4 lai2
 join tour DE people the...more come
 yue4 shao3 da4jia1 ning2ke3 zi4ji3 qu4 wan2
 the...more few people rather own go play
 'There are fewer and fewer people joining group tours. People would rather enjoy the vacation on their own.'
- [68] 按规定空姐结了婚就不再能飞, 她只好辞职。
 an4 gui1ding4 kong1jie3 jie2 le0 hun1
 as rule flight_attendants marry LE marriage

jiu4 bu4 zai4 neng2 fei1 ta1 zhi3hao3 ci2zhi2
 thus NEG again can fly she have_to resign
 'Since the rule was that flight attendants should stop flying once married,
 she had no choice but to resign.'

Concerning the expression of one's strong stance on what is being said, these adverbs include 绝对 *jue2dui4* 'definitely, absolutely,' 明明 *ming2ming2* 'obviously,' 简直 *jian2zhi2* 'simply, extremely,' 到底 *dao4di3* 'after all,' 究竟 *jiu1jing4* 'after all,' and 难道 *nan2dao4* 'unless it is the case that.' Concerning the expression of one's concessive endorsement of what is being said, these adverbs include 无非 *wu2fei1* 'nothing but, just,' 不妨 *bu4fang2* 'why not,' 好像 *hao3xiang4* 'likely,' and 也许 *ye2xu3* 'perhaps.' Examples of these adverbs are shown in [69] and [70].

- [69] 电梯明明是往下, 往上的人还是要进去。
dian4ti1 ming2ming2 shi4 wang3xia4 wang3shang4 de0
 elevator obviously SHI downward upward DE
ren2 hai2shi4 yao4 jin4qu4
 people still will enter
 'The elevator was obviously going down, but those who wanted to go up
 still went in.'

- [70] 成绩优秀的孩子也许表达能力较佳。
cheng2ji1 you1xiu4 de0 hai2zi0 ye3xu3 biao3da2
 grade excellent DE child maybe express
neng2li4 jiao4 jia1
 capability comparatively fine
 'Kids with better grades are perhaps better at expressing themselves.'

The most outstanding feature of attitudinal adverbs, compared to other types, is that they have more flexibility in where they can occur. While all adverbs can appear between the subject and the predicate, disyllabic attitudinal adverbs can also appear at the clause-initial position. Monosyllabic attitudinal adverbs, such as 竟 *jing4* 'contrary to one's expectation' in [71], are used clause internally only.

- [71] 他没想到竟能得奖, 因此非常兴奋。
ta1 mei2xiang3dao4 jing4 neng2
 he unexpectedly contrary_to_one's_expectation can
de2jiang3 yin1ci3 fei1chang2 xing4fen4
 win_a_prize therefore very excited
 'He did not expect to be the champion and was very excited.'

When co-occurring with other adverbs, attitudinal adverbs are usually the first in the sequence. For example: 的确已经忘了 *di2que4 yi3jing1 wang4le0* ‘...indeed have already forgotten...’ (before temporal adverb); 的确相当多 *di2que4 xiang1dang1 duo1* ‘indeed quite a lot’ (before degree adverb); 的确全忘了 *di2que4 quan2 wang4le0* ‘indeed have forgotten completely’ (before scope adverb); 的确没忘 *di2que4 mei2 wang4* ‘indeed did not forget’ (before negation adverb); and 的确亲自迎接 *di2que4qin1zi4 ying2jie1* ‘indeed greet...in person’ (before descriptive adverb).

12.4. Frequently used adverbs

A number of highly frequently used adverbs will be discussed in this section. All of these adverbs can also function as connective adjuncts (see Chapter 14).

12.4.1. 就 *jiu4* and 才 *cai2*

就 *jiu4* and 才 *cai2* often contrast with each other. They can be used in the discussion of time, quantity, scope, attitude, etc. 就 *jiu4* is more versatile than 才 *cai2* in each area.

12.4.1.1. Time

When used in a discussion about time, 就 *jiu4* indicates that the said time is earlier or faster than the assumed or expected time, while 才 *cai2* indicates that the said time is later or slower than the assumed or expected time. In other words, the use of 就 *jiu4* or 才 *cai2* suggests the assumed or expected time point, although it may not be explicitly specified. Examples of the use of 就 *jiu4* and 才 *cai2* are shown in [72]–[75].

- [72] 老刘在胶西时就知道李广的事迹。 [*jiu4*/earlier]
 lao3 liu2 zai4 jiao1xi1 shi2 jiu4 zhi1dao4
 old Liu PREP Jiao_Xi when thus know
 li3guang3 de0 shi4ji1
 Li_Guang DE story
 ‘Old Liu learned about Li Guang’s stories as early as when he was in Jiaoxi.’

- [73] 工地车辆必须清洗后才能上路。 [*cai2*/later]
 gong1di4 che1liang4 bi4xu1 qing1xi3 hou4 cai2
 building car must wash after just
 neng2 shang4lu4
 can set_out_on_journey
 ‘Vehicles from the construction site should not leave until having been washed clean.’

- [74] 犯人很快**就**落网。 [jiu4/faster]
 fan4ren2 hen3 kuai4 jiu4 luo4wang3
 criminal very fast thus be_arrested
 'The criminal was arrested in no time.'
- [75] 交往六年后,我们**才**结婚。 [cai2/slower]
 jiao1wang3 liu4 nian2 hou4 wo3men0 cai2 jie2hun1
 contact six year after we just marry
 'We did not get married until after dating for six years.'

就 *jiu4* can also be used to indicate the immediate temporal relationship between two actions or events, as in [76].

- [76] 每次丢下一大堆钞票**就**走了。
 mei3ci4 diou1xia4 yi1 da4 dui1 chao1piao4
 every_time drop one big pile bill
 jiu4 zou3 le0
 thus go LE
 'Every time (he) would leave a pile of money and simply walk away.'

When used this way, 就 *jiu4* is often paired with 一 *yi* 'one,' forming the “一 A 就 B” 'as soon as A (then) B' construction, as in [77].

- [77] 院长吉人天相,他**一**来,地震**就**停了。
 yuan4zhang3 ji2ren2tian1xiang4 ta1 yi1
 dean Heaven_helps_a_good_person he once
 lai2 di4zhen4 jiu4 ting2 le0
 come earthquake thus stop LE
 'Our Dean is a lucky person. As soon as he arrived, the earthquake stopped.'

就 *jiu4* can also mean 'immediately' and is always stressed in this usage, as in [78].

- [78] A: 小宜,吃饭啦!
 xiao3yi2 chi1fan4 la0
 Xiao_Yi eat LA
 'Xiaoyi, dinner time!'
- B: 妈,**就**来了。
 ma1 jiu4 lai2 le0
 mother thus come LE
 '(I'm) coming, Mom.'

12.4.1.2. Quantity

When talking about quantity, 就 *jiu4* indicates that the said amount is more than the assumed or expected amount, while 才 *cai2* indicates that the said amount is less than the assumed or expected amount. 就 *jiu4*/才 *cai2* used in this way are not stressed, as in [79] and [80].

- [79] 你们怎么一顿饭就花了两万块钱?

ni3men0 zhen3me0 yi1 dun4 fan4 jiu4 hua1 le0
you how one CL meal only spend LE

liang3 wan4 kuai4 qian2
two thousand CL money

'How could you spend as much as twenty thousand dollars on a meal?'

- [80] 东奔西跑一整天才办完一件事。

dong1ben1xi1pao3 yi1zheng3tian1 cai2 ban4 wan2 yi1
run_in_circles whole_day just do finish once

jian4 shi4
CL thing

'Only one thing got done after running around for the whole day.'

就 *jiu4* can indicate that the quantity is less than the assumed or expected amount and it should be stressed in this case. 才 *cai2* can have the same reading when being stressed. In other words, 才 *cai2* and 就 *jiu4* are interchangeable when both mean 'only.'

- [81] 烤鸭我就才吃过一次。

kao3ya1 wo3 jiu4 cai2 chi1 guo4 yi1ci4
roast_duck I thus just eat GUO once

'I've had the roasted Peking duck only once.'

The stressed 就 *jiu4* with the meaning 'only' may have scope over the subject or over the object, as in [82] and [83], respectively.

- [82] 今年可能就我一个人过年了。

jin1nian2 ke3neng2 jiu4 wo3 yi1 ge4 ren2
this_year maybe thus I one CL person

guo4 nian2 le0
celebrate New_Year LE

'I'll probably celebrate this New Year's Day alone.'

- [83] 我**就**得过感冒, 没得过肺炎。
 wo3 jiu4 de2 guo4 gan3mao4 mei2 de2 guo4
 I thus get GUO cold NEG get GUO
 fei4yan2
 pneumonia
 'I've only had a cold, but never had pneumonia.'

12.4.1.3. Speaker's stance

Both 就 *jiu4* and 才 *cai2* can be used to indicate the speaker's attitude toward what is being said. 就 *jiu4* expresses insistence and a firm stance and is stressed, as in [84].

- [84] 我不睡, 我**就**不睡!
 wo3 bu4 shui4 wo3 jiu4 bu4 shui4
 I NEG sleep I thus NEG sleep
 'I don't want to go to bed. I just don't want to go to bed!'

才 *cai2*, on the other hand, also expresses the speaker's firm stance but implies that this stance is contrary to what is usually assumed or expected, as in [85].

- [85] 我笨手笨脚的! 刘师傅**才**不敢收我为徒哩!
 wo3 ben4shou3ben4jiao3 de0 liu2 shi1fu4
 I clumsy DE Liu master_worker
 cai2 bu4 gan3 shou1 wo3 wei2 tu2 li0
 just NEG dare buy I PREP student LI
 'I'm clumsy! Master Liu won't take me as his student!'

12.4.2. 又 *you4* 'again,' 再 *zai4* 'again,' and 也 *ye3* 'also'

又 *you4* 'again' is used to indicate the occurrence of a repeated action or a sequence of actions, as in [86].

- [86] 昨天他带我们到鼻头角玩, 今天**又**带我们来到西子湾。
 zuo2tian1 ta1 dai4 wo3men0 dao4 bi2tou2jiao3
 yesterday he take we PREP Bitou_cape
 jin1tian1 you4 dai4 wo3men0 lai2dao4 xi1zi3wan1
 today again take we come Xizi_bay
 'He took us to Bitou Cape yesterday and again he takes us to Xizi Bay today.'

Sometimes the sense of repetition or recurrence is not explicitly indicated but is understood from the context or the speaker's background knowledge, as in [87].

- [87] 明天又可以回家啦!

ming2tian1 you4 ke3yi3 hui2 jia1 la0
 tomorrow again can back home LA
 '(I) can go home again tomorrow!'

The sense of repetition can also be indicated by a special construction in which the same verb occurs once before and once after 又 *you4* 'again,' or by another construction in which 又 *you4* 'again' is preceded and followed by the same combination of — *yi1* 'one' + classifier, as in [88] and [89], respectively.

- [88] 我想了又想, 得不到答案。

wo3 xiang3 le0 you4 xiang3 de2bu4dao4 da2an4
 I think LE again think cannot_find answer
 'I thought again and again, but could not find the answer.'

- [89] 他为什么每天都认真地吃着一根又一根的胡萝卜?

ta1 wei4shen2me0 mei3tian1 dou1 ren4zhen1 de0 chi1
 he why everyday all seriously DE eat
 zhe0 yi1 gen1 you4 yi1 gen1 de0 hu2luo2bo0
 ZHE one root again one root DE carrot
 'Why does he eat carrots so seriously, one after another, every day?'

再 *zai4* 'again' is similar to 又 *you4* 'again' in meaning but 再 *zai4* 'again' indicates repeated action that has not yet taken place, while 又 *you4* 'again' indicates repeated action that has already taken place. Consider [90].

- [90] 去去去, 妈妈忙, 等会再说。

qu4 qu4 qu4 ma1ma0 mang2 deng3hui4
 go go go mother busy wait_for_a_moment
 zai4 shuo1
 then talk
 'Go away. Mom is busy. Talk to me later.'

When 再 *zai4* 'again' co-occurs with the negation adverb 不 *bu4* or 没 *mei2*, the order suggests different meanings. 再不 *zai4bu4* 'again NEG' often suggests a conditional, while 不再 *bu4zai4* 'NEG again, no longer' often suggests volition, as in [91] and [92], respectively.

- [91] 再不走, 就回不去了。

zai4bu4 zou3 jiu4 hui2 bu4 qu4 le0
 again_NEG go thus back NEG go LE
 'If (you) still do not go, you won't be able to leave.'

- [92] 不再生了, 这个娃娃是我们的最后一个。

bu4zai4 sheng1 le0 zhe4 ge4 wa2wa0 shi4
 no_more give_birth LE this CL baby be
 wo3men0 de0 zui4hou4 yi1 ge4
 we DE last one CL
 'No more kids. This baby is our last one.'

也 ye3 'also' also indicates repetition but it requires similarity in the contrasted units in order to introduce new information in the clause that it marks. For example, two subjects may be contrasted if they have the same predicate. Two objects may also be contrasted with 也 ye3 'also' if they have the same subject and main verb. Other elements, such as temporal expressions, may also be contrasted with 也 ye3 'also' when the rest of the clause is the same. Consider the examples in [93]–[95].

- [93] 我最希望父母亲身体健康, 太太也健康。 [subject contrasted]

wo3 zui4 xi1wang4 fu4mu3qin1 shen1ti3 jian4kang1
 I most hope parents body healthy
 tai4tai0 ye3 jian4kang1
 wife also healthy
 'I sincerely hope that my parents are healthy and my wife is healthy, too.'

- [94] 除了研究生之外, 周先生也教生物本科学生。 [object contrasted]

chu2le0 yan2jiu4sheng1 zhi1wai4 zhou1
 except_for graduate_student other_than ZHOU
 xian1sheng0 ye3 jiao1 sheng1wu4 ben3ke1xue2sheng1
 Mr. also teach biology undergraduate
 'Mr. Zhou teaches not only graduate students but also biology major students.'

- [95] 伍兹去年参加了 8 场比赛, 今年也差不多。 [temporal expression contrasted]

wu3zi1 qu4nian2 can1jia1 le0 8 chang3
 Tiger_Woods last_year participate LE 8 CL
 bi3sai4 jin1nian2 ye3 cha1bu4duo1
 game this_year also the_same
 'Tiger Woods participated in 18 games last year; this year it's about the same.'

Contrasting may involve more than one syntactic category. In the examples in [96] and [97], both the subjects and the predicates are contrasted.

- [96] 如今墨西哥城已是一片陆地, 湖也消失了。

ru2jin1 mo4xi1ge1 cheng2 yi3 shi4 yi1 pian4
 now Mexico city already be one CL
 lu4di4 hu2 ye3 xiao1shi1 le0
 dry_land lake also vanish LE

'Mexico City has now become land, and the lake also disappeared.'

- [97] 丁君已有了妻子, 孩子, 父母也老了。

ding1 jun1 yi3 you3 le0 qi1zi3 hai2zi0 fu4mu3
 Ding sir already have LE wife child parents
 ye3 lao3 le0
 also get_old LE

'Mr. Ding has got a wife and some kids, and his parents have also got old.'

也 ye3 'also' often co-occurs with 连 *lian2* to indicate what "even" in English suggests. The extreme, highly unlikely event, which is represented by the item marked by 连 *lian2* 'even,' is contrasted with the more likely event, which is under-specified but is understood from the context (typically designated by the predicate), as in [98].

- [98] 路上连计程车也看不到了。

lu4shang4 lian2 ji4cheng2che1 ye3 kan4 bu2 dao4
 en_route even taxi also see NEG reach
 le0
 LE

'Even cabs cannot be found on the street (let alone rarer objects such as limousines).'

也 ye3 'also' co-occurs with several types of universal quantifiers. They can be nominal phrases containing the numeral — *yi1* 'one' suggesting universal coverage or interrogative phrases (see Chapter 8). Different from 都 *dou1* 'all,' 也 ye3 'also,' another adverb denoting universality and scope inclusiveness, occurs with these universal quantifiers only in the negative context. Consider the examples in [99]–[101].

- [99] 路上来往的人, 他一个也不认识。

lu4shang4 lai2wang3 de0 ren2 ta1 yi1 ge4
 en_route come_and_go DE people he one CL
 ye3 bu4 ren4shi2
 also NEG know

'He knew no one on the street.'

[100] 你们快出去, 谁也别发出声响。

ni3men0 kuai4 chu1qu4 shui2 ye3 bie2 fa1chu1
you quickly go_out who also do_not make
sheng1xiang3
sound

‘Get out. None of you makes any noise.’

[101] 一躲到草丛里, 就怎么找也找不着。

yi1 duo3 dao4 cao3cong2 li3 jiu4
once dodge PREP thick_growth_of_grass in thus

zen3me0 zhao3 ye3 zhao3 bu4 zhao2
why seek also seek NEG ZHAO

‘Once it got into the bushes, there was no way to find it.’

Finally, 也 ye3 ‘also’ can indicate that the speaker has some reservation about what is being said. Its appearance in the clause thus makes the statement less forceful, namely, it softens the tone. Note that these sentences are all acceptable without 也 ye3 ‘also.’ However, adding 也 ye3 ‘also’ to them has the effect of mitigating the speaker’s tone so that what is being said will not sound too blunt, as in [102] and [103].

[102] 究竟是什么原因, 他自己也不知道。

jiu4jing4 shi4 shen2me0 yuan2yin1 ta1 zi4ji3 ye3
outcome be what reason he self also

bu4 zhi1dao4

NEG know

‘(Even) he (himself) had no idea what the reason was.’

[103] 说她一夕成名, 也太夸张了!

shuo1 ta1 yi1 xi4 cheng2ming2 ye3 tai4
talk she one night become_famous also too

kua1zhang1 le0

overstate LE

‘It is too exaggerated to say she became famous overnight.’

12.4.3. 还 hai2 ‘still’

还 hai2 ‘still’ expresses the continuation of an action or a state with the meaning roughly as ‘still,’ as in [104] and [105].

- [104] 我还在山腰,路才走了一半。

wo3 hai2 zai4 shan1yao1 lu4 cai2 zou3
 I still be_at midway_up_mountain way just go
 le0 yi1ban4
 LE half
 'I was still halfway to the mountain top.'

- [105] 好好过日子,你的人生还很漫长。

hao2hao3 guo4 ri4zi0 ni3 de0 ren2sheng1 hai2
 good live day you DE life still
 hen3 man4chang2
 very endless
 'Live your life well. You still have a very long way ahead of you.'

还 *hai2* 'still' has a disyllabic counterpart 还是 *hai2shi4*, which can also mean 'still,' as in [106].

- [106] 鸽子在路上被猎人打伤了,但还是飞回了家。

ge1zi0 zai4 lu4shang4 bei4 lie4ren2 da3shang1 le0
 pigeon PREP road_on BEI hunter injure LE
 dan4 hai2shi4 fei1 hui2 le0 jia1
 but still fly back LE home
 '(The pigeon) was shot by a hunter and got injured, but it still flew back.'

However, 还是 *hai2shi4* 'still' does not have the following meaning or share these functions with 还 *hai2* 'still.' When 还 *hai2* 'still' indicates 'further' and 'also,' it cannot be replaced by 还是 *hai2shi4* 'still.' Consider the example [107].

- [107] 茶叶除了冲泡饮用,还有若干用途。

cha 2ye4 chu2le0 chong1pao4 yin3yong4 hai2 you3
 tea_leaves besides brew drink also have
 ruo4gan1 yong4tu2
 several use
 'In addition to making a drink, tea leaves could be of some other use.'

还 *hai2* 'still' can occur in a comparative construction, as in [108], but 还是 *hai2shi4* 'still' cannot (see Chapter 11).

- [108] 我姐姐本来比你还糟。

wo3 jie3jie0 ben3lai2 bi3 ni3 hai2 zao1
 I sister originally than you still bad
 'My older sister was worse than you originally.'

还 *hai2* ‘still’ can be used to suggest a downtoning or reduced degree, as in [109], but 还是 *hai2shi4* ‘still’ cannot.

[109] A: 你考得怎么样?

ni3 kao3 de2 zen3me0yang4
you examine DE how
‘How did you do on the exam?’

B: 考得还好。

kao3 de2 hai2hao3
examine DE not_bad
‘So so.’

还 *hai2* ‘still’ can also be used to indicate the speaker’s incredulosity with regard to the situation being described. In other words, when the speaker uses 还 *hai2* ‘still,’ he is saying that there is a gap between the situation described in the clause and the assumption or expectation held by him or other people. 还 *hai2* ‘still’ used in this way is often accompanied by 真 *zhen1* ‘really,’ as in [110].

[110] 我老公的红烧鱼还真出我意料之外的好!

wo3 lao3gong1 de0 hong2shao1 yu2 hai2
I husband DE braise_in_soy_sauce fish still
zhen1 chu1 wo3 yi4liao4 zhi1wai4 de0 hao3
really beyond I expectation out_of DE good
‘To my surprise, the braised fish cooked by my husband was exceptionally good!’

12.4.4. 都 *dou1* ‘all’

12.4.4.1. Totality

都 *dou1* ‘all’ is primarily a scope adverb referring to totality or universality. It is an adverb occurring before the predicate, but it typically has scope over the subject or other nominal components to its left in the clause. 都 *dou1* ‘all’ refers to the totality of the subject in [111] and to the totality of the object of the preposition 对 *dui4* ‘toward’ in [112].

[111] 先生跟太太都一块出去工作。

xian1sheng0 gen1 tai4tai0 dou1 yi1kuai4 chu1qu4
husband and wife all together go_out
gong1zuo4
work
‘Both the husband and the wife go out to work together.’

- [112] 她平时对两个孩子都是同样地疼爱。

ta1 ping2shi2 dui4 liang3 ge4 hai2zi0 dou1 shi4
 she always PREP two CL child all SHI
 tong2yang4 de0 teng2ai4
 same DE love_dearly
 'She always loves the two kids in the same way.'

都 *dou1* 'all' can also refer to the totality of other nominal phrases, such as the temporal phrase in [113] and the locative phrase in [114].

- [113] 阿美族人每年都要举行一次盛大的祭典。

a1mei3zu2ren2 mei3nian2 dou1 yao4 ju3xing2 yi1
 the_Amis every_year all will hold one
 ci4 sheng4da4 de0 ji4dian3
 CL grand DE memorial_services
 'The Amis hold a big worship ceremony every year.'

- [114] 这种欺骗自己的行为, 在世界各处都可看到。

zhe4 zhong3 qi1pian4 zi4ji3 de0 xing2wei2 zai4
 this kind dupe self DE behavior PREP
 shi4jie4 ge4chu4 dou1 ke3 kan4dao4
 world everywhere all can see
 'This self-cheating behavior is seen everywhere in the world.'

When 都 *dou1* 'all' refers to the totality of the object, the object appears before 都 *dou1* 'all' and thus before the predicate with several possibilities. Very often the object phrase appears at or near the beginning of the sentence, as in [115a], between the subject and the verb, as in [115b], or with the BA construction, as in [115c].

- [115] a. 这些书我都看完了。

zhe4xie1 shu1 wo3 dou1 kan4 wan2 le0
 these book I all read finish LE
 'I've read all these books.'

- b. 我这些书都看完了。

wo3 zhe4xie1 shu1 dou1 kan4 wan2 le0
 I these book all read finish LE
 'I've read all these books.'

- c. 我把这些书都看完了。

wo3 ba3 zhe4xie1 shu1 dou1 kan4 wan2 le0
 I BA these book all read finish LE
 'I've read all these books.'

Sometimes a 都 *dou1* 'all' sentence is ambiguous, as its scope may refer to the subject or the pre-posed object, or both. The interpretation depends on the context, such as in [116].

- [116] 西大街上每一个象样的门庭我们都进去过了。

xi1da4jie1 shang4 mei3 yi1 ge4 xiang4yang4
 West_Avenue on every one CL nice
 de0 men2ting2 wo3men0 dou1 jin4qu4 guo4 le0
 DE front_entrance we all enter GUO LE
 'We walked into every nice front entrance on West Avenue.'

Since 都 *dou1* 'all' refers to totality, words meaning 'all,' 'each,' and 'every' often co-occur with it, such as 所有 *suo3you3* 'all, every,' 一切 *yi1qie4* 'all,' 每 *mei3* 'every, each,' 到处 *dao4chu4* 'everywhere,' and 随时 *sui2shi2* 'any time.' Consider the examples from [117]–[121].

- [117] 所有的人都问我相同的问题。

suo3you3 de0 ren2 dou1 wen4 wo3 xiang1tong2
 all DE people all ask I same
 de0 wen4ti2
 DE question
 'All of them asked me the same question.'

- [118] 这一切都不该发生在她身上的。

zhe4 yi1qie4 dou1 bu4 gai1 fa1sheng1 zai4
 this everything all NEG should happen PREP
 ta1 shen1shang4 de0
 she body_on DE
 'All these should not have happened to her.'

- [119] 我所接触过的士兵每一位都是这么的可爱。

wo3 suo3 jie1chu4 guo4 de0 shi4bing1 mei3 yi3
 I SUO encounter GUO DE soldier every one
 wei4 dou1 shi4 zhe4me0 de0 ke3ai4
 CL all be so DE lovely
 'Every soldier I have encountered was so charming.'

- [120] 校园到处
- 都
- 是骑脚踏车的人。

xiao4yuan2 dao4chu4 dou1 shi4 qi2 jiao3ta4che1
campus everywhere all be ride bicycle

de0 ren2

DE people

'There are bikers everywhere on campus.'

- [121] 工作的过程中我们随时
- 都
- 在学习。

gong1zuo4 de0 guo4cheng2 zhong1 wo3men0 sui2shi2
work DE process during we any_time

dou1 zai4 xue2xi2

all ZAI learn

'We learn all the time during the process of work.'

Interrogative phrases, including interrogative pronouns, nominal phrases with an interrogative determinative in DET, and nominal phrases with an interrogative quantifier in NUM, often fall under the scope of 都 *dou1* 'all' to suggest totality, namely, to function as universal quantifiers in a statement but not as variables in a question. As stated earlier, 都 *dou1* 'all' is different from 也 *ye3* 'also' in that when they co-occur with interrogative phrases, 也 *ye3* 'also' only appears in negative statements but 都 *dou1* 'all' can occur in both negative and affirmative statements, such as in [122] and [123], respectively.

- [122] 给我坐着,
- 谁
- 都不许动。

gei3 wo3 zuo4 zhe0 shui2 dou1 bu4
PREP I sit ZHE who all NEG

xu3 dong4

be_permitted move

'Sit down. No one can move.'

- [123] 马路上哪儿
- 都
- 看得见计程车。

ma3lu4 shang4 na3er0 dou1 kan4 de0 jian4
road on where all see DE see

ji4cheng2che1

taxi

'Cabs can be found everywhere on the streets.'

Sometimes interrogative phrases fall outside the scope of 都 *dou1* 'all' in a variable question and retain their interrogative interpretation, as in [124].

- [124] 你都住在哪里?
 ni3 dou1 zhu4 zai4 na3li3
 you all live PREP where
 'Where do you live?'

12.4.4.2. Extremity

都 *dou1* 'all' can also be paired with 连 *lian2* 'even,' as in [125], to form a “连 X 都...” construction to suggest extremity. The “连 X 都...*lian2* X *dou1*...” construction is similar to the “连 X 也...*lian2* X *ye3*...” ‘also...’ construction.

- [125] 她居然连我叫什么名字都知道!
 ta1 ju1ran2 lian2 wo3 jiao4 shen2me0
 she unexpectedly even I call what
 ming2zi0 dou1 zhi1dao4
 name all know
 'She even knew what my name is!'

The same meaning can also be conveyed by 甚至 *shen4zhi4* 'even,' 都...*dou1*... 'even X...', or 甚至连 *shen4zhi4 lian2* X 都 *dou1*... 'even X...' Consider the examples [126] and [127].

- [126] 该公司的产品主要外销日本, 甚至欧洲都有他们的客户。
 gai1 gong1si1 de0 chan3pin3 zhu3yao4 wai4xiao1
 the company DE produce main sell_abroad
 ri4ben3 shen4zhi4 ou1zhou1 dou1 you3 ta1men0
 Japan even Europe all have they
 de0 ke4hu4
 DE client
 'Products of the company are mainly exported to Japan. They even have customers in Europe.'
- [127] 年轻人甚至连母语都不会讲了。
 nian2qing1ren2 shen4zhi4 lian2 mu3yu3 dou1
 young_people even even mother_tongue all
 bu2 hui4 jiang3 le0
 NEG can speak LE
 'The young generation cannot even speak their native language.'

都 *dou1* 'all' can express extremity on its own without the help of 连 *lian2* 'even' or 甚至 *shen4zhi4* 'even,' as in [128].

- [128] 这么粗心, 奶嘴**都**没好好消毒。

zhe4me0 cu1xin1 nai3zui3 dou1 mei2
 so careless nipple_of_feeding_bottle even NEG
 hao2hao3 xiao1du2
 good disinfect
 '(You) are so careless and did not even sterilize the pacifier.'

A related construction is “V 都不 V...V dou2 bu4 V...” ‘(even) do not (bother to) V,’ or its variation “V 都没 V...V dou2 mei2 V...” ‘(even) have not (bothered to) V,’ both of which describe a situation as an extreme case not comparable to the presumed normal one. This is shown in [129] through [131].

- [129] 他倒头又睡, 理**都**不理我!

ta1 dao3tou2 you4 shui4 li3 dou1 bu4
 he lie_down again sleep pay_attention even NEG
 li3 wo3
 pay_attention I
 ‘He fell asleep right away without paying attention to me at all!’

- [130] 我连想**都**没想过。

wo3 lian2 xiang3 dou1 mei2 xiang3 guo4
 I even think all NEG think GUO
 ‘I have never even thought about it.’

- [131] 生意接**都**接不完, 而且价钱很好。

sheng1yi4 jie1 dou1 jie1 bu4 wan2 er2qie3
 business take all take NEG finish and
 jia4qian2 hen3 hao3
 price very good
 ‘There was so much business that we could not take any more, and the pricing was good.’

Finally, a sense of “even already in the state of” can be derived from extremity when 都 dou1 ‘all’ describes an extreme situation that is beyond the presumed, normal expectation, such as in [132].

- [132] 我**都**急死了, 你还逗着我玩**啊**?

wo3 dou1 ji2 si3 le0 ni3 hai2 dou4 zhe0
 I all worry die LE you still kidding ZHE
 wo3 wan2 a1
 I play A
 ‘I was already worried to death. How could you still make fun of me?’

12.4.5. 很 *hen* 'very'

很 *hen3* 'very' has two major functions. In addition to an intensifying degree adverb, as discussed above, it can function as a modifier for adjectival predicates to mark their absolute meaning. There are, however, cases where 很 *hen3* 'very' is not allowed, such as in the examples [133]–[135].

[133] 他比我还要高。

ta1 bi3 wo3 hai2yao4 gao1
he than I more tall
'He is much taller than I am.'

[134] 昨日的演出没有预演时好。

zuo2ri4 de0 yan3chu1 mei2you3 yu4yan3 shi2
yesterday DE performance NEG rehearsal when
hao3
good
'Yesterday's performance was not as good as the rehearsal.'

[135] 妈妈, 我什么时候会有你高?

ma1ma0 wo3 shen2me0shi2hou0 hui4 you3 ni3 gao1
mother I when will YOU you tall
'Mother, when will I be as tall as you are?'

These sentences all convey some sense of comparison. Similarly, in the reply to the question in [136], the predicate 哥哥高 *ge1ge0 gao1* 'the elder brother is taller' conveys a comparative meaning by itself. Even adjectives whose meanings should be logically absolute, such as 完美 *wan2mei3* 'perfect' or 圆 *yuan2* 'round, circular,' will be given a comparative reading when standing alone.

[136] A: 哥哥高还是弟弟高?

ge1ge00 hai2shi4 di4di0 gao1
elder_brother or younger_brother tall
'Is the elder brother taller or the younger brother taller?'

B: 哥哥高。

ge1ge00 gao1
elder_brother tall
'The elder brother is taller.'

When modifying an adjectival predicate, the appearance of 很 *hen3* 'very,' negative adverb 不 *bu4*, and other degree adverbs such as 真 *zhen1* 'truly' will suppress the comparative reading of adjectives.

[137] 哥哥真高。

ge1ge0 zhen1 gao1
elder_brother really tall
'The elder brother is really tall.'

[138] 哥哥不高。

ge1ge0 bu4 gao1
elder_brother NEG tall
'The elder brother is not tall.'

[139] 哥哥不很高。

ge1ge0 bu4 hen3 gao1
elder_brother NEG very tall
'The elder brother is not very tall.'

[137] shows that degree adverbs coerce the absolute reading of adjectives, just like the negation adverb 不 *bu4* does in [138]. When another adverb coerces the absolute reading, 很 *hen3* 'very' will retain its intensifying meaning, as shown in [139].

Prepositions and preposition phrases

Jingxia Lin and Chaofen Sun

This chapter describes prepositions and preposition phrases. Chinese prepositions are considered to have originated from verbs, and thus maintain some properties of verbs. However, they are not verbs and cannot occur in a sentence without a predicate. For this reason, prepositions were sometimes called “coverbs” in previous literature. Chinese prepositions cannot be stranded; they need to be followed by their objects, which are generally nominal phrases. The primary function of prepositions is to express a variety of relations between their object and the rest of the sentence, especially the predicate. For instance, the preposition 从 *cong* ‘from’ in [1] relates its object 旅行社 *lǚ3xing2she4* ‘travel agency’ to the action 走出来 *zou3 chu1lai2* ‘walk out,’ meaning that the event of walking starts from the travel agency.

- [1] 珍妮从旅行社走出来。
 zhen1ni2 cong2 lǚ3xing2she4 zou3 chu1lai2
 Jenny PREP travel_agency walk out
 ‘Jenny walked out [toward the deictic center] from the travel agency.’

A preposition phrase (PP), formed by a preposition and its object, can be used as a modifier to a verb, a sentence, or a noun, or as an object of a verb. The majority of PPs are modifiers contributing information such as the location, time, manner, instrument, and cause of the action described by the verb. A modifying PP is optional in a sentence. For instance, [1] is grammatical with or without the PP 从旅行社 *cong2 lǚ3xing2she4* ‘from the travel agency.’

The defining properties of prepositions and PPs are as follows.

- 1 A preposition usually takes an object to form a PP. A PP can occur as a modifier in the sentence-initial position, after the subject but before the verb, before the noun (as a pronominal modifier), or after the verb.
- 2 Many words in Modern Chinese can be used as both prepositions and verbs; some can be used as prepositions and conjunctions, nouns, adverbs, or classifiers.

- 3 Chinese has both monosyllabic and disyllabic prepositions, but few prepositions have more than two syllables.
- 4 Prepositions can be classified into different semantic types, with a meaning related to space, time, involved participants, condition, and cause.

13.1. Distribution and function of PPs

Chinese PPs can occur in four possible positions: sentence-initial, pre-verbal, post-verbal, and noun modifier. Some PPs can occur in all of the four positions, whereas some are found in only one. The PPs may function differently in each position.

13.1.1. PPs in the pre-verbal position

Most PPs can occur in the pre-verbal position, that is, the position before the verb (while after the subject). In this case, PPs function as modifiers to the verb. For instance, the 用 *yong4* 'use' PP, the 把 *ba3* 'BA' PP, and the 为 *wei4* 'for' PP in [2] express respectively the instrument, patient, and beneficiary roles of the actions denoted by the verbs.

- [2] a. 他们用鞭子打我们的头和背。
 ta1men0 yong4 bian1zi0 da3 wo3men0 de0 tou2
 they PREP whip hit we DE head
 he2 bei4
 and back
 'They hit our heads and backs with a whip.'
- b. 哥哥把妹妹杀了。
 ge1ge0 ba3 mei4mei0 sha1 le0
 elder_brother BA younger_sister kill LE
 'The brother killed his younger sister.'
- c. 我已为你做了很多。
 wo3 yi3 wei4 ni3 zuo4 le0 hen3duo1
 I already PREP you do LE many
 'I have done a lot for you.'

Some PPs can only occur in the pre-verbal position. Representative examples include PPs headed by 把 *ba3* 'BA' (the direct object marker), 被 *bei4* 'by (an agent)', 比 *bi3* 'than,' 朝 *chao2* 'toward,' 从 *cong2* 'from,' 给 *gei3* 'to, for,' 替 *ti4* 'for,' and so on.

13.1.2. PPs in the sentence-initial position

Many PPs that can occur in the pre-verbal position can also occur in the sentence-initial position (e.g., before the subject). [3] and [4] show that 为了 *wei4le* 'for' and

依照 *yi1zhao4* ‘according to’ can occur in both the pre-verbal position, as in [3a] and [4a], and the sentence-initial position, as in [3b] and [4b].

- [3] a. 他愿意为了她搬家。
 ta1 yuan4yi4 wei4le0 ta1 ban1jia1
 he be_willing_to PREP she move
 ‘He is willing to move for her.’
- b. 为了孩子, 我辞去工作专心带他。
 wei4le0 hai2zi0 wo3 ci2qu4 gong1zuo4 zhuan1xin1
 PREP child quit job concentrate
 dai4 ta1
 take_care he
 ‘For my child, I quit my job to take care of him.’
- [4] a. 议会选举将严格依照法律进行。
 yi4hui4 xuan3ju3 jiang1 yan2ge2 yi1zhao4 fa3lü4
 parliament election will strict PREP law
 jin4xing2
 proceed
 ‘Parliamentary elections will be carried out strictly in accordance with the law.’
- b. 依照法律, 菲律宾总统与参议员的竞选时间长达三个月。
 yi1zhao4 fa3lü4 fei1lü4bin1 zong3tong3 yu3
 PREP law the_Philippines president and
 can1yi4yuan2 de0 jing4xuan3 shi2jian1 chang2da2
 senator DE campaign time last
 san1 ge4 yue4
 three CL month
 ‘In accordance with the law, the campaign of the President of the Philippines and the Senators can last for up to three months.’

When occurring at the beginning of a sentence, the PP usually introduces the background for the rest of the sentence, including information such as time, location, topic, reason, purpose, reference, and condition. For instance, the 为了 *wei4le0* ‘for’ PP in [3b] and the 依照 *yi1zhao4* ‘according to’ PP in [4b] respectively specify the reason/purpose and the reference for the following clauses. For this reason, PPs in the sentence-initial position are treated as sentential modifiers in some literature.

Few PPs occur exclusively in the sentence-initial position. The 至于 *zhi4yu2* ‘as for’ PP is one of them, as shown in [5].

- [5] a. 至于我,我从来没对我们之间的关系有过任何怀疑。
zhi4yu2 wo3 wo3 cong2lai2 mei2 dui4 wo3men0
 PREP I I ever NEG PREP we
zhi1jian1 de0 guan1xi4 you3 guo4 ren4he2
 between DE relationship have GUO any
huai2yi2
 doubt
 ‘As for me, I never doubted the relationship between us.’
- b. 至于孟子的母亲是否受过高等教育,可能无法考证。
zhi4yu2 meng4zi3 de0 mu3qin1 shi4fou3
 PREP Mencius DE mother whether_or_not
shou4 guo4 gao1deng3 jiao1yu4 ke3neng2
 receive GUO higher education maybe
wu2fa3 kao3zheng4
 no_way verify
 ‘As for [the question] whether Mencius’ mother received advanced education or not, it is probably impossible to verify.’

13.1.3. PPs in the post-verbal position

The 给 *gei3* ‘to, for’ PP, the 到 *dao4* ‘go, to’ PP, and the 在 *zai4* ‘at’ PP can occur in both pre-verbal and post-verbal positions, as illustrated in [6] and [7], respectively.

- [6] a. 她又给我带了几本书。
ta1 you4 gei3 wo3 dai4 le0 ji3 ben3 shu1
 she again PREP I bring LE several CL book
 ‘She brought some books to me again.’
- b. 我们到哪个饭馆儿去?
wo3men0 dao4 na3 ge4 fan4guan3er0 qu4
 we PREP which CL restaurant go
 ‘Which restaurant are we going to?’
- c. [他]在学校上了一节课。
ta1 zai4 xue2xiao4 shang4 le0 yi1 jie2 ke4
 he PREP school attend LE one CL class
 ‘[He] attended a class session at school.’

- [7] a. 她已经送了三本书给朋友。
 ta1 yi3jing1 song4 le0 san1 ben3 shu1 gei3
 she already give LE three CL book PREP
 peng2you3
 friend
 'She has sent three books to her friend.'
- b. 克里克随后寄了一份书面信函到白宫。
 ke4li3ke4 sui2hou4 ji4 le0 yi1 fen4
 Crick afterwards send LE one CL
 shu1mian4 xin4han2 dao4 bai2gong1
 in_written_form letter PREP White_House
 'Crick then sent a letter to the White House.'
- c. 我们放了一把尺在容器里。
 wo3men0 fang4 le0 yi1 ba3 chi3 zai4
 we put LE one CL ruler PREP
 rong2qi4 li3
 container inside
 'We put a ruler into the container.'

Note that in [7], the prepositions 给 *gei3* 'to, for,' 到 *dao4* 'go, to,' and 在 *zai4* 'at' are preceded by a ditransitive or displacement verb (e.g., 送 *song4* 'to give a gift,' 教 *jiao1* 'to teach,' 寄 *ji4* 'to send,' and 放 *fang4* 'to put') and its direct object. They can also immediately occur after a verb, as in [8].

- [8] a. 李鹏总理将两台电脑送给了学校。
 li3peng2 zong3li3 jiang1 liang3 tai2 dian4nao3 song4
 LI_PENG premier BA two CL computer give
 gei3 le0 xue2xiao4
 PREP LE school
 'Premier LI Peng gave two computers to the school.'
- b. 许多驾驶人把车开到了京华城。
 xu3duo1 jia4shi3ren2 ba3 che1 kai1 dao4 le0
 many driver BA car drive PREP LE
 jing1hua2cheng2
 Living_Mall
 'Many drivers drove the cars to Living Mall.'

- c. 小袁将自行车放在了车站旁。
 xiao3yuan2 jiang1 zi4xing2che1 fang4 zai4 le0
 little_YUAN BA bicycle put PREP LE
 che1zhan4 pang2
 station side
 'Little YUAN put the bike next to the station.'

It should be noted that when a preposition occurs immediately after a verb, aspectual markers do not occur after the first verb but rather after the “preposition,” as exemplified by *le0* ‘LE’ in all the sentences in [8]. Since the perfective aspect applies to the full verb complex while attached to the second element of the verbal complex, it is therefore also preferable to treat these cases as compound verbs, as discussed in Chapter 3.

13.1.4. PPs in the noun modifier position

Prepositions such as 对 *dui4* ‘to, toward,’ 往 *wang3* ‘toward,’ and 关于 *guan1yu2* ‘about,’ coupled with their objects, can occur before the “的 *de0* ‘DE’ NP” sequence and function as a modifier to the NP. Consider the examples in [9].

- [9] a. 对生活的态度
 dui4 sheng1huo2 de0 tai4du4
 PREP life DE attitude
 ‘attitudes toward life’
- b. 往加州的公车票
 wang3 jia1zhou1 de0 gong1che1piao4
 PREP California DE bus_ticket
 ‘bus ticket to California’
- c. 关于伊拉克的书
 guan1yu2 yi1la1ke4 de0 shu1
 PREP Iraq DE book
 ‘books about Iraq’

13.2. Differentiating prepositions from other lexical categories

A number of words in Modern Chinese belong to more than one lexical category. A form that can be used as a preposition may also be used as a verb, conjunction, adverb, noun, or even a classifier. Each category has its own distinctive morphological, semantic, and syntactic properties.

13.2.1. Prepositions versus verbs

Chinese prepositions were full-fledged verbs in earlier stages of Chinese. In Modern Chinese, there are many forms that can be used as both a verb and a preposition. For instance, 在 *zai4* ‘be at’ and 朝 *chao2* ‘face’ are understood as verbs in [10] because they are the only predicates in the sentences and they cannot be omitted. In contrast, 在 *zai4* ‘at’ and 朝 *chao2* ‘toward’ and their objects in [11] can be omitted without affecting the grammaticality of the sentences, and thus are understood as prepositions.

- [10] a. 财富的中心并不在省会太原, 而是在平遥, 祁县和太谷。
 cai2fu4 de0 zhong1xin1 bing4 bu4 zai4
 wealth DE centre however NEG be_at
 sheng3hui4 tai4yuan2 er2shi4 zai4 ping2yao2
 provincial_capital Taiyuan rather be_at Pingyao
 qi2xian4 he2 tai4gu3
 Qixian and Taigu
 ‘The centre of wealth was not at the provincial capital Taiyuan, but at Pingyao, Qixian, and Taigu.’
- b. 瓦斯桶开口一定要朝外。
 wa3si1 tong3 kai1kou3 yi1ding4 yao4 chao2
 natural_gas tank opening definite will face
 wai4
 outside
 ‘The opening of the natural gas tank must face outside.’
- [11] a. 在新的移民环境中建立新的社会秩序。
 zai4 xin1 de0 yi2min2 huan2jing4 zhong1
 PREP new DE immigrate environment in
 jian4li4 xin1 de0 she4hui4 zhi4xu4
 establish new DE society order
 ‘[They will] establish a new social order in the new immigration environment.’
- b. 那些男生朝她走来。
 na4xie1 nan2sheng1 chao2 ta1 zou3lai2
 those boy PREP she come
 ‘The boys walked toward her.’

Some prepositions are no longer used as verbs. For instance, 从 *cong2* ‘from’ and 被 *bei4* ‘by (an agent)’ are prepositions only, as in [12] and [13]. They cannot occur in a sentence without a predicate.

- [12] 昨晚七时从家中出发。
 zuo2wan3 qi1shi2 cong2 jia1 zhong1 chu1fa1
 last_night 7_o'clock PREP home in depart
 ‘[We] departed from home at 7 o'clock last night.’

- [13] 阿郎右手被老王抓住。
 a1lang2 you4shou3 bei4 lao3wang2 zhua1zhu4
 A-LANG right_hand BEI Old_WANG seize
 ‘A-LANG’s right hand was seized by Old WANG.’

[14] and [15] are non-exhaustive lists covering most forms that can be used as prepositions only and forms that can be used as both prepositions and verbs in present-day Chinese.

- [14] Forms that can be used as prepositions only:
 按照 *an4zhao4* ‘according to,’ 被 *bei4* ‘by (an agent),’ 除了 *chu2le0* ‘besides, except,’ 从 *cong2* ‘from,’ 关于 *guan1yu2* ‘about, with regard to,’ 随着 *sui2zhe0* ‘along with,’ 由 *you2* ‘from, by,’ 至于 *zhi4yu2* ‘with regard to,’ 自从 *zi4cong2* ‘since’
- [15] Forms that can be used as both prepositions and verbs:
 按 *an4* ‘according to; to press,’ 比 *bi3* ‘than; to compare,’ 朝 *chao2* ‘toward; to face,’ 除 *chu2* ‘besides, except; to divide,’ 到 *dao4* ‘to; to arrive,’ 给 *gei3* ‘to, for; to give,’ 靠 *kao4* ‘depending on; to lean/depend on,’ 替 *ti4* ‘for; to replace,’ 用 *yong4* ‘with; to use,’ 针对 *zhen1dui4* ‘with regard to; to aim at,’ 在 *zai4* ‘at; to be at’

13.2.2. Prepositions versus conjunctions

Chinese has two types of conjunctions, one connecting nominal phrases and the other joining clauses. In both types, there are some conjunctions that can be used as prepositions.

13.2.2.1. Prepositions vs. conjunctions for phrases

和 *he2*, 跟 *gen1*, 与 *yu3*, and 同 *tong2* are frequently used as either a preposition or a conjunction. For instance, 和 *he2* is a preposition in [16a] but is a conjunction in [16b].

- [16] a. 为什么上天总是和苦命的人开玩笑?
 wei4shen2me0 shang4tian1 zong3shi4 he2 ku3ming4
 why God always PREP downtrodden
 de0 ren2 kai1wan2xiao4
 DE people tease
 'Why does God/fate always make fools of downtrodden people?'
 b. 我和王豪去餐厅吃饭。
 wo3 he2 wang2hao2 qu4 can1ting1 chi1fan4
 I and WANG_HAO go restaurant eat
 'WANG Hao and I went to the restaurant for dinner.'

To determine whether a form is a preposition or a conjunction for phrases, the phrase preceding the form and that following the form can be switched to check whether the switch results in a change of meaning in the sentence: a change will occur in the sentence with a preposition, but not in the sentence with a conjunction. Take 和 *he2* in [16], for example. When 上天 *shang4tian1* 'God' and 苦命的人 *ku3ming4 de0 ren2* 'downtrodden people' in [16a] switch their positions, the meaning of the sentence is changed in that the agent of 开玩笑 *kai1wan2xiao4* 'make fun' becomes 苦命的人 *ku3ming4 de0 ren2* 'downtrodden people,' as in [17a], which indicates that 和 *he2* is used as a preposition. In contrast, when 我 *wo3* 'I' and 王豪 *wang2hao2* 'WANG Hao' in [16b] switch positions, the meaning of the sentence remains the same, as in [17b], which indicates that 和 *he2* is a conjunction.

- [17] a. 为什么苦命的人总是和上天开玩笑?
 wei4shen2me0 ku3ming4 de0 ren2 zong3shi4
 why downtrodden DE people always
 he2 shang4tian1 kai1wan2xiao4
 PREP God tease
 'Why do downtrodden people always make a fool of God/fate?'
 b. 王豪和我去餐厅吃饭。
 wang2hao2 he2 wo3 qu4 can1ting1 chi1fan4
 WANG_HAO and I go restaurant eat
 'WANG Hao and I went to the restaurant for dinner.'

Furthermore, a preposition can be preceded by adverbials, but a conjunction cannot. For instance, 总是 *zong3shi4* 'always' occurs before the preposition 和 *he2* in [17a]. 和 *he2* in [17b] may also be preceded by an adverbial, as in [18]. However, once preceded by 总是 *zong3shi4* 'always,' 和 *he2* is necessarily understood as a preposition, as indicated by the English translation below.

- [18] 王豪总是和我去餐厅吃饭。
 wang2hao2 zong3shi4 he2 wo3 qu4 can1ting1
 WANG_HAO always PREP I go restaurant
 chi1fan4
 eat
 'WANG Hao always went to the restaurant for dinner with me.'

13.2.2.2. Prepositions vs. conjunctions for clauses

Forms such as 因为 *yin1wei4* 'because,' 由于 *you2yu2* 'because,' and 为了 *wei4le0* 'for' can be followed by either a clause or an NP. In these two cases, their meanings are the same. For instance, 因为 *yin1wei4* 'because' in both [19a] and [19b] introduces a reason. These forms are traditionally treated as a conjunction for clauses if they are followed by a clause, as in [19a], and as a preposition if they are followed by an NP, as in [19b].

- [19] a. 因为儿子很喜欢看「史瑞克」,全家都陪他看了好多次。
yin1wei4 er2zi0 hen3 xi3huan1 kan4 shi3rui4ke4
 because son very like see Shrek
quan2jia1 dou1 pei2 ta1 kan4 le0
 whole_family all accompany he see LE
hao3duo1 ci4
 many CL
 'Because the son liked to watch *Shrek* very much, the whole family accompanied him and watched it many times.'
- b. [我们] 因为这种事情,而把自己封闭。
wo3men0 yin1wei4 zhe4 zhong3 shi4qing2 er2
 we PREP this CL thing thus
ba3 zi4ji3 feng1bi4
 BA self shut_off
 '[We] shut ourselves off because of this kind of thing.'

13.2.3. Prepositions versus nouns

A small number of forms in Chinese can be used as both a preposition and a noun, including 根据 *gen1ju4*/依据 *y1ju4* 'according to; basis of making judgment,' 作为 *zuo4wei2* 'as; achievement/action,' 经过 *jing1guo4* 'by, through; process,' and 距离 *ju4li2* 'from; distance.' Consider the examples in [20]–[22].

- [20] a. 根据佛洛伊德的说法, 人有生的本能, 也有死的本能。
 gen1ju4 fo2luo4yi1de2 de0 shuo1fa3 ren2 you3
 PREP Freud DE saying human have
 sheng1 de0 ben3neng2 ye3 you3 si3 de0 ben3neng2
 live DE instinct also have die DE instinct
 'According to Freud, human beings not only have life instincts, but
 also death instincts as well.'
- b. 我们的根据是什么呢?
 wo3men0 de0 gen1ju4 shi4 shen2me0 ne0
 we DE basis be what NE
 'What is the basis [for our claim]?'
- [21] a. 作为一个客家籍作家, 我只提供自己对客家文化性格的一种观察。
 zuo4wei2 yi1 ge4 ke4jia1ji2 zuo4jia1 wo3 zhi3
 PREP one CL Hakka writer I only
 ti2gong1 zi4ji3 dui4 ke4jia1 wen2hua4 xing4ge2 de0
 offer self PREP Hakka culture nature DE
 yi1 zhong3 guan1cha2
 one CL observation
 'As a Hakka writer, I only provide my own observation of cultural
 characteristics of Hakka.'
- b. 我的思想, 作为都与旁人不同。
 wo3 de0 si1xiang3 zuo4wei2 dou1 yu3 pang2ren2
 I DE thought behavior all PREP other_people
 bu4tong2
 different
 'My thoughts and actions are different from the others.'
- [22] a. 那里距离她们家只有一百五十公里。
 na4li3 ju4li2 ta1men0 jia1 zhi3you3 yi1bai3wu3shi2
 there PREP their home only 150
 gong1li3
 kilometer
 'That place is only 150 kilometers away from their home.'

- b. 现实和理想之间一定有距离。

xian4shi2 he2 li3xiang3 zhi1jian1 yi1ding4 you3
reality and ideal between definite have

ju4li2
distance

'There must be a distance between the real and the ideal.'

It is important to note that while the preposition-verb and preposition-conjunction pairs can almost always be attributed to pairs with the same semantic content but different grammatical functions, the preposition-noun pairs contain pairs sharing little semantic content, such as 作为 *zuo4wei2* as the preposition 'as' and as the noun 'achievement, action.' In this case, these two forms are simply homophones, like river "bank" versus financial "bank" in English. In addition, note that a preposition and a noun distinctively differ from each other in the following ways. First, a noun can function as an argument of a verb, whereas a preposition cannot. Second, a noun can be modified by other elements, such as a possessor, a determiner, or a relative clause, whereas a preposition cannot be modified by these elements but is necessarily followed by an NP. Therefore, we can always distinguish a noun from a preposition by examining (1) in which position of the sentence it occurs and (2) whether it is accompanied by any modifiers or objects. Accordingly, 根据 *gen1ju4* in [20a] is a preposition because it is followed by an NP, whereas 根据 *gen1ju4* in [20b] is a noun because it occurs in a subject position and is modified by a possessor.

13.2.4. Prepositions versus adverbs

Similar to preposition-noun pairs, preposition-adverb pairs range from functional variants to homophonic pairs. Although both prepositions and adverbs can occur to the left of the main predicate in a sentence, prepositions are usually followed by an NP, whereas adverbs are not (see Chapter 12 for a detailed discussion on adverbs). For example, 将 *jiang1*, 较 *jiao4*, and 就 *jiu4* are found to occur as either prepositions (as in [23a], [24a], and [25a]) or adverbs (as in [23b], [24b], and [25b]) in Modern Chinese. Their meanings as prepositions can be either different from or similar to the meanings they have as adverbs. For instance, 将 *jiang1* in [23a] is a preposition introducing a patient/theme, but it is understood as a temporal adverb in [23b]; 就 *jiu4* in [24a], as a preposition, introduces the basis for the speaker's claim, whereas 就 *jiu4* in [24b], as an adverb, functions to emphasize the speaker's firm stance; and both 较 *jiao4* as a preposition in [25a] and 较 *jiao4* as an adverb in [25b] are used with a comparative meaning.

- [23] a. 将雨水导入排水管内。
jiang1 yu3shui3 dao3ru4 pai2shui3guan3 nei4
BA rain guide drain inside
‘As for the rainwater, it will be guided into the drains.’
- b. 李女士将于会中发表演讲。
li3 nü3shi4 jiang1 yu2 hui4 zhong1 fa1biao3
LI Ms. will PREP meeting during present
yan3jiang3
speech
‘Ms. Li will deliver a speech at the meeting.’
- [24] a. 就我的观察, 几乎没有人不喜欢率直的人。
jiu4 wo3 de0 guan1cha2 ji1hu1 mei2you3 ren2
PREP I DE observation almost NEG people
bu4 xi3huan1 shuai4zhi2 de0 ren2
NEG like straightforward DE people
‘According to my observation, almost nobody dislikes those who are straightforward.’
- b. 这就是我们应该要欢喜的。
zhe4 jiu4shi4 wo3men0 ying1gai1 yao4 huan1xi3 de0
this exactly we should will happy DE
‘This is just what we should be excited about.’
- [25] a. 耶稣的年代较孔子晚了五世纪之久。
ye1su1 de0 nian2dai4 jiao4 kong3zi3 wan3 le0
Jesus DE year PREP Confucius late LE
wu3 shi4ji4 zhi1 jiu3
five century that long
‘The time of Jesus came five centuries later than the time of Confucius.’
- b. 我们能够提供一些较客观的观点。
wo3men0 neng2gou4 ti2gong1 yi1xie1 jiao4
we can offer some comparatively
ke4guan1 de0 guan1dian3
objective DE viewpoint
‘We can provide more objective perspectives.’

13.2.5. Prepositions versus classifiers

A few forms in Modern Chinese can be used as both prepositions and classifiers, such as 把 *ba3* and 对 *dui4*. These two functions are clearly distinct and all attested

pairs are simply homophonic pairs. When used as prepositions and classifiers, they can occur immediately before a noun; however, when used as classifiers, they are necessarily preceded by determiners, numbers, or quantifiers (see Chapter 7 for classifiers). For example, 把 *ba3* is a preposition in [26a] and a classifier in [26b]. In both sentences, 把 *ba3* occurs immediately before a bare noun, such as 芭蕉扇 *ba1jiao1shan4* ‘plantain-leaf fan’ in [26a] and 扇子 *shan4zi0* ‘fan’ in [26b]. However, the classifier 把 *ba3* in [26b] needs to be preceded by the determiner 这 *zhe4* ‘this.’

- [26] a. [铁扇公主]答应把芭蕉扇借给他。
 tie3shan4gong1zhu3 da2ying4 ba3 ba1jiao1shan4
 Princess_Iron_Fan promise BA plantain_fan
 jie4gei3 ta1
 lend he
 ‘[Princess Iron Fan] agreed to lend him the plantain-leaf fan.’
- b. 这时候, 他才知道这把扇子是假的。
 zhe4 shi2hou0 ta1 cai2 zhi1dao4 zhe4 ba3 shan4zi0
 this time he just know this CL fan
 shi4 jia3 de0
 be fake DE
 ‘It was not until this moment that he knew that the fan was fake.’

Furthermore, a classifier can occur in a noun phrase, which may in turn occur as the object of a preposition. As illustrated in [27], the first 对 *dui4* is a preposition, whereas the second 对 *dui4* is a classifier and is contained in the object of the preposition 对 *dui4*.

- [27] [该委员会]对六十五对的夫妇作了研究分析。
 gai1 wei3yuan2hui4 dui4 liu4shi2wu3 dui4 de0
 the committee PREP sixty_five CL DE
 fu1fu4 zuo4 le0 yan2jiu1 fen1xi1
 couple do LE research analyze
 ‘[The committee] studied and analyzed sixty-five couples.’

13.3. Monosyllabic and disyllabic prepositions

Chinese has both monosyllabic and disyllabic prepositions, but only a few prepositions have more than two syllables. [28] provides some commonly used monosyllabic prepositions.

- [28] 把 *ba3* (direct object marker), 被 *bei4* ‘by (an agent),’ 比 *bi3* ‘than,’ 朝 *chao2* ‘toward,’ 从 *cong2* ‘from,’ 对 *dui4* ‘to,’ 给 *gei3* ‘to, for,’ 往 *wang3* ‘toward,’ 为 *wei4* ‘for,’ 沿 *yan2* ‘along,’ 向 *xiang4* ‘toward,’ 在 *zai4* ‘at’

Disyllabic prepositions can be classified into two types according to how they are formed. The first type is formed by elements that used to be a verb and a suffix, such as -了 *le0* 'LE' and -着 *zhe0* 'ZHE,' as in [29] and [30]. In these sentences, -了 *le0* 'LE' and -着 *zhe0* 'ZHE' do not function as aspectual suffixes in that they can be omitted without changing the meanings of the sentences (see Chapter 5 for the aspectual system).

- [29] a. 爸爸, 你不要吸烟了, 为了你自己也为了我们想。
 ba4ba0 ni3 bu2yao4 xi1yan1 le0 wei4le0 ni3
 dad you do_not smoke LE PREP you
 zi4ji3 ye3 wei4le0 wo3men0 xiang3
 self also PREP we think
 'Dad, do not smoke anymore, think of yourself and us.'
- b. 除了这只玉镯, 已没有纪念妈妈的东西了。
 chu2le0 zhe4 zhi3 yu4zhuo2 yi3 mei2you3
 PREP this CL jade_bracelet already NEG
 ji4nian4 ma1ma0 de0 dong1xi0 le0
 commemorate mother DE thing LE
 'Besides this jade bracelet, there is nothing else to commemorate Mom anymore.'
- [30] a. [高玉宝]朝着太平村方向就一直跑。
 gao1yu4bao3 chao2zhe0 tai4ping2cun1 fang1xiang4 jiu4
 GAO_YUBAO PREP Taiping_village direction thus
 yi1zhi2 pao3
 all_the_time run
 '[GAO Yubao] kept running toward the direction of Taiping village.'
- b. 沿着南京东路走到和平饭店。
 yan2zhe0 nan2jing1dong1lu4 zou3dao4 he2ping2fan4dian4
 PREP Nanjing_East_Road walk_to Heping_Hotel
 'Walk to Heping Hotel along Nanjing East Road.'
- c. 幽灵船向着我驶过来。
 you1ling2chuan2 xiang4zhe0 wo3 shi3 guo4lai2
 ghost_ship PREP I drive come
 'The ghost ship sailed toward me.'
- d. 阿山对着赵世腾大吼。
 a1shan1 dui4zhe0 zhao4shi4teng2 da4hou3
 A_SHAN PREP ZHAO_SHITENG shout
 'A-Shan yelled at ZHAO Shiteng.'

- e. 当你改变自己时, 世界会随着你的改变而改变。

dang1 ni3 gai3bian4 zi4ji3 shi2 shi4jie4 hui4
when you change self when world will

sui2zhe0 ni3 de0 gai3bian4 er2 gai3bian4
PREP you DE change thus change

'When you change yourself, the world will change with you.'

The second type of disyllabic preposition is formed by two synonymous monosyllabic prepositions. For instance, 按照 *an4zhao4* 'according to' is formed by the synonymous prepositions 按 *an4* 'according to' and 照 *zhao4* 'according to,' and the three forms – 按 *an4*, 照 *zhao4*, and 按照 *an4zhao4* – are equivalent to the English expression "according to." A few more examples are given in [31].

- [31] a. 自从线上游戏风行后, 盗版自然消失。

zi4cong2 xian4shang4 you2xi4 feng1xing2 hou4
since on_line game be_popular after

dao4ban3 zi4ran2 xiao1shi1
pirate nature vanish

'Since online games became popular, pirated versions naturally disappeared.'

- b. 先生是退伍军人, 约九年前因为肝癌过世。

xian1sheng0 shi4 tui4wu3 jun1ren2 yue1
husband be be_demobilized soldier about

jiu3nian2 qian2 yin1wei4 gan1ai2 guo4shi4
nine_year before PREP liver_cancer pass_away

'My husband was a veteran, and he passed away about nine years ago due to liver cancer.'

- c. 对于日本人来说, 这是很光荣的。

dui4yu2 ri4ben3ren2 lai2shuo1 zhe4 shi4 hen3
PREP Japanese speaking this be very

guang1rong2 de0
honor DE

'For the Japanese, this is a great honor.'

Unlike the first type of disyllabic prepositions that usually have the same meanings as their corresponding monosyllabic prepositions (e.g., 为了 *wei4le0* 'for' and 为 *wei4* 'for'), not all disyllabic prepositions formed by two synonymous prepositions have the same meanings as their constituents. For example, 自从 *zi4cong2* is

formed by the synonymous prepositions 自 *zi4* ‘from’ and 从 *cong2* ‘from,’ but 自从 *zi4cong2* ‘since’ expresses the starting time of an event that occurred in the past, whereas 从 *cong2* ‘from’ can be used for the starting time of both the past and the future event, as in [32] and [33].

- [32] a. 从去年以来红茶价格已经上涨了。
 cong2 qu4nian2 yi3lai2 hong2cha2 jia4ge2 yi3jing1
 PREP last_year come black_tea price already
 shang4zhang3 le0
 rise LE
 ‘The price of black tea has risen since last year.’
- b. 从明天起你就是严家的人了。
 cong2 ming2tian1 qi3 ni3 jiu4 shi4
 PREP tomorrow from you thus be
 yan2jia1 de0 ren2 le0
 the_YAN_family DE people LE
 ‘You will be a member of the YAN family from tomorrow onward.’
- [33] 自从去年以来红茶价格已经上涨了。
 zi4cong2 qu4nian2 yi3lai2 hong2cha2 jia4ge2 yi3jing1
 PREP last_year come black_tea price already
 shang4zhang3 le0
 rise LE
 ‘The price of black tea has risen since last year.’

Not all monosyllabic prepositions are found in disyllabic forms. For instance, 被 *bei4*, 把 *ba3*, 和 *he2*, and 在 *zai4* cannot form disyllabic prepositions with -了 *le0* or -着 *zhe0*. Moreover, 向 *xiang4*, 往 *wang3*, and 朝 *chao2* have similar meanings, but they cannot be used in combination to form a disyllabic preposition. Therefore, disyllabic prepositions are best treated as formed at the level of lexical-word formation (see Chapter 3).

Monosyllabic and disyllabic prepositions may differ in the types of NPs they can take as objects. A monosyllabic preposition usually takes an NP object that is either monosyllabic or polysyllabic, as in [34]–[35].

- [34] a. 白宫的门向南开。
 bai2gong1 de0 men2 xiang4 nan2 kai1
 White_House DE door PREP south open
 ‘The gate of the White House opens toward the south.’

- b. 有一列火车正向北边开过去。

you3 yi1 lie4 huo3che1 zheng4 xiang4 bei3bian1
YOU one CL train ZAI PREP north

kai1 guo4qu4

drive go

'There is a train running toward the north.'

- [35] a. 依法处理。

yi1 fa3 chu3li3

PREP law handle

'Deal with [them] in accordance with the law.'

- b. 环保局将依水污染法处罚。

huan2bao3ju2 jiang1 yi1 shui3
environmental_protection_bureau will PREP water

wu1ran3 fa3 chu3fa2

pollution law punish

'The Environmental Protection Agency will punish [them] in accordance with the Water Pollution Law.'

However, apart from those containing 了 *le0*, such as 为了 *wei4le0* and 除了 *chu2le0*, disyllabic prepositions usually can only take NPs that have two or more syllables as their objects, as in [36] and [37].

- [36] 辛巴已经和他的好朋友娜娜朝着北方边界冒险去了。

xin1ba1 yi3jing1 he2 ta1 de0 hao3peng2you3 na4na4
Simba already and he DE good_friend NANA

chao2zhe0 bei3fang1 bian1jie4 mao4xian3 qu4 le0

PREP north border adventure go LE

'Simba and his good friend Nana have adventured toward the northern border.'

- [37] 工厂依照水污染防治法规定不断进行改善。

gong1chang3 yi1zhao4 shui3 wu1ran3

factory PREP water pollution

fang2zhi4 fa3 gui1ding4 bu2duan4

prevention_and_cure law stipulate unceasingly

jin4xing2 gai3shan4

proceed improve

'Factories are making continuous improvements in accordance with the provisions of the Water Pollution Control Act.'

13.4. Semantic classification of prepositions

Prepositions are a closed class. Roughly 250 prepositions are found in the Sinica Corpus, with many of them variants sharing similar forms or meanings. For instance, 为 *wei4*, 为了 *wei4le0*, and 为着 *wei4zhe0* all mean ‘for,’ and 依 *yi1*, 依照 *yi1zhao4*, 依循 *yi1xun2*, 依据 *yi1ju4*, and 依着 *yi1zhe0* are all equivalent to ‘according to.’ As was mentioned above, prepositions express a variety of semantic relations with respect to their objects and the rest of the sentence. In addition, many prepositions have multiple meanings. For instance, 由 *you2* ‘from’ can take NP objects in seven semantic roles, including the source of motion, the route of motion, the starting time of an action, the beginning level of a range of things, the initial state of a change, the basis of making a judgment, and the agent of an action. It is still controversial as to how many semantic roles are needed, as well as how and where to establish a boundary between some types of roles. This section introduces the types that are frequently marked by Chinese prepositions, including prepositions for space, space extensions (time, range, and change), involved participants (agent, patient/theme, recipient/beneficiary, instrument, etc.), topic, reference, and condition.

13.4.1. Prepositions for space

Many of the most commonly used prepositions in Chinese are of space origin. The notion of “space” refers to the location where an entity can be found or an action is carried out, as well as the source, path, goal, and direction of an action.

13.4.1.1. Location

Prepositions introducing the location of an entity or an action are commonly called locative prepositions. 在 *zai4* is the most frequently used locative preposition in Chinese, as illustrated by two examples given in [38].

- [38] a. 他在日内瓦有一幢公寓。
 ta1 zai4 ri4nei4wa3 you3 yi1 zhuang4 gong1yu4
 he PREP Geneva have one CL flat
 ‘He has an apartment in Geneva.’
- b. 在桌子下面打滚。
 zai4 zhuo1zi0 xia4mian4 da3gun3
 PREP desk under roll
 ‘Roll under the table.’

Unlike English locative prepositions such as “in,” “on,” “above,” “over,” and “below,” 在 *zai4* does not express a specific spatial reference other than indicating a location. For this reason, 在 *zai4* usually cannot directly take a common noun

such as 桌子 *zhuo1zi0* ‘table’ as its object. Rather, 在 *zai4* only indicates the table as the general location involved in an action, without providing other more specific spatial references with respect to this object, for example, that the event occurs either on top of or under the table. Therefore, 桌子 *zhuo1zi0* ‘table’ has to co-occur with a localizer such as 下面 *xia4mian4* ‘under’ so that we understand that it is under the table that the action occurs, as illustrated in [38b]. Because the 在 *zai4* construction is very frequently used in Chinese, it is important to understand the distribution of localizers in 在 *zai4* PPs, which will be dealt with in more detail in section 13.5.

In addition to 在 *zai4*, 于 *yu1* is also used as a locative preposition, as in [39].

- [39] [味全公司]于海南岛投资设立味精厂。
 wei4quan2 gong1si1 yu2 hai3nan2dao3 tou2zi1
 Wei_Chuan company PREP Hainan_Island invest
 she4li4 wei4jing1 chang3
 build MSG factory
 ‘[Wei Chuan Corp.] invested to build an MSG factory in Hainan Island.’

There are several differences between 于 *yu2* and 在 *zai4*. First, while 在 *zai4* is relatively neutral with respect to the register it occurs in, 于 *yu2* is usually used in formal and literary texts. Second, unlike 在 *zai4*, 于 *yu2* does not take any verbal complement. Third, 于 *yu2* can also be used as a comparative marker, which will be introduced in section 13.4.3.

13.4.1.2. Source

Prepositions introducing the source of a spatial movement include 从 *cong2*, 由 *you2*, 自 *zi4*, and 打 *da3*, as shown in [40].

- [40] a. 我爹爹妈妈从中原来到回疆。
 wo3 die1die0 ma1ma0 cong2 zhong1yuan2 lai2dao4
 I father mother PREP Central_Plains come
 hui2jiang1
 Uyгур_Xinjiang
 ‘My father and mother came to Uyгур Xinjiang from the Central Plains.’
 b. 由合欢山庄出发, 往雾社方向前进。
 you2 he2huan1 shan1zhuang1 chu1fa1 wang3 wu4she4
 PREP Hehuan villa depart PREP Wushe

fang1xiang4 qian2jin4

direction go_forward

‘Depart from Hehuan Villa, [and then] go forward in the direction of Wushe.’

- c. 自台湾赴大陆的美学家吕荧。

zi4 tai2wan1 fu4 da4lu4 de0 mei3xue2jia1

PREP Taiwan go_to mainland DE esthetician

lü3ying2

LV_YING

‘[He is] LV Ying, an esthetician who came to the mainland from Taiwan.’

- d. 月牙泉和鸣沙山是打哪儿来的呢?

yue4ya2quan2 he2 ming2sha1shan1 shi4 da3

Crescent_Spring and Sing_Sand_Dune be PREP

na3er0 lai2 de0 ne0

where come DE NE

‘Where did Crescent Spring and Singing Sand Dunes come from?’

从 *cong2* is relatively more neutral in style and is the most commonly used preposition for source, whereas 由 *you2* and 自 *zi4* are mainly used in literary Chinese, and 打 *da3* is primarily colloquial in Northern Mandarin.

13.4.1.3. Path

Path refers to the route along which an entity moves or the location that an entity passes during its motion. Prepositions such as 顺(着) *shun4 (zhe0)*, 沿(着) *yan2 (zhe0)*, and 循(着) *xun2 (zhe0)* are often used to introduce a route, as in [41]; among them, 顺(着) *shun4 (zhe0)* is colloquial, 沿(着) *yan2 (zhe0)* is relatively neutral, and 循(着) *xun2 zhe0* is often used in literary style.

- [41] a. [我们] 顺着弯曲的山路前进。

wo3men0 shun4zhe0 wan1qu1 de0 shan1lu4

we PREP winding DE mountain_road

qian2jin4

go_forward

‘[We] moved along the curved mountain road.’

- b. [三艘帆船]沿美国海岸线航行。

san1 sao1 fan2chuan2 yan2 mei3guo2

three CL sailing_boat PREP the_United_States

hai3an4xian4 hang2xing2
 coastline sail
 '[Three sailing boats] sailed along the US coastline.'

- c. 他被迫循陆路由巴格达前往德黑兰。

ta1 bei4po4 xun2 lu4lu4 you2 ba1ge2da2
 he forced PREP land_route PREP Baghdad
 qian2wang3 de2hei1lan2
 go Teheran
 'He was forced to go to Teheran from Baghdad by land.'

经 *jing1* and its variants 经过 *jing1guo4* and 经由 *jing1you2* are usually used to introduce the location that an entity passes through, as in [42].

- [42] a. 一位好友的奶奶从大陆经香港来到台湾。

yi1 wei4 hao3you3 de0 nai3nai0 cong2
 one CL good_friend DE grandmother PREP
 da4lu4 jing1 xiang1gang3 lai2dao4 tai2wan1
 mainland PREP Hong_Kong come Taiwan
 'The grandma of a close friend came to Taiwan from the mainland via Hong Kong.'

- b. 佛教在西元 552 年经由朝鲜传抵日本。

fo2jiao4 zai4 xi1yuan2 552 nian2 jing1you2
 Buddhism PREP A.D. 552 year PREP
 chao2xian3 chuan2di3 ri4ben3
 Korea pass_to Japan
 'Buddhism was introduced to Japan in 522 AD via Korea.'

Besides the source of a motion event, 从 *cong2* and 由 *you2* can also specify the path of motion, as in [43]–[44], respectively.

- [43] a. 咱们从原路回去吧!

zan2men0 cong2 yuan2lu4 hui2qu4 ba0
 we PREP original_road return BA
 'Let's go back by the same route!'

- b. 由一号门上四楼参观总统办公室。

you2 yi1 hao4 men2 shang4 si4lou2
 PREP one number door ascend fourth_floor

can1guan1 zong3tong3 ban4gong1shi4
 visit president office
 'Please use Gate 1 to go up to the 4th floor to visit the president's office.'

[44] a. 走! 由秘道走。

zou3 you2 mi4 dao4 zou3
 walk PREP secret road walk
 'Let's go! Go through the hidden passage.'

b. 常常有电单车从门口经过。

chang2chang2 you3 dian4dan1che1 cong2 men2kou3
 often YOU moped PREP door
 jing1guo4
 pass_by
 'Electric bikes frequently pass through by the gate.'

13.4.1.4. Goal

As shown in section 13.1.3, 到 *dao4* can be understood as a goal preposition when it occurs after a ditransitive verb, as in [7b], repeated here as [45].

[45] 克里克随后寄了一份书面信函到白宫。

ke4li3ke4 sui2hou4 ji4 le0 yi1 fen4
 Crick afterwards send LE one CL

shu1mian4 xin4han2 dao4 bai2gong1
 in_written_form letter PREP White_House
 'Crick then sent a letter to the White House.'

In addition to 到 *dao4*, 至 *zhi4* can also mark a goal, as in [46]; however, 至 *zhi4* is mainly used in literary style.

[46] 送水至停水地区。

song4 shui3 zhi4 ting2shui3 di4qu1
 send water PREP cut_off_water_supply area
 'Send water to regions where the water supply has been cut off.'

Although predominantly used as a locative preposition, 在 *zai4* can also introduce a goal NP when it occurs after a displacement verb such as 放 *fang4* 'to put,' as in [7c], repeated here as [47].

- [47] 我们放了一把尺在容器里。
 wo3men0 fang4 le0 yi1 ba3 chi3 zai4 rong2qi4
 we put LE one CL ruler PREP container
 li3
 inside
 'We put a ruler into the container.'

13.4.1.5. Direction

Prepositions for direction include two types: one introduces the location or direction toward which an entity moves, and the other introduces the entity, location, or direction toward which the subject is facing while carrying out an action.

The first type of directional preposition includes 向(着) *xiang4 (zhe0)*, 朝(着) *chao2(zhe0)*, 往 *wang3*, and 冲(着) *chung4 (zhe0)*, which can be used interchangeably in [48]–[51]. Note that these prepositions do not entail the actual arrival of the subject at the destination, so they do not co-occur with motion verbs that indicate an endpoint for the motion, for example, 回 *hui2* 'return,' 进 *jin4* 'enter,' 出 *chu1* 'exit,' and 到 *dao4* 'arrive.'

- [48] a. [他们]向新华门走。
 ta1men0 xiang4 xin1hua2men2 zou3
 they PREP Xinhua_Gate walk
 '[They] walked toward Xinhua Gate.'
- b. 向北走
 xiang4 bei3 zou3
 PREP north walk
 'walk north'
- [49] a. 两个人朝玉申家里走着。
 liang3 ge4 ren2 chao2 yu4shen1 jia1 li3
 two CL people PREP Yushen home inside
 zou3 zhe0
 walk ZHE
 'The two people were walking toward Yushen's home.'
- b. [有两名特警]朝他的方向走过来。
 you3 liang3 ming2 te4jing3 chao2 ta1
 YOU two CL special_policeman PREP he
 de0 fang1xiang4 zou3 guo4lai2
 DE direction walk come
 '[Two special force officers] walked in his direction.'

- [50] a. 一大群的女学生又往店里跑。
 yī1 dà4 qún2 de0 nǚ3 xué2shēng1 yòu4
 one big CL DE female student again
 wǎng3 diàn4 lǐ3 pǎo3
 PREP store inside run
 'A crowd of female students ran into the store again.'
- b. 野狼往前跑。
 yě3 láng2 wǎng3 qián2 pǎo3
 wild wolf PREP front run
 'The wild wolf ran forward.'
- [51] a. 冲铁门撞上去
 chōng4 tiě3mén2 zhuàng4 shàng4qu4
 PREP iron_door hit onto
 'hit on the iron gate'
- b. 拼命的冲着那个方向跑去。
 pīn1míng4 de0 chōng4zhē0 nà4ge4 fāng1xiàng4
 risk_life DE PREP that direction
 pǎo3qu4
 run
 '[They] ran desperately toward that direction.'
- The second type of preposition for direction introduces the entity, location, or direction that the subject is facing while carrying out the action denoted by the verb; the action modified by these PPs does not necessarily involve spatial motion of the subject, for example, laughing, waving hands, or shouting. 向(着) *xiàng4 (zhē0)*, 朝(着) *cháo2(zhē0)*, 冲(着) *chōng4(zhē0)*, and 对(着) *duì4(zhē0)* belong to this type of preposition, as in [52].
- [52] a. 我抬头向上看。
 wǒ3 tái2tóu2 xiàng4 shàng4 kàn4
 I raise_one's_head PREP up see
 'I raised my head to look up.'
- b. 天鹅朝青蛙叫了两声。
 tiān1é2 cháo2 qīng1wā1 jiào4 le0 liǎng3 shēng1
 swan PREP frog shout LE two CL
 'The swan hissed twice toward the frog.'

- c. 道尔冲着教练竖起大姆指。
 dao4er3 chong4zhe0 jiao4lian4 shu4qi3 da4mu3zhi3
 Doyle PREP coach erect thumb
 ‘Doyle thumbed up toward the coach.’
- d. 有个女生在对我笑。
 you3 ge4 nü3sheng1 zai4 dui4 wo3 xiao4
 YOU CL girl ZAI PREP I smile
 ‘There was a girl smiling at me.’

Notice that 往 *wang3* only belongs to the first type of preposition for direction, that is, it can only introduce a direction for a motion event. Interestingly, 往 *wang3* is the only preposition that can occur in the lexicalized, metaphorized construction in the form of “往 *wang3* + NP+ verb.” A few examples are given in [53], where no “往 *wang3* + NP + verb” expressions are understood as their literal meaning.

- [53] a. 往心里去
 wang3 xin1 li3 qu4
 PREP heart inside go
 ‘take someone’s words/something seriously’
- b. 往死里打
 wang3 si3 li3 da3
 PREP death inside beat
 ‘beat someone brutally with intention to kill’
- c. 往坏里想
 wang3 huai4 li3 xiang3
 PREP bad inside think
 ‘take the worst-case scenario/assume the worst of intentions’
- d. 往下说
 wang3 xia4 shuo1
 PREP next say
 ‘continue telling something’

13.4.2. Prepositions for space extensions

Most spatial prepositions are extended to other domains through metaphor or metonymy. This section discusses the domains of time, change of state, and range.

13.4.2.1. Time

Space and time are conceptually closely related: movement in time can be metaphorically understood as movement in space. For this reason, almost all

prepositions for space can be extended to the time domain. For instance, the locative prepositions 在 *zai4* and 于 *yu2* can be used to introduce a time at or during which an event takes place, as in [54].

- [54] a. 抽签仪式在明天中午十二点举行。
 chou1qian1 yi2shi4 zai4 ming2tian1 zhong1wu3
 draw_lots ceremony PREP tomorrow noon
 shi2er4dian3 ju3xing2
 12_o'clock hold
 'The lottery will be held at 12 P.M. tomorrow.'
- b. 李院长于二月二十六日至三月十五日赴美访问。
 li3 yuan4zhang3 yu2 er4yue4 er4shi2liu4ri4 zhi4
 LI dean PREP February 26th PREP
 san1yue4 shi2wu3ri4 fu4 mei3 fang3wen4
 March 15th go_to America visit
 'Dean Li went to visit America during the period from February 26 to March 15.'

Similarly, the source and goal prepositions in the space domain can be used to introduce the starting and ending time of an event, as 从 *cong2* and 到 *dao4* in [55a], 由 *you2* and 至 *zhi4* in [55b], 自 *zi4* in [55c], and 打 *da3* in [55d].

- [55] a. 从一九五八年到他去世的十八年间
 cong2 yi1jiu3wu3ba1nian2 dao4 ta1 qu4shi4 de0
 PREP year_1958 PREP he pass_away DE
 shi2ba1nian2 jian1
 18_years period
 'during the eighteen years from 1958 to his death'
- b. 开放的时间是由上午九点至下午七点。
 kai1fang4 de0 shi2jian1 shi4 you2 shang4wu3
 open DE time be PREP morning
 jiu3dian3 zhi4 xia4wu3 qi1dian3
 9_o'clock PREP afternoon 7_o'clock
 'The opening time is from 9 A.M. to 7 P.M.'
- c. 集训自十九日开始。
 ji2xun4 zi4 shi2jiu3ri4 kai1shi3
 intensified_training PREP 19th start
 'The intensified training starts on the nineteenth.'

- d. 打那天起, 小伙子每天都要来到景文举家。
 da3 na4 tian1 qi3 xiao3huo3zi0 mei3 tian1
 PREP that day from lad every day
 dou1 yao4 lai2dao4 jing3wen2ju3 jia1
 all want come JING_WENJU home
 'From that day on, the young man came to JING Wenju's home
 everyday.'

13.4.2.2. Change of state

Change of state can also be understood as change in space: in *zai4* in [56a] expresses a certain state that an entity is in, both 由 *you2* in [56b] and 从 *cong2* in [56c] denote the initial state of a change, and 到 *dao4* in [56c] introduces the final state of a change.

- [56] a. 我们公司正在草创阶段。
 wo3men0 gong1si1 zheng4 zai4 cao3chuang4
 we company ZAI PREP start
 jie1duan4
 stage
 'Our company is at a startup stage.'
- b. 周庄原是个水乡小镇, 后来由小镇迅速发展为商业大镇。
 zhou1zhuang1 yuan2 shi4 ge4
 Zhouzhuang used_to be CL
 shui3xiang1 xiao3 zhen4 hou4lai2 you2
 region_of_rivers_and_lakes small town later PREP
 xiao3 zhen4 xun4su4 fa1zhan3 wei2 shang1ye4 da4
 small town rapid develop as trade big
 zhen4
 town
 'Zhouzhuang was a town with rivers and ponds, and it then developed rapidly from a small town into a commercial center.'
- c. 一般森林从破坏到恢复要百年左右。
 yi1ban1 sen1lin2 cong2 po4huai4 dao4 hui1fu4 yao4
 usually forest PREP destroy PREP recover need
 bai3nian2 zuo3you4
 century about
 'Usually it takes about one hundred years for a forest to recover from destruction.'

13.4.2.3. Range

Like the domain of change of state, the different levels of a range of things can also be interpreted in terms of motion: 在 *zai4* introduces the level or a topical range where an entity or event is located, as in [57a], 从 *cong2* and 由 *you2* specify the initial level of a topical range, as in [57b]–[57c], respectively, and 到 *dao4* marks the final level, also in [57b]–[57c], respectively.

- [57] a. 在几何学上, 线有位置和长短。
zai4 ji3he2xue2 shang4 xian4 you3 wei4zhi4 he2
 PREP geometry on line have position and
chang2duan3
 length
 ‘In geometry, a line has coordinates and length.’
- b. 从歌剧到重金属都有人专精。
cong2 ge1ju4 dao4 zhong4jin1shu3 dou1 you3 ren2
 PREP opera PREP heavy_metal all YOU people
zhuan1jing1
 specialize
 ‘There are people specializing in [all styles] from opera to heavy metal.’
- c. 由走西口到闯荡全中国, 多少山西人...
you2 zou3 xi1 kou3 dao4 chuang3dang4
 PREP walk west pass PREP make_itinerant_living

quan2 zhong1guo2 duo1shao3 shan1xi1 ren2
 whole China many Shanxi_Province people
 ‘From traveling through the West Pass of the Great Wall to traveling
 all over China to make a living, countless Shanxi people...’

13.4.3. Prepositions for involved participants

An action can involve many entities playing different roles. This section introduces some common semantic roles expressed by Chinese prepositions, including agent, patient/theme, recipient/beneficiary, instrument/manner, entity to be compared with, and excluded entity.

13.4.3.1. Agent

Prepositions for agent denote the doer of an action. One of the most commonly used prepositions is 被 *bei4*, as in [58] (see Chapter 15 for more detail).

- [58] a. 我一边做饭一边唱歌, 竟然被婆婆骂。
 wo3 yi1 bian1 zuo4fan4 yi1 bian1 chang4ge1
 I one side cook one side sing
 jing4ran2 bei4 po2po2 ma4
 unexpectedly BEI mother-in-law scold
 'I was singing while cooking, but was scolded by mother-in-law.'

- b. 民房被水冲走。
 min2fang2 bei4 shui3 chong1zou3
 privately_owned_house BEI water wash_away
 'Residential houses were washed away by flood water.'

Other frequently used prepositions for agent include 让 *rang4*, 叫 *jiao4*, 给 *gei3*, 由 *you2*, and 归 *gui1*, as in [59].

- [59] a. 可是我的老婆儿子却让汉人强盗杀了。
 ke3shi4 wo3 de0 lao3po0 er2zi0 que4 rang4
 but I DE wife son yet BEI
 han4ren2 qian2dao4 sha1 le0
 Han bandit kill LE
 'But my wife and son were killed by Han bandits.'
- b. 你这样, 很叫人误会。
 ni3 zhe4yang4 hen3 jiao4 ren2 wu4hui4
 you like_this very PREP people misunderstand
 'You will easily be misunderstood by others if you (behave) like this.'
- c. 我杀了那头狼, 但也给狼咬伤了。
 wo3 sha1 le0 na4 tou2 lang2 dan4 ye3 gei3
 I kill LE that CL wolf but also PREP
 lang2 yao3shang1 le0
 wolf bite LE
 'I killed that wolf, but was bitten by it as well.'
- d. 该段道路, 由新竹县府负责管理维护。
 gai1 duan4 dao4lu4 you2 xin1zhu2 xian4fu3
 the part road PREP Hsinchu county_government
 fu4ze2 guan3li3 wei2hu4
 be_in_charge manage maintain
 'This section of the road will be managed and maintained by the government of Hsinchu County.'

- e. 『人民日报』不归你管，你不应过问。

ren2min2ri4bao4 bu4 gui1 ni3 guan3 ni3 bu4
People's_Daily NEG PREP you manage you NEG

ying1 guo4wen4
should interfere

'People's Daily is not managed by you, so you should not interfere.'

Among them, 让 rang4, 叫 jiao4, and 给 gei3 are more often used in colloquial Chinese. 被 bei4 (and 给 gei3 in some Northern Chinese dialects) can occur alone without an explicitly expressed agent if the information of the agent is unknown or unnecessary, as in [60], whereas generally others always need to take an agent as their object.

- [60] a. 每 19 分钟一辆汽车被偷。

mei3 19 fen4zhong1 yi1 liang4 qi4che1 bei4 tou1
every 19 minute one CL car BEI steal
'There is a car stolen every 19 minutes.'

- b. 猴自古以来都被认为是极聪明的动物。

hou2 zi4gu3yi3lai2 dou1 bei4 ren4wei2 shi4
monkey since_ancient_times all BEI think be

ji2 cong1ming0 de0 dong4wu4
extremely smart DE animal

'The monkey has been considered a very smart animal since ancient times.'

Patient/theme mainly refers to an entity that is affected by an action or undergoes an action. 把 ba3 is the most frequently used preposition to introduce such an entity, as in [61] (see Chapter 15 for more detail).

- [61] a. [曾子]把猪杀了。

zeng1zi3 ba3 zhu1 sha1 le0
Zengzi BA pig kill LE
'[Zengzi] killed the pig.'

- b. 我们把筷子放在水里。

wo3men0 ba3 kuai4zi0 fang4 zai4 shui3 li3
we BA chopsticks put PREP water inside
'We put the chopsticks into the water.'

As shown in section 13.4.1, 对 dui4 and 对着 dui4zhe0 are alike in that both can be used as prepositions for direction. However, notice that 对 dui4 is different from

对着 *dui4zhe0* in that the former can introduce a patient/theme as in [62], while the latter cannot.

- [62] 对六百字进行了研究。
 dui4 *liu4bai3* *zi4* *jin4xing2* *le0* *yan2jiu1*
 PREP six_hundred character proceed LE research
 ‘[He] studied six hundred characters.’

将 *jiang1* is also a preposition for patient/theme, as in [63]. Compared with 把 *ba3* and 对 *dui4*, 将 *jiang1* is more often used in literary style.

- [63] [我们] 将雨水导入排水管内。
 wo3men0 *jiang1* *yu3shui3* *dao3ru4* *pai2shui3guan3*
 we BA rain guide drain
 nei4
 inside
 ‘We guided the rainwater into the drains.’

给 *gei3* can mark (1) a recipient, who is in ownership or possession of something after the action denoted by the verb, or (2) a beneficiary, for whose benefit the action takes place, as in [64a] and [64b], respectively.

- [64] a. 有个学生发生车祸后, 写了一封信给我。
 you3 *ge4* *xue2sheng1* *fa1sheng1* *che1huo4* *hou4*
 YOU CL student happen car_accident after
 xie3 *le0* *yi1* *feng1* *xin4* *gei3* *wo3*
 write LE one CL letter PREP I
 ‘A student wrote a letter to me after a car accident.’
 b. 我给你消了毒; 伤势并不严重。
 wo3 *gei3* *ni3* *xiao1le0du2* *shang1shi4* *bing4*
 I PREP you disinfect_LE wound however
 bu4 *yan2zhong4*
 NEG serious
 ‘I disinfected your wound; it was not too serious.’

为(了) *wei2(le0)* and 替 *ti4* can also introduce a beneficiary in the pre-verbal position, as in [65].

- [65] a. 为老师们兴建宿舍
 wei4 *lao3shi1* *men0* *xing1jian4* *su4she4*
 PREP teacher PL build dorm
 ‘build dorms for teachers’

- b. 替盲生念书
 ti4 mang2sheng1 nian4shu1
 PREP blind_student read
 'read for blind students'

In terms of style, 给 *gei3* and 替 *ti4* are used colloquially, whereas 为 (了) *wei4 (le0)* is more literary.

Instrument refers to an entity, usually inanimate, that the agent uses to carry out an action. 用 *yong4* is the most frequently used preposition for instruments, 以 *yi3* is often used in literary and formal styles, and 拿 *na2* is highly colloquial. Consider the following examples.

- [66] a. 我们中国人都用筷子吃饭。
 wo3men0 zhong1guo2ren2 dou1 yong4 kuai4zi0
 we Chinese all PREP chopsticks
 chi1fan4
 eat
 'We Chinese people all eat with chopsticks.'
- b. 以红外线装置干扰对方
 yi3 hong2wai4xian4 zhuang1zhi4 gan1rao3
 PREP infrared_rays device disrupt
 dui4fang1
 the_other_side
 'to disrupt the opponent party with an infrared device'
- c. 他先拿打蛋器搅蛋。
 ta1 xian1 na2 da3dan4qi4 jiao3 dan4
 he first PREP egg_beater stir egg
 'He used an egg beater to beat the eggs first.'

Manner refers to the way in which the action is carried out. Prepositions that are often used for manner include 通过 *tong1guo4*, 靠 (着) *kao4 (zhe0)*, 用 *yong4*, 由 *you2*, and 以 *yi3*, as shown in [67].

- [67] a. 政府将通过降低出口成本的方法来提高出口收入。
 zheng4fu3 jiang1 tong1guo4 jiang4di1 chu1kou3
 government will PREP drop export
 cheng2ben3 de0 fang1fa3 lai2 ti2gao1 chu1kou3
 cost DE way come raise export

shou1ru4

income

'The government will increase export revenue through lowering export cost.'

- b. 但是光靠轰炸无法把伊拉克军队逐出科威特。

dan4shi4 guang1 kao4 hong1zha4 wu2fa3 ba3

but only PREP bombard no_way BA

yi1la1ke4 jun1dui4 zhu2chu1 ke1wei1te4

Iraq army drive_out Kuwait

'But it's impossible to drive out the Iraqi army from Kuwait by bombing alone.'

- c. 对于她的问句,我只用摇头来回答。

dui4yu2 ta1 de0 wen4ju4 wo3 zhi3 yong4

PREP she DE question I only PREP

yao2tou2 lai2 hui2da2

shake_one's_head come reply

'To her questions, I could only respond by shaking my head.'

- d. 我主张很多社会现象应由法律来解决。

wo3 zhu3zhang1 hen3duo1 she4hui4 xian4xiang4

I advocate many society phenomenon

ying1 you2 fa3lü4 lai2 jie3jue2

should PREP law come solve

'I advocate that many social phenomena should be resolved by law.'

- e. 以书面通知当事人。

yi3 shu1mian4 tong1zhi1 dang1shi4ren2

PREP in_written_form notify the_party_concerned

'Notify the parties concerned by written notice.'

13.4.3.2. Entity to be compared with

Chinese has two types of comparisons – superiority and equality (see Chapter 11 for more detail). Different prepositions are available in Chinese to introduce an entity to be compared with, that is, the standard of comparison. 比 *bi3* is the most commonly used preposition for superiority comparison, as in [68].

- [68] a. 蔬菜的平均批发价比零售价便宜 15% 至 20%。

shu1cai4 de0 ping2jun1 pi1fa1jia4 bi3

vegetable DE average wholesale_price than

ling2shou4jia4 pian2yi4 15% zhi4 20%

retail_price cheap 15% PREP 20%

'The average wholesale price of vegetables is 15 to 20% lower than retail price.'

- b. 没有任何东西跑得比光快。

mei2you3 ren4he2 dong1xi0 pao3 de2 bi3 guang1

NEG any thing run DE than light

kuai4

fast

'Nothing travels faster than light.'

较 *jiao4* is another monosyllabic preposition used for superiority comparison, but it is more literary and formal in style.

- [69] 耶稣的年代较孔子晚了五世纪之久。

ye1su1 de0 nian2dai4 jiao4 kong3zi3 wan3 le0

Jesus DE year PREP Confucius late LE

wu3 shi4ji4 zhi1 jiu3

five century that long

'The time of Jesus came five centuries later than the time of Confucius.'

跟 *gen1*, 像 *xiang4*, 和 *he2*, 同 *tong2*, and 与 *yu3* are often used for equality comparison. These prepositions usually co-occur with adverbs such as 一样 *yi1yang4*, 那样 *na4yang4*, and 这样 *zhe4yang4* to express whether two entities under comparison share the same property or share a property at the same degree, as in [70].

- [70] a. 我能跑得跟以前那样快。

wo3 neng2 pao3 de2 gen1 yi3qian2 nei4yang4

I can run DE PREP before like_that

kuai4

fast

'I can run as fast as before.'

- b. 如果不是当年发生的事, 我不会像现在这样受欢迎。

ru2guo3 bu4 shi4 dang1nian2 fa1sheng1 de0

if NEG be that_year happen DE

shi4 wo3 bu4 hui4 xiang4 xian4zai4 zhe4yang4

thing I NEG will like now like_this

shou4dao4 huan1ying2

receive welcome

'If it is not for what happened that year, I would not be as popular as now.'

- c. 糖尿病和高血压一样, 都是长期的慢性病。

tang2niao4bing4 he2 gao1xue4ya1 yi1yang4 dou1 shi4
diabetes and hypertension same all be

chang2qi1 de0 man4xing4bing4

long_term DE chronic_disease

'Diabetes, same as hypertension, is a long-term chronic disorder.'

- d. 他们同所有人一样, 热爱生活。

ta1men0 tong2 suo3you3ren2 yi1yang4 re4ai4

they like everybody same love_fervently

sheng1huo2

life

'Like everyone else, they love life.'

- e. 联合国与其他组织不一样。

lian2he2guo2 yu3 qi2ta1 zu3zhi1 bu4

the_United_Nations and other organization NEG

yi1yang4

same

'The United Nations is not the same as other organizations.'

13.4.3.3. Excluded entity

除 *chu2* and its variants 除了 *chu2le0*, 除 (了) *chu2 (le0)* ... 外 *wai4*/以外 *yi3wai4*/之外 *zhi1wai4* can be understood as either 'except' or 'besides,' depending on the context in which they occur. When used with the 'except' meaning, the preposition marks an entity as not part of a particular group; in this case, the preposition often co-occurs with adverbs such as 全 *quan2* 'all' and 都 *dou1* 'all,' as in [71].

- [71] 照片上的同伴除我以外都已退休了。

zhao4pian4 shang4 de0 tong2ban4 chu2 wo3

picture on DE companion PREP I

yi3wai4 dou1 yi3 tui4xiu1 le0

other_than all already retire LE

'Except me, all the companions in the photo were retired.'

When understood as ‘besides,’ 除 *chu2* and its variants introduce an entity apart from other entities contained in the same group; in this case, the pronoun often co-occurs with adverbs such as 还 *hai2* ‘still’ and 也 *ye3* ‘also,’ as shown in [72a] and [72b], respectively.

- [72] a. 除北京外, 他还将访问西安, 青岛, 上海和香港。
chu2 bei3jing1 wai4 ta1 hai2 jiang1 fang3wen4
 PREP Beijing beside he still will visit
xi1an1 qing1dao3 shang4hai3 he2 xiang1gang3
 XIAN QINGDAO SHANGHAI and Hong_Kong
 ‘Besides Beijing, he will also visit Xi’an, Qingdao, Shanghai, and Hong Kong.’
- b. 除台北外, 也可到其他县市, 乡看看。
chu2 tai2bei3 wai4 ye3 ke3 dao4 qi2ta1
 PREP Taipei beside also can PREP other
xian4shi4 xiang1 kan4kan4
 county_and_city village see
 ‘Besides Taipei, please also go and see other cities, counties, and villages.’

13.4.4. Prepositions in relation to topics

A topic is the subject matter a sentence is about. It usually occurs at the beginning of a sentence. One way to mark a topic is by the use of prepositions such as 关于 *guan1yu2*, 对于 *dui4yu2*, and 至于 *zhi4yu2*, as shown in [73].

- [73] a. 关于灵芝的研究, 目前多以赤芝为主。
guan1yu2 ling2zhi1 de0 yan2jiu1 mu4qian2
 PREP ganoderma DE research at_present
duo1 yi3 chi4zhi1 wei2zhu3
 many PREP red_mushroom as_the_majority
 ‘As for the current research on ganoderma, most is on the red mushroom [lucidum].’
- b. 对于这些问题公众知道得太少。
dui4yu2 zhe4xie1 wen4ti2 gong1zhong4 zhi1dao4
 PREP these question public know
de2 tai4shao3
 DE too_little
 ‘In regards to these problems, the public knows far too little.’

- c. ...至于擦地板啊,擦窗户这种比较需要出力的工作都由我来做。
 zhi4yu2 ca1 di4ban3 a1 ca1 chuang1hu4 zhe4
 PREP wipe floor A wipe window this
 zhong3 bi3jiao4 xu1yao4 chu1li4 de0 gong1zuo4
 CL relatively need exert_oneself DE job
 dou1 you2 wo3 lai2 zuo4
 all PREP I come do
 ‘...As for heavy duties such as wiping the floor and windows, I will
 take care of all of them.’

Note that 关于 *guan1yu1*, 对于 *dui4yu1*, and 至于 *zhi4yu1* cannot be used interchangeably in all cases. As illustrated in [73a], 关于 *guan1yu1* can introduce an entity/thing that does not enter into any grammatical relation with the verb. In addition, 关于 *guan1yu1* can also introduce a patient/theme as the topic of the sentence. As shown in [74], 关于 *guan1yu1* can replace 对于 *dui4yu1* in [73b].

- [74] 关于这些问题公众知道得太少。
 guan1yu2 zhe4xie1 wen4ti2 gong1zhong4 zhi1dao4
 PREP these question public know
 de2 tai4shao3
 DE too_little
 ‘In regards to these problems, the public knows far too little.’

Unlike 关于 *guan1yu1* and 对于 *dui4yu1*, which are generally used to introduce old information as the topic of an utterance, 至于 *zhi4yu1* can be used to introduce new information as the topic, with the newly introduced topic being either an argument of an verb, as in [73c] (“floor-wiping” is the thing the speaker needs to do), or any other elements that the continuation sentence is about, as in [75].

- [75] 精神科医生的自杀率一向最高,演艺人员其次;至于作家,
 这方面倒没有一定的数据证明。
 jing1shen2ke1 yi1sheng1 de0 zi4sha1lu4 yi1xiang4
 psychiatry doctor DE suicide_rate always
 zui4 gao1 yan3yi4ren2yuan2 qi2ci4 zhi4yu2 zuo4jia1
 most tall entertainer next PREP writer
 zhe4 fang1mian4 dao4 mei2you3 yi1ding4
 this facet nonetheless NEG definite

de0 shu4ju4 zheng4ming2

DE data prove

'The suicide rate among psychiatrists is always the highest, followed by that of entertainers; as for writers, there is no data available.'

Besides these three commonly used prepositions, 就 *jiu4*, 就 *jiu4*... (而言 *er2yan2*/论 *lun4*), 就 *jiu4*... (来说 *lai2shuo1*), and 拿 *na2*... 来说 *lai2shuo1* can also mark a topic, as shown in [76].

[76] a. 就清洁而言, 现在市面上已有多款「干性皮肤」专用的肥皂或乳液。

jiu4 qing1jie2 er2yan2 xian4zai4 shi4mian4 shang4
PREP clean speaking now market on

yi3 you3 duo1 zhong3 gan1xing4 pi2fu1
already YOU many CL dry skin

zhuan1yong4 de0 fei2zao4 huo4 ru3ye4
especially_for DE soap or lotion

'As for cleaning, the market already has varieties of soaps or cleansers dedicated to dry skin.'

b. 拿汉字来说, 汉字的历史很短, 至多有五千年左右。

na2 han4zi4 lai2shuo1 han4zi4 de0
PREP Chinese_character speaking Chinese_character DE

li4shi3 hen3 duan3 zhi4duo1 you3 wu3qian1nian2
history very short at_most YOU 5000_years

zuo3you4
about

'As for Chinese characters, the history is very short, which is only five thousand years at most.'

Like 关于 *guan1yu2*, they can also introduce a topic that is a patient/theme of the action or any other elements the rest of the sentence is about. 就 *jiu4* and 就 *jiu4*... (而言 *er2yan2*/论 *lun4*) are often used in formal and literary styles, whereas 就 *jiu4*... (来说 *lai2shuo1*) and 拿 *na2*... 来说 *lai2shuo1* are more colloquial.

13.4.5. Prepositions in relation to reference

A variety of prepositions are available in Chinese to introduce a reference for making a judgment, decision, or taking action, for example, 从 *cong2*, 由 *you2*, 据 *ju4*, 根据 *gen1ju4*, 依据 *yi1ju4*, 依照 *yi1zhao4*, 按 *an4*, 照 *zhao4*, 按照 *an4zhao4*, 冲 (着) *chong4 (zhe0)*, 基于 *ji1yu2*, and 鉴于 *jian4yu2* 'according to, based on.' Among

them, 据 *ju4*, 依 *yi1*, 依据 *yi1ju4*, 依照 *yi1zhao4*, 基于 *ji1yu2*, and 鉴于 *jian4yu2* are usually used in literary and formal styles, whereas 照 *zhao4* and 冲 (着) *chong4 (zhe0)* are more colloquial. The reference can be what the speaker observes or what is regulated. Examples of this are shown in [77].

- [77] a. 根据美国宪法, 只有国会才有宣战的权力。
gen1ju4 mei3guo2 xian4fa3 zhi3you3
 PREP the_United_States constitution only
guo2hui4 cai2 you3 xuan1zhan4 de0 quan2li4
 congress just YOU declare_war DE power
 ‘Under the US Constitution, only Congress has the power to declare war.’
- b. 按照澳洲法律规定, 任何外国移民必需在澳洲居住满两年才能取得澳洲公民权。
an4zhao4 ao4zhou1 fa3lü4 gui1ding4 ren4he2
 according_to Australia law stipulate any
wai4guo2 yi2min2 bi4xu1 zai4 ao4zhou1 ju1zhu4
 foreign immigrate must PREP Australia live
man3 liang3nian2 cai2 neng2 qu3de2 ao4zhou1
 full two_years just can get Australia
gong1min2quan2
 citizenship
 ‘In accordance with Australian law, any foreign immigrants must have lived in Australia for two years in order to obtain Australian citizenship.’
- c. 鉴于美元今年, 大幅升值, 兰特币值下落是正常现象。
jian4yu2 mei3yuan2 jin1nian2 da4fu2
 PREP US_dollar this_year to_a_great_extent
sheng1zhi2 lan2te4 bi4zhi2 xia4luo4 shi4
 appreciate Rand currency_value fall be
zheng4chang2 xian4xiang4
 normal phenomenon
 ‘Given the large-scale rise of the US currency, it is a normal phenomenon for the rand currency to fall.’
- d. 由来信看出你和王君已有相当时间没有联络。
you2 lai2xin4 kan4chu1 ni3 he2 wang2jun1 yi3
 PREP letter see you and Mr_Wang already

you3 xiang1dang1 shi2jian1 mei2you3 lian2luo4
 YOU quite time NEG contact

'From what your letter said, you and Mr. Wang did not contact each other for quite a long time.'

- e. 我一定照你们的意思办。

wo3 yi1ding4 zhao4 ni3men0 de0 yi4si0 ban4
 I definite PREP you DE idea do

'I will certainly take care of [it] following your intention.'

13.4.6. Prepositions in relation to condition

Prepositions for condition, under which the action takes place, can be classified into several subtypes as introduced in the sentences below. 趁(着) *chen4 (zhe0)*, 藉(借) *jie4 (zhe0)*, and 仗着 *zhang4zhe0* are often used to introduce a chance or timing that the subject takes advantage of for the action.

- [78] a. 趁年轻去体验不同的生活。

chen4 nian2qing1 qu4 ti3yan4 bu4tong2 de0
 PREP young go experience different DE
 sheng1huo2
 life

'Go experience different lifestyles while you are young.'

- b. 藉着这样的讨论, 加深技术人员对专利的敏感度。

jie4zhu4 zhe4yang4 de0 tao3lun4 jia1shen1
 PREP like_this DE discuss deepen
 ji4shu4ren2yuan2 dui4 zhuan1li4 de0 min3gan3du4
 technician PREP patent DE sensitivity

'Enhance the technical personnel's sensitivity to patents through such discussions.'

- c. 他仗着姊姊是皇后, 变得无法无天。

ta1 zhang4zhe0 jie3jie0 shi4 huang2hou4 bian4 de0
 he PREP sister be empress change DE
 wu2fa3wu2tian1
 NEG_law_NEG_heaven

'Relying on his sister's status as the queen, he obeyed neither law nor ethics.'

冒(着) *mao4 (zhe0)* 'risk' mainly marks an unfavorable and even dangerous situation in which the subject risks himself/herself to carry out an action, as shown in [79].

- [79] a. 冒大风浪执行任务。
 mao4 da4 feng1lang4 zhi2xing2 ren4wu4
 PREP big stormy_wave execute mission
 '[He is] risking the big storm to carry out the mission.'
- b. 他冒着生命的危险来到金门三次。
 ta1 mao4zhe0 sheng1ming4 de0 wei1xian3 lai2dao4
 he PREP life DE danger come
 jin1men2 san1 ci4
 Kinmen three CL
 'Risking his life, he came to Kinmen (Quemoy) three times.'

随(着) *sui2 (zhe0)* can be used to introduce a change along which the state of another entity also changes, as in [80].

- [80] a. 不论宝宝吃什么, 其测验结果随年龄增长越来越好。
 bu4lun4 bao3bao0 chi1 shen2me0 qi2 ce4yan4
 no_matter baby eat what its test
 jie2guo3 sui2 nian2ling2 zeng1zhang3 yue4lai2yue4
 result PREP age grow more_and_more
 hao4
 good
 'No matter what the babies have eaten, their test results became better and better with age.'
- b. 随着民众的需要愈来愈多, 对政府的要求也愈来愈高。
 sui2zhe0 min2zhong4 de0 xu1yao4 yu4lai2yu4
 PREP people DE need more_and_more
 duo1 dui4 zheng4fu3 de0 yao1qiu2 ye3
 many PREP government DE demand also
 yu4lai2yu4 gao1
 more_and_more tall
 'With increased public needs, the public's expectations of the government are becoming higher and higher.'

The locative preposition 在 *zai4* can specify a condition when it co-occurs with 上 *shang4* 'on' or 下 *xia4* 'under.' "在 *zai4* 'PREP' NP 上 *shang4*" marks a basis on which a further development or improvement is made, whereas "在 *zai4* NP 下 *xia4*" indicates the necessary condition under which the subject is able to make an achievement, as illustrated in [81].

- [81] a. [我们]在有效管理的基础上, 开放经贸交流。
 wo3men0 zai4 you3xiao4 guan3li3 de0 ji1chu3
 we PREP effective manage DE basis
 shang4 kai1fang4 jing1mao4 jiao1liu2
 on open economic_and_trading exchange
 ‘[We] will open up for economic exchanges on the basis of effective management.’
- b. 在母亲的指导下, 于一九三八年考取伦敦大学。
 zai4 mu3qin1 de0 zhi3dao3 xia4 yu2
 PREP mother DE instruction under PREP
 yi1jiu3san1ba1nian2 kao3qu3 lun2dun1
 year_1938 pass_entrance_examination London
 da4xue2
 university
 ‘Under the guidance of his mother, he was admitted by the University of London in 1938.’

13.5. Locative PPs

在 *zai4* is the most frequently used preposition found in the Sinica Corpus, but in many cases it cannot take a common noun directly as its object. For instance, 山 *shan1* ‘mountain,’ a common noun shown in [82a], must co-occur with a localizer such as 上 when entering into a 在 *zai4* PP, as in [82b].

- [82] a. 为什么沙漠上有这许多山?
 wei4shen2me0 sha1mo4 shang4 you3 zhe4 xu3duo1
 why desert on YOU this many
 shan1
 hill
 ‘Why are there so many dunes in the desert?’
- b. 小明在山上画画儿。
 xiao3ming2 zai4 shan1 shang4 hua4hua4er0
 XIAOMING PREP mountain on draw_picture
 ‘Xiaoming is drawing pictures on the mountain.’

Localizers, or “locative particles” (treated as “clitics,” “postpositions,” or “nouns” in previous literature), specify spatial relationships between entities. For instance, the localizer 上 *shang4* in [82b] states that the subject is on the top of the mountain. However, not all nouns require a localizer in order to occur in 在

PPs. For instance, both 美国 *mei3guo2* ‘the United States’ in [83a] and 学校 *xue2xiao4* ‘school’ in [84b] are nouns, but when occurring in 在 *zai4* PPs, 美国 *mei3guo2* ‘the United States’ is not used with any localizer, whereas 学校 *xue2xiao4* ‘school’ can occur either with or without a localizer.

- [83] a. 美国是一个强大的国家。
mei3guo2 shi4 yi1 ge4 qian2da4 de0 guo2jia1
 the_United_States be one CL powerful DE country
 ‘The United States is a powerful country.’
- b. 他们在美国工作。
ta1men0 zai4 mei3guo2 gong1zuo4
 they PREP the_United_States work
 ‘They work in the United States.’
- [84] a. 这两所学校是台中市有名的私立学校。
zhe4 liang3 suo3 xue2xiao4 shi4 tai2zhong1shi4
 this two CL school be Taichung_City

you3ming2 de0 si1li4 xue2xiao4
 famous DE private school
 ‘These two are well-known private schools in Taizhong City.’
- b. 在学校努力学习。
zai4 xue2xiao4 nu3li4 xue2xi2
 PREP school work_hard study
 ‘Study hard in school.’
- c. 在学校里拚命学习。
zai4 xue2xiao4 li3 pan4ming4 xue2xi2
 PREP school inside risk_one’s_life study
 ‘Study extremely hard in school.’

As mentioned in section 13.4.1, 在 *zai4* as a locative preposition does not specify any spatial reference as English prepositions such as “in,” “at,” “on,” “above,” and “below” do. Therefore, 在 *zai4* has to take an NP object coupled with some “external” locative specifications in order to express location information.

There are three types of NPs that denote locative values and thus can be directly taken as objects by 在 *zai4*. The first type includes place names, for example, 中国 *zhong1guo2* ‘China’ and 美国 *mei3guo2* ‘the United States,’ and disyllabic localizers, for example, 上面 *shang4mian4* ‘top’ and 后边 *hou4bian1* ‘back,’ as in [85]. The NPs of this type denote specific locations, so they can be used without a localizer.

- [85] a. place names:
 中国 *zhong1guo2* ‘China,’ 北京 *bei3jing1* ‘Beijing,’ 香港 *xiang1gang3* ‘Hong Kong,’ 美国 *mei3guo2* ‘the United States’
- b. disyllabic localizers used as a locative noun:
 上面 *shang4mian4* ‘top,’ 后边 *hou4bian1* ‘back,’ 中间 *zhong1jian1* ‘middle,’ 外面 *wai4mian4* ‘outside’

The second type of NP with locative values also has two subtypes: (1) those denoting institutions or organizations involved in people’s daily activities, for example, 学校 *xue2xiao4* ‘school’ and 公司 *gong1si1* ‘company,’ and (2) those that are explicitly marked as definite, for example, by a demonstrative, an ordinal marker, or a relative clause, as in [86].

- [86] a. NPs denoting institutions or organizations where people go very often:
 学校 *xue2xiao4* ‘school,’ 公司 *gong1si1* ‘company,’ 图书馆 *tu2shu1guan3* ‘library,’ 邮局 *you2ju2* ‘post office’
- b. NPs explicitly marked as definite include:
 NPs marked by a demonstrative:
 这座山 *zhe4zuo4shan1* ‘this mountain,’ 这本书 *zhe4ben3shu1* ‘this book,’ 那个桌子 *na4ge4zhuo1zi0* ‘that table’
 NPs marked by an ordinal:
 第一座山 *di1yi1zuo4shan1* ‘the first mountain,’ 第一页 *di4yi1ye4* ‘the first page,’ 第一个桌子 *di4yi1ge4zhuo1zi0* ‘the first table’
 NPs marked by a relative clause:
 去过的山 *qu4guo4de0shan1* ‘the mountain that someone has been to,’ 刚买来的书 *gang1mai3lai2de0shu1* ‘the book just bought,’ 昨天吃饭的桌子 *zuo2tian1chi1fan4dezhuo1zi0* ‘the table where the dinner was had yesterday’

NPs of this type can all occur in a 在 *zai4* PP with an optional localizer. The first subtype of NP, which denotes institutions or organizations, is often associated with some regular activity (people go to school to study and go to a company to work). Notice that the locations of entities involved in such activities are always easily identified by speakers/hearers. For example, if a child says to his mother 我去学校了 *wo3 qu4 xue2xiao4 le0* ‘I am going to school,’ it is clear to the mother which school the child is going to. Furthermore, when people carry out activities that are typically associated with these locations, the activities are mainly conducted within the location of the institutions, for example, studying is generally done inside a school. Therefore, localizers such as 里 *li3* expressing “inside” can be optional. Similarly, although common nouns such as 山 *shan1* ‘mountain’ and

桌子 *zhuo1zi0* ‘table’ do not have inherent locative values, the locations denoted by these nouns may also become referential and identifiable if these NPs are explicitly marked as definite, as in [82b]. As a result, they can occur in 在 *zai4* PPs with or without localizers. As [87] illustrates, 页 *ye4* ‘page’ in both the [a] and [b] sentences are marked by demonstrative quantifiers, so a localizer such as 中 *zhong1* is optional.

- [87] a. 在这同一页中, 也刊登了汤子康和王宁的研究报告。

zai4 zhe4 tong2yi1 ye4 zhong1 ye3
 PREP this same page in also
kan1deng1 le0 tang1zi3kang1 he2
 publish_in_periodical LE TANG_ZIKANG and
wang2ning2 de0 yan2jiu1 bao4gao4
 WANG_NING DE research report

‘On this same page, the research reports by TANG Zikang and WANG Ning were also published.’

- b. 我在这一页发现我十月二十九日的借书收据。

wo3 zai4 zhe4 yi1 ye4 fa1xian4 wo3 shi2yue4
 I PREP this one page find I October
er2shi2jiu3ri4 de0 jie4 shu1 shou1ju4
 29th DE borrow book receipt

‘I found the receipt of the book loan on October 29 on this page.’

If a common noun is not explicitly marked as definite, then it has to co-occur with a localizer in order to be taken as an object by 在 *zai4*, as 山 *shan1* ‘mountain’ in [82b]. More examples of common nouns with localizers are given in [88].

- [88] 山上 *shan1shang4* ‘on top of the mountain,’ 桌子上面 *zhuo1zi0shang4 mian4* ‘on top of the table,’ 书里 *shu1li3* ‘in the book,’ 桥下 *qiao2xia4* ‘under the bridge,’ 城市里 *cheng2shi4li3* ‘in the city,’ 国内 *guo2nei4* ‘in the country,’ 盒子里 *he2zi0li3* ‘in the box’

The constraint that 在 *zai4* requires an indefinite common noun to co-occur with a localizer is generally true except for contexts where more than one 在 *zai4* PP is used for the purpose of contrast or listing. For instance, the bare noun 电梯 *dian4ti1* ‘elevator’ is not usually found in a 在 *zai4* PP without a localizer, but when it occurs in a listing, the localizer is optional, as in [89b].

- [89] a. 仅有两人在电梯中受困。

jin3you3 liang3 ren2 zai4 dian4ti1 zhong1
 only two people PREP elevator in

shou4kun4

be_trapped

‘Only two people were trapped in the elevator.’

- b. 无论是在门诊, 在电梯还是在病房, 都没有人因他的「怪病」而歧视他。

wu2lun4 shi4 zai4 men2zhen3 zai4 dian4ti1

no_matter SHI PREP outpatient_services PREP elevator

hai2shi4 zai4 bing4fang2 dou1 mei2you3 ren2

still PREP ward all NEG people

yin1 ta1 de0 guai4bing4 er2 qi2shi4 ta1

PREP he DE strange_illness thus discriminate he

‘No matter if it was in the outpatient services, elevators, or wards, no one discriminated against him because of his rare disease.’

However, the exception above does not apply to monosyllabic common nouns. For instance, [89a] has two contrastive 在 *zai4* PPs, but localizers are only necessary for the disyllabic indefinite common noun 黑板 *hei1ban3* ‘blackboard,’ not for the monosyllabic indefinite common noun 纸 *zhi3*.

- [90] 老师在黑板写学生在纸上临。

lao3shi1 zai4 hei1ban3 xie3 xue2sheng1 zai4

teacher PREP blackboard write student PREP

zhi3 shang4 lin2

paper on mimic

‘The teacher wrote on the blackboard, and the students mimicked on their paper.’

In addition to contrastive and listing 在 *zai4* PPs, monosyllabic nouns are also not allowed in 在 *zai4* PPs in non-contrastive and non-listing contexts. For instance, 东 *dong1* ‘east’ is a monosyllabic noun with inherent locative value, but it cannot be the object of 在 if it does not co-occur with a localizer such as 边 *bian1* ‘side,’ as in [91a]; however, the preposition 向 *xiang4* in [91b] can take 东 *dong1* ‘east’ directly as its object because 向 *xiang4* has a strong sense of direction and thus indicates a clear spatial reference.

- [91] a. 在东边筑一条公路。

zai4 dong1bian1 zhu2 yi1 tiao2 gong1lu4

PREP east build one CL highway

‘Build a highway in the east.’

- b. [他们] 向东行驶。
 ta1men0 xiang4 dong1 xing2shi3
 they PREP east drive
 '[They] drove to the east.'

To summarize, the locative preposition 在 *zai4* takes NPs with locative values as its objects in non-contrastive and non-listing contexts. An indefinite common noun has to co-occur with a localizer to participate in forming a 在 *zai4* PP. Finally, the NP object of 在 *zai4* generally has to be polysyllabic.

Sentence types

Weidong Zhan and Xiaojing Bai

This chapter discusses sentence types. An overview of sentence properties is first given, followed by detailed descriptions of sentences, classified according to their communicative function and internal structure, respectively.

14.1. Sentences and clauses

A sentence is the largest syntactic unit with independent functions in the discourse. It consists of a main clause, which can have a complicated internal structure, and some peripheral elements, mainly sentence-final particles. A clause is comprised of a subject and a predicate. The subject is typically a nominal phrase, which represents some entity or entities, but it can also be a verb phrase or an adjective phrase. The predicate is predominantly a verb phrase or an adjective phrase, depicting the state or action of the entity or entities represented by the subject. The predicate does not display, in any specific form, agreement with the subject in terms of number, gender, and other grammatical categories. For instance, there is no distinction between the predicates in [1a] and [1b], even though the subject of [1a] is singular while the subject of [1b] is plural.

- [1] a. 那只鸟飞走了。
 na4 zhi1 niao3 fei1 zou3 le0
 that CL bird fly go LE
 ‘That bird has flown away.’
- b. 那些鸟飞走了。
 na4xie1 niao3 fei1 zou3 le0
 those bird fly go LE
 ‘Those birds have flown away.’

A prominent property of clauses in Chinese is that their constituents, especially the subject, may be omitted or, in the terminology of contemporary linguistics, appear in a zero form. When the subject of the second clause in [2] appears as a zero form, the whole sentence remains grammatical and has the interpretation of one with the subject position filled with a pronoun. The most likely reading of

[2], with a zero form or a pronoun in the subject position of the second clause, is that the 乌鸦 *wu1ya1* ‘crow’ is looking for water.

- [2] 有一只乌鸦口渴了, [它]到处找水喝。
 you3 yi1 zhi1 wu1ya1 kou3ke3 le0 ta1 dao4chu4
 YOU one CL crow thirsty LE it everywhere
 zhao3 shui3 he1
 seek water drink
 ‘A crow was thirsty. It looked for water everywhere.’

Another property is that a clause can be part of another clause without any change in constituent order. In [3], both 房价高涨 *fang2jia4 gao1zhang3* ‘house prices are soaring’ and 经济很繁荣 *jing1ji4 fan2rong2* ‘the economy is prosperous’ are clauses that can appear independently as sentences. When functioning in [3] as the subject and the object respectively, they do not show any marking or any variation.

- [3] 房价高涨说明经济很繁荣。
 fang2jia4 gao1zhang3 shuo1ming2 jing1ji4 hen3
 house_price rise show economy very
 fan2rong2
 booming
 ‘House prices are soaring, which suggests that the economy is booming.’

14.2. Overview of sentence classification

The classification of sentences can be based on either their communicative functions or their internal structures.

14.2.1. Sentence types and communication functions

According to their communicative functions, sentences can be classified into five types, as follows:

- 1 Declaratives: When a declarative sentence like [4a] is used, the speaker is characteristically making a statement that is believed to be an objective message, without much personal feeling being expressed.
- 2 Exclamatives: When an exclamatory statement like [4b] is used, the speaker is expressing some strong personal feeling to convey a forceful message to the listener.
- 3 Interrogatives: With an interrogative like [4c], the speaker asks for information from the listener, who is supposed to respond accordingly.

- 4 Directives: With a directive like [4d], the speaker typically issues a command asking for action from the listener.
- 5 Vocatives: With a vocative like [4e], the speaker gives a signal to the listener, suggesting that he is about to send or is ready to receive a particular message and the listener's response is expected.

- [4] a. 我看过这部电影。
 wo3 kan4 guo4 zhe4 bu4 dian4ying3
 I see GUO this CL movie
 'I have watched this movie (before).'
- b. 多好的人啊!
 duo1 hao3 de0 ren2 a0
 so good DE person A
 'What a good man!'
- c. 你是医生吗?
 ni3 shi4 yi1sheng1 ma0
 you be doctor MA
 'Are you a doctor?'
- d. 站住!
 zhan4 zhu4
 stand still
 'Stand still!'
- e. 老张。
 lao3 zhang1
 old ZHANG
 'Lao Zhang.'

14.2.2. Sentence types and internal structures

Sentences can be classified as simplex, complex, and compound, according to their internal structures and the syntactic relation between constituents. A simplex sentence consists of one clause only. A complex sentence has a main clause that has some other clause(s) embedded inside it. A compound sentence is made up of two or more clauses that are related to each other but are not embedded within each other.

A simplex sentence contains only one clause and part of it may appear in zero form. In the conversation in [5], one person uses a simplex sentence as a question, whereas the other person responds with another simplex sentence that appears as part of a clause. It should be understood that the subject of the reduced clause is 我 wo3 'I,' while the predicate has a progressive marker 在 zai4.

[5] Q: 你在干什么?
 ni3 zai4 gan4 shen2me0
 you ZAI do what
 'What are you doing?'

A: 复印讲义。
 fu4yin4 jiang3yi4
 copy handout
 'Copying the handouts.'

A clause can function as the subject, the object, or the adverbial, as well as the attributive of a nominal phrase or the object of a preposition in another clause. If a clause contains other clause(s) but is not contained in or coordinated with another clause, it is the main clause of a sentence and such a sentence is a complex one. The complex sentence in [3] has a subordinate clause as the subject and another one as the object, while the complex sentence in [6] has the clause 路上出了车祸 *lu4shang0 chu1 le0 che1huo4* 'have a car accident on the road' as the adverbial.

[6] 他们因为路上出了车祸不去上海了。
 ta1men0 yin1wei4 lu4shang0 chu1 le0 che1huo4
 they because en_route occur LE car_accident
 bu2 qu4 shang4hai3 le0
 NEG go SHANGHAI LE
 'Because there was an accident on the way to Shanghai, they won't go to Shanghai now.'

A sentence often contains a main clause and a sentence-final particle, which typically appears in exclamatives like [4b], interrogatives like [4c], sentences with a perfective predicate, or sentences indicating a change of state, as in [6].

The subordinate clause in a complex sentence may not be contained inside the main clause but instead may occur outside of it. Such a clause would look like part of a compound sentence that typically consists of coordinated clauses. These types of complex sentences and compound sentences share many features and a prominent one is the use of connective adjuncts. In the conditional sentence in [7a], the conditional clause is marked with 如果 *ru2guo3* 'if' to indicate a hypothetic state and the consequence is expressed in the main clause, which is marked with 那么 *na4me0* 'then.' In the compound sentence in [7b], the two coordinated clauses are marked with the connective adjunct pair 不但...还... *bu2dan4... hai2...* 'not only...but also...' Compound sentences can also be connected with coordinators, such as 或者 *huo4zhe3* 'or' in [7c].

- [7] a. 如果姐夫真的喜欢手套, 那么我就会送给他一双。
 ru2guo3 jie3fu1 zhen1de0 xi3huan1 shou3tao4
 if brother-in-law really like gloves
 na4me0 wo3 jiu4 hui4 song4gei3 ta1 yi1 shuang1
 then I thus will give_to he one CL
 'If my brother-in-law really likes gloves, I will give him a pair as a gift.'
- b. 他不但输光了所有的钱, 还输掉了所有的房子。
 ta1 bu4dan4 shu1guang1 le0 suo3you3 de0 qian2
 he not_only lose_clean LE all DE money
 hai2 shu1diao4 le0 suo3you3 de0 fang2zi0
 yet lose LE all DE house
 'He not only gambled away all his money but also all his houses.'
- c. 明天我去接你, 或者你今天下午过来。
 ming2tian1 wo3 qu4 jie1 ni3 huo4zhe3 ni3
 tomorrow I go pick_up you or you
 jin1tian1 xia4wu3 guo4lai2
 today afternoon come
 'I will [go to] pick you up tomorrow, or you can come this afternoon.'

14.2.3. Clauses with non-canonical constituent order

Although the basic constituent order in a clause is for the subject to appear before the predicate, for the object to appear after the verb, and for the adverbial to occur before the predicate, there are cases where some non-canonical order is used. Such clauses typically are embedded in other clauses.

14.2.3.1. Preposing and postposing

In addition to the well-known topic-comment constructions discussed in Chapter 17, a constituent can be moved from its usual position to the very beginning of a clause, and this often occurs in oral communication when the speaker rushes to give the most important information first and then adds the less important information. The effect of such preposing is often similar to postposing a constituent to the end of the clause.

The predicate appears before the subject in [8a(i)], as against the usual order in [8a(ii)], because the speaker feels chilly and wanted to convey his feeling directly and immediately. The speaker then realizes that some more information was needed and added the location. No matter whether the speaker preposes the predicate or postposes the subject as an afterthought, the communicative effect

is the same. In [8b(i)], the verb appears before the adverbial; in [8c(i)], the object verb phrase occurs before the main verb; and in [8d(i)], the resultative expression appears before the verb, in comparison to the default order shown in all the [8ii] sentences.

- [8] a. i. 怪冷的, 这儿。
 guai4 leng3 de0 zhe4er0
 pretty cold DE here
 ‘Pretty cold here.’
- ii. 这儿怪冷的。
 zhe4er0 guai4 leng3 de0
 here pretty cold DE
 ‘It’s pretty cold here.’
- b. i. 下班了, 已经。
 xia4ban1 le0 yi3jing1
 knock_off_work LE already
 ‘Off work, already.’
- ii. 已经下班了。
 yi3jing1 xia4ban1 le0
 already knock_off_work LE
 ‘(I’m) already off work.’
- c. i. 去上班, 准备。
 qu4 shang4ban1 zhun3bei4
 go be_on_duty plan
 ‘Go to work, be ready to.’
- ii. 准备去上班。
 zhun3bei4 qu4 shang4ban1
 plan go be_on_duty
 ‘(I’m) ready to go to work.’
- d. i. 走不动了, 累得。
 zou3bu2dong4 le0 lei4 de0
 cannot_move LE tired DE
 ‘Can’t move, [being] too tired.’
- ii. 累得走不动了。
 lei4 de0 zou3bu2dong4 le0
 tired DE cannot_move LE
 ‘(I’m) too tired to move.’

When a speaker is anxious to get an answer immediately, to prepose the predicate of an interrogative sentence, as in [9a], is a common way to do this in conversation. Another way to achieve the same effect is to repeat the subject at the end of the question, as in [9b].

- [9] a. 还去不去呀你?
 hai2 qu4 bu4 qu4 ya0 ni3
 yet go NEG go YA you
 ‘Then (will) you still going or not?’
- b. 你还去不去呀你?
 ni3 hai2 qu4 bu4 qu4 ya0 ni3
 You yet go NEG go YA you
 ‘Then (will) you still go or not?’

14.2.3.2. Parenthetical expressions

Parenthetical expressions are those inserted into a clause, interrupting the normal flow of information to add supplements indirectly related to the clause. It is found in both spoken and written genres. In [10a], the parenthetical expression 还没过门呢 hai2 mei2 guo4men2 ne0 ‘not married yet’ is put between 他媳妇 ‘his wife’ and the predicate 老吵架 lao3 chao3jia4 ‘fight a lot’ to correct some potential misperception. In [10b], the parenthetical expression appears between the subject 他的顶头上司 ta1 de0 ding3tou2 shang4si1 ‘his immediate boss’ and the predicate 把他抓了个正着 ba3 ta1 zhua1 le0 ge4 zheng4zhao2 ‘caught him on the spot’ to provide additional information about the subject. The parenthetical expression 听说 ting1shuo1 ‘hear (someone) say’ is placed between the subject and the predicate in [10c] and at the very beginning of the sentence in [10d] to indicate that the content of the sentence is hearsay. In [10e], the parenthetical expression is placed between the verb 承诺 cheng2nuo4 ‘promise’ and its object 保守这个秘密 bao3shou3 zhe0ge4 mi4mi4 ‘(he will) keep this secret’ to give the promise a time limit.

- [10] a. 栓子跟他媳妇 -- 还没过门呢 -- 老吵架。
 shuan4zi0 gen1 ta1 xi2fu4 hai2 mei2 guo4men2 ne0
 Shuanzi and he wife yet_not marry NE
 lao3 chao3jia4
 always quarrel
 ‘Shuanzi and his wife, not married yet, fight a lot.’
- b. 他的顶头上司 --- 个多疑的人 --- 把他抓了个正着。
 ta1 de0 ding3tou2shang4si1 yi1 ge4 duo1yi2 de0
 he DE one’s_direct_superior one CL suspicious DE

ren2 ba3 ta1 zhua1 le0 ge4 zheng4zhao2
 person BA he catch LE GE on_the_spot
 'His immediate boss, a suspicious man, caught him on the spot.'

- c. 语法考试听说很容易。

yu3fa3 kao3shi4 ting1shuo1 hen3 rong2yi4
 grammar test allegedly very easy
 'The grammar test, it is said, was quite easy.'

- d. 听说语法考试很容易。

ting1shuo1 yu3fa3 kao3shi4 hen3 rong2yi4
 allegedly grammar test very easy
 'It is said that the grammar test is quite easy.'

- e. 他承诺 (有效期一天) 保守这个秘密。

ta1 cheng2nuo4 you3xiao4qi1 yi1 tian1 bao3shou3
 he promise time_of_efficacy one day keep
 zhe4 ge0 mi4mi4
 this CL secret
 'He promised that he would keep this secret (for one day).'

14.3. Declarative and exclamative sentences

Both declarative and exclamative sentences make a statement to convey a message, but they differ in that an exclamative adds strong personal feelings to the message.

14.3.1. Declarative sentences

The basic constituents of a declarative sentence are a clause, simplex or complex, and a sentence-final particle, which appears when the clause describes a particular type of event. Declarative sentences in general have an unmarked even-falling intonation. The adverbials in the clause usually occur between the subject and the predicate, as in [11a(i)] and [11a(ii)], but temporal or modal adverbials may appear at the sentence-initial position, as in [11b(i)] and [11b(ii)].

- [11] a. i. 他当时戴着帽子。

ta1 dang1shi2 dai4 zhe0 mao4zi0
 he at_that_time wear ZHE hat

- ii. 当时他带着帽子。

dang1shi2 ta1 dai4 zhe0 mao4zi0
 at_that_time he wear ZHE hat
 'He was wearing a hat at that time.'

- b. i. 他也许是个聋子。
 ta1 ye3xu3 shi4 ge0 long2zi0
 he maybe be CL deaf_person.
 ii. 也许他是个聋子。
 ye3xu3 ta1 shi4 ge0 long2zi0
 maybe he be CL deaf_person
 ‘Perhaps he is deaf.’

Sentence-final particles appearing in declarative sentences are those that contribute to the proposition of the sentence and those that do not. The former typically mark the state of the proposition described by the clause, including 了 *le0*, 呢 *ne0*, and 来着 *lai2zhe0*, while the latter typically indicate the speaker’s attitude or intention to express some non-propositional meaning, including 着呢 *zhe0ne0*, 嘛 *ma0*, 啊 *a0*, and 哟 *yo0*.

The speaker in [12a] intends to inform the listener that “he has had dinner” has become a fact at the time of reference, which is most likely to be the time of speech. The 呢 *ne0* in [12b] indicates that the state of “having dinner” is current or is in progress at the time of reference. The 来着 *lai2zhe0* in [12c] signals that the event described in the clause is in a continuous state at some time before the time of speech.

- [12] a. 我吃过晚饭了。
 wo3 chi1 guo4 wan3fan4 le0
 I eat GUO dinner LE
 ‘I have had my dinner.’
 b. 他吃晚饭呢。
 ta1 chi1 wan3fan4 ne0
 he eat dinner NE
 ‘He is having his dinner.’
 c. 他正跟人下棋来着。
 ta1 zheng4 gen1 ren2 xia4qi2 lai2zhe0
 he right PREP people play_chess LAIZHE
 ‘He was playing chess with someone.’

The 着呢 *zhe0ne0* in [13a] not only contributes to the proposition of the sentence but also conveys the speaker’s intention. The speaker of [13a] tells the listener that the state of “being rich” persists at the time of reference and that the speaker is not shy saying this. The 嘛 *ma0* in [13b] emphasizes an obvious fact, which the speaker thinks the listener should have known, to encourage the listener to face

the challenge. The 啊 *a0* in [13c] reminds the listener of a possible problem. The 哟 *yo0* at the end of [13d] tells the listener to pay attention to the issue stated in the sentence, and if the listener does not, he will feel sorry afterward.

- [13] a. 这个人有钱着呢。
 zhe4 ge4 ren2 you3qian2 zhe0ne0
 this CL person be_rich ZHE_NE
 'This man is rich indeed.'
- b. 你不要灰心, 万事开头难嘛。
 ni3 bu4 yao4 hui1xin1 wan4shi4 kai1tou2
 you NEG will lose_heart everything begin
 nan2 ma0
 hard MA
 'Don't be disheartened. Everything is definitely hard at the very beginning.'
- c. 你们肩上的担子很重啊。
 ni3men0 jian1 shang4 de0 dan4zi0
 you shoulder on DE carrying_pole_plus_load
 hen3 zhong4 a0
 very heavy A
 'The burden on your shoulders is heavy indeed.'
- d. 我说的可是明天就兑现哟。
 wo3 shuo1 de0 ke3shi4 ming2tian1 jiu4 dui4xian4
 I say DE actually tomorrow thus fulfill
 yo0
 YO
 'Tomorrow I will do what I said without delay.'

14.3.2. Exclamative sentences

The message conveyed by an exclamative sentence includes a statement of an event or state and some special features indicating the speaker's strong emotion with regard to the event or state, which causes surprise, admiration, or even skepticism. These features can be intonational, lexical, or structural.

14.3.2.1. Intonational features

Exclamative sentences are marked by a stressed falling intonation. In contrast with declarative sentences, exclamative sentences are typically uttered louder, with certain elements stressed. The stressed elements are predominantly those bearing exclamative features, such as special adverbs and interrogative expressions. The

determinative 这 *zhe4* ‘this’ in [14] is another such element being stressed to express the speaker’s attitude.

- [14] 你这没有骨气的文人!
 ni3 zhe4 mei2you3 gu3qi4 de0 wen2ren2
 you this NEG backbone DE scholar
 ‘You spineless scholar!’

14.3.2.2. Lexical features

Certain adverbs, interrogative expressions, sentence-final particles, and interjections can be used in an exclamative sentence to express the speaker’s feelings. In addition to adverbs like 太 *tai4* ‘too (much),’ 真 *zhen1* ‘really,’ and 好 *hao3* ‘very’ in [15a] and [15b], interrogative expressions like 多少 *duo1shao3* ‘how much,’ 多么 *duo1me0* ‘how,’ 多 *duo1* ‘what,’ 何 *he2* ‘what,’ and 怎 *zen3* ‘how’ are also used to mark exclamations. These interrogative expressions are used to indicate the speaker’s surprise or skepticism, as in [15c]–[15f].

- [15] a. 真了不起!
 zhen1 liao3bu4qi3
 really amazing
 ‘Truly amazing.’
- b. 好一个“世外桃源”!
 hao3 yi1 ge4 shi4wai4tao2yuan2
 such one CL Shangri-la
 ‘This is a true Shangri-la!’
- c. 多少个日日夜夜啊!
 duo1shao3 ge4 ri4ri4ye4ye4 a0
 many CL night_and_day A
 ‘So many days and nights!’
- d. 多好的人哪!
 duo1 hao3 de0 ren2 na0
 so good DE person NA
 ‘What a good man!’
- e. 这是何等的英雄气概啊!
 zhe4 shi4 he2deng3 de0 ying1xiong2qi4gai4 a0
 this be how DE heroism A
 ‘What heroism!’

- f. 怎一个“愁”字了得!

zen3 yi1 ge4 chou2 zi4 liao3de2

why one CL worry word terrible

‘How a single word of “sorrow” could convey all (my) suffering!’

The most commonly used sentence-final particle in exclamative sentences is 啊 *a0*, as in [15c] and [15e]. Its actual pronunciation can be influenced by the syllable before it and the two are sometimes merged to form a new particle; hence, variations like 呀 *ya0*, 哇 *wa0*, and 哪 *na0*, as shown in [16].

- [16] a. 原来是我喜欢的鱼呀!

yuan2lai2 shi4 wo3 xi3huan1 de0 yu2 ya0

turn_out be I like DE fish YA

‘It’s the kind of fish that I like!’

- b. 好哇!

hao3 wa0

great WA

‘Great!’

- c. 难哪!

nan2 na0

hard NA

‘Too hard!’

Interjections occurring in exclamative sentences include 唉 *ai1*, 哇 *wa1*, 啊 *a1*, 嗨 *hai1*, 呵 *he1*, etc. 唉 *ai1* expresses despair, as in [17a], while the other four depict excitement, as in [17b]. Interjections are used independently, followed by an exclamative sentence.

- [17] a. 唉! 你太不幸了!

ai1 ni3 tai4 bu4xing4 le0

AI you too unfortunate LE

‘Oh! I am so sorry for you!’

- b. 哇! 真是太巧了!

wa1 zhen1 shi4 tai4 qiao3 le0

WA really be too coincident LE

‘Wow! What a coincidence!’

14.3.2.3. Structural features

Exclamative sentences often contain a complete clause but they can also contain only part of a clause. The context will typically provide enough clues from which the listener can infer the necessary information.

A common pattern in exclamative sentences is to use a complicated nominal phrase in the form of 好(你)(一)个 NP *hao3 (ni3) (yi1) ge4* NP 'Good (you) (one) GE-CL NP' to convey the speaker's disapproval of, or accusation and anger against, the person it denotes. The pleonastic pronoun 你 *ni3* is optional and does not refer to any particular person. The classifier 个 *ge4* can be followed by either a noun phrase or a proper name. When a proper name is used, as in [18a] and [18b], the disapproval characteristic of the exclamative is clearly expressed, though not directly.

- [18] a. 好个贾雨村!
 hao3 ge4 jia3yu3cun1
 such CL JIA_YUCUN
 'JIA Yucun, (such a damn fox)!'

 b. 好你个贾雨村!
 hao4 ni3 ge4 jia3yu3cun1
 such you CL JIA_YUCUN
 'JIA Yucun, (such a damn fox)!'

Sometimes a non-canonical constituent order can be used to convey personal emotion. The predicate-subject clause in [19] is a typical case.

- [19] 太冷了, 这鬼地方!
 tai4 leng3 le0 zhe4 gui3 di4fang0
 too cool LE this ghost place
 'Terribly cold. This damned place!'

Reduplication is another way to express the speaker's strong emotion in an exclamative sentence. The reduplication can produce an identical copy, as in [20a], or it can produce a more complicated one, as in [20b].

- [20] a. 了不起! 了不起!
 liao3bu4qi3 liao3bu4qi3
 amazing amazing
 'Amazing! Amazing!'

 b. 好一个公爵! 好一个风流的公爵!
 hao3yi1ge4 gong1jue2 hao3yi1ge1 feng1liu2 de0
 what a duke what a gallant DE
 gong1jue2
 duke
 'What a Duke! What a gallant Duke!'

14.4. Interrogative and directive sentences

When an interrogative or a directive is used, the speaker is making a request to the listener. For the former, information from the listener as feedback is expected, while for the latter, action or compliance from the listener is expected.

14.4.1. Interrogative sentences

Interrogatives can be classified as open or closed, depending on the type of answer expected. The answer to a closed interrogative is chosen from a closed set, and the answer is typically presented as an alternative in the interrogative sentence itself, as in [21a] and [21b]. An open interrogative, like those in [21c]–[21d], conversely, expects various answers that do not appear in the interrogative sentences.

- [21] a. 你是医生吗? [closed interrogative]
 ni3 shi4 yi1sheng1 ma0
 you be doctor MA
 ‘Are you a doctor?’
- b. 你是不是医生? [closed interrogative]
 ni3 shi4 bu2 shi4 yi1sheng1
 you be NEG be doctor
 ‘Are you a doctor or not?’
- c. 他今年几岁了? [open interrogative]
 ta1 jin1nian2 ji3 sui4
 he this_year how_much age
 ‘How old is he?’
- d. 他是干什么的? [open interrogative]
 ta1 shi4 gan4 shen2me0 de0
 he be do what DE
 ‘What does he do?’

Interrogative sentences have the same constituent order as declarative sentences, but they bear distinctive intonational, lexical, and structural features.

14.4.1.1. Intonational features

Interrogative sentences usually bear a rising intonation. The rising intonation at the end of an interrogative features expansion in pitch range and time duration. The intonational contrast between declaratives and interrogatives is shown in [22], with the pitch range of the interrogatives wider and their duration longer than those of declaratives, respectively.

- [22] a. i. 明天他们开学。
 ming2tian1 ta1men0 kai1xue2
 tomorrow they begin_term
 'Their new semester begins tomorrow.'
- ii. 明天他们开学?
 ming2tian1 ta1men0 kai1xue2
 tomorrow they begin_term
 'Does their new semester begin tomorrow?'
- b. i. 他们一起走。
 ta1men0 yi1qi3 zou3
 they together go
 'They go together.'
- ii. 他们一起走?
 ta1men0 yi1qi3 zou3
 they together go
 'Do they go together?'

14.4.1.2. Lexical features

Open interrogatives are typically variable questions marked by interrogative expressions. There are four types of interrogative expressions:

- 1 Interrogative nominal elements that typically replace nominal phrases in a clause, such as simple ones like 谁 *shui2* 'who' and 什么 *shen2me0* 'what' and complicated ones like 什么时候 *shen3me0 shi2hou0* 'what time, when' and 哪儿 *na3er0* 'where.'
- 2 Interrogative adjectives, such as 多少 *duo1shao3* 'how many/much,' 几 *ji3* 'how many,' and 怎么 *zen3me0* 'how,' which can function as modifiers or predicates.
- 3 Interrogative adverbs, such as 怎么样 *zen1me0yang4* 'how' and 怎样 *zen3yang4* 'how' for manner, means, state, or property; 怎么 *zen3me0* 'how' for means, property, or reason; 什么样 *shen2me0yang4* '(in) what manner, how' for state or property; 如何 *ru2he2* 'how' for manner or means; 为什么 *wei4shen2me0* 'why' for reason or purpose; and 多 *duo1* 'how' for questions of degree.
- 4 Interrogative determinative 哪 *na3* 'which' for the creation of complicated interrogative nominal phrases (see Chapter 8).

Sentence-final particles typically used in interrogative sentences include 吗 *ma0* 吧 *ba0*, and 呢 *ne0*. The first two are used in yes-no questions, but each has its own properties in terms of function, illocutionary force, and pragmatic requirement.

When a question with the particle 吧 *ba0*, like in [23a], is used, the speaker assumes something and is asking for confirmation. An auxiliary verb 应该 *ying1gai1* 'should' can be added to make the assumption stronger, as in [23b]. If the speaker uses a yes-no question with the particle 吗 *ma0* as in [23c], no such assumption is made.

- [23] a. 你是大三学生吧?
 ni3 shi4 da4san1 xue2sheng1 ba0
 you be college_third_year student BA
 'You are a junior, right?'
 b. 你应该是大三学生吧?
 ni3 ying1gai1 shi4 da4san1 xue2sheng1 ba0
 you should be college_third_year student BA
 'You should be a junior, right?'
 c. 你是大三学生吗?
 ni3 shi4 da4san1 xue2sheng1 ma0
 you be college_third_year student MA
 'Are you a junior?'

The sentence-final particle 吗 *ma0* can be added to an open question to turn it into a closed one. The speaker of [24a] is asking about a place where the listener spent his holiday, and the listener could give a city name like Shanghai as the answer. The speaker of [24b] is asking what the listener wants to eat, and the listener could name a food like noodles. When a particle 吗 *ma0* appears at the final position of [24a], the yes-no question in [25a] is created and the interrogative expressions inside the question will have the reading of universal quantifiers instead of variables. The speaker in [25a] is asking whether the listener went anywhere for the holiday and either a yes or a no is expected as the answer. The same could be said about [25b].

- [24] a. 春节你去哪儿玩了?
 chun1jie2 ni3 qu4 na3er0 wan2 le0
 the_Chinese_New_Year you go where play LE
 'Where did you go during the Chinese New Year?'
 b. 你吃点什么?
 ni3 chi1 dian3 shen2me0
 you eat some what
 'What do you want to eat?'

- [25] a. 春节你去哪儿玩了吗?
 chun1jie2 ni3 qu4 na3er0 wan2 le0
 the_Chinese_New_Year you go where play LE
 ma0
 MA
 'Did you go anywhere during the Chinese New Year?'
- b. 你吃点什么吗?
 ni3 chi1 dian3 shen2me0 ma0
 you eat some what MA
 'Do you want to eat something?'

The particle 呢 *ne0* is an optional marker for open interrogative sentences. In [26a] and [26b], the interrogatives in group (ii) mean the same as their counterparts in group (i). The only difference is that interrogatives in group (i) sound less blunt and less confrontational. On the other hand, when 呢 *ne0* is added to a nominal phrase, as in group (iii), a variable question is created. Even when there is no interrogative expression in such cases, the sentence will yield a reading as if it were a full interrogative with a variable. [26a(iii)] can be understood as 'where is my dictionary?', while [26b(iii)] can be interpreted as 'how much is your hat?' Note that the actual meaning of such a question depends on the context and each question is naturally ambiguous, with many possible readings.

- [26] a. i. 我的字典在哪儿呢?
 wo3 de0 zi4dian3 zai4 na3er0 ne0
 I DE dictionary PREP where NE
- ii. 我的字典在哪儿?
 wo3 de0 zi4dian3 zai4 na3er0
 I DE dictionary PREP where
- iii. 我的字典呢?
 wo3 de0 zi4dian3 ne0
 I DE dictionary NE
 'Where is my dictionary?'
- b. i. 你的帽子多少钱呢?
 ni3 de0 mao4zi0 duo1shao3 qian2 ne0
 you DE hat how_much money NE
- ii. 你的帽子多少钱?
 ni3 de0 mao4zi0 duo1shao3 qian2
 you DE hat how_much money

iii. 你的帽子呢?

ni3 de0 mao4zi0 ne0
 you DE hat NE
 'How much is your hat?'

The particle 呢 *ne0* can be used in other sentences to produce a similar effect. The variable question in [27a] is a conditional sentence and the variable 几点 *ji3dian3* 'which hour, when' is the temporal adverbial of the consequence clause. If the consequence clause is taken out of [27a] but the 呢 *ne0* is directly attached to the conditional clause to create [27b], the new sentence is still a variable question with an interpretation similar to that of [27a], even though some other variable question readings are also possible.

[27] a. 如果我赶不回来, 你们最迟会等到几点呢?

ru2guo3 wo3 gan3 bu4 hui2lai2 ni3men0 zui4chi2
 if I rush NEG back you latest
 hui4 deng3 dao4 ji3 dian3 ne0
 will wait PREP which o'clock NE
 'If I cannot rush back in time, till when would you wait?'

b. 如果我赶不回来呢?

ru2guo3 wo3 gan3 bu4 hui2lai2 ne0
 if I rush NEG back NE
 'What if I cannot rush back in time?'

The particle 呢 *ne0* can also be used in alternative questions, like in [28]. Note that although the answer to an alternative question should be chosen from a closed set that has been shown in the question itself, an alternative question is not the same as a yes-no question. The answer to [28a] is either 喝啤酒 *he1 pi2jiu3* 'drink beer' or 喝红酒 *he1 hong2jiu3* 'drink red wine,' while the answer to the yes-no question in [28c] is either 咱们吃 *zan2men0 chi1* 'we will eat' or 咱们不吃 *zan2men0 bu4 chi1* 'we will not eat.'

[28] a. 你们喝啤酒还是喝红酒呢?

ni3men0 he1 pi2jiu3 hai2shi4 he1 hong2jiu3 ne0
 you drink beer or drink red_wine NE
 'Do you drink beer or do you drink red wine?'

b. 他们今晚走还是明早走呢?

ta1men0 jin1wan3 zou3 hai2shi4 ming2zao3
 they tonight go or tomorrow_morning

zou3 ne0

go NE

'Will they leave tonight or will they leave tomorrow morning?'

- c. 咱们吃火锅吗?

zan2men0 chi1 huo3guo1 ma0

we eat hot_pot MA

'Are we having hot pot?'

14.4.1.3. Structural features

Interrogative sentences in Chinese typically have the same constituent order as their declarative counterparts do. There are, however, two types of interrogative sentences that have special structural and morphological features. One type is the so-called V-not-V alternative question in which the first verbal element in a clause is reduplicated with a negative form. The V part of the V-not-V can be the main verb, as in [29a], the modal auxiliary, as in [29b], or the adjective of an adjectival predicate, as in [29c].

- [29] a. 你同意不同意这种说法?

ni3 tong2yi4 bu4 tong2yi4 zhe4 zhong3 shuo1fa3

you agree NEG agree this CL saying

'Do you agree with this saying or not?'

- b. 你能不能吃辣椒?

ni3 neng2 bu4 neng2 chi1 la4jiao1

you can NEG can eat chili_pepper

'Can you eat chili pepper?'

- c. 干净不干净?

gan1jing4 bu4 gan1jing4

clean NEG clean

'Is it clean or not?'

When the V part is a disyllabic verb or adjective, the first V in the V-not-V can be just the first syllable, as in [30a] and [30b]. When the predicate is in an imperfective aspect, the "not" part of the V-not-V will be 没有 *mei2you3* 'not' or its shortened form 没 *mei2* 'not,' as in [30c].

- [30] a. 他们喜不喜欢游泳?

ta1men0 xi3bu4xi3huan0 you2yong3

they like_not_like swim

'Do they like swimming?'

- b. 你的女朋友漂不漂亮?
 ni3 de0 nü3peng2you2 piao4bu4piao4liang0
 you DE girlfriend pretty-not-pretty
 'Is your girlfriend beautiful?'
- c. 你们去没去过上海?
 ni3men0 qu4mei2qu4 guo0 shang4hai3
 you go_not_go GUO SHANGHAI
 'Have you ever been to Shanghai?'

Note that the answer to a V-not-V alternative question is derived from either the V part or the not-V part. The answer to a V-not-V alternative question is thus similar to that of a yes–no question, as shown in [31a] and [31b].

- [31] a. Q: 他们同意不同意?
 ta1men0 tong2yi4 bu4 tong2yi4
 they agree NEG agree
 'Do they agree or not?'
- A: 不同意。
 bu4 tong2yi4
 NEG agree
 'They don't.'
- b. Q: 他们同意吗?
 ta1men0 tong2yi4 ma0
 they agree MA
 'Do they agree?'
- A: 不同意。
 bu4 tong2yi4
 NEG agree
 'They don't.'

Another type of interrogative sentence with special structural features is the so-called tag question, or AB-not-B question, in which the predicate is repeated in its negative form as a tag, like the 不开车 *bu4 kai1che1* 'not drive the car' in [32a]. The tag part can also be just the negated verb, as in [32b], or simply the negative morpheme, as in [32c]. The negative morpheme in the tag can also be 没有 *mei2you3* or its shortened form 没 *mei2*, depending on the aspect borne by the verb, as shown by [32d] and [32e].

- [32] a. 你今天开车不开车?
 ni3 jin1tian1 kai1che1 bu4 kai1che1
 you today drive NEG drive
 'Will you drive the car today?'
- b. 你今天开车不开?
 ni3 jin1tian1 kai1che1bu4kai1
 you today drive_not_drive
 'Will you drive the car today?'
- c. 你今天开车不?
 ni3 jin1tian1 kai1che1 bu4
 you today drive NEG
 'Will you drive the car today?'
- d. 你今天吃过药没有?
 ni3 jin1tian1 chi2 guo4 yao4 mei2you3
 you today eat GUO medicine NEG
 'You took your medication today, didn't you?'
- e. 你今天吃过药没?
 ni3 jin1tian1 chi2 guo4 yao4 mei2
 you today eat GUO medicine NEG
 'You took your medication today, didn't you?'

Although the clause bearing the main interrogative feature can appear in different shapes if it is the main clause, such a clause typically has either the shape in [33a] or that in [33b] when it is embedded in a direct question. The V-not-V form in [33a] is inside the object clause but it is the whole sentence that should be interpreted as a V-not-V alternative question, namely, the V-not-V form in the embedded clause has a scope over the main clause. Similarly, [33b] should be interpreted as a direct question, even though the interrogative expression 什么 *shen3me0* 'what' is in its usual position inside an object clause.

- [33] a. 你看咱们去不去上班?
 ni3 kan4 za2men0 qu4bu4qu4 shang4ban1
 you see us go_not_go go_to_work
 'Do you think we should go to work?'
- b. 他说妈妈想吃什么?
 ta3 shuo1 ma1ma0 xiang3 chi1 shen2me0
 he say mother want eat what
 'What did he say mother wanted to eat?'

When the clause bearing the main interrogative feature is the main part of an indirect question, it will have either the shape in [34a] or that in [34b]. The V-not-V question form in [34a] is for indirect closed interrogatives, while the variable question form in [34b] is for indirect open interrogatives.

- [34] a. 奶奶问我去没去过天津。
 nai3nai0 wen4 wo3 qu4 mei2 qu4 guo4 tian1jin1
 grandma ask I go NEG go GUO TIANJIN
 'Grandmother asked me whether I had been to Tianjin.'
- b. 他们在打听谁会当主角。
 ta1men0 zai4 da3ting1 shui2 hui4 dang1
 they ZAI gather_info who will become
 zhu3jue2
 protagonist
 'They were trying to find out who would play the leading role.'

14.4.2. Directive sentences

With a directive sentence, the speaker asks the listener to perform or not to perform an action. The illocutionary force of directive sentences varies, covering commands, requests, suggestions, advice, etc. Directives have distinctive intonational, lexical, and structural features.

14.4.2.1. Intonational features

Directive sentences are marked by a stressed falling intonation. A forceful command or request is obviously louder than a declarative sentence when uttered. Commands and requests in written texts characteristically end with an exclamation mark.

14.4.2.2. Lexical items

Many directive sentences, especially those expressing commands and requests, have a sentence-final particle, which is typically 啊 *a0* or 吧 *ba0*. 啊 *a0* is often found in a command, like in [35a], to attract the listener's attention and to give more persuasive force. 吧 *ba0* is found mainly in requests, like in [35b], to make the request softer so it sounds like advice or even a plea.

- [35] a. 快跑啊!
 kuai4 pao3 a0
 fast run A
 'Run!'

- b. 快走吧!
 kuai4 zou3 ba0
 fast go BA
 'Better hurry up!'

The pronunciation of the particle 啊 *a0* may be influenced by the preceding syllable and the two might merge to produce phonetic variations like 哇 *wa0* or 呀 *ya0*.

14.4.2.3. Structural features

Directive sentences are usually short, as they mainly occur in face-to-face conversation for a “the shorter, the better” communication effect. A few directive sentences take the form of a subject–predicate construction. The subject can be a second-person pronoun, as in [36a], a first-person plural pronoun, as in [36b], or an inclusive pronoun, like the 大家 *da4jia1* ‘all people’ in [36c]. In most cases, however, the subject does not show up, as in the cases of [36d] through [36g], since its referent is evident in the context. Such directive sentences consist of just a verb phrase, mainly with an activity verb, achievement verb, or accomplishment verb as the head. A few directive sentences are made of a single nominal phrase, as in [36h], typically as a request for an object.

- [36] a. 你要用笔记下! [Command]
 ni3 yao4 yong4 bi3 ji4 xia4
 you have_to use pen note down
 ‘You should write this down with a pen!’
- b. 咱们明天再谈吧! [Request]
 zan2men0 ming2tian1 zai4 tan2 ba0
 we tomorrow then talk BA
 ‘Let’s talk about it tomorrow!’
- c. 大家(所有人)都坐下! [Command]
 da4jia1 suo3you3 ren2 dou1 zuo4 xia4
 everyone everyone all sit down
 ‘Sit down, everyone!’
- d. 站住! [Command/request]
 zhan4 zhu4
 stand still
 ‘Stop!’

- e. 别理他! [Command/advice]
 bie2 li3 ta1
 NEG be_heard he
 'Leave him alone!'
- f. 别着急, 慢慢找! [Advice]
 bie2 zhao2ji2 man4man4 zhao3
 not worry slow look_for
 'No need to hurry, take your time!'
- g. 请让我看看! [Request]
 qing3 rang4 wo3 kan4kan0
 please let I look
 'Let me have a look!'
- h. 纱布! [Command]
 sha1bu4
 bandage
 'Bandage!'

A directive sentence can also be made of a 把 *ba3* construction, as in [37].

- [37] a. 把书拿上来。
 ba3 shu1 na2 shang4lai2
 BA book bring up
 'Bring the book up here.'
- b. 把酒喝光。
 ba3 jiu3 he1 guang1
 BA wine drink nothing_left
 'Finish your wine.'
- c. 把窗户开一下。
 ba3 chuang1hu0 kai1 yi1xia4
 BA window open once
 'Open the window.'
- d. 把头抬高一点儿。
 ba3 tou2 tai2 gao1 yi1dian3er0
 BA head raise high a_bit
 'Raise your head a little bit higher.'

When the speaker and the listener both know the identity of the object to be acted upon, the 把 *ba3* 'BA' phrase does not have to be overtly mentioned. [38a] thus can have the same interpretation as [37a] does, while [38b] can have the reading of [37d], among other possibilities.

- [38] a. 拿上来!
 na2 shang4lai2
 bring up
 ‘Bring it up here!’
- b. 抬高一点儿!
 tai2 gao1 yi1dian3er0
 raise high a_bit
 ‘Lift it up a little higher!’

When the speaker and the listener both know the action, the verb in the VP in [38b] can be omitted. The directive in [39] can mean the same as [38b] does, even though it can also mean something else, such as standing at a higher place.

- [39] 高一点儿!
 gao1 yi1dian3er0
 high a_bit
 ‘A little higher!’

The 一点儿 *yi1dian3er0* ‘a little bit’ in these directive sentences represents a small amount, with the numeral 一 *yi1* ‘one’ as the only choice. The speaker uses such a directive to indicate that the request is on a small scale only. In some cases, even the 一 *yi1* ‘one’ can be left out. [40a] thus can be interpreted as [39], while the two directives in [40b] and [40c] mean the same thing.

- [40] a. 高点儿!
 gao1 dian3er0
 high a_bit
 ‘A little higher!’
- b. 仔细一点儿!
 zi3xi4 yi1dian3er0
 careful a_little
 ‘Be more careful!’
- c. 仔细点儿!
 zi3xi4 dian3er0
 careful a_little
 ‘Be more careful!’

Directive sentences may also be used to ask the listener not to do something or to stop doing something. This is mainly achieved by using “别 VP (了),” where 别 *bie2* ‘do not’ can be replaced by 不要 *bu4yao4* ‘should not,’ 不必 *bu4bi4* ‘no need,’ 不用 *bu4yong4* ‘no need,’ or 甬 *beng2* ‘do not,’ which is the contracted form of

不用 *bu4yong4*. 别 *bie2* ‘do not’ and 不要 *bu4yao4* ‘should not’ are typically used in commands and requests as a warning against certain actions. 不必 *bu4bi4* ‘no need’ is typically used in advice as a recommendation that certain action is not necessary. 不用 *bu2yong4* ‘no need’ and 甭 *beng2* ‘no need’ are typically used in suggestions as a clear proposal that there is no need to do the action.

[41] a. 别碰!

bie2 peng2
do_not touch
‘Don’t touch it!’

b. 别吃光了!

bie2 chi1 guang1 le0
not_do eat nothing_left LE
‘Don’t eat up all [of it]!’

c. 千万别去!

qian1wan4 bie2 qu4
absolutely do_not go
‘Don’t go! Never ever!’

d. 你可别这么说!

ni3 ke3 bie2 zhe4me0 shuo1
you surely do_not so say
‘You’d better not say so!’

Notice that “别 VP 了” can convey either a command or a wish. The directive 别吃光了 *bie2 chi1guang1 le0* thus can be a wish, meaning ‘I hope that it has not been eaten up,’ and it can also be a command, meaning ‘don’t eat all of it.’ The verb phrase should be stressed in the first reading, while 别 *bie2* ‘do not’ is stressed in the second reading.

别 *bie2* ‘do not’ is occasionally used alone. In the conversation in [42], Speaker B utters 别 *bie2* ‘do not’ after Speaker A makes a proposal in the form of a suggestion.

[42] A: 咱们早点走吧。

zan2men0 zao3 dian3 zou3 ba0
we early a_little go BA
‘Let’s leave earlier.’

B: 别! 我还要等一位顾客呢。

bie2 wo3 hai2yao4 deng3 yi1 wei4 gu4ke4 ne0
don’t I still wait one CL guest NE
‘Don’t do that! I still need to wait for a customer.’

An interesting feature of “别 VP (了)” is that the VP part can be a passive one, such as the 被 *bei4* ‘BEI’ construction in [43].

- [43] 别被车子撞着了!
 bie2 bei4 che1zi0 zhuang4 zhe0 le0
 do_not BEI car hit ZHE LE
 ‘Don’t get hit by a car!’

14.5. Logic relations between clauses

In compound sentences and certain complex sentences, no clause is clearly embedded inside another and all clauses might be simply juxtaposed together without any marking. Such a sentence is potentially ambiguous, with many readings, and a common way to avoid ambiguity is to use coordinators, connective adjuncts, or connective prepositions to indicate the logic relationship between clauses.

14.5.1. Conjunctive and disjunctive relations

In both conjunctive and disjunctive relations, two clauses A and B are syntactically equal and relatively independent. However, conjunction requires that both statements be true or false at the same time, while disjunction does not, since in most cases, only one of the alternatives is true in a disjunctive relation.

The clauses in a conjunctive or disjunctive relation may not share any constituent, but each of them could have some constituent that refers to the same entity. It is quite common for the subject of all these clauses to refer to the same entity, and when this happens, the subject of the second clause and those after it typically do not appear overtly. Such a compound sentence will thus look similar to a clause with coordinated predicates.

14.5.1.1. Conjunctive relation

Four subtypes of conjunctive relation can be distinguished, which are now discussed.

CONJUNCTION OF EQUIVALENT CLAUSES Clauses in such a conjunction represent events or states of logically equal status. They are often marked with the coordinator 并且 *bing4qie3* ‘and,’ and their order is typically reversible. The two compound sentences in [44a] and [44b] thus have the same meaning.

- [44] a. 天天下雨, 并且气温偏低, 所以庄稼大部分失收。
 tian1tian1 xia4yu3 bing4qie3 qi4wen1 pian1 di1
 everyday rain and temperature deviate low

suo3yi3 zhuang1jia0 da4bu4fen0 shi1shou1
 so crops most lose_harvest
 'It was raining every day, and the temperature was on the low side.
 Most of the crops were thus lost.'

- b. 气温偏低, 并且天天下雨, 所以庄稼大部分失收。

qi4wen1 pian1 di1 bing4qie3 tian1tian1 xia4yu3
 temperature deviate low and everyday rain

suo3yi3 zhuang1jia0 da4bu4fen0 shi1shou1
 so crops most lose_harvest
 'The temperature was on the low side, and it was raining every day.
 Most of the crops were thus lost.'

If the two clauses linked by 并且 *bing4qie3* 'and' have an identical subject, the subject of the second clause characteristically will not appear, as in [45a]. Sometimes 并且 *bing4qie3* 'and' will not appear overtly either, as in [45b].

- [45] a. 工厂一直坚持生产, 并且不断改进工艺。

gong1chang3 yi1zhi2 jian1chi2 sheng1chan3
 factory all_the_time insist_on produce

bing4qie3 bu2duan4 gai3jin4 gong1yi4
 and unceasingly improve craft

'The factory has been keeping [up] production, and improving its technology.'

- b. 工厂一直坚持生产, 不断改进工艺。

gong1chang3 yi1zhi2 jian1chi2 sheng1chan3
 factory all_the_time insist_on produce

bu2duan4 gai3jin4 gong1yi4
 unceasingly improve craft

'The factory has been keeping [up] production, and improving its technology.'

The logic relation between clauses in this type of conjunction can also be marked with connective adjunct pairs, which typically have a syntactic role to play in the clause and contribute to the overall proposition of the clause. Connective adjunct pairs used in such conjunctions are mainly of three kinds:

- 1 既...又... *ji4...you4...* 'not only...but also...'
 也...也... *ye4...ye4...* 'also...also...both...and...'
 又...又... *you4...you4...* 'again...again...both...and...'

- 2 一面...一面...*yi1mian4...yi1mian4...* 'at the same time...at the same time...'
 一边...一边...*yi1bian1...yi1bian1...* 'at the same time...at the same time...'
- 3 一方面...(另)一方面...*yi1fang1mian4...(ling4) yi1fang1mian4...* 'on the one hand...on the other hand...'

Connective adjuncts in group [1] and group [2] are adverbs, typically appearing between the subject and the predicate. Those in group [1] can appear in clauses with verbal or adjectival predicates, while those in group [2] appear in clauses with a verbal predicate only. Clauses marked by connective adjuncts in group [1] characteristically describe a state like that in [46a], a property like that in [46b], or an ability like that in [46c], while those marked by connective adjuncts in group [2] usually describe an ongoing action like that in [46d].

- [46] a. 他既没有工作, 又没有朋友帮忙。
 ta1 ji4 mei2you3 gong1zuo4 you4 mei2you3
 he also NEG job also NEG
 peng2you0 bang1mang2
 friend aid
 'He has neither a job nor a friend to help him.'
- b. 他的工作既辛苦, 又危险。
 ta1 de0 gong1zuo4 ji4 xin1ku3 you4 wei1xian3
 he DE job also hard also dangerous
 'His job is hard and dangerous.'
- c. 小提琴也会拉, 大提琴也会拉, 她还真是个天才。
 xiao3ti2qin2 ye3 hui4 la1 da4ti2qin2 ye3 hui4
 violin also can play cello also can
 la1 ta1 hai2 zhen1 shi4 ge4 tian1cai2
 play she still really be CL genius
 'She can play violin, she can also play cello. She is really a genius.'
- d. 他一面说话, 一面打字。
 ta1 yi1mian4 shuo1hua4 yi1mian4 da3zi4
 he on_one_hand talk on_one_hand type
 'He was talking while typing.'

Clauses marked by those in group [3] typically describe two sides of the same story, as in [47a] and [47b].

- [47] a. 一方面我们会为香港带来商机, 另一方面香港也为我们提供资金。
 y1fang1mian4 wo3men0 hui4 wei4 xiang1gang3
 on_one_hand we will PREP HONG_KONG
 dai4lai2 shang1ji1 ling4yi1fang1mian4
 bring business_opportunity on_the_other_hand
 xiang1gang3 ye3 wei4 wo3men0 ti2gong4 zi1jin1
 HONG_KONG also PREP we provide capital
 'On the one hand, we provide Hong Kong with business opportunity,
 and on the other hand, Hong Kong provides us with capital.'
- b. 北京一方面干旱缺水, 另一方面每逢大雨则又多处积水。
 bei3jing1 yi1fang1mian4 gan1han4 que1 shui3
 Beijing on_one_side dry lack water
 ling4yi1fang1mian4 mei3feng2 da4yu3 ze2 you4
 on_the_other_hand whenever heavy_rain then also
 duo1chu4 ji1shui3
 many_places stagnant_water
 'On the one hand, Beijing suffers from water shortage and drought,
 but on the other hand, many places will be flooded after heavy rain.'

Note that the pair 一方面... 一方面... *yi1fang1mian4... yi1fang1mian4*... 'on the one hand... on the other hand' differs in many aspects from the group [2] pairs 一面... 一面... *yi1mian4... yi1mian4*... 'at the same time... at the same time...' and 一边... 一边... *yi1bian1... yi1bian1*... 'at the same time... at the same time...', even though all three are metaphorically derived from the similar locative expressions 面 *mian4* 'side,' 边 *bian1* 'side,' and 方面 *fang1mian4* 'side.' The group [2] pairs are typically used as manner adverbials to describe doing two things at the same time. The pair 一方面... 一方面... *yi1fang1mian4... yi1fang1mian4*... 'on the one hand... on the other hand...' is typically used as a clause-oriented adverbial of evaluation.

CONJUNCTION OF CLAUSES WITH A TEMPORAL SEQUENCE Connective pairs like 一...就... *yi1... jiu4*... 'as soon as... then...' and connective adjuncts like 接着 *jie1zhe0* 'then,' 然后 *ran2hou4* 'then,' and 后来 *hou4lai2* 'then' suggest a sequential relation between the events described by the clauses in a compound sentence, like those in [48].

- [48] a. “妻子”原是短语, 后来变成了词。
 qi1zi3 yuan2 shi4 duan3yu3 hou4lai2 bian4cheng2
 wife use_to be phrase later change_into

le0 ci2

LE word

“妻子” used to be a phrase but became a word later on.’

- b. 食物经过消化, 然后变成营养被吸收。

shi2wu4 jing1guo4 xiao1hua4 ran2hou4 bian4cheng2

food pass digest and_then change_to

ying2yang3 bei4 xi1shou1

nutrition BEI draw

‘After food is digested, it becomes nutrients and is then absorbed.’

- c. 那屋里发出一声巨响, 接着跑出一个人来。

na4 wu1 li0 fa1chu1 yi1 sheng1 ju4xiang3

that house in occur one CL loud_sound

jie1zhe0 pao3 chu1 yi1 ge4 ren2 lai2

then run out one CL person come

‘There was a big bang in the house, and then a man dashed out.’

- d. 野鸭一到秋天, 就南移越冬。

ye3ya1 yi1 dao4 qiu1tian1 jiu4 nan2 yi2

mallard once arrive fall thus south move

yue4dong1

survive_the_winter

‘Once autumn comes, wild ducks migrate to the south.’

When a speaker uses the pair 一...就... *yi1... jiu4...* ‘as soon as... then...’ to indicate the logic relation between two clauses, he indicates that the event in one clause takes place immediately after that in another clause. When the speaker uses connective adjuncts like 接着 *jie1zhe0* ‘then,’ 然后 *ran2hou4* ‘then,’ or 后来 *hou4lai2* ‘then,’ no immediacy is implied and there could be an interval between the events described in the two clauses.

CONJUNCTION OF CLAUSES WITH A PROGRESSIVE SEQUENCE Clauses marked with connective adjunct pairs like 不但...而且... *bu4dan4... er2qie3...* ‘not only... but also...’ and 尚且...何况... *shang4qie3... he2kuang4...* ‘even... let alone...’, or connective adjuncts like 况且... *kuang4qie3...* ‘and moreover...’, are of unequal status but the second clause typically describes an event or status that is more important to the speaker.

When the speaker in [49a] uses 不但...而且... *bu2dan4... er2qie3...* ‘not only... but also...’, the second clause provides new information on the basis of the first. The speaker in [49b] is presenting an argument and the 尚且...何况... *shang4qie3... he2kuang4...* ‘even... let alone...’ indicates that he thinks the

second clause represents the main issue. Similarly, the speaker in [49c] considers the fact in the second clause more important.

- [49] a. 不但我的家人会为我高兴, 而且所有中国人都会为我高兴。
 bu4dan4 wo3 de0 jia1ren2 hui4 wei4 wo3
 not_only I DE family will PREP I
 gao1xing4 er2qie3 suo3you3 zhong1guo2ren2 dou1
 happy and all Chinese all
 hui4 wei4 wo3 gao1xing4
 will PREP I happy
 'Not only will my family be happy for me, but all the Chinese people will also be happy.'
- b. 蚂蚁尚且贪生, 更何况人呢。
 lou2yi3 shang4qie3 tan1sheng1 geng4 he2kuang4 ren2
 ants even indulge_life let_alone human
 ne0
 NE
 'Even ants want to stay alive, let alone humans.'
- c. 这种手机信号好, 况且也不贵。
 zhe4 zhong3 shou3ji1 xin4hao4 hao3 kuang4qie3
 this CL cell_phone signal good besides
 ye3 bu2 gui4
 also NEG expensive
 'This type of cellphone has reliable reception, and moreover, it is not expensive.'

CONJUNCTION OF CLAUSES OF CORRELATIVE COMPARISON A special type of compound sentence can be used to indicate comparison and these sentences are marked with connective adjunct pairs like 越...越...*yue4...yue4...* 'the more...the more...' or 愈...愈...*yu4...yu4...* 'the more...the more...'. The former is found in both oral communication and written texts, whereas the latter occurs mainly in written texts. Both 越 *yue4* 'the more' and 愈 *yu4* 'the more' are adverbials in the clause in which they appear, but the former sometimes can be used to compare three or more elements, as in [50b], while the latter is predominantly used for the comparison of two elements.

- [50] a. 我们越着急, 他越开心。
 wo3men0 yue4 zhao2ji2 ta1 yue4 kai1xin1
 we more worry he more happy
 'The more anxious we are, the more pleased he will be.'

- b. 你准备得越充分, 开始得越早, 成功的机会就越大。

ni3 zhun3bei4 de0 yue4 chong1fen4 kai1shi3 de0
 you prepare DE more full start DE
 yue4 zao3 cheng2gong1 de0 ji1hui4 jiu4 yue4 da4
 more early succeed DE chance thus more big
 'The better you are prepared and the earlier you start, the better
 chance you will have to succeed.'

- c. 水塔愈高, 水的压力愈大。

shui3ta3 yu4 gao1 shui3 de0 ya1li4 yu4
 water_tower more tall water DE pressure more
 da4
 big

'The higher a water tower is, the greater the water pressure it produces.'

14.5.1.2. Disjunctive relation

The clauses in a disjunctive compound sentence have equal syntactic status but not the same semantic status, because in most cases only one of the alternatives in a disjunctive relation can be true.

DISJUNCTION OF EQUIVALENT CLAUSES When a speaker uses this type of disjunctive, he believes that all clauses are logically equal but only one of them can be true, even though he does not make it clear which one should be true.

A common coordinator for such disjunctives is 或者 *huo4zhe3* 'or.' It can link two clauses together, as in [51a], but it can also link three or more clauses, as in [51b]. Like their counterparts in conjunctive relation, clauses in disjunctive relation may have some constituents in common and such constituents may not always show up overtly. When the subject of these clauses refers to the same entity, the subjects of the second and following clauses, if any, are typically not overt. This type of disjunctive relation can also be marked with connective adjunct pairs like 要么...要么... *yao4me0...yao4me0*... 'either...or...', as in [51c], 不是...就是... *bu2shi4...jiu4shi4*... 'if not be...then will be...', as in [51d] or 是...还是... *shi4...hai2shi4*... 'it is...or it is...', as in [51e].

- [51] a. 我们可以去苏州见你, 或者你可以来上海看我们。

wo3men0 ke3yi3 qu4 su1zhou1 jian4 ni3 huo4zhe3
 we can go SUZHOU see you or
 ni3 ke3yi3 lai2 shang4hai3 kan4 wo3men0
 you can come SHANGHAI see we

'We could go to Suzhou to visit you, or you could come to visit us in Shanghai.'

- b. 对不利于我们的观点, 或者掩埋之, 或者批判之, 或者干脆无视之。
 dui4 bu2 li4yu2 wo3men0 de0 guan1dian3
 PREP NEG favor we DE viewpoint
 huo4zhe3 yan3mai2 zhi1 huo4zhe3 pi1pan4 zhi1
 or bury it or critique it
 huo4zhe3 gan1cui4 wu2shi4 zhi1
 or simply ignore it
 'As to those views not favoring us, we may bury them, or criticize them,
 or simply ignore them.'
- c. 要么迫降, 要么弃机跳伞!
 yao4me0 po4jiang4 yao4me0 qi4 ji1 tiao4san3
 or forced_landing or idle plane parachute
 'Forced landing, or parachuting!'
- d. 我不是在咖啡馆, 就是在去咖啡馆的路上。
 wo3 bu4shi4 zai4 ka1fei1guan3 jiu4shi4 zai4 qu4
 I NEG be_at coffee_shop or be_at go
 ka1fei1guan3 de0 lu4shang0
 coffee_shop DE en_route
 'I might be in the coffee shop or on my way to the coffee shop.'
- e. 是爸爸更了解儿子, 还是妈妈更了解儿子?
 shi4 ba4ba0 geng4 liao3jie3 er2zi0 hai2shi4
 be father more understand son or
 ma1ma0 geng4 liao3jie3 er2zi0
 mother more understand son
 'Is it the father or the mother who knows more about their son?'

Note that 是...还是...*shi4...hai2shi4...* 'it is...or it is...' typically appears only in interrogative sentences, either direct or indirect.

DISJUNCTION OF NON-EQUIVALENT CLAUSES There are typically two clauses in such a disjunctive compound sentence and the two clauses do not have the same status in terms of logic relation. There are two possibilities:

- 1 The two clauses represent two statements contradictory to each other and the speaker thinks that either one is true but the other is not or one is preferred over the other. Such a relation is typically marked with connective adjunct pairs like 与其...(还)不如...*yu3qi2... (hai2) bu4ru2...* 'rather than...it is better...' or 与其...宁可...*yu3qi2... ning4ke3...* 'rather than...it is better...'

The former is found in both written and oral genres, while the latter is mainly used in written contexts.

- [52] a. 你与其为失败找借口, 不如努力为成功找办法。
 ni3 yu3qi2 wei4 shi1bai4 zhao3 jie4kou3 bu4ru2
 you rather_than PREP fail seek excuse rather
 nu3li4 wei4 cheng2gong1 zhao3 ban4fa3
 work_hard PREP success seek way
 ‘Rather than to look for excuses, it would be better for you to find a way to success.’
- b. 你与其这么写还不如不写。
 ni3 yu3qi2 zhe4me0 xie3 hai2bu4ru2 bu4 xie3
 you rather_than so write rather NEG write
 ‘Rather than for you to write in this way, it is better not to write anything.’
- c. 与其他去参加这次比赛, 不如我去参加。
 yu3qi2 ta1 qu4 can1jia1 zhe4ci4 bi3sai4
 rather_than he go participate this_time game
 bu4ru2 wo3 qu4 can1jia1
 rather I go participate
 ‘Rather than for him to attend the contest, it would be better for me to attend it.’
- d. 与其跪着生, 宁可站着死。
 yu3qi2 gui4 zhe0 sheng1 ning4ke3 zhan4 zhe0
 rather_than kneel ZHE live rather stand ZHE
 si3
 die
 ‘Better [to] die standing than live kneeling.’

Of the two pairs of connective adjuncts, 与其...(还)不如...*yu3qi2... (hai2) bu4ru2...* ‘rather than... it is better...’ is typically used in mild persuasion, while 与其... 宁可...*yu3qi2... ning4ke3...* ‘rather than... it is better...’ is often used in protest or as a strong statement of one’s preference.

- 2 The clauses in the disjunctive compound sentence represent two statements of which only one is true, and the speaker is making a strong claim about which one is true. The connective adjunct pairs that mark these two clauses are 不是... 而是... *bu4shi4... er2shi4...* ‘it is not... it is...’, as in [53a] and [53b], or 不

但(不/没有)...反而...*bu4dan4 (bu4/mei2you3) ... fan3er2 ...* ‘not only (not) ... but on the contrary ...’, as in [53c].

- [53] a. 女主角不是演不好, 而是不想演。
 nü3zhu3 bu4shi4 yan3 bu4 hao3
 female_lead NEG act NEG good
 er2shi4 bu4 xiang3 yan3
 rather NEG want act
 ‘It is not the case that the leading actress cannot perform, but it is that she doesn’t want to perform.’
- b. 不是我车速快, 而是你闯红灯。
 bu4shi4 wo3 che1su4 kuai4 er2shi4 ni3
 NEG I vehicle_speed fast rather you
 chuang3hong2deng1
 run_a_red_light
 ‘It is not me that drove too fast, but it is you that ran the red light.’
- c. 骚乱不但没有停止, 反而不断升级。
 sao1luan4 bu4dan4 mei2you3 ting2zhi3 fan3er2
 riot not_only NEG stop instead
 bu4duan4 sheng1ji2
 unceasingly escalate
 ‘The riot didn’t stop, but on the contrary, it escalated.’

Note that when the speaker uses the connective adjunct pair 不但(不/没有)...反而... *bu4dan4 (bu4/mei2you3) ... fan3er2 ...* ‘not only (not) ... but on the contrary ...’ to mark the two clauses in a disjunctive relation, he is making a very clear statement that although the clause marked 不但 *bu4dan4* ‘not only not ...’ is supposed to be true, the clause marked with 反而 *fan3er2* ‘but on the contrary ...’ is actually true.

14.6. Concessive complex sentences

When a speaker uses a concessive complex sentence, he characteristically presents two statements, one as the main clause and the other as the concessive adjunct. The clauses in such a sentence are typically marked with connective adjunct pairs like the 虽然...但是... *sui1ran2 ... dan4shi4 ...* ‘although ... but ...’ in [54a] and the 即使...也... *ji2shi3 ... ye3 ...* ‘even though ... still ...’ in [54b] and [54c]. Of these pairs 虽然 *sui1ran2* ‘although’ or 即使 *ji2shi3* ‘even though’ marks the

adjunct clause, while 但是 *dan4shi4* ‘but’ or 也 *ye3* ‘still’ marks the main clause. Although the truth of the adjunct clause seems to indicate that the main clause will be false, it actually does not detract from the truth of the main clause.

If the first clause in [54a] 房租这么低 *fang2zu1 zhe4me0 di1* ‘the rent is so low’ is true, it indicates that everyone should be able to afford it. Since [54a] is marked with the connective pair 虽然...但是... *sui1ran2... dan4shi4...* ‘although...but...’, it has a specific reading that there are still people who cannot afford the rent in spite of its all-time low. The sentences in [54b] and [54c] have the same type of interpretation.

- [54] a. 虽然房租这么低, 但是也有人交不起。
sui1ran2 fang2zu1 zhe4me0 di1 dan4shi4 ye3 you3
 although rent so low but also have
ren2 jiao1bu4qi3
 people cannot_afford
 ‘Although the rent is so low, some people still cannot afford it.’
- b. 即使房租这么低, 也有人交不起。
ji2shi3 fang2zu1 zhe4me0 di1 ye3 you3
 even_though rent so low also have
ren2 jiao1bu4qi3
 people cannot_afford
 ‘Even though the rent is so low, some people cannot afford it.’
- c. 即使房租再低些, 也还是有人交不起。
ji2shi3 fang2zu1 zai4 di1 xie1 ye3 hai2shi4
 even_though rent more low some also still
you3 ren2 jiao1bu4qi3
 have people cannot_afford
 ‘Even if the rent went lower, there are still people who could not afford it.’

Other connective adjuncts marking the concessive clause include 尽管 *jin3guan3* ‘though,’ 固然 *gu4ran2* ‘though,’ and 纵然 *zong4ran2* ‘though,’ and connective adjuncts marking the main clause include 却 *que4* ‘but,’ 可是 *ke3shi4* ‘but,’ 不过 *bu4guo4* ‘but,’ 然而 *ran2er2* ‘but,’ and 只是 *zhi3shi4* ‘but.’ Note that 然而 *ran2er2* and 只是 *zhi3shi4* typically mark the main clause alone without any marker on the concessive adjunct clause. The difference between [55a] and [55b] thus does not affect the concessive reading.

- [55] a. 这幅画固然不错, 但是我没有钱买。
 zhe4 fu2 hua4 gu4ran2 bu4cuo4 dan4shi4 wo3
 this CL painting although good but I
 mei2you3 qian2 mai3
 NEG money buy
 'The painting is good, but I don't have money to buy it.'
- b. 这幅画的确不错, 只是太贵了。
 zhe4 fu2 hua4 di2que4 bu4cuo4 zhi3shi4 tai4
 this CL painting indeed good only too
 gui4 le0
 expensive LE
 'The painting is pretty good, only the price is too high.'

14.7. Conditional complex sentences

A conditional complex sentence predominantly consists of two clauses, a conditional adjunct clause that expresses a condition, real or imagined, and a main clause that explains the consequence of the condition. Conditional complex sentences are typically marked with connective adjunct pairs to indicate the logic relation between the two clauses, and they can be categorized into four subtypes according to their logical relation:

1 Sufficient condition

Connective adjunct pairs like 只要...就...zhi3yao4...jiu4... 'as long as...then...' and 一旦...就...yi1dan4...jiu4... 'as soon as...then...' are common ones to mark sentences of sufficient condition, in which if the conditional clause is true, the main clause will also be true.

2 Necessary condition

Connective adjunct pairs like 只有...才...zhi2you3...cai2... 'only if...then...' and 除非...才...chu2fei1...cai2... 'unless...then...' are commonly used to mark necessary condition sentences in which the main clause will not be true unless the conditional clause is true.

3 Hypothetical condition

Connective adjunct pairs like 如果/假使/假如...就...ru2guo3/jia2shi3/jia3ru2...jiu4... 'if...then...', 要是...就...yao4shi4...jiu4... 'if...then...', and 要不是...早就...yao4bu2shi4...zao3jiu4... 'if not that...then...' are markers for hypothetical conditions, in which the conditional clause expresses an imaginary situation while the main clause spells out

the consequence, and it is not possible for the conditional to be true and for the main clause to be false.

4 Exhaustive condition

Connective adjunct pairs like 无论/任凭/不管...都... *wu2lun4/ren4ping2/bu4guan3...dou1...* ‘no matter...all...’ and 无论/任凭/不管...也... *wu2lun4/ren4ping2/bu4guan3...ye3...* ‘no matter...also...’ are markers for exhaustive conditionals, in which the main clause will be true regardless of which option of the conditional is realized.

The sufficient condition in [56a] means that if the condition “you join us” is satisfied, the speakers will definitely start the project. It is also implied that among all the conditions, “you join us” is the easiest to satisfy. The necessary condition in [56b] means that only if “you join us” is satisfied will the speakers start the project, and it is also implied that among all the conditions, it is the hardest to satisfy. The sentence in [56c] has a hypothetical condition “you join us,” which the speakers hope will be realized, and what is being conveyed is that a project will be started if the condition is satisfied. The exhaustive condition in [56d] makes it clear that the project will start, regardless of whether the condition is satisfied or not.

- [56] a. 只要你参加,我们就启动这个计划。

zhi3yao4 ni3 can1jia1 wo3men0 jiu4 qi3dong4
as_long_as you join we thus switch_on
zhe4 ge0 ji4hua4
this CL plan

‘As long as you join us, we will start this project.’

- b. 只有你参加,我们才启动这个计划。

zhi3you3 ni3 can1jia1 wo3men0 cai2 qi3dong4
only_if you join we just switch_on
zhe4 ge0 ji4hua4
this CL plan

‘We will start the project only if you join us.’

- c. 如果你参加,我们就启动这个计划。

ru2guo3 ni3 can1jia1 wo3men0 jiu4 qi3dong4 zhe4
if you join we thus switch_on this
ge0 ji4hua4
CL plan

‘If you join us, we will start the project.’

- d. 无论你参不参加, 我们都要启动这个计划。
 wu2lun4 ni3 can1 bu4 can1jia1 wo3men0 dou1
 no_matter you join NEG join we all
 yao4 qi3dong4 zhe0 ge4 ji4hua4
 will switch_on this CL plan
 'Whether you join us or not, we will start this program.'

A conditional sentence typically does not distinguish what is being planned from what has actually happened. An exception is those marked with 要不是...*yao4bu2shi4*... 'if not...' in which the conditional clause describes a past event and the main clause presents a state or event that is counterfactual. The 律师鼓励 *lu4shi1 gu3li4* 'being pushed by the lawyer' in [57] is a past event, while 我真不想打这个官司 *wo3 zhen1 bu4xiang3 da3 zhe4ge4 guan1si1* 'I did not want to go to the court' describes something contrary to the fact. What the sentence conveys is that the speaker actually went to the court even though he had had some reservations about doing so.

- [57] 要不是律师鼓励, 我真不想打这个官司。
yao4bu2shi4 lü4shi1 gu3li4 wo3 zhen1 bu4 xiang3
 if_not lawyer urge I really Neg want
da3 zhe4 ge0 guan1si0
 do this CL lawsuit
 'If I had not been pushed by the lawyer, I would not have gone to the court.'

14.8. Causative and purposive complex sentences

Causatives and purposives are both complex sentences consisting of an adjunct clause and a main clause. The two clauses typically form a temporal sequence, with one describing an event or state happening earlier than that in the other. The main difference between these two types of sentences is that purposives involve some intentional behavior while causatives do not necessarily involve such an intention.

Causatives are marked with connective adjunct pairs, such as 因为...所以... *yin1wei4...suo2yi3*... 'because...therefore...', as in [58a], 之所以...是因为... *zhi1suo3yi3...shi4 yin1wei4*... 'with such a result...it is because...', as in [58b], or 既然...于是/则/那就/那么/可见... *ji4ran2...yu2shi4/ze2/na4jiu4/na4me0/ke3jian4*... 'given that...therefore...', as in [58c]. A prominent feature of causatives is that the sentence can be marked with either a connective adjunct pair or half of the pair, as shown in [58d] and [58e].

- [58] a. 因为忙,所以我一直没有给他回信。
 yin1wei4 mang2 suo3yi3 wo3 yi1zhi2 mei2you3
 because busy so I all_the_time NEG
 gei3 ta1 hui2xin4
 PREP he reply
 'Because I have been busy, I have not given him a reply yet.'
- b. 比赛之所以输掉,是因为准备不充分。
 bi3sai4 zhi1suo3yi3 shu1diao4 shi4 yin1wei4
 game the_reason_why lose be because
 zhun3bei4 bu4 chong1fen4
 preparation NEG adequate
 'They lost the game because they did not prepare for it well.'
- c. 既然他还能骑马,可见他的病并不严重。
 ji4ran2 ta1 hai2 neng2 qi2 ma3 ke3jian4 ta1
 since he still can ride horse therefore he
 de0 bing4 bing4bu4 yan2zhong4
 DE ill not_at_all serious
 'Since he could still ride a horse, he should not be seriously ill.'
- d. 他们因志趣相投,很快就成了朋友。
 ta1men0 yin1 zhi4qu4xiang1tou2 hen3kuai4 jiu4
 they because have_common_interests soon thus
 cheng2 le0 peng2you3
 become LE friend
 'They soon became friends because of their common interests.'
- e. 鹿对灰色的识别力最强,所以能迅速逃避大灰狼的袭击。
 lu4 dui4 hui1se4 de0 shi2bie2li4 zui4 qiang2
 deer PREP gray DE recognition most strong
 suo3yi3 neng2 xun4su4 tao2bi4 da4 hui1 lang2 de0
 hence can rapid shirk big gray wolf DE
 xi2ji1
 surprise_attack
 'Because deer are sensitive to gray, they can quickly escape from gray wolves.'

The causative relation marked with 既然...可见...*ji4ran2...ke3jian4...* 'since...therefore...' is based on an indirect inference, while the causative relation marked with 因为...所以...*yin1wei4...suo2yi3...* 'because...therefore...' is based on a direct cause-effect correlation. The inference relation of the

former is sensitive to the temporal sequence of events, as well as to the order of presentation. The sentence in [59a] first presents “given the fact that the ground is wet” and then presents the inferred conclusion “it must have rained,” and such an order should normally not be reversed. If the speaker wants to present the story in a reversed order, a possible choice would be [59b], in which a hedge phrase 看来 *kan4lai2* ‘it looks like’ is used.

- [59] a. 既然地上是湿的, 可见昨晚肯定下过雨。

ji4ran2 di4shang4 shi4 shi1 de0 ke3jian4 zuo2wan3
since ground be wet DE therefore last_night
ken3ding4 xia4 guo4 yu3
must fall GUO rain
‘Since the ground is wet, it must have rained last night.’

- b. 看来昨晚下过雨了, 地上都湿了。

kan4lai2 zuo2wan3 xia4 guo4 yu3 le4 di4shang4
looks_like last_night fall GUO rain LE ground
dou1 shi1 le0
all wet LE
‘It might have rained last night, since the ground is wet.’

In comparison, the direct cause-effect relation marked with 因为...所以... *yin1wei4...suo2yi3...* ‘because...therefore...’ is typically not affected by the order of presentation. Both [60a] and [60b] are thus acceptable and have the same interpretation.

- [60] a. 因为昨晚下过雨, 地上是湿的。

yin1wei4 zuo2wan3 xia4 guo4 yu3 di4shang4 shi4
because last_night fall GUO rain ground be
shi1 de0
wet DE
‘Because it rained last night, the ground is wet.’

- b. 地上是湿的, 因为昨晚下过雨。

di4shang4 shi4 shi1 de0 yin1wei4 zuo2wan3 xia4
ground be wet DE because last_night fall
guo4 yu3
GUO rain
‘The ground is wet, because it rained last night.’

既然... *ji4ran2...* ‘given the fact that...’ can pair with the adverbs 也 *ye3* ‘as well,’ 就 *jiu4* ‘then,’ or 还 *hai2* ‘still’ to mark a causative relation. When a speaker uses 既然...也(就)... *ji4ran2...ye3(jiu4)...* ‘given the fact that...it’d be better

also ...' in [61a], he conveys two ideas with the main clause. On the one hand, he says that the listener should not pursue the case anymore, and on the other hand, he hints that there is an additional issue that the listener should also take care of. The speaker in [61b] uses 既然...还...*ji4ran2...hai2...* 'given that...still...' to indicate two things as well. On the one hand, he says that the listener should not take the examination, and on the other hand, he tells the listener that this should be given up a long time ago.

[61] a. 既然已经和解, 也就不要再追究了。

ji4ran2 yi3jing1 he2jie3 ye3 jiu4 bu2yao4 zai4
since already settle also thus do_not again
zhui1jiu1 le0
find_out LE
'Since it's already settled, just let it go.'

b. 你既然把握不大, 还去考什么?

ni3 ji4ran2 ba3wo4 bu4 da4 hai2 qu4 kao3
you since certainty NEG big yet go exam
shen2me0
what
'Since you have no confidence [in the result], why do you bother to take the exam?'

Purposives are typically marked with a preposition, such as the 为了...*wei4le0...* 'in order to achieve...' in [62a] and the 以免...*yi3mian3...* 'in order to avoid...' in [62b]. 以免...*yi3mian3...* 'in order to avoid...' has some variations in spoken genres, such as 免得...*mian3de0...* 'in order to avoid...' and 省得...*sheng3de0...* 'in order to avoid...'. Given their usage in different genres, it is appropriate to use 以免...*yi3mian3...* 'in order to avoid...' in [62b], which is a police warning, and it is also fine to use 省得...*sheng3de0...* 'in order to avoid...' in [62c], which is a casual exchange between close friends. However, it is not proper to switch the two prepositions, since that would constitute an inappropriate mixture of styles.

[62] a. 为了不增加家里的负担, 她辍学了。

wei4le0 bu4 zeng1jia1 jia1li3 de0 fu4dan1 ta1
PREP NEG add family DE load she
chuo4xue2 le0
drop_out LE
'In order to ease the burden on her family, she dropped out of school.'

- b. 严禁酒后驾车, 以免酿成交通事故。

yan2jin4 jiu3 hou4 jia4che1 yi3mian3
strictly_prohibit wine after drive_a_vehicle lest
niang4cheng2 jiao1tong1 shi4gu4
lead_to traffic accident
'To stay away from traffic accidents, don't drink and drive.'

- c. 你带本书在车上看吧, 省得闷得慌。

ni3 dai4 ben3 shu1 zai4 che1 shang4 kan4 ba0
you take CL book PREP car on see BA
mian3de0 men4de0huang1
so_as_not_to bored
'Take a book with you, so you won't feel bored on the train.'

14.9. Strategies of clause linking

The main function of coordinators, connective adjuncts, and connective prepositions is to indicate the logic relation between clauses in compound sentences and certain types of complex sentences. However, when such logic relation is clearly understood, these connective elements may not be obligatory and the interpretation of the sentence will depend on the context.

14.9.1. Connective words

In addition to coordinators, connective adjuncts, and connective prepositions, certain adverbs also have the function of indicating the logic relation between clauses in compound sentences and certain complex sentences, such as 也 *ye3* 'as well,' 又 *you4* 'again,' 和 *he2* 'and,' 都 *dou1* 'all.'

A few other words or phrases are sometimes used to indicate the logic relation between these clauses. A typical example is 的话 *de0hua4* 'if,' which can be used as part of a complex nominal phrase like 你说的话 *ni3 shuo1 de0 hua4* 'the words you said,' but can also be placed immediately after a clause to indicate a hypothetical condition, as in [63a]. Interrogative pronouns like 谁 *shui2* 'who,' 什么 *shen2me0* 'what,' 怎么 *zen3me0* 'how,' and 哪儿 *na3er0* 'where' can help to establish the logic relation between clauses, as in [63b]. Even determinatives like the 那 *na4* 'in that case, then' in [63c] can be used as connectives to indicate a possible cause-effect relation.

- [63] a. 你不去的话, 我也不去。

ni3 bu4 qu4 de0 hua4 wo3 ye3 bu4 qu4
you NEG go DE if I also NEG go
'If you don't go there, I won't either.'

- b. 谁先做完, 谁先走。
 shui2 xian1 zuo4 wan2 shui2 xian1 zou3
 who early do finish who early go
 'Whoever finishes earlier leaves earlier.'
- c. 你听不懂, 那你就别在这儿碍事。
 ni3 ting1 bu4 dong3 na4 ni3 jiu4 bie2
 you listen NEG understand that you thus do_not
 zai4 zhe4er0 ai4shi4
 PREP here matter
 'If you don't understand it, then just stay away.'

14.9.1.1. Position of connective words

Coordinators and connective prepositions predominantly appear at the beginning of the clause they mark. Some connective adjuncts are adverbs and some of them are modal auxiliaries. As such, connective adjuncts can appear at the beginning of a clause, and some of them can appear between the subject and the predicate.

There are cases where a coordinator or a connective preposition apparently appears after the subject, as shown in [64], [65], and [66], but they characteristically have a different interpretation. The coordinator 不但 *bu2dan4* 'not only' in [64a] appears at the beginning of the first clause but appears between the subject and predicate in the first clause of [64b]. The difference represents the fact that the subject of the second clause in [64b] refers to the same person as the subject of the first clause does, while the subject of the two clauses in [64a] refers to different persons.

- [64] a. 不但你要去, 而且我也要去。
 bu4dan4 ni3 yao4 qu4 wo3 ye3 yao4 qu4
 not_only you will go I also will go
 'Not only you have to go there, I also have to.'
- b. 你不但要去, 而且要马上去。
 ni3 bu4dan4 yao4 qu4 er2qie3 yao4 ma3shang4 qu4
 you not_only will go and will at_once go
 'Not only you have to go there, but you also have to go immediately.'

14.9.1.2. Connective words with multiple functions

Some connective words are polysemous and can be used to represent different logical relations. The 就是 *jiu4shi4* in [65a] marks a concessive relation and means 'even if.' The same word indicates a contrastive relation in [65b] and means 'except for.' It marks a disjunctive relation in [65c] and should be understood as 'or.'

- [65] a. 就是天塌下来,他也不怕。
 jiu4shi4 tian1 ta1 xia4lai2 ta1 ye3 bu4 pa4
 even_if sky fall down he also NEG fear
 'Even if the sky is falling, he will not be scared.'
- b. 他什么都好,就是脾气坏了点。
 ta1 shen2me0 dou1 hao3 jiu4shi4 pi2qi4 huai4
 he what all good except_for temper bad
 le0 dian3
 LE a_little
 'Everything about him is fine except for his temper.'
- c. 到了北京,不是参观故宫,就是游览长城。
 dao4 le0 bei3jing1 bu4shi4 can1guan1 gu4gong1
 arrive LE Beijing NEG tour palace
 jiu4shi4 you2lan3 chang2cheng2
 or tour Great_Wall
 'What you will do in Beijing is to visit either the Forbidden City or the Great Wall.'

The pair 一...就...*yi1...jiu4...* 'as soon as...then...' in [66a] indicates a temporal sequence of events, whereas the pair in [66b] indicates a sufficient condition relation derived from the temporal relation.

- [66] a. 他一到办公室,就给学生打了一个电话。
 ta1 yi1 dao4 ban4gong1shi4 jiu4 gei3 xue2sheng1
 he once reach office thus PREP student
 da3 le0 yi1 ge4 dian4hua4
 make LE one CL telephone
 'As soon as he arrived at the office, he gave the student a phone call.'
- b. 水一到零度,就会结冰。
 shui3 yi1 dao4 ling2du4 jiu4 hui4 jie2bing1
 water once reach zero_degree thus will freeze
 'Once the temperature drops below 0°C, water freezes.'

Certain polysemous connective words may have the syntactic functions of more than one category. The 或者 *huo4zhe3* in [67a] is a coordinator that marks a disjunctive relation and should be understood as 'or.' The 或者 *huo4zhe3* in [67b] is an adverb with the meaning of 'perhaps.' The 尽管 *jin3guan3* 'even though' in [68a] is a connective adjunct marking a concessive relation, while the 尽管 *jin3guan3* 'just' in [68b] is an adverb, which functions as an adverbial but marks the logic relation between clauses.

- [67] a. 他去或者不去,都由他自己决定。
 ta1 qu4 huo4zhe3 bu4 qu4 dou1 you2 ta1
 he go or NEG go all PREP he
 zi4ji3 jue2ding4
 self decide
 'It is up to him to decide whether or not to go.'
- b. 他去得早, 或者还有救。
 ta1 qu4 de0 zao3 huo4zhe3 hai2 you3 jiu4
 he go DE early or still have save
 'If he goes earlier, there might be hope of being saved.'
- [68] a. 尽管我很轻, 还是把他惊动了。
 jin3guan3 wo3 hen3 qing1 hai2shi4 ba3 ta1
 even_though I very light still BA he
 jing1dong4 le0
 alarm LE
 'Even though my movement was light, he was alerted anyway.'
- b. 你有什么需要, 尽管告诉我。
 ni3 you3 shen2me0 xu1yao4 jin3guan3 gao4su4 wo3
 you have what need just tell I
 'If you need any help, just let me know.'

The connective adjunct pair 既...也...*ji4...ye3*... 'either...or...' in [69a] marks a conjunctive relation. The 既...也...*ji4...ye3*... in [69b], on the other hand, is the shortened form of 既然...也...*ji4ran2...ye3*... which marks a causative relation and should be understood as 'given the fact that... therefore...'

- [69] a. 我们既反对保守, 也反对冒进。
 wo3men0 ji4 fan3dui4 bao3shou3 ye3 fan3dui4
 we also oppose conservation also oppose
 mao4jin4
 rash_advance
 'We are against being either too conservative or too radical.'
- b. 事情既已败露, 我也不再隐瞒了。
 shi4qing2 ji4 yi3 bai4lu4 wo3 ye3 bu4
 thing since already be_exposed I also NEG
 zai4 yin3man2 le0
 again hide LE
 'Given that it has been exposed, I don't have to hide it anymore.'

14.10. Compound sentences without overt marking

Although coordinators and connective adjuncts are commonly used to indicate the logic relation between clauses in compound sentences and certain types of complex sentences, there are cases where the logic relation is marked by other means. One possibility is to use clauses with identical structures and with corresponding lexical items to create a parallelism. The two clauses in [70a] have an identical structure with a linking verb 是 *shi4* ‘be’ as the head of the predicate. What is more, several lexical items in the two clauses are antonyms, such as 直线 *zhi2xian4* ‘straight line’ versus 曲线 *qu3xian4* ‘curve’ and 流畅 *liu2chang4* ‘smooth’ versus 婉转 *wan3zhuan3* ‘uneven,’ and they appear in corresponding positions. These clauses are thus in a conjunctive relation without any overt marking. The two clauses in [70b] describe two events with a clear temporal sequence, and they form a conjunction without overt marking.

- [70] a. 直线是流畅的美, 曲线是婉转的美。
 zhi2xian4 shi4 liu2chang4 de0 mei3 qu1xian4
 straight_line be easy_and_smooth DE beauty curve
 shi4 wan3zhuan3 de0 mei3
 be tactful DE beauty
 ‘While a straight line illustrates the beauty of free-flow directness, a
 curved line displays the grace of round-aboutness.’
- b. 孩子们讨论了一上午, 通过了一个决议。
 hai2zi0men0 tao3lun4 le0 yi1 shang4wu3 tong1guo4
 children discuss LE one morning pass
 le0 yi1 ge4 jue2yi4
 LE one CL decision
 ‘The children had spent the whole morning discussing it, and they
 made a decision.’

Another way to indicate the logic relation without a coordinator or connective adjunct is to use interrogative pronouns or their compounds in corresponding positions. The 谁 *shui2* ‘who’ in the first clause of [71a] represents whoever causes the pollution and should be understood as ‘anyone,’ while the 谁 *shui2* ‘who’ in the second clause refers back to the first 谁 *shui2* ‘who’ and should be understood as ‘he.’ The first clause is therefore a conditional clause and the second one is the main clause. The two clauses in [71b] form a sequential conjunction and such a relation is indicated by the two 什么时候 *shen3me0 shi2hou0* ‘what time,’ which should be interpreted as ‘any time when...’ in the first clause and as ‘at that time’ in the second. Similarly, the 怎么样 *zen3me0yang4* ‘how’ in [71c] should be

understood as ‘any manner’ in the first clause and as ‘in that manner’ in the second. The two clauses form a conditional sentence.

- [71] a. 谁造成的污染, 谁负责治理。
 shui2 zao4cheng2 de0 wu1ran3 shui2
 who cause DE pollution who
 fu4ze2 zhi4li3
 be_responsible_for govern
 ‘If someone causes the pollution, he should do the cleaning up.’
- b. 钱什么时候到账, 我什么时候开工。
 qian2 shen2me0 shi2hou0 dao4 zhang4 wo3
 money what when reach account I
 shen2me0 shi2hou0 kai1gong1
 what when start_to_work
 ‘I will start the work when the money is in my account.’
- c. 我怎么说, 你怎么写。
 wo3 zen3me0 shuo1 ni3 zen3me0 xie3
 I how say you how write
 ‘You should write it in the same way as I say it.’

Since these compound or complex sentences are not marked by coordinators or connective adjuncts, it is possible for them to have more than one reading. The sentence in [72a] does not bear any overt marker and therefore can be understood in several ways, such as the causative in [72b] and the conditional in [72c].

- [72] a. 你不是我们的员工, 新股就没你的份。
 ni3 bu4shi4 wo3men0 de0 yuan2gong1 xin1gu3
 you NEG we DE employee new_stock
 jiu4 mei2 ni3 de0 fen4
 then NEG you DE share
 ‘You are not our staff member, hence you have no share in the new stock options.’
- b. 因为你不是我们的员工, 所以新股就没你的份。
 yin1wei4 ni3 bu4shi4 wo3men0 de0 yuan2gong1
 because you NEG we DE employee
 suo3yi3 xin1gu3 jiu4 mei2 ni3 de0 fen4
 so new_stock then NEG you DE share
 ‘Since you are not our staff member, you have no share in the new stock options.’

- c. 如果你不是我们的员工, 新股就没你的份。
 ru2guo3 ni3 bu4shi4 wo3men0 de0 yuan2gong1
 if you NEG we DE employee
 xin1gu3 jiu4 mei2 ni3 de0 fen4
 new_stock then NEG you DE share
 'If you are not our staff member, then you have no share in the new
 stock options.'

Sometimes such clauses are uttered without any pause and they will sound like one simple sentence, such as the ones in [73a] and [73c]. Sentence [73a] is ambiguous, with a number of readings, and one of them is [73b]. Similarly, [73c] has several possible readings and [73d] is one of them.

- [73] a. 钟不敲不响。
 zhong1 bu4 qiao1 bu4 xiang3
 bell NEG knock NEG ring
 'The bell will not ring unless it is struck.'
- b. 钟如果不敲, 就不响。
 zhong1 ru2guo3 bu4 qiao1 jiu4 bu4 xiang3
 bell if NEG knock then NEG ring
 'If the bell is not struck, it will not ring.'
- c. 他不哭不闹。
 ta1 bu4 ku1 bu4 nao4
 he NEG cry NEG whine
 'He is not crying or whining.'
- d. 他既不哭, 又不闹。
 ta1 ji4 bu4 ku1 you4 bu4 nao4
 he also NEG cry also NEG whine
 'He is neither crying nor whining.'

Major non-canonical clause types: *ba* and *bei*

Hilary Chappell and Dingxu Shi

This chapter focuses on two major non-canonical clause types that are both unique and frequently used in Chinese: the *ba* constructions and various passive constructions represented by *bei* constructions. Both of these clause types share the feature of a complex predicate.

15.1. The *ba* constructions

15.1.1. Introduction

The term 把 *ba3* ‘BA’ constructions refers to clauses like those in [1], in which the nominal phrase 我写的字 *wo3 xie3 de0 zi4* ‘the words I wrote,’ which represent the entity affected by the event, does not appear in its typical post-verbal object position but occurs before the verb with a 把 *ba3* ‘BA’ marker. Such a nominal phrase is commonly known as the *ba* NP.

- [1] 她把我写的字擦掉了。
 ta1 ba3 wo3 xie3 de0 zi4 ca1diao4 le0
 she BA I write DE character erase LE
 ‘She erased the characters I wrote.’

Although a *ba* NP can sometimes appear in the post-verbal object position as well, the option of appearing in either position is not always available. The predicate in [2] is an idiom with an object position filled with 一谈 *yi1tan2* ‘same discussion’ and it is not possible for the *ba* NP to appear in the post-verbal object position.

- [2] 我们不要把自由和散漫混为一谈。
 wo3men0 bu4 yao4 ba3 zi4you2 he2 san3man4
 we NEG will BA freedom and undisciplined
 hun3wei2yi1tan2
 confuse_with
 ‘We should not confuse freedom with lack of discipline.’

A *ba* construction like that in [3], which has a locative preposition phrase following the verb, typically does not allow the *ba* NP to occur in the object position either.

- [3] 天黑了,我就能...,把辫子放在兜里。
 tian1 hei1 le0 wo3 jiu4 neng2 ba3 bian4zi0 fang4
 sky dark LE I then can BA plait put
 zai4 dou1 li3
 PREP pocket in
 ‘When night falls, I can (take off my cap and) put my plait in a pocket.’

Such a distribution is determined by the syntactic and semantic properties of the *ba* NP, as well as the properties of the predicate.

15.1.2. Major features of the *ba* construction

The information represented by the *ba* NP is typically given or old, namely, known or shared by the speaker and the hearer. It can refer to an entity that has been mentioned in the previous discourse or is known as a consequence of contextual or pragmatic factors. Sentence [3] is taken from the middle of a narration by a woman talking about her extraordinarily long plait, which is now known information and appropriately represented by a *ba* NP. Sentence [4] comes from a conversation at the beginning of a fiction piece describing the decline of a factory. The 厂长 *chang3zhang3* ‘manager of the factory’ represents a person known to everyone in the story and represents a piece of given information for contextual reasons. It is therefore natural for it to function as a *ba* NP.

- [4] 听说没有? 上头把厂长撤了。
 ting1shuo1 mei2you3 shang4tou2 ba3 chang3zhang3
 hear NEG boss BA factory_director
 che4 le0
 fire LE
 ‘Have you heard it? The boss fired the manager.’

The *ba* NP, such as those in [1] through [4] above, characteristically bears the semantic role of patient, which is understood as being highly affected by the event so undergoing an observable change of state. There are certain *ba* NPs that look like exceptions, such as 我们 *wo3men0* ‘we, us’ in [5], as the verb 忘 *wang4* ‘forget’ seems to be non-volitional and should not affect the *ba* NP. However, the sentence is about how the speakers went to their hometown to look for a lost relative but they encountered an obstacle because most people did not recognize them. In [5], the *ba* NP 我们 *wo3men0* ‘we, us’ is thus indeed affected by the event. [6] presents

a slightly different case. Although 吓了一跳 *xia4 le0 yi1 tiao4* ‘startled’ typically requires a reading where the subject is the party affected, it has a causative reading instead in [6]. That is, the story caused 那一家老小 *na4 yi1 jia1 lao3 xiao3* ‘members of that family’ to be startled. It is thus appropriate to put 那一家老小 *na4 yi1 jia1 lao3 xiao3* ‘members of that family’ into the *ba* NP slot.

- [5] 这么多年过去了,好多人都把我们忘了。
zhe4 me0 duo1 nian2 guo4 qu4 le0 hao3 duo1 ren2
 so many year pass LE many people
dou1 ba3 wo3 men0 wang4 le0
 all BA we forget LE
 ‘So many years have passed by, and most people no longer remember us.’
- [6] 那小说里爬山的事儿也是真的,也把那一家老小吓了一跳。
na4 xiao3 shuo1 li3 pa2 shan1 de0 shi4 er0 ye3
 that novel in mountain_climb DE thing also
shi4 zhen1 de0 ye3 ba3 na4 yi1 jia1 lao3 xiao3
 be true also BA that members_of_the_family
xia4 le0 yi1 tiao4
 frighten LE one jump
 ‘The story in the novel about mountain climbing was true. It did startle everyone in the family, young and old.’

A declarative *ba* construction typically represents an event that has taken place before the time of reference and has affected the *ba* NP. This completive property is encoded in the dependents of the verb, including post-verbal nouns, post-verbal expressions of extent or result, and perfective aspect marking.

15.1.3. Predicate types in *ba* constructions

A completive predicate can be a verb with an aspect marker, a verb with 得 *de0*, ‘DE,’ and a descriptive or resultative expression, or an expression of frequency or duration.

15.1.3.1. Verb + perfective aspect markers

Of all the aspect markers found in *ba* constructions from the corpus, perfective 了 *le0* ‘LE’ has the highest frequency of appearance, especially in declarative sentences with a monosyllabic verb as the predicate head, such as the 吃 *chi1* ‘eat’ in [7], the 包 *bao1* ‘book the whole shop’ in [8], and the 烧 *shao1* ‘burn’ in [9]. Such sentences would not usually be acceptable, if the aspect marker were not used.

- [7] 她把饭吃了。
 ta1 ba3 fan4 chi1 le0
 she BA meal eat LE
 'She has eaten the meal.'
- [8] 当然是我们下江帮把最高级的理发店包了。
 dang1ran2 shi4 wo3men0 xia4jiang1 bang1 ba3
 of_course be we Lower_YangTze group BA
 zui4 gao1ji2de0 li3fa4dian4 bao1 le0
 most deluxe barber_shop book_the_whole LE
 'Of course, it was us from the Lower YangTze region who patronized the
 classiest barber shops almost exclusively.'
- [9] 把和尚赶走, 并放火把庵堂烧了。
 ba3 he2shang4 gan3zou3 bing4 fang3huo3 ba3
 BA monk chase_away and set_fire BA
 an1tang2 shao1 le0
 temple burn LE
 '(They) chased away the monks, and then set fire to the temple and burned
 it down.'

Although perfective marker 过 *guo4* also indicates the completion of an event, it is only occasionally used in *ba* constructions, exemplified by [10].

- [10] 林宅的佣人几天前已经把林宅上下打扫过一遍...
 lin2zhai2 de0 yong1ren2 ji3tian1 qian2
 Lin_residence DE servant several_days ago
 yi3jing1 ba3 lin2zhai2 shang4xia4 da3sao3
 already BA Lin_residence from_top_to_down clean
 guo4 yi1bian4
 GUO once
 'The servants at the Lin house already cleaned the house from top to
 bottom several days ago...'

In spite of the almost obligatory use of aspect markers, imperative *ba* sentences (i.e., strong requests or commands), like the one in [11], do not take aspect markers. Another context where aspect markers are not usually allowed is when an attributive or resultative complement occurs after the verb, such as 明白 *ming2bai2* 'clear' in [12]. In such sentences, a modal like 可(以) *ke3(yi3)* 'may,' 能(够) *neng2(gou4)* 'can,' or 会 *hui4* 'will, be likely' typically occurs before the verb, as in the case of [12].

- [11] 来!把嘴巴张开!
 lai2 ba3 zui3ba0 zhang1kai1
 come BA mouth open
 'Come on! Open your mouth wide!'
- [12] 他一定(能)把事情说明白。
 ta1 yi1ding4 neng2 ba3 shi4qing2 shuo1 ming2bai2
 he surely can BA thing say clear
 'He can certainly explain the issue.'

When a *ba* construction is part of a series of coordinated clauses describing a sequence of events, the aspect marker can be left out except in the last clause. The four events described by the four declarative clauses in [13] form a sequence in which one event will not happen until the previous one has ended. A typical way to present such events is to attach the perfective aspect marker 了 *le0* 'LE' to the last predicate only. The three *ba* constructions in [13] thus do not carry any aspect marker, even though they convey a perfective meaning.

- [13] ...牙医拿一些工具把阿明的蛀牙拔掉,然后用纱布把伤口压住,止住了血。
 ya2yi1 na2 yi1xie1 gong1ju4 ba3 a1ming2 de0
 dentist take some tool BA A_MING DE
 zhu4ya2 ba2diao4 ran2hou4 yong4 sha1bu4 ba3
 cavity_tooth extract then use gauze BA
 shang1kou3 ya1zhu4 zhi3zhu4 le0 xue3
 wound press stop LE blood
 '...The dentist extracted A-Ming's tooth with a cavity with some
 instruments, pressed the wound with gauze, and stopped the bleeding.'

15.1.3.2. Verb + imperfective aspect markers

The use of imperfective markers 在 *zai4* and 着 *zhe0* in *ba* constructions is quite limited for the simple reason that they code an ongoing situation but not a completed event. In the corpora there are only a small number of *ba* constructions with a marker 在 *zai4* or 着 *zhe0*, and they typically carry special illocutionary force. The *ba* construction in [14] is a strong warning, stating that the listener is doing something suicidal, while that in [15] is an instruction, telling the listener what to do on a trip.

- [14] 行了,阿宏,你在把自己往死路上逼。
 xing2 le0 a1hong2 ni3 zai4 ba3 zi4ji3 wang3
 okay LE A_HONG you ZAI BA self PREP

si3lu4 shang4 bi1
 death on force
 'Stop it, A Hong. You are pushing yourself into an impasse.'

[15] 把重要物品贴身带着。

ba3 zhong4yao4 wu4pin3 tie1shen1 dai4 zhe0
 BA important belongings close bring ZHE
 'Keep your important belongings close to you.'

15.1.3.3. Verb compounds with a resultative or directional expression

The predicate of *ba* constructions is often headed by a verb compound formed with a verb and a resultative expression. The verb in such a compound is typically an activity verb but it is occasionally an achievement or accomplishment verb, while the resultative expression is typically a stative verb. The compound 惊醒 *jing1xing3* 'disturb-awake' in [16] has a stative verb 醒 *xing3* 'awake' as the resultative expression, while the 玩掉 *wan2diao4* 'play-lost' in [17] has an achievement verb as the resultative expression.

[16] 动也没敢动, 就怕把茶桌挪动了, 会把他惊醒。

dong4 ye3 mei2 gan3 dong4 jiu4 pa4 ba3
 move also NEG dare move then fear BA
 cha2zhuo1 nuo2dong4 le0 hui4 ba3 ta1 jing1xing3
 tea_table move LE will BA he awake
 '(I) just didn't dare to move, afraid that if I moved the coffee table, I would disturb him and awaken him.'

[17] 就是因为太会玩游戏, 结果把时间玩掉了, 也把事业玩掉了。

jiu4shi4 yin1wei4 wo3men0 tai4 hui4
 exactly because we too be_good_at
 wan2you2xi4 jie2guo3 ba3 shi2jian1 wan2diao4 le0
 play_games as_a_result BA time play_out LE
 ye3 ba3 shi4ye4 wan2diao4 le0
 also BA career play_out LE
 'It's all because we've indulged ourselves too much in playing games, so that we've ended up wasting our time and destroying our careers.'

Sometime the resultative expression is a directional verb indicating motion or trajectory, such as 上 *shang4* 'go up,' 下 *xia4* 'go down,' 过 *guo4* 'cross over,' 回 *hui2* 'return,' 到 *dao4* 'arrive,' 出 *chu1* 'exit,' and so on. The 送到 *song4dao4* 'send-arrive' in [18] is such a case.

- [18] 为掩人耳目, 玄宗先**把**她送到太真宫修行。
 wei4 yan3ren2er3mu4 xuan2zong1 xian1 ba3 ta1
 PREP cover_up Xuanzong first BA she
 song4dao4 tai4zhen1gong1 xiu1xing2
 send Taizhen_Temple practice
 'To cover up, Xuanzong sent her to the Taizhen Temple (to be a nun) at first.'

The resultative expression can occasionally be a combination of two verbs of motion, such as those in 送回去 *song4hui2qu4* 'send-return-go' in [19].

- [19] 天黑下去前就**把**苦根**送回去**。
 tian1 hei1 xia4qu4 qian2 jiu4 ba3 ku3gen1 song4
 sky dark XIAQU before then BA Kugen send
 hui2qu4
 back
 'Before it got dark, (I) would take Kugen home.' [literally, 'accompany-return-go']

15.1.3.4. Verb + 得 *de0* 'DE' + resultative or extent expression

The predicate of a *ba* construction can also have a resultative expression occurring after 得 *de0* 'DE.' Such an expression can be an adjectival phrase like those in [20] and [21].

- [20] 这短短的一句话, 已**把**禅的本质说得**得**很明确。
 zhe4 duan3duan3 de0 yi1 ju4 hua4 yi3 ba3
 this short DE one CL words already BA
 chan2 de0 ben3zhi4 shuo1 de0 hen3 ming2que4
 Zen DE nature say DE very clear
 'This very succinct sentence could explain the nature of Zen unequivocally on its own.'
- [21] 《诗经》有许多地方**把**感情描写得**得**相当泛滥。
 shi1jing1 you3 xu3duo1 di4fang1 ba3
 Book_of_Odes have many place BA
 gan3qing2 miao2xie3 de0 xiang1dang1 fan4lan4
 emotion describe DE quite inundate
 'Description of emotion in the Book of Odes can be overly sentimental occasionally.'

The resultative expression in [22] is a verb phrase illustrating the status of the affected person Jiazhen, who is too tired to say anything after work. Similarly, [23] describes the desired result state of cooking the food, designated by the *ba* NP. The expression 太早也太满 *tai4zao3 ye3 tai4man3* ‘too early and with too much certainty’ in [24] does not describe the resultative status of the *ba* NP, but instead describes how far the bragging has gone, as an expression of extent.

- [22] 田里的活已经**把**家真累得说话都没力气了。

tian2 li3 de0 huo2 yi3jing1 ba3 jia1zhen1 lei4
field in DE work already BA Jiazhen tired

de0 shuo1hua4 dou1 mei2 li4qi4 le0
DE talk all NEG strength LE

‘Working in the fields had already made Jiazhen so tired that she had no strength to talk.’

- [23] **把**菜烧得味道鲜美。

ba3 cai4 shao1 de0 wei4dao4 xian1mei3
BA dish cook DE taste delicious

‘To cook the food well such that it tastes delicious.’

- [24] 他**把**肯定出成绩的话说得太早也太满。

ta1 ba3 ken3ding4 chu1cheng2ji4 de0 hua4 shuo1
he BA definitely obtain_outcome DE words say

de0 tai4 zao3 ye3 tai4 man3
DE too early also too full

‘He made the claim that (he/the team/...) will obtain the desired outcome too early and with too much certainty.’

15.1.3.5. Verb + frequency or duration phrase

The *ba* construction is very often used with a phrase of frequency or duration following the verb to imply the completion of the event, since it states that the event has taken place for the indicated number of times or duration. Such examples are well attested in various corpora. Frequency phrases are constructed with event classifiers like 顿 *dun4*, 下 *xia4*, 遍 *bian4*, 回 *hui2*, and 番 *fan1*, while duration phrases are constructed with standard measure words of time like 小时 *xiao3shi2* ‘hour,’ 天 *tian1* ‘day,’ 月 *yue4* ‘month,’ and 年 *nian2* ‘year.’ The 一顿 *yi1dun4* ‘one time’ in [25] indicates that the rebuke happened once, the 几下 *ji3xia4* ‘several times’ in [26] means that the dribbling of the ball was done several times, and the 几年 *ji3nian2* ‘several years’ in [27] is the length of the jail time.

- [25] 教练把他骂了一顿后,要强行把他赶回去。
 jiao4lian4 ba3 ta1 ma4 le0 yi1dun4 hou4 yao4
 coach BA he scold LE one_time after will
 qiang2xing2 ba3 ta1 gan3hui2qu4
 force BA he drive_back
 'After giving him a scolding, the coach tried to force him to return (home).'
- [26] 他接着把球拍了几下,跨步上篮。
 ta1 jie1zhe0 ba3 qiu2 pai1 le0 ji3xia4
 he then BA ball bounce LE several_times
 kua4bu4 shang4lan2
 stride lay_up_the_shot
 'He then dribbled the ball a few steps and strode to lay the ball up.'
- [27] 国王把他关了几年。
 guo2wang2 ba3 ta1 guan1 le0 ji3 nian2
 king BA he lock_up LE several year
 'The King jailed him for several years.'

15.1.4. *Ba* constructions with a nominal element in the post-verbal position

The main feature of *ba* constructions is that the nominal phrase bearing the patient role appears before the verb as the *ba* NP. This leaves the canonical position for the object open; thus, it can be occupied by another nominal expression, including an extra nominal phrase with a patient role, a locative phrase, and a nominal phrase that is the complement of an equative verb or a verb of fabrication.

15.1.4.1. Verb + extra object

The post-verbal position of a *ba* construction can sometimes be filled with an extra object. The verb and the extra object together describe an action that affects the *ba* NP. The 刮鳞 *gua1lin2* 'peel/remove scales' in [28] describes a procedure that affects the 鱼 *yu2* 'fish,' while the 少写一点 *shao3 xie3 yi1dian3* 'write one dot less' describes an action affecting the Chinese character 太 *tai4* 'too.' There is a part-whole relation between the scales and the fish in [28] and between the stroke and the character it belongs to in [29]. Because of such semantic relations, the extra object is often termed a 保留宾语 *bao3liu2 bin1yu3* 'retained object.' However, there are also examples like [30], where the extra object does not seem to have a direct relation with the *ba* NP but the verb still forms a unit with the extra object to depict an activity affecting the *ba* NP. 打 *da3* 'make' takes 包 *bao1* 'package' as the patient to mean the action of packaging, which applies to the *ba* NP 剩下的饭菜 *sheng4xia4 de0 fan4cai4* 'leftover food.'

- [28] 两人就动手忙起来, **把**鱼刮了**鳞**。
 liang3 ren2 jiu4 dong4shou3 mang2 qi3lai2 ba3
 two people then start_work busy QILAI BA
 yu2 gua1 le0 lin2
 fish remove LE scale
 'The two then got busy scaling the fish.' [literally, 'took the fish and scraped its scales off']
- [29] 他老老实实在地承认自己太粗心了, **把**太字少写了一点。
 ta1 lao3lao3shi2shi2 de0 cheng2ren4 zi4ji3 tai4
 he candidly DE admit self too
 cu1xin1 le0 ba3 tai4 zi4 shao3 xie3 le0
 careless LE BA TAI character less write LE
 yi1 dian3
 one dot
 'He candidly admitted that he was too careless, and had written the character *tai* with one dot less.'
- [30] 吃过饭后, 薛凤又叫来服务生, 把剩下的饭菜都打了包。
 chi1 guo4 fan4 hou4 xue1feng4 you4 jiao4 lai2
 eat GUO meal after Xue_Feng again ask come
 fu2wu4sheng1 ba3 sheng4xia4 de0 fan4 cai4 dou1
 waitress BA left DE rice dish all
 da3 le0 bao1
 make LE pack
 'After dinner, Xue Feng asked the waitress to pack the leftovers.'

It is important to note that even though most of these V + N sequences can be treated as a compound, such as 打包 *da3bao1* 'to doggy-bag,' they also appear to fulfill the syntactic requirement of a verb plus an object configuration. For instance, the aspect marker *-le* can be attached to the verb, as shown in all three examples above. In addition, in [29], the object is a full numeral-classifier phrase that cannot be incorporated into a compound.

15.1.4.2. Verb + locative phrase

The post-verbal position can also be filled with a prepositional locative phrase. Such a locative phrase typically expresses the final destination of the *ba* NP. The 头 *tou2* 'head' in [31] has moved toward 我 *wo3* 'I' and finally rested on 我的肩胛 *wo3 de0 jian1jia3* 'my shoulder blade.' Similarly, 孤儿院 *gu1er2yuan4* 'orphanage' in [32] is supposed to be the final destination of the 小孩 *xiao3hai2* 'child.' These locative

phrases provide a clear endpoint for displacement and code the completeness of the predicate.

- [31] 起先,她是斜着身子,把头倚在我的肩胛上。
 qi3xian1 ta1 shi4 xie2 zhe0 sheng1zi0 ba3 tou2
 firstly she SHI lean ZHE body BA head
 yi3 zai4 wo3de0 jian1jia3 shang4
 rest_on PREP my shoulder_blade on
 'At first, she leaned over, and rested her head on my shoulder blade.'

- [32] 他不是真的希望把小孩关在孤儿院里。
 ta1 bu4shi4 zhen1de0 xi1wang4 ba3 xiao3hai2
 he NEG really hope BA child
 guan1 zai4 gu1er2yuan4 li3
 lock_up PREP orphanage in
 'He didn't really want to lock up the children in an orphanage.'

15.1.4.3. Ditransitive verb + indirect object

If the predicate of a *ba* construction is headed by a ditransitive verb, the indirect object typically remains in the post-verbal position, while the direct object is the *ba* NP. Such sentences are typically headed by a verb of transaction, such as 给 *gei3* 'give' in [33], a compound consisting of a ditransitive verb and 给 *gei3* 'give,' such as the 送给 *song4gei3* 'send-give' in [34], or a transaction verb compound consisting of an activity verb and 给 *gei3* 'give,' such as the 交给 *jiao1gei3* 'pass-give' in [35].

- [33] 大哥,把地图给了他们,咱们认输便是。
 da4ge1 ba3 di4tu2 gei3 le0 ta1men0 zan2men0
 elder_brother BA map give LE they we
 ren4shu1 bian4shi4
 accept_defeat so_be_it
 'Elder brother, please just give the map to them, and accept that we lost this one.'

- [34] 这对儿女,把旧玩具维尼小熊送给了爸爸。
 zhe4 dui4 er2 nü3 ba3 jiu4 wan2ju4
 this pair son daughter BA old toy
 wei2ni2xiao3xiong2 song4gei3 le0 ba4ba0
 Winnie_the_Pooh give_to LE father
 'The son and the daughter gave their old toy, Winnie the Pooh, to their father.'

- [35] 店长泪盈满眶地**把**这盆花交给了**她**。
 dian4zhang3 lei4 ying2 man3 kuang1 de0 ba3
 shop_manager tear well_up full eyelids DE BA
 zhe4 pen2 hua1 jiao1gei3 le0 ta1
 this CL flower send_give LE she
 ‘The shop manager handed the pot of flowers to her with tears welling up in his eyes.’

Ditransitive verbs of saying also occur in a *ba* construction, with the addressee in the post-verbal object position, as in [36].

- [36] 村干部**把**原因**告诉**了**她**。
 cun1 gan4bu4 ba3 yuan2yin1 gao4su4 le0 ta1
 village cadre BA reason tell LE she
 ‘The village cadre told her the reason.’

15.1.4.4. Equative verb + complement

Equative verbs such as 当(作) *dang1(zuo4)* ‘act as, treat as’ and 成为 *cheng2wei4* ‘become’ and verbs of creation that form a compound with 成 *cheng2* ‘become, as’ express the basic meaning of “treating or viewing the 把 *ba3* ‘BA’ NP as something else,” or turning the 把 *ba3* ‘BA’ NP into something else. Such verbs require a post-verbal noun with which the 把 *ba3* ‘BA’ NP is being compared or equated, as in [37] and [38].

- [37] 不过爸妈倒不会**把**我们**当**摇钱树。
 bu2guo4 ba4 ma1 dao4 bu4 hui4 ba3
 but father mother nonetheless NEG will BA
 wo3men0 dang1 yao2qian2shu4
 we treat_as money_tree
 ‘Nonetheless, Mum and Dad certainly won’t treat us as a money tree.’
- [38] ...**把**公司当成是自己的。
 ba3 gong1si1 dang1cheng2 shi4 zi4ji3 de0
 BA company treat be self DE
 ‘...(they) treated the company as if it was their own.’

15.1.5. Negation and modal verbs

In negation, a negator, either 不 *bu4* ‘NEG’ or 没(有) *mei2(you3)* NEG_YOU, typically appears before the 把 *ba3* NP, as shown in [39]–[41]. 没(有) *mei2(you3)* negates the presupposition of an event having ever taken place, while 不 *bu4* ‘NEG’

negates events located in either present, future, or hypothetical time contexts (see Chapter 6).

- [39] 小王没有把饭吃完。

xiao3wang2 mei2you3 ba3 fan4 chi1 wan2
 little_Wang NEG BA meal eat finish
 'Little Wang hasn't finished eating his meal.'

- [40] 所以你把ta当好朋友,但是他从来没有把你当好朋友。

suo3yi3 ni3 ba3 ta1 dang1 hao3peng2you3
 so you BA he treat_as good_friend
 dan4shi4 ta1 cong2lai2 mei2you3 ba3 ni3 dang1
 but he ever NEG BA you treat_as
 hao3peng2you3
 good_friend

'This is why even though you treat him as a close friend, he has never treated you as a close friend.'

- [41] 为什么不把居住的环境改善得更好?

wei4shen2me0 bu4 ba3 ju1zhu4 de0 huan2jing4
 why NEG BA live DE environment
 gai3shan4 de0 geng4 hao4
 improve DE more good

'Why don't they further improve the residential environment?'

The negator itself can be part of the predicate, such as the idiomatic expression 不放在眼里 *bu4 fang4 zai4 yan3 li3* (literally, 'not put in eyesight') 'not take seriously.' In this case, the negator 不 *bu4* may either stay as part of the predicate, or occur before the 把 *ba3*NP, as in [42], to mark the negation focus. Note that although the idiomatic predicate 放在眼里 *fang4 zai4 yan3 li3* 'take seriously' cannot typically be used in a sentence in non-negative form, the negative focus marked on 把 *ba3*NP is able to resolve such a potential problem.

- [42] 我们不把解放,重汽放在眼里。

wo3men0 bu4 ba3 jie3fang4 zhong4qi4 fang4
 we NEG BA JIEFANG ZHONGQI put
 zai4 yan3 li3
 PREP eye inside

'We do not consider either the company Jiefang or Zhongqi as our serious competitor.'

The negative morpheme 别 *bie2* ‘don’t’ is used in imperative persuasion or prohibition only and it typically appears before the 把 *ba3* NP, as in [43].

- [43] 我妈老说：「别把身体搞坏了。」
 wo3 ma1 lao3 shuo1 bie2 ba3 shen1ti3
 I mother always say do_not BA body
 gao3huai4 le0
 damage LE
 ‘My mother always said: “Don’t ruin your health.”’

Modal verbs express ability, capacity, obligation, and possibility, among other meanings, and they typically precede the 把 *ba* NP to take the whole predicate in their scope. Consider the examples in [44]–[47].

- [44] 他要把家里好好整理一下。
 ta1 yao4 ba3 jia1 li3 hao3hao3 zheng3li3
 he will BA home inside good arrange
 yi1xia4
 once
 ‘He will tidy up his house properly.’
- [45] 他们可以把系统内其他频道都关掉。
 ta1men0 ke3yi3 ba3 xi4tong3 nei4 qi2ta1 pin2dao4
 they can BA system inside other channel
 dou1 guan1diao4
 all shut_off
 ‘They can turn off all the other channels within the system.’
- [46] 你们不要把国内大跃进想得那么好。
 ni3men0 bu2yao4 ba3 guo2nei4 da4yue4jin4
 you do_not BA domestic Great_Leap_Forward
 xiang3 de0 na4me0 hao4
 think DE that good
 ‘You shouldn’t paint such a rosy picture of the Great Leap Forward in China.’
- [47] 那么文字是否能把语言表现得完全准确呢?
 na4me0 wen2zi4 shi4fou3 neng2 ba3 yu3yan2
 that word whether_or_not can BA language
 biao3xian4 de0 wan2quan2 zhun3que4 ne0
 represent DE completely accurate NE
 ‘Then can writing represent language completely accurately?’

15.1.6. Other construction types

15.1.6.1. Intransitive verbs in the 把 *ba3* 'BA' construction

The predicate of a 把 *ba3* 'BA' construction can sometimes contain an intransitive verb, especially when the verb forms a resultative compound with a stative verb to produce a causative reading. Sentence [48] means that the ghost story made 我 *wo3* 'I' frightened, sentence [49] means that the bad weather made the speaker's wife feel frozen, and sentence [50] means that someone caused the child to die of hunger.

- [48] 唉! 这闹鬼的事可把我吓坏了。

ai1 zhe4 nao4gui3 de0 shi4 ke3 ba3 wo3
AI this haunting DE thing eventually BA I

xia4huai4 le0

scare LE

'My! The ghost story gave me the fright of my life.'

- [49] 可把我老婆冻坏了。

ke3 ba3 wo3 lao3po0 dong4huai4 le0
eventually BA I wife freeze LE

'(Just one overnight stay), it got my wife frozen to the teeth.'

- [50] 把孩子饿死了。

ba3 hai2zi0 e4si3 le0
BA child starve_to_death LE

'(Someone) starved the child to death.'

15.1.6.2. 把 *ba3* 'BA' construction with 给 *gei3* 'GEI' + verb

The main verb in a 把 *ba3* 'BA' construction is sometimes preceded by a prefix like 给 *gei3* 'GEI,' which is homophonous with the verb 给 *gei3* 'give.' This particular usage is common in Northern dialects and can be treated as a free variant of the more common usage without the pre-verbal 给 *gei3* 'give,' as in [51].

- [51] 一把火就把阿房宫(给)废了。

yi1 ba3 huo3 jiu4 ba3 a1fang2gong1 gei3 fei4
one CL fire thus BA Epang_Palace GEI ruin

le0

LE

'It just took a fire to burn down the Epang Palace.'

15.1.6.3. Markers other than 把 *ba3* 'BA'

In different styles and genres of Chinese, there are some other markers with a function similar to that of 把 *ba3* 'BA': 将 *jiang1*, 给 *gei3*, 拿 *na2*, and 管 *guan3*. Among all these variants, the use of 将 *jiang1* 'BA' is the most common. (Except for 将 *jiang1*, the other prepositions also have other functions and we keep the transliterations as the glosses to avoid confusion.) Although mainly used in formal speech and writing, it can be traced back to Medieval Chinese. This is exemplified in [52].

- [52] 我写好一个短篇, 将原稿放在书桌上。
 wo3 xie3hao3 yi1 ge4 duan3pian1 jiang1 yuan2gao3
 I write one CL short_story BA manuscript
 fang4 zai4 shu1zhuo1 shang4
 put PREP desk on
 'I finished a short story and then placed the manuscript on the desk.'

In the vernacular dialect of Beijing and adjacent regions, the 给 *gei3* 'GEI' marker is the preferred form, as in [53].

- [53] 我攥住豹尾, 给它撂到三丈开外的崖下去了。
 wo3 zuan4zhu4 bao4 wei3 gei3 ta1 liao4 dao4
 I grip panther tail GEI it throw PREP
 san1 zhang4 kai1wai4 de0 ya2 xia4 qu4 le0
 three 10_feet more_than DE cliff down go LE
 'I grabbed the leopard's tail and threw it down thirty feet away from the cliff.'

The verb 拿 *na2* 'hold' can also be used as a replacement for 把 *ba3* 'BA,' and such usage is common in many dialects of Central and Southern China, as in [54].

- [54] 字模厂拿我的字当母字, 作字模子。
 zi4mu2chang3 na2 wo3 de0 zi4 dang1 mu3
 type_foundry NA I DE character treat_as mother
 zi4 zuo4 zi4mu2zi0
 character make matrix
 'The Chinese character type foundry used my characters as the matrix for their character types.'

Another *ba* construction variant involves 管 *guan3* 'GUAN' in place of *ba* in the fixed construction of “管 *guan3* 'GUAN' X 叫 *jiao4* 'call' Y,” literally meaning ‘to take X and call it Y,’ as in [55].

[55] 村里的人都管两只小鸡子叫「革命鸡」。

cun1 li3 de0 ren2 dou1 guan3 liang3 zhi1
village inside DE people all GUAN two CL
xiao3 ji1zi0 jiao4 ge2ming4ji1
small chicken call revolution_chicken

‘The village people called the two chicklets “revolutionary chickens.”’

15.2. Passive constructions

Passive constructions in Chinese are marked with 被 *bei4*, 让 *rang4*, or 叫 *jiao4*. Some verbs, such as 挨 *ai2* ‘suffer’ and 遭 (受) *zao1 (shou4)* ‘suffer,’ also convey a passive meaning.

15.2.1. The passive

In passive constructions the nominal phrase bearing the patient role appears in the subject position, while the agent phrase either appears in a position marked by the passive marker or simply does not show up. Since the subject of the passive typically has the patient role, the verb is predominantly a two-argument verb of activity, accomplishment, or achievement, and occasionally a three-argument verb. The passive with an agent phrase is often referred to in the literature as a “long passive,” while the one without the agent phrase is referred to as a “short passive.”

15.2.2. Arguments of the passive

The passive constructions found in the corpora are typically formed with 被 *bei4* ‘BEI’ and a large portion of them do not have an agent phrase. The more colloquial variants with 让 *rang4* or 叫 *jiao4* generally co-occur with an agent phrase. The 被 *bei4* ‘BEI’ marker has its origins in a verb meaning ‘cover’ but is no longer used as a verb, while 让 *rang4* and 叫 *jiao4* still have a separate use as verbs.

Passives with two arguments have the patient in the clause-initial position as the subject, while the agent is introduced by one of the passive markers. The 那只猫 *na4 zhi1 mao1* ‘that cat’ in [56] and the 我妈 *wo3 ma1* ‘my mother’ in [57] are both agents.

[56] 那群老鼠仍然被那只猫追得死去活来呢。

na4 qun2 lao3shu3 reng2ran2 bei4 na4 zhi1 mao1
that CL rat still BEI that CL cat
zhui1 de0 si3qu4huo2lai2 ne0
chase DE half_dead_half_alive NE

‘The mice were nonetheless chased to the point of exhaustion by that cat.’

- [57] 你别急,大姐已经被我妈劝回去了。
 ni3 bie2 ji2 da4jie3 yi3jing1 bei4 wo3
 you do_not worry elder_sister already BEI I
 ma1 quan4 hui2qu4 le0
 mother persuade return LE
 ‘Don’t get upset. Eldest sister has already been persuaded by my mother
 to go back.’

It is common for a *bei* passive to have the patient phrase only, as in [58] and [59]. The agent phrase need not show up in [58] because its identity can be readily recovered in the story of a ghost-hunting witch doctor. The agent phrase does not appear in [59] because no one knows who the agent is. Agentless *bei* passives are much more common in usage, as attested in the corpus data, than those with an agent.

- [58] 那个鬼就被赶出来了。
 na4 ge4 gui3 jiu4 bei4 gan3chu1lai2 le0
 that CL ghost thus BEI drive_out LE
 ‘That ghost was chased out.’

- [59] 或者脚被踩了一下。
 huo4zhe3 jiao3 bei4 cai3 le0 yi1 xia4
 or foot BEI step_on LE one CL
 ‘Or else (you) had your foot trodden on.’

The passives in [60] and [61] present a different case. Regardless of whether the agent phrase appears, the subject position is occupied by a zero anaphora, identified with an antecedent in the context (see Chapter 16). The subject of the passive clause in [60] refers to 砍柴的人 *kan3chai2 de0 ren2* ‘people collecting firewood,’ while that in [61] refers to 娼妓 *chang1ji4* ‘prostitute.’

- [60] 砍柴的人过了好几天还没回来,当地人就传说是被狮子吃掉了。
 kan3chai2 de0 ren2 guo4 le0 hao3ji3tian1
 chop_firewood DE person past LE quite_a_few_days
 hai2 mei2 hui2lai2 dang1di4 ren2 jiu4
 yet NEG come_back local people thus
 chuan2shuo1 shi4 bei4 shi1zi0 chi1 diao4 le0
 spread be BEI lion eat drop LE
 ‘When the firewood collectors had not come back after quite a few days,
 the locals spread the tale that (they) had been eaten by lions.’

- [61] 娼妓一但进入性工作,便被贴上了罪恶的标签。
 chang1ji4 yi1dan4 jin4ru4 xing4 gong1zuo4 bian4
 prostitute once enter sex job then
 bei4 tie1 shang4 le0 zui4e4 de0 biao1qian1
 BEI stick on LE sin DE label
 'As soon as a prostitute starts as a sex worker, s/he's given the label of a sinner.'

15.2.3. Verbs in the passive construction

The range of verb classes compatible with *bei* passives is wider than that found in the transitive *ba* constructions. In addition to the typical transitive activity verbs, many verbs of cognition and perception, as well as experiencer verbs, occur in *bei* passives, including 知道 *zhi1dao4* 'know,' 看见 *kan4jian4* 'see,' 折磨 *zhe2mo2* 'torment,' 恨 *hen4* 'hate,' 喜欢 *xi3huan1* 'like,' and 爱上 *ai4shang4* 'fall in love,' as in [62].

- [62] 如果你不想一辈子被悔恨折磨,就不要听她的。
 ru2guo3 ni3 bu4 xiang3 yi1bei4zi0 bei4 hui3hen4
 if you NEG want all_one's_life BEI remorse
 zhe2mo2 jiu4 bu4yao4 ting ta1 de0
 torment thus do_not listen she DE
 'If you do not want to be tormented by remorse for the rest of your life, don't listen to her.'

Certain cognition or emotion verbs are possible in the *bei* passive when they are interpreted as an activity verb as opposed to a purely stative one. The 知道 *zhi1dao4* 'know' in [63] takes on the intentional meaning of 'find out,' instead of the usual stative meaning of 'know,' and regularly depicts an adversative outcome for the subject NP.

- [63] 可惜,这一切都被巫婆知道了。
 ke3xi1 zhe4 yi1qie4 dou1 bei4 wu1po2 zhi1dao4
 pity this everything all BEI witch know
 le0
 LE
 'Unfortunately, all of this was found out by the witch.'

Similarly, stative 看见 *kan4jian4* 'see' is interpreted as 'catch sight of (to somebody's detriment)' when it appears in a *bei* passive. Predicative adjectives typically do not head the predicate of the passive, unless they are used as the resultative part of a compound, like the 模糊 *mo2hu0* 'blurred' in [64].

- [64] 眼睛被激动的泪水弄模糊了。
 yan3jing1 bei4 ji1dong4 de0 lei4shui3 nong4 mo2hu0
 eye BEI stirred DE tear do blurred
 le0
 LE
 ‘(Her) eyes became blurred by tears of excitement.’

Intransitive verbs typically do not function as the head of the predicate in *bei* passives, either. However, when such a verb forms a resultative compound with another verb or adjective to indicate a change of status, like the 走尽 *zou3 jin4* ‘walk-exhaust’ in [65], it can appear in a *bei* passive.

- [65] ...拼命寻找自己的独特风格,路好像被人走尽了。
 pin1ming4 xun2zhao3 zi4ji3 de0 du2te4 feng1ge2
 risk_life seek self DE unique style
 lu4 hao3xiang4 bei4 ren2 zou3 jin4 le0
 way seem BEI people go exhaust LE
 ‘(I) tried really hard to find my own distinct style, but it seemed that the pathways had all been fully explored by others.’

15.2.4. Predicate types in passives

In declarative passive clauses, the event being described is typically a completed one. The completeness is marked on the predicate by attaching an aspect marker to the main verb, using a resultative compound that denotes a change of status, using a post-verbal predicative expression, or using an expression of frequency or duration.

15.2.4.1. Predicate with perfective aspect marker

The aspect marker commonly used to code completeness is the perfective 了 *le0* ‘LE,’ especially in predicates containing just one single verb, like the one in [66].

- [66] 这个预算被立法院删了。
 zhe4 ge4 yu4suan4 bei4 li4fa3yuan4 shan1 le0
 this CL budget BEI Legislative_Yuan cut LE
 ‘The budget was cut by the Legislative Yuan.’

A perfective 过 *guo4* ‘GUO’ may also be used in the *bei4* passive, as in [67] and [68], to indicate that the subject has experienced the passive event at least once before the time of reference.

- [67] 我一生从未被別人如此侮辱過。

wo3 yi1sheng1 cong2wei4 bei4 bie2ren2 ru2ci3
I life never BEI others so

wu1ru3 guo4
humiliate GUO

'I've never in my life been humiliated by other people like this.'

- [68] 毛壽先也被蛇咬過。

mao2shou4xian1 ye3 bei4 she2 yao3 guo4
MAO_SHOUXIAN also BEI snake bite GUO

'Mao Shouxian has also been bitten by a snake.'

If the perfective marker does not appear in [66]–[68], people will consider the clause part of a compound sentence and wait for the next clause. This explains why a *bei* clause without a perfective aspect marker is acceptable, if it is the first part of a compound sentence, as shown in [69].

- [69] 那時就感到國家弱，被人欺，光是游行，燒東西不行。

na4shi2 jiu4 gan3dao4 guo2jia1 ruo4 bei4
at_that_time thus feel country weak BEI
ren2 qi1 guang1shi4 you2xing2 shao1 dong1xi0
human bully just demonstrate burn things
bu4xing2
be_no_good

'At that time, I realized that our country was weak and was being bullied by others. Just joining a rally on the street and burning things was not enough.'

15.2.4.2. Imperfective aspect marker 着 *zhe0* 'ZHE'

Although *bei* passives typically describe completed events, a small number of cases are found in the corpus where the *bei* predicate is marked with the imperfective 着 *zhe0* 'ZHE.' Such a passive typically describes the continuation of a situation that accompanies another situation or event. The *bei* passive in [70] states the reason for not being able to enter, while that in [71] describes a situation going on at the time of the investigation.

- [70] 門被鎖着進不去啊!

men2 bei4 suo3 zhe0 jin4bu4qu4 a1
door BEI lock ZHE cannot_get_in A

'The door has been locked and we can't get in!'

- [71] 大部分的寺庙都还被占用着, 尚未归还。

da4bu4fen0 de0 si4miao4 dou1 hai2 bei4 zhan4yong4
 most DE temple all still BEI occupy
 zhe0 shang4 wei4 gui1huan2
 ZHE still NEG return

'Most of the temples were still being occupied by others and had not been returned.'

15.2.4.3. Resultative and directional verb compounds

The predicate of *bei* passives is commonly found to have a resultative or directional verb compound as the head, since it characteristically signifies the completeness of the situation. The verb 破 *po4* 'break' in [72] describes an activity, while 坏 *huai4* 'broken' denotes its result, and they both signify an activity with an endpoint. The 问 *wen4* 'ask' and 烦 *fan2* 'annoyed' combination in [73] also denotes an endpoint, namely, the gay man being annoyed.

- [72] 长城... 被人以及大自然破坏了。

chang2cheng2 bei4 ren2 yi3ji2 da4zi4ran2 po4huai4
 Great_Wall BEI human and nature destroy
 le0
 LE

'The Great Wall has been ruined by both people and nature.'

- [73] 大家都知道他是同志, 被问烦了。

da4jia1 dou1 zhi1dao4 ta1 shi4 tong2zhi4 bei4
 everyone all know he be gay BEI
 wen4fan2 le0
 question_until_annoyed LE

'Everyone knew he was gay, so he was asked questions to the point of annoyance.'

The directional verbs 回 *hui2* 'back' and 来 *lai2* 'come' in [74] entail a new location for the subject noun 情书 *qing2shu1* 'love letter,' as the result of a motion event 退 *tui4* 'return.' Similarly, the 出 *chu1* 'out' in [75] indicates the direction of the activity 诊断 *zhen3duan4* 'diagnose' to mean that the result of the medical examination had been announced.

- [74] 他写的情书都被退回来了!

ta1 xie3 de0 qing2shu1 dou1 bei4 tui4hui2lai2 le0
 he write DE love_letter all BEI send_back LE

'The love letters he wrote were all sent back!'

- [75] 四年前,我被医生诊断出患了胃癌。
 si4 nian2 qian2 wo3 bei4 yi1sheng1 zhen3duan4
 four year ago I BEI doctor diagnose
 chu1 huan4 le0 wei4ai2
 out get LE stomach_cancer
 'Four years ago, I was diagnosed with stomach cancer by the doctor.'

Resultative or directional verb compounds have a potential form marked by the infix 得 *de0* 'able' or its negative counterpart 不 *bu4* 'unable' (see Chapter 4), but such a form typically does not appear in *bei* passives since it does not indicate a completed event. There are a few exceptions, such as the 看不起 *kan4 bu4 qi3* 'look-unable-up' in [76] and its counterpart 看得起 *kan4 de0 qi3* look-able-up, but these are actually not potential forms. They are instead resultative compounds, in which 看不起 *kan4bu4qi3* is a lexicalized compound with the meaning of 'to look down upon.'

- [76] 我们的家庭被人看不起,所以孩子的心态上有些自卑。
 wo3men0 de0 jia1ting2 bei4 ren2 kan4bu4qi3
 we DE family BEI people scorn
 suo3yi3 hai2zi0 de0 xin1tai4 shang4 you3 xie1
 so child DE mentality regarding have some
 zi4bei1
 self_abasement
 'Our family was despised by others, so the children always had low self-esteem.'

15.2.4.4. 得 *de0* + resultative or extent expression

The completeness of a *bei4* passive can also be described by a resultative expression following the extent marker 得 *de0*. The 满满的 *man3man3 de0* 'fully covered' in [77] indicates the status of 墙壁 *qiang2bi4* 'wall' as a result of 画 *hua4* 'paint,' while the 脸红 *lian3hong2* 'flush' in [78] describes the status of 少女 *shao4nü3* 'girl' as a result of 问 *wen4* 'ask.' Both events have a clear endpoint.

- [77] 家里的墙壁总是被她画得满满的。
 jia1 li3 de0 qiang2bi4 zong3shi4 bei4 ta1 hua4
 home in DE wall always BEI she paint
 de0 man2man3 de0
 DE full DE
 'The walls at home were always fully painted over by her.'

- [78] 少女被问得脸红了。

shao4nü3 bei4 wen4 de0 lian3hong2 le0
 young_girl BEI ask DE blush LE
 'The young girl blushed from the questioning.'

15.2.5. Negation in passives

When the *bei* passives are involved in negation, *bei* predominantly appears after the negator, which is typically 没有 *mei2you3*, as in [79] and [80]. What 没有 *mei2you3* conveys is that the event described by the *bei* predicate has not taken place and the result is not a reality.

- [79] 只有青蛙族没有被拍摄过。

zhi3you3 qing1wa1zu2 mei2you3 bei4 pai1she4 guo4
 only Frog_Clan NEG BEI film GUO
 'It was only the Frog Clan who had not been filmed.'

- [80] 像我从来没有被人欺负过。

xiang4 wo3 cong2lai2 mei2you3 bei4 ren2jia1 qi1fu0
 like I ever NEG BEI others bully
 guo4
 GUO
 'For example, I was never bullied by others.'

The negator 不 *bu4* can also be used in the negation of *bei* passives, especially in a conditional clause describing a hypothetical situation, as in [81], or negating a modal, such as 会 *hui4* 'be able to' in [82].

- [81] 海豹不被切割成两截, 也会身受重伤。

hai3bao4 bu4 bei4 qie1ge1cheng2 liang3 jie2 ye3
 seal NEG BEI cut_into two CL also
 hui4 shen1shou4 zhong4shang1
 will experience_personally seriously_injured
 'Even if the seal is not cut into two, it could still be seriously injured.'

- [82] 甜度为蔗糖的 100 至 200 倍, 没有热量也不会被人体吸收。

tian2du4 wei4 zhe4tang2 de0 100 zhi4 200 bei4
 sweetness be sucrose DE 100 PREP 200 times
 mei2you3 re4liang4 ye3 bu4 hui4 bei4 ren2ti3
 NEG calorie also NEG will BEI human_body

xi1shou1

absorb

'Its sweetness is 100 to 200 times that of cane sugar, yet there are no calories and it is not absorbed by the body.'

15.2.6. Modal verbs and modality

15.2.6.1. Modal verbs in passives

When modal verbs are found in *bei* passives, they predominantly appear before the passive marker. The 会 *hui4* 'be likely, will' in [83] is an epistemic modal stating the possibility that the result will be realized, the deontic modal verb 能 *neng2* refers to the ability to do something due to having fulfilled the right preconditions, as in [84], and the 可能 *ke3neng2* 'may, could' in [85] expresses less certainty about a future event that might take place.

- [83] 可 不 能 把 论 文 留 在 他 们 单 位, 更 不 能 寄 给 刊 物, 否 则 一 个 无 名 小 辈 的 成 果 会 被 人 偷 去 的。

ke3 bu4 neng2 ba3 lun4wen2 liu2 zai4
eventually NEG can BA paper leave PREP

ta1men0 dan1wei4 geng4 bu4 neng2 ji4gei3
they unit more NEG can post

kan1wu4 fou3ze2 yi1 ge4 wu2ming2 xiao3bei4
periodical if_not one CL unknown junior

de0 cheng2guo3 hui4 bei4 ren2 tou1 qu4 de0
DE outcome can BEI people steal go DE

'Don't leave your paper at work and never send it to a journal. Otherwise, the results of an unknown younger generation (like you) could be stolen by others.'

- [84] 唯 有 采 用 干 邑 区 葡 萄, 才 能 被 称 作 干 邑 白 兰 地。

wei2you3 cai3yong4 gan4yi4 qu1 pu2tao0 cai2
only use Cognac region grape just

neng2 bei4 cheng1 zuo4 gan4yi4bai2lan2di4
can BEI call PREP cognac

'Only with the use of Cognac region grapes can it be called cognac.'

The modal verb 可能 *ke3neng2* 'may, could,' which also has a use as the adverb 'maybe, possibly' express less certainty about a future event taking place than either 会 *hui4* 'be likely' or 能 *neng2* 'can,' as shown in [85].

- [85] 谁要是“要油条”，不好好工作，就可能被换回去。
 shui2 yao4shi4 shua3 you2tiao2
 who if do deep-fried_twisted_dough_sticks
 bu4 hao3hao3 gong1zuo4 jiu4 ke3neng2 bei4
 NEG good work thus maybe BEI
 huan4 hui2qu4
 trade back
 ‘Whoever takes it easy, and doesn’t work properly, could be replaced.’

15.2.6.2. Imperatives and the passive

The only imperative passive sentences attested in the corpora are marked with 不要 *bu4yao4* or its contracted form 别 *bie2* ‘don’t.’ The imperative in [86] serves the purpose of an admonition or warning.

- [86] 别被我的话吓住了，其实没有你想的那么难。
 bie2 bei4 wo3 de0 hua4 xia4zhu4 le0 qi2shi2
 do_not BEI I DE words scared LE in_fact
 mei2you3 ni3 xiang3 de0 na4me0 nan2
 NEG you think DE that hard
 ‘Don’t be overawed by what I said. In fact, it’s not as difficult as you imagine.’

15.2.7. Post-verbal nominal phrases in the passive

A variety of different semantic categories of nominal phrases may be found in the post-verbal position of the 被 *bei4* predicate.

15.2.7.1. Extra objects in passives

An extra nominal phrase sometimes can appear in the object position to form a *bei* passive like those in [87]–[90]. The extra object and the verb together describe an activity that affects the subject. Such an activity can affect the subject in various ways. The extra objects in [87] and [88] are body parts of the subjects such that any damage to the body part will affect the subject. The subjects in [89] and [90] are possessors of the extra object and the loss of possession affects the possessor.

- [87] 七岁的 Lahai 两年前被叛军截烂一条腿。
 qi1 sui4 de0 Lahai liang3 nian2 qian2 bei4
 seven year DE Lahai two CL before BEI

pan4 jun1 chuo1 lan4 yi1 tiao2 tui3
 rebel army stab rot one CL leg
 ‘Two years ago, seven-year-old Lahai had his leg badly stabbed by the rebel army.’

- [88] 他已被吓破了胆。
 ta1 yi3 bei4 xia4 po4 le0 dan3
 he already BEI scared break LE gallbladder
 ‘S/he was already scared out of her/his wits.’

- [89] 一次我被偷了十块钱。 [Possession]
 yi1 ci4 wo3 bei4 ren2 tou1 le0 shi2 kuai4
 one CL I BEI person steal LE ten CL
 qian2
 money
 ‘Once I had 10 dollars stolen from me by someone.’

- [90] 杨某自称在台中被窃了五百万元。
 yang2mou3 zi4 cheng1 zai4 tai2zhong1
 someone_named_Yang self say PREP Taichung
 bei4 qie4 le0 wu3bai3wan4 yuan2
 BEI steal LE five_million yuan
 ‘A Mr. Yang claimed that he had five million yuan stolen from him in Taichung.’

However, such a close relationship between the subject and the extra object is not a necessary condition for the activity to affect the subject. The 贴罚单 *tie1 fa2dan1* ‘stick on a fine ticket’ in [91] is clear enough in its affect on the subject 车子 *che1zi0* ‘car,’ even though the two have no previously existing relationship.

- [91] 马路两旁的车子都被贴了罚单。
 ma3lu4 liang2pang2 de0 che1zi0 dou1 bei4 tie1 le0
 road two-side DE car all BEI stick LE
 fa2dan1
 ticket
 ‘The cars on both sides of the street were given tickets.’

Similarly, the 打了一巴掌 *da3 le0 yi1 ba1zhang3* ‘hit a slap’ in [92] is an activity that could affect the subject, although there is no existing relation between the subject and the object prior to the activity. The 绊了一跤 *ban4 le0 yi1 jiao1* ‘trip a twist’ in [93] does affect the subject 她 *ta1* ‘she,’ even though there is no existing relation between 一跤 and 她.

- [92] 所有的民意调查像是被打了一巴掌。
 suo3you3 de0 min2yi4 diao4cha2 xiang4shi4
 all DE popular_will investigation seem_to
 bei4 da3 le0 yi1 ba1zhang3
 BEI hit LE one palm_of_hand
 'All the opinion polls seemed to have been openly rebuffed.' [literally,
 'given a slap']
- [93] 不料因没注意到门槛的高度,她被硬生生绊了一跤。
 bu2liao4 yin1 mei2 zhu4yi4dao4
 unexpectedly because NEG notice
 men2kan3 de0 gao1du4 ta1 bei4
 threshold_to_door DE height she BEI
 ying4sheng1sheng1 ban4 le0 yi1 jiao1
 forcedly trip LE one twist
 'Unexpectedly, as she didn't take any notice of the height of the threshold
 to the door, she got unavoidably tripped up by it.'

15.2.7.2. Post-verbal locative expressions

The post-verbal position in passives can be occupied by a locative expression to indicate the activity that affects the subject. The locative expression can be a nominal phrase, like the 山东老家 *shan1dong1 lao3jia1* 'hometown Shandong' in [94], but it can also be part of a preposition phrase, such as the 在越南河内北部 *zai4 yue4nan2 he2nei4 bei3bu4* 'in the northern part of Hanoi' in [95].

- [94] 因此被遣返回山东老家去。
 yin1ci3 bei4 qian3fan3 hui2 shan1dong1 lao3jia1
 therefore BEI repatriate back Shandong old_home
 qu4
 go
 'As a consequence, (my father) was sent back to his hometown in
 Shandong.'
- [95] 和另外三名台胞被留在越南河内北部。
 he2 ling4wai4 san1 ming2 tai2bao1
 and other three CL Taiwan_compatriots
 bei4 liu2 zai4 yue4nan2 he2nei4 bei4bu4
 BEI leave ZAI Vietnam Hanoi northern_part
 'With three other compatriots from Taiwan, (he) was detained in North
 Hanoi in Vietnam.'

15.2.7.3. Complement of copular verbs

The complement of copular class verbs and compounds, which include a copula such as 成 *cheng2* 为 *wei4* ‘be, become,’ may occur in the post-verbal ‘become’ position of the predicate in 被 *bei4* passives. The 剑桥讲师 *jian4qiao2 jiang3shi1* ‘lecturer of Cambridge’ in [96] is such a complement.

- [96] 被聘为剑桥讲师之后, ...
 bei4 pin4 wei4 jian4qiao2 jiang3shi1 zhi1hou4
 BEI be_appointed as Cambridge lecturer after
 ‘After he had been appointed as a Cambridge lecturer ...’

15.2.8. Adversity feature

The traditional view of the 被 *bei4* ‘BEI’ passive is that it is an adversative passive; in other words, it is one that must express an unfortunate or undesirable event that happens to its subject. This is clearly the interpretation of the majority of the examples presented in this chapter. Nonetheless, there are plenty of attested uses of *bei* passives in which neutral or desirable events are expressed when the agent noun is absent, as in the case of [97].

- [97] 早在希腊时代即已被提出。
 zao3 zai4 xi1la4 shi2dai4 ji2yi3 bei4 ti2chu1
 early be_at Greece time already BEI propose
 ‘(This type of question) had already been proposed as early as the time of Ancient Greece.’

It can be observed from corpus data that it is the *bei4* passive with an agent phrase that tends to retain its overall adversative meaning. Moreover, it is significant to find that in the corpora, the neutral agentless form of the 被 *bei4* passive outnumbers the adversative form with an agent.

15.2.9. Other kinds of passive constructions

In addition to the *bei4* passive, there are several variant structures of the *bei* passive and passive constructions that use markers other than *bei4*.

15.2.9.1. 被 *bei4* ... 给 *gei3* + verb

A variant of the *bei4* passive has a morpheme 给 *gei3* that acts like a prefix on the main verb and serves to reinforce the passive meaning of the whole construction, as shown in [98].

- [98] 这种关系本来是天生的, 但不幸往往被父母自己给破坏了。
 zhe4 zhong3 guan1xi4 ben3lai2 shi4 tian1sheng1
 this CL relationship originally be innate

de0 dan4 bu4xing4 wang3wang3 bei4 fu4mu3
 DE but unfortunate often BEI parents
 zi4ji3 gei3 po4huai4 le0
 self GEI damage LE

‘This kind of relationship is innate, but, unfortunately, it is often damaged by the parents themselves.’

15.2.9.2. 让 rang4 passive

让 rang4 is another marker that characteristically appears in passives with an agent phrase. Apart from the fact that its use characterizes informal speech, the 让 rang4 passive bears very similar properties to the bei4 passive, as shown in [99].

[99] 这牲口不好抓, 让人惯坏了。

zhe4 sheng1kou3 bu4 hao4 zhua1 rang4 ren2
 this animal NEG easy catch BEI people
 guan4huai2 le0
 spoil LE

‘This animal was not easy to catch, as it had become spoiled by people.’

It is relatively less frequent as a passive marker than the bei4 passive is, as shown in the statistics for contemporary corpora.

15.2.9.3. 叫 jiao4 passive

The 叫 jiao4 passive, similar to the 让 rang4 passive, mainly occurs with an agent, as confirmed in the corpus data. Identical to 让 rang4, the 叫 jiao4 passive tends to be used in informal genres of speech and writing, as shown in [100].

[100] 美差全叫他的老乡占了。

mei3 chai1 quan2 jiao4 ta1 de0 lao3xiang1
 good job all BEI he DE fellow_villager
 zhan4 le0
 take LE

‘All the cushy jobs are occupied by other people from the same village as him.’

15.2.9.4. 挨 ai2 and 遭 (受) zao1 (shou4) passives

The passive formed with 挨 ai2 ‘suffer, endure’ belongs to a more literary and formal register. The 挨 ai2 passive has been called an “inflictive” passive, as it almost always expresses a misfortune that has been inflicted upon its subject. Furthermore, unlike 被 bei4, 挨 ai2 takes an obligatory object noun in its complement,

which either denotes some kind of aggressive action or destructive event, or a nominal denoting a weapon. An agent phrase may be present, as in [101], but it is not syntactically or semantically required by the 挨 *ai2* passive, as in [102].

[101] 老說挨人打,也丟人。

lao3 shuo1 ai2 ren2 da3 ye3 diu1ren2

always say suffer people beat also lose_face

'It is shameful too, to always be talking about how you've been beaten up by others.'

[102] 社員們在台下吃瓜子,笑,看我們挨鬥。

she4yuan2men0 zai4 tai2 xia4 chi1

commune_members PREP stage down eat

gua1zi0 xiao4 kan4 wo3men0 ai2 dou4

melon_seeds smile see we suffer denounced

'Below the stage, the commune members were eating melon seeds, laughing, and watching us getting denounced.'

Passives marked with 遭 (受) *zao1 (shou4)* and 受 *shou4* are not as frequently used. Both are verbs meaning 'to suffer,' and both precede a nominal phrase. They typically do not have an agent phrase, as shown in [103].

[103] 回來就受審查。

hui2lai2 jiu4 shou4 shen3cha2

return then SHOU investigate

'(We) were investigated after our return.'

15.2.9.5. 给 *gei3* passive

It is also possible for the verb 给 *gei3* 'give' to be used as a marker of the passive. While this general source is typical of the Southern dialects, it can nonetheless be sometimes found as a passive marker introducing the agent phrase in certain Northern dialects. It is rarer in standard Chinese, as exemplified by [104].

[104] 苏普给父亲打得很可怜。

su1pu3 gei3 fu4qin1 da3 de0 hen3 ke3lian2

Supu GEI father beat DE very pathetic

'Supu had been beaten into a miserable state by his father.'

It is important to observe that the 给 *gei3* passive typically appears without an agent phrase, as in [105].

[105] 爹妈老了, 给背到山上扔了。

die1ma1 lao3 le0 gei3 bei1 dao4 shan1
parents old LE GEI carry PREP mountain

shang4 reng1 le0
on discard LE

'The parents grew old and they were taken up to the mountain and abandoned.'

15.2.9.6. 被 *bei4* + verb_[<noun]

A recent development in the use of 被 *bei4* has seen it collocated increasingly with a verb that typically does not show up in passives. The overall meaning represented by this new construction concerns the reporting of false information about the subject NP, including the padding out of official figures and other types of cover-ups, such as the cause of unexplained deaths and disappearances. Although clearly a form without an agent phrase, the typical implication is that the unnamed agent is some kind of official source, as the following examples reveal.

- | | | |
|-----------|-------------------|--|
| [106] 被代表 | <i>dai4biao3</i> | 'falsely reported as a representative' |
| 被捐款 | <i>juan1kuan3</i> | 'falsely reported as having donated money' |
| 被失踪 | <i>shi1zong1</i> | 'falsely reported as missing (e.g., as a cover-up for an arrest)' |
| 被就业 | <i>jiu4ye4</i> | 'falsely reported as employed (but in fact jobless)' |
| 被自杀 | <i>zi4sha1</i> | 'falsely reported as having committed suicide (but may in fact have died in prison)' |
| 被小康 | <i>xiao3kang1</i> | 'falsely reported as being well-off' |
| 被结婚 | <i>jie2hun1</i> | 'falsely reported as married' |

15.2.9.7. 被 *bei4* passives in relative clauses

There is a preponderance of the 被 *bei4* passive embedded into relative clauses in both the headless and headed variety (see Chapter 9), as opposed to the other main types of passive constructions. In the nominal phrase in [107], the head noun phrase is 人 *ren2* 'people,' while in [108] the noun phrase is headless, with its referent found in the preceding discourse: 隐藏的事 *yin3cang2 de0 shi4* 'hidden affairs.'

[107] 所有被北宋山水感动过的人...

suo3you3 bei4 bei3song4 shan1shui3 gan3dong4
all BEI Northern_Song landscape moved

guo4 de0 ren2

GUO DE people

‘All those (people) who have been moved by the landscape paintings of the Northern Song...’

[108] 隐藏的事, 没有不**被**人知道的。

yin3cang2 de0 shi4 mei2you3 bu4 bei4 ren2

hidden DE thing NEG NEG BEI people

zhi1dao4 de0

know DE

‘Hidden affairs, there are none which are not found out about by others.’

Deixis and anaphora

Yan Jiang

This chapter describes the main types and usages of deictics and anaphors. The phenomenon of deixis involves the use of a word or phrase whose interpretation is determined through considerations of the physical properties in the situation of utterance, from the perspective of the speaker or the addressee who are engaged in the act of communication. When the need to make further structural distinctions is in order, a deictic consisting of one single word is referred to as a “deictic term,” while a larger deictic built around a deictic term is referred to as a “deictic expression.”

Anaphora as a phenomenon is defined as a co-referential relationship between an anaphor and its antecedent. Such a relation can be established either within a clause or beyond, resulting in unification or partial overlap in reference. For ease of exposition, an anaphor consisting of one single word is given the label “anaphoric term,” while a larger one built around an anaphoric term is labeled “anaphoric expression.”

Deixis and anaphora are studied together because, given the existence of a few dedicated deictics (such as 我 *wǒ3* ‘I,’ 你 *nǐ3* ‘you,’ and 现在 *xiànzài4* ‘now’) and prototypical anaphors (such as 他 *tā1* ‘he’), there also exists a closed set of common terms and expressions that can have both usages (such as 那里 *nàlǐ3* ‘there’ and 那时 *nàshí2* ‘then’). The distinctive properties of deixis and anaphora are as listed below.

- 1 Deictics and anaphors typically take the form of pronouns, nouns, and, to a lesser extent, verbs and adverbs. Some deictic expressions can take the form of fixed idiomatic constructions.
- 2 The very basic deictics and anaphors are pronouns, whose usages form a cluster of systematic variations that bear language-specific characteristics.
- 3 Both deictics and anaphors have under-specified content that needs to be fully instantiated in actual language use, with reference to syntactic and discourse information.
- 4 Different manners of instantiation lead to distinctions between deictic and anaphoric uses. The value of a deictic is specified with reference to some

non-linguistic information gathered from the situation of utterance. On the other hand, the value of an anaphor is determined through total or partial co-reference with an antecedent, which is identified in the linguistic context.

- 5 Some terms can be used either deictically or anaphorically, depending on the context.
- 6 Deictics and anaphora are closely related to determinative phrases, which are typically composed of the demonstratives 这 *zhe4* 'this' or 那 *na4* 'that,' followed by a head noun. Demonstratives can be used as either deictics or anaphors. Moreover, some inherently non-demonstrative words or expressions can acquire an occasional demonstrative use through ostensive or symbolic pointing. Such demonstrative uses are also deictic, albeit in a marginal way.

16.1. Overview of deixis

Deictics embody the indicating function of referential expressions, which constitutes a fundamental aspect of language use. The very basic usages of deixis are naturally associated with pointing gestures, at entities within the physically observable range, in the situation of utterance. The more sophisticated ones can be displaced, encoding symbolic rather than physical acts of indication, ranging from nodding toward a direction to giving a noticeable gaze, the use of stress, and analogical uses like pointing out a spot on a map.

Compared with anaphors, deictics are more basic: there are dedicated deictic terms, but no dedicated anaphoric terms. That is to say, there are terms that are exclusively deictic in the sense that no anaphoric use is available. These terms can be exemplified by first- and second-person pronouns. On the other hand, a prototypical anaphoric term such as the third-person singular pronoun can always receive a demonstrative use through emphatic mentioning or with an accompanying pointing gesture, thereby acquiring deictic properties.

Deictics can be classified in two ways, according to their lexical categories (pronouns, nouns, verbs, and adverbs) or according to their semantic types (person, place, time, manner, social, and discourse deictics). Representative examples of both types are given in Tables 16.1 and 16.2.

Social deictics encode information pertaining to differences in perceived status and degrees of intimacy. The nominal ones deviate from the more neutral address terms in being either deferential or pejorative, or either intimate or distanced. Such information is also encoded in deictic verbs reflecting social values. Discourse deictic terms refer to previously occurring segments of discourse.

A deictic expression can be built in one of three ways. First, it can be built around a noun head, which is a deictic term (see the underlined part in the examples), resulting in attributive-head deictic compounds: 大前天 *da4 qian2tian1* 'the day

Table 16.1 Types of deictic terms by lexical category

Type	Example
Pronoun	我 <i>wo3</i> 'I' first-person singular 你 <i>ni3</i> 'you' second-person singular 您 <i>nin2</i> 'you' second-person singular (polite use) 这 <i>zhe4</i> 'this' 那 <i>na4</i> 'that'
Noun	左边 <i>zuo3bian1</i> 'left' 上级 <i>shang4ji2</i> 'senior' 下级 <i>xia4ji2</i> 'junior' 人家 <i>ren2jia1</i> 'other person' 现场 <i>xian4chang3</i> '(on) the scene' 现在 <i>xian4zai4</i> 'now'
Verb	来 <i>lai2</i> 'come' 去 <i>qu4</i> 'go' 出 <i>chu1</i> 'exit' 进 <i>jin4</i> 'enter' 入 <i>ru4</i> 'enter' 回 <i>hui2</i> 'return' 返 <i>fan3</i> 'return' 送 <i>song4</i> 'see off'
Adverb	这么 <i>zhe4me0</i> 'so' 那么 <i>na4me0</i> 'in that way'

before the day before yesterday' and 右后方 *you4hou4fang1* 'backward to the right.' Second, it can be built in the form of Det + N, where the Det is a demonstrative and the N is non-deictic: 这些天 *zhe4 xie1 tian1* 'these days,' 那东西 *na4 dong1xi1* 'that stuff,' and 这地方 *zhe4 di4fang1* 'this place.' Third, there are also conjoined compounds, consisting of one or both deictic terms: 如是再三 *ru2shi4 zai4san1* 'like this repeatedly' (where 如是 *ru2shi4* is a deictic) and 如此这般 *ru2ci3 zhe4ban1* 'like this; in such a way' (where both 如此 *ru2ci3* and 这般 *zhe4ban1* are deictic terms). These deictic terms often contain roots that are deictic terms themselves in Classic Chinese, such as 是 *shi4* 'this' and 此 *ci3* 'this.'

Deictics can be characterized with three basic and related notions: the "origo" is the deictic center from which a referent is perceived to be either "proximal" (i.e., physically near the origo) or "distal" (i.e., further away). What is proximal and distal can also be perceived as psychologically close or distant, respectively. These notions, together with notions such as the speaker and the hearer (i.e., the participant roles), are generally referred to in this chapter as "parameters of the utterance act."

Table 16.2 Types of deictic terms by meaning

Type	Example
Person	我 <i>wǒ3</i> 'I' first-person singular 你 <i>nǐ3</i> 'you' second-person singular 咱们 <i>zán2men0</i> 'we' first-person plural inclusive 大家 <i>dà4jiā1</i> 'all'
Place	这儿 <i>zhè4er0</i> 'here' 那儿 <i>nà4er0</i> 'there'
Time	现在 <i>xiànzài4</i> 'now' 最近 <i>zuì4jīn4</i> 'recently' 明天 <i>míng2tiān1</i> 'tomorrow' 那时 <i>nà4shí2</i> 'that time'
Manner	这么 <i>zhè4me0</i> 'so' 那么 <i>nà4me0</i> 'in that way' 这样 <i>zhè4yàng4</i> 'such' 那样 <i>nà4yàng4</i> 'like that'
Social	阁下 <i>gè2xià4</i> 'your honor' 敝人 <i>bì4rén2</i> 'the humble I' 府上 <i>fǔ3shàng4</i> 'your honored residence' 光临 <i>guāng1lín2</i> 'honored presence'
Discourse	这 <i>zhè4</i> 'this' 那 <i>nà4</i> 'that'

The proximal is demonstrated by 这 *zhè4* 'this' and the distal by 那 *nà4* 'that.' Both can either stand alone, as in [1], or be followed by a noun and optionally with a classifier, as in [2] and [3] (this was also discussed in Chapter 8, section 8.2). The proximal 此 *cǐ3* and the distal 彼 *bǐ3* form a pair and are used mainly in written genres and in idiomatic expressions, as in [4] through [6].

- [1] 这已是难以改变的事实。
zhè4 yǐ3 shì4 nán2yǐ3 gǎi3biàn4 de0 shì4shí2
 this already be difficult change DE fact
 'This is an irreversible situation.'
 (这 *zhè4*: single deictic)
- [2] 如果这细胞是一个细菌呢?
ru2guo3 zhè4 xī4bào1 shì4 yī1 ge4 xī4jūn4 ne0
 if this cell be one CL germ NE
 'What will happen if this cell is a bacterium?'
 (这 *zhè4* 'this' deictic + 细胞 *xī4bào1* 'cell')

- [3] 她打开了那把有点变形的雨伞。
 ta1 da3kai1 le0 na4 ba3 you3dian3 bian4xing2
 she open LE that CL some be_out_of_shape
 de0 yu3san3
 DE umbrella
 'She opened that rather deformed umbrella.'
 (那 na4 deictic + 把 ba3 CL + 有点 you3dian3 'a little' 变形 bian4xing2
 'deform' 的 de0 + 雨伞 yu3san3 'umbrella')
- [4] 许多国际会议在此举行。
 xu3duo1 guo2ji4 hui4yi4 zai4 ci3 ju3xing2
 many international conferences PREP here hold
 'Many international conferences are held here.'
- [5] 两者的默契已到了此呼彼应的地步。
 liang3 zhe3 de0 mo4qi4 yi3 dao4
 two CL DE tacit_understanding already reach
 le0 ci3hu1bi3ying4 de0 di4bu4
 LE mutually_responsive DE stage
 'The tacit understanding between the two sides has reached a mutually
 responsive stage.' (idiomatic usage)
- [6] 股市与债市呈现此消彼长的现象。
 gu3shi4 yu3 zhai4shi4 cheng2xian4 ci3
 stock_market and bond_market exhibit this
 xiao1 bi3 zhang3 de0 xian4xiang4
 decrease that increase DE phenomenon
 'When the stock market booms, the bond market wanes.'
 (idiomatic usage)
- [7] 艺术馆位置偏僻, 到此一游的人潮有限。
 yi4shu4guan3 wei4zhi4 pian1pi4 dao4 ci3 yi1
 The_Arts_Museum location rare come this one
 you2 de0 ren2chao2 you3xian4
 visit DE stream_of_people limited
 'The Arts Museum is out of the way, and not many people will come here
 to visit.' (idiomatic expression)

Some common verbs can encode directional deictic features, such as 来 lai2 'come' and 去 qu4 'go,' which, in addition to their primary senses as verbs, have also been semantically bleached to convey a deictic sense of directionality or tendency, as shown in [8]–[10].

- [8] 客人从哪里来? 要去很远的地方吧?
 ke4ren2 cong2 na3li3 lai2 yao4 qu4 hen3 yuan3
 guest PREP where come will go very far
 de0 di4fang1 ba0
 DE place BA
 'Where do (you) guest come from? Will you go to a place far away?'
 (deictic verb)
- [9] 我不明白你们为什么到这里来。
 wo3 bu4 ming2bai2 ni3men0 wei4shen2me0 dao4
 I NEG understand you why come
 zhe4li3 lai2
 here come
 'I don't understand why you have come here.' (verbal deictic)
- [10] 小女儿要跟她父亲上京城去。
 xiao3nũ3er2 yao4 gen1 ta1 fu4qin1 shang4
 the_youngest_daughter want PREP she father go
 jing1cheng2 qu4
 capital_of_country go
 'The youngest daughter wanted to go to the capital with her father.'
 (verbal deictic)

Deictics as a whole exhibit a gradient of utterance-dependent properties. Strong deictics, that is, dedicated deictics, encode parameters of the utterance act, while weaker deictics are merely occasionally used as demonstratives for emphatic purposes, like the demonstrative use of third-person pronouns with pointing gestures. Positional words such as 前 *qian2* 'front' and 后 *hou4* 'back' are not deictic if they are used to describe absolute directions related to a static object such as a house or a building, as in 房前屋后 *fang2qian2 wu1hou4* 'in the front and back of the house.' Here, the utterance act offers no variable perspectival choices. Yet 在你身后 *zai4 ni3 shen1hou4* 'behind you' has deictic meaning, as the positioning of the listener is usually understood to be changeable and cannot be fixed once and for all. Moreover, 在我左边 *zai4 wo3 zuo3bian1* 'on my left' is much more deictic because "left" and "right" are always decided by the exact direction the speaker is facing at the moment of speaking, which is person-oriented and ever-changeable.

16.1.1. Person deixis

Person deictics form a closed set, with first-person singular 我 *wo3* 'I' and plural 我们 *wo3men0* 'we' and second-person singular 你 *ni3* 'you' and plural 你们 *ni3men0* 'you' as the most basic. The third-person singular 他 *ta1* 'he' and plural 他们

ta1men0 ‘they’ can sometimes be demonstratively used with an emphatic pointing gesture, giving them deictic properties.

The first-person inclusive plural 咱们 *zan2men0* ‘we’ is used to include both the speaker and the addressee, with or without the inclusion of other parties on the scene or in the speaker’s mind. On the other hand, 我们 *wo3men0* ‘we’ can be used either inclusively or exclusively, with the latter use excluding the addressee. The dedicatedly inclusive 咱们 *zan2men0* ‘we’ is mainly used in oral language.

Since the totality-denoting 大家 *da4jia1* ‘all the people’ shares the deictic features of 咱们 *zan2men0* ‘we,’ it can be used alone as a first-person inclusive plural, as shown in [11]. 大家 *da4jia1* ‘all the people’ can also appear after first-person plural pronouns as an appositive element, resulting in both an inclusive meaning and an emphasis on totality like that in [12]. It is occasionally used after the second-person plural 你们 *ni3men0* ‘you,’ as in [13], and characteristically does not appear after the third-person plurals 他们 *ta1men0* ‘they,’ 她们 *ta1men0* ‘they,’ or 它们 *ta1men0* ‘they’ as an appositive element.

- [11] 过去六年来, 大家的日子并不好过。
 guo4qu4 liu4 nian2 lai2 da4jia1 de0 ri4zi0
 past six CL come all_the_people DE day
 bing4bu4 hao3guo4
 not_at_all having_an_easy_time
 ‘Over the past six years, we have all had a hard time.’ (first-person inclusive plural deictic)
- [12] 社会是我们大家的, 环境也是我们大家的。
 she4hui4 shi4 wo3men0 da4jia1 de0 huan2jing4
 society be we everyone DE environment
 ye3 shi4 wo3men0 da4jia1 de0
 also be we all_the_people DE
 ‘This society belongs to us all, so does this environment.’
 (大家 *da4jia1* as apposition to 我们 *wo3men0*)
- [13] 我为什么这样傻?还不是为了你们大家的幸福?
 wo3 wei4shen2me0 zhe4yang4 sha3 hai2 bu4 shi4
 I why like_this stupid still not be
 wei4le0 ni3men0 da4jia1 de0 xing4fu2
 PREP you all_the_people DE happiness
 ‘Why am I so silly? Am I not doing it for the happiness of you all?’
 (大家 *da4jia1* as apposition to 你们 *ni3men0*)

Table 16.3 Corpus frequency of second-person pronouns

Corpus						
Word Form	Sinica	Gigaword2all	Gigaword2cna (TW)	Gigaword2xin (CN)	Gigaword2zbn (SIN)	Gigaword_alt
您 <i>nin2</i>	2,391	15,638	7,248	7,637	753	11,522
您们 <i>nin2men0</i>	0	180	125	0	0	107
你 <i>ni3</i>	25,432	82,936	30,601	37,780	14,555	58,720
你们 <i>ni3men0</i>	2,549	23,883	7,492	15,093	1,298	6,283

大家 *da4jia1* can also be used as a noun with only a totality meaning, which is non-deictic and does not involve any parameters of the utterance act, not even the speaker/writer, as shown in [14], in which 大家 *da4jia1* is equivalent to 人人 *ren2ren2* ‘everyone.’ A more colloquial variant of the first-person plural 大家 *da4jia1* is 大伙(儿) *da4huo3 (er0)* ‘all the people,’ which is only used in casual oral language, as in [15].

- [14] 小孩子十几岁就去学生意了, 大家都觉得理所当然。
 xiao3hai2zi0 shi2 ji3 sui4 jiu4 qu4 xue2
 child ten some year then go learn
 sheng1yi4 le0 da4jia1 dou1 jue2de0 li3suo3dang1ran2
 business LE everyone all feel of_course
 ‘Children started to learn business as teenagers. This was taken as the
 norm by everyone.’ (totality and non-deictic use of 大家 *da4jia1*)

- [15] 一条鱼就够咱们大伙吃一个月。
 yi1 tiao2 yu2 jiu4 gou4 zan2men0 da4huo3
 one CL fish then enough we all_the_people
 chi1 yi1 ge4 yue4
 eat one CL month
 ‘One fish could sustain us for a whole month.’

您 *nin2* ‘you’ is a dedicated deferential term for second-person singular reference, sometimes also used to show estrangement or to achieve a distancing effect. In oral language, the plural form of 您 *nin2* ‘you’ is 您二位 *nin2 er4 wei4* ‘you two,’ 您三位 *nin2 san1 wei4* ‘you three,’ and 您几位 *nin2 ji3 wei4* ‘you all’ (for more than two people). In written language, there is no plural form of 您 *ni3*, and the neutral second-person plural 你们 *ni3men0* is used instead. The use of 您们 *nin2men0* in written language is exceptional and not commonly accepted, as attested by its extremely low frequency in several corpora (see Table 16.3).

人家 *ren2jia1*, 别(的)人 *bie4 (de0) ren2*, 其他(的)人 *qi2ta1 (de0) ren2*, and 他人 *ta1ren2*, all meaning ‘other person(s),’ serve as residual deictic person reference, that is, terms addressing or referring to individuals other than the speaker and the hearer.

As an exception, 人家 *ren2jia1* is sometimes coquettishly used as a self-addressing term by young women or entertainers.

16.1.2. Place deixis

The basic proximal and distal place deictics constitute the following contrastive pairs: 这里 *zhe4li3* ‘here,’ 那里 *na4li3* ‘there’; 这儿 *zhe4er0* ‘here,’ 那儿 *na3er0* ‘there’; and 这边 *zhe4bian1* ‘here,’ 那边 *na4bian1* ‘there.’ Although these three pairs are often used interchangeably, there are nuances of differences.

这里 *zhe4li3* ‘here’ and 那里 *na4li3* ‘there’ consist of the proximal or the distal deictic term, followed by the locative morpheme 里 *li3* ‘inside.’ However, the two resulting expressions, 这里 *zhe4li3* ‘here’ and 那里 *na4li3* ‘there,’ do not convey the “inside” meaning, which is to be conveyed by 这里面 *zhe4li3 mian4* ‘in here’ and 那里面 *na4li3 mian4* ‘in there’ or 这里边 *zhe4li3 bian1* ‘in here’ and 那里边 *na4li3 bian1* ‘in there.’

这儿 *zhe4er0* ‘here’ and 那儿 *na3er0* ‘there’ are used more often in oral language, although they can also be found in written language. As a matter of preference, some people choose not to use words with a 儿- *er0*- suffix in writing, and some do not use 儿- *er0*- suffixed words at all.

这边 *zhe4bian1* ‘here’ and 那边 *na4bian1* ‘there’ have the more basic and more physical meaning of ‘this side’ and ‘that side.’ However, they are also used with the extended sense of ‘this spot, that spot; this part, that part; and this area, that area,’ causing them often to be used interchangeably with 这里 *zhe4li3* ‘here,’ 那里 *na4li3* ‘there’ and 这儿 *zhe4er0* ‘here,’ 那儿 *na3er0* ‘there.’ The proximal 此地 *ci3di4* ‘here’ does not have a distal counterpart in Modern Chinese.

Some other place deictics are both directional and locative: the directional deictic terms 左 *zuo3* ‘left’ and 右 *you4* ‘right’ can combine with relevant locative morphemes 边 *bian1* ‘side’ and 面 *mian4* ‘surface,’ forming deictic expressions such as 左边 *zuo3bian1* ‘left side’ and 右面 *you4mian4* ‘right side.’ As explained above, relative directional terms such as 上 *shang4* ‘up,’ 下 *xia4* ‘down,’ 前 *qian2* ‘front,’ and 后 *hou4* ‘back’ can acquire deictic properties in some uses when the center of reference is not fixed. They can form expressions such as 上边 *shang4bian1* ‘above,’ 下面 *xia4mian4* ‘below,’ 前方 *qian2fang1* ‘at the front,’ and 后边 *hou4bian1* ‘behind,’ which can also have deictic use.

The directional terms 里 *li3* ‘in’ and 外 *wai4* ‘out’ combine only with a following 边 *bian1* ‘side,’ 面 *mian4* ‘surface,’ or 头 *tou2* ‘end’ to form deictic expressions like 里边 *li3bian1* ‘inside,’ 外面 *wai4mian4* ‘outside,’ and 里头 *li3tou2* ‘inside.’ The 里 *li3* ‘in’ in this case is different from the locative morpheme 里 *li3* ‘inside’ discussed above, not only in position but also in meaning. The 里 *li3* ‘in’ here is followed by a locative morpheme and the combination always means ‘inside,’ while the previous 里 *li3* follows a deictic term and the combination means ‘place’ only, as in 这里 *zhe4li3* ‘here’ and 那里 *na4li3* ‘there,’ although it can also mean ‘inside’ in

屋(子)里 *wu1 (zi3) li3* ‘the inside of the room,’ 鞋子里 *xie2zi0 li3* ‘the inside of the shoe,’ 家里 *jia1li3* ‘the inside of the home,’ etc.

16.1.3. Time deixis

The time of speaking can be considered the temporal origo and is encoded by 现在 *xian4zai4* ‘now,’ departing from whatever other time deixis is deployed. The more basic proximal and distal terms 这 *zhe4* ‘this’ and 那 *na4* ‘that’ can combine with ensuing time-denoting units, with possible numerals and classifiers in between: 这时 *zhe4shi2* ‘at this moment,’ 这会儿 *zhe4hui4er0* ‘at this (short) period,’ 那时候 *na4shi2hou0* ‘at that time,’ 那几年 *na4 ji3 nian2* ‘in those years,’ 那(一)年 *na4 (yi1) nian2* ‘in that year,’ 这个月 *zhe4 ge4 yue4* ‘the current month,’ and 这几个月 *zhe4 ji3 ge4 yue4* ‘these months.’

Neither days of the week nor calendar dates are deictics. For deictic reference, Chinese has a seven-slot system: 今天 *jin1tian1* ‘today,’ 明天 *ming2tian1* ‘tomorrow,’ 昨天 *zuo2tian1* ‘yesterday,’ 后天 *hou4tian1* ‘the day after tomorrow,’ 前天 *qian2tian1* ‘the day before yesterday,’ 大后天 *da4hou4tian1* ‘the day after the day after tomorrow (three days ahead),’ and 大前天 *da4qian2tian1* ‘the day before the day before yesterday (three days ago).’ Likewise, years are referred to deictically in a similar seven-slot system: 今年 *jin1nian2* ‘the current year,’ 去年 *qu4nian2* ‘last year,’ 明年 *ming2nian2* ‘next year,’ 前年 *qian2nian2* ‘the year before last year,’ 后年 *hou4nian2* ‘the year after next year,’ 大前年 *da4qian2nian2* ‘the year before the year before last year (three years ago),’ and 大后年 *da4hou4nian2* ‘the year after the year after next year (three years ahead).’

As shown in the examples above, some spatio-directional terms such as 上 *shang4* ‘up’ and 下 *xia4* ‘down’ are also used as time deictics when combined with time-denoting words. More examples are 上一年 *shang4 yi1 nian2* ‘the last year,’ 下一年 *xia4 yi1 nian2* ‘the next year,’ 上个世纪 *shang4 ge4 shi4ji4* ‘the last century,’ and 下个世纪 *xia4 ge4 shi4ji4* ‘the next century.’

16.1.4. Social deixis

Chinese has an ever-changing system of terms of address. The instability of the system is caused by the abandonment of the rich archaic system accumulated in Classical Chinese, as well as the continuous and fast changes in social relationships in modern China over the past century. However, only a limited number of address terms are deictics, as others do not encode parameters of the utterance act. The deferential second-person singular 您 *nin2* is deictic, as the speaker uses it out of consideration of social distance: respect or estrangement is an extended application of the concept distal. However, terms of address involving one’s administrative duties or titles, such as 王校长 *wang2 xiao4zhang3* ‘President Wang,’ 李教授 *li3 jiao4shou4* ‘Professor Li,’ and 陈博士 *chen2 bo2shi4* ‘Dr. Chen,’ are not deictics because they are relatively fixed terms. Likewise, some rather commonly used

terms of address, such as 先生 *xian1sheng0* ‘Mr.,’ 太太 *tai4tai4* ‘Mrs.,’ 夫人 *fu1ren2* ‘Madam,’ and 小姐 *xiao3jie3* ‘Miss’ are not deictics either.

Some older socially deictic terms are still widely used in oral language on formal occasions, as well as in written and more literary language, especially as address terms in epistle writing, including e-mails. They can be further divided into two types: deferentials and depreciatories, the latter being mostly self-depreciatories.

16.1.4.1. Deferentials

- 1 大人 *da4ren2* ‘your honor,’ now obsolete, was formerly used in face-to-face dialogue by a subordinate to his senior. It can be preceded by a surname or a title. In epistle works, it is still often used to address a parent or a senior and usually an aged relative when it is preceded by a senior kinship term, such as 母亲大人 *mu3qin1 da4ren2* ‘my honored mother’ and 舅父大人 *jiu4fu4 da4ren2* ‘my honored uncle.’
- 2 贵 *gui4* ‘your honorable’ can be combined with many nouns to form deferential references, such as 贵校 *gui4 xiao4* ‘your honored school,’ 贵军 *gui4 jun1* ‘your honored army,’ 贵所 *gui4 suo3* ‘your honored institute,’ and 贵厂 *gui4 chang3* ‘your honored factory.’ However, nouns preceded by 贵 *gui4* are not used as terms of address.
- 3 光临 *guang1lin2*, 莅临 *li4lin2* ‘be honorably present’ is used to honor an addressee’s visit.
- 4 敬上 *jing4shang4* ‘respectfully presented’ is used at the end of a letter, after the name of the writer, and it can be shortened to 上 *shang4*.
- 5 敬祝 *jing4zhu4* ‘respectfully extending a wish’ is used before an offer of good wishes.

16.1.4.2. Depreciatories

- 1 小人 *xiao3ren2* ‘my humble self’ or 小的 *xiao3de0* ‘my humble self’ was formerly used in face-to-face communication by a subordinate as a self-addressing term, now obsolete.
- 2 下人 *xia4ren2* ‘the lowly’ has less of a deictic character than 小人 *xiao3ren2*, as it is only used to refer to servants and not as an address term.
- 3 小辈 *xiao3bei4* ‘the junior family members and relatives’ (literally, the younger generation): self-addressing term for singular or plural reference.
- 4 晚辈 *wan3bei4* ‘the junior’ (literally, the later generation): self-addressing term, usually singular, used by juniors in the presence of seniors.
- 5 后学 *hou4xue2* ‘the later student’: self-addressing term, usually singular, used by younger students or scholars in the presence of senior ones.

- 6 拙著 *zhuo2 zhu4*, 拙文 *zhuo2 wen2*, 拙译 *zhuo2 yi4* ‘my humble work, paper, translated work.’
 7 不才 *bu4cai2* ‘the worthless person’: self-addressing term, singular.
 8 在下 *zai4xia4*, originally meaning ‘seated or positioned at a lower position’: self-addressing term, singular.
 9 内人 *nei4ren2* ‘my wife’ (literally, ‘the one who stays inside the family’).
 10 寒舍 *han2she4* ‘my home’ (literally, ‘the cold and simple abode’).
 11 敝人 *bi4ren2* ‘the humble I.’
 12 鄙人 *bi3ren2* ‘the humble I.’

16.1.5. Discourse deixis

这 *zhe4* ‘this’ and 那 *na4* ‘that,’ either as single terms or forming larger expressions, can be used as discourse deictics to refer to a part of the previous utterance(s) or text. The relationship between a discourse deictic and its reference is different from one between a discourse anaphor and its antecedent. The former refers to the physical discourse segment, while the latter, with a clausal antecedent, summarizes the content. The 这句话 *zhe4 ju4 hua4* ‘this sentence’ in [16] is not a summary of the content of the sentence but instead denotes the sentence as an entity in the immediate environment, namely, it is being used deictically. Similarly, the 这 *zhe4* ‘this’ in [17] points to the sentence being said and is deictic.

- [16] “我的妈妈, 是最好的妈妈”, 这句话他脱口而出。
wo3 de0 ma1ma0 shi4 zui4hao3 de0 ma1ma0 zh4
 I DE mother be best DE mother this
ju4 hua4 ta1 tuo1kou3er2chu1
 CL words he let_slip
 “My mother is the best,” he uttered this sentence without much thinking.’
- [17] “我要感谢养育我的父母, 感谢他们的养育之恩!”, 这是罗雪娟夺冠后的第一句话。
wo3 yao4 gan3xie4 yang3yu1 wo3 de0 fu4mu3
 I want thank nurture I DE parents
gan3xie4 ta1men0 de0 yang3yu1 zhi1 en1
 thank they DE nurture DE affection
zhe4 shi4 luo2xue3juan1 duo2guan4 hou4
 this be LUO_XUEJUAN win_championship after
de0 di4yi1 ju4 hua4
 DE first CL words
 “I wish to thank my parents for nurturing me!” This was the first sentence uttered by LUO Xuejuan after winning the championship.’

16.1.6. Alternating between the proximal and the distal

The proximal and the distal parameters can be expressed and viewed objectively, but they can also be taken symbolically, especially with reference to time. Moreover, they can be encoded subjectively, on the basis of psychological distance, which may not correspond to physical reality. This gives deictics a “cognitive zooming” function. That is, what is physically distal can be focalized and referred to with a proximal term. On the contrary, what is physically proximal can also be distanced through the use of a distal term. These uses reflect value judgments on the part of the language user.

The 这种产品 *zhe4zhong3 chan3pin3* ‘this kind of product’ in [18] refers to an entity in the immediate environment or a type of entity being discussed and is considered proximal, while the 那 *na4* ‘that’ refers to the actual product in other countries and is considered distal. The same could be said about the relation between 这种仪式 *zhe4zhong4 yi2shi4* ‘this ritual’ and 那 *na4* ‘that’ in [19], with the former being discussed in the current discourse while the latter refers to things in India and Africa. The sentence in [20] is an excerpt from a phone conversation. The 这辈 *zhe4bei4* ‘this generation’ in this case refers to the generation of the speaker, while 那辈 *na4bei4* ‘that generation’ refers to the generation of the listener, who is at the other end of the phone line. The contrast between proximal and distal is obvious.

- [18] 有的国家正在削价抛售这种产品,但那是前几年的库存,质量不能跟我们的相比。

you3de0 guo2jia1 zheng4zai4 xue4jia4 pao1shou4 zhe4
 some country ZAI cut_price dump this
 zhong4 chan3pin3 dan4 na4 shi4 qian2 ji3
 kind product but that be previous several
 nian2 de0 ku4cun2 zhi4liang4 bu4neng2 gen1
 year DE stock quality cannot PREP
 wo3men0 de0 xiang1bi3
 we DE compare

‘Some countries are dumping this kind of product with a low price but these are their surplus stock from previous years, so their quality cannot be compared with ours.’

- [19] 你说的拜月是怎么回事? 据说印度非洲真有这种仪式,但那只是迷信而已。

ni3 shuo1 de0 bai4yue4 shi4 zen3me0 hui2
 you say DE worship_moon be what CL
 shi4 ju4shuo1 yin4du4 fei1zhou1 zhen1 you3 zhe4
 thing it_is_said India Africa really have this

zhong3 yi2shi4 dan4 na4 zhi3shi4 mi2xin4 er2yi3
 CL ritual but that only superstition only
 ‘What about moon-worshipping that you just mentioned? It is said that
 such rituals really exist in India and Africa, but that is no more than
 superstition.’

- [20] 现在的年轻人接受外来的冲击太多, 多到我们这辈, 或者是你们那辈,
 都无法想象
 xian4zai4 de0 nian2qing1ren2 jie1shou4 wai4lai2 de0
 now DE young_people receive external DE
 chong1ji2 tai4 duo1 duo1 dao4 wo3men0 zhe4
 impact too many many PREP we this
 bei4 huo4zhe3 shi4 ni3men0 na4 bei4
 generation or be you that generation
 dou1 wu2fa3 xiang3xiang4
 all no_way imagine
 ‘Today’s youngsters receive so much external impact, to the extent that it
 is not imaginable by our generation, or your generation.’

16.1.7. Generic use of deictic terms

Some dedicated deictic terms (i.e., terms that are never used anaphorically) can be used to give arbitrary reference, in the sense that the deictic term at issue is not authentically referential but encodes generic meaning. The second person singular 你 *ni3* ‘you’ in [21], which refers to anyone in the world, is a case in point. Similarly, the 你 *ni3* ‘you,’ 今天 *jin1tian1* ‘today,’ 明天 *ming2tian1* ‘tomorrow,’ 这样 *zhe4yang4* ‘this way,’ and 那样 *na4yang4* ‘that way’ in [22] are all used as generic terms, referring to “someone,” “sometime,” and “some way,” respectively.

- [21] 棋赛有很高的可塑性, 你可以用棋子创造出美丽的难题。
 qi2sai4 you3 hen3 gao1 de0 ke3su4xing4 ni3 ke3yi3
 chess_game have very high DE plasticity you can
 yong4 qi2zi3 chuang4zao4 chu1 mei3li4 de0
 use chess_piece create out beautiful DE
 nan2ti2
 hard_issue
 ‘A game of chess is full of changes, and you can use chess pieces to create a
 beautiful puzzle.’ (generic use of 你 *ni3* ‘you’)
- [22] 如果你对生活没有完整的了解, 就会今天这样做、明天那样做。
 ru2guo3 ni3 dui4 sheng1huo2 mei2you3 wan2zheng3
 if you PREP life NEG thorough

de0 liao3jie3 jiu4 hui4 jin1tian1 zhe4yang4
DE understanding then will today this_way

zuo4 ming2tian1 na4yang4 zuo4
do tomorrow that_way do

'If you do not have a comprehensive understanding of life, you would go this way one day, and that way another day.' (generic use of paired deictics:

今天 *jin1tian1* 'today' and 明天 *ming2tian1* 'tomorrow'; and 这样 *zhe4yang4* 'this way' and 那样 *na4yang4* 'that way')

The 你 *ni3* 'you' and 我 *wo3* 'I' in [23] appear in an idiom chunk, which means to do something to each other such as looking at each other, and are generic, referring to any two groups of people. The 左 *zuo3* 'left' and 右 *you4* 'right' in [24] also appear in an idiom chunk, which means doing something again and again, such as waiting for a long time, and refers to some repeated manner of doing things.

- [23] 人们在路上碰见了, 只是你望望我, 我望望你, 不敢交谈。

ren2men0 zai4 lu4shang4 peng4jian4 le0 zhi3shi4
people PREP en_route meet LE only

ni3 wang4wang4 wo3 wo3 wang4wang4 ni3 bu4
you look_look I I look_look you NEG

gan3 jiao1tan2
dare communicate

'When people meet on the street, they can only look at each other and do not dare to speak to each other.' (generic use of paired deictics: 你 *ni3* 'you' and 我 *wo3* 'I')

- [24] 女主人左等右盼, 从疑虑、牵挂担心转为生气。

nü3zhu3ren2 zuo3deng3you4pan4 cong2 yi2lü4
lady_of_the_house left_wait_right_gaze PREP puzzle

qian1gua4 dan1xin1 zhuan3wei2 sheng1qi4
concern worry turn_to angry

'The wife waited and waited. As time went by, her mood swung from confusion to concern and worry, all the way to anger.' (generic use of paired deictics: 左 *zuo3* 'left' and 右 *you4* 'right')

The 这 *zhe4* 'this' and 那 *na4* 'that' in [25] work as a pair, with a generic interpretation referring to random things, very much like the English expression "this and that."

[25] 他因每天听我在车上讲这讲那,知道了我的需要。

ta1 yin1 mei3tian1 ting1 wo3 zai4 che1 shang4
 he because everyday hear I PREP car in
 jiang3 zhe4 jiang3 na4 zhi1dao4 le0 wo3 de0
 say this say that know LE I DE
 xu1yao4
 need

‘He got to know my needs, as he listened to my babbling in the car every day.’ (generic use of paired deictics: 这 *zhe4* ‘this’ and 那 *na4* ‘that’)

16.2. Overview of anaphora

At the beginning of this chapter, we defined anaphora as involving a closed set of lexical items whose semantic values are determined through unification with some previously occurring antecedents in the discourse. In connection to this, an anaphoric relationship can be defined as a co-referential linkage between two elements in the discourse, one of which, the anaphor, being dependent on the other, the antecedent, for its reference.

Anaphors can be either retrospective, with the antecedent appearing first, or anticipatory, with the anaphor appearing first. The latter is also called cataphor, with the related phenomenon termed “cataphora” or “backward anaphora.” As retrospective anaphors are much more often found than the anticipatory ones, they are simply referred to as “anaphor” in this chapter.

This section is concerned with the typology of anaphora, its distribution, and its similarities with and differences from deictics. Anaphors in Chinese can be divided into three types: nominal anaphors, pronominal anaphors, and zero anaphors. Pronominal anaphors also include reflexives and reciprocals as two idiosyncratic subtypes.

16.2.1. Nominal anaphors

Nominal anaphors concern cases where a nominal expression, excluding pronouns and the zero form (which will be treated separately), is co-referential with another noun, with partial or no sharing of lexical forms. This definition excludes cases where a noun or noun phrase simply repeats itself in discourse.

Thus defined, the first type of nominal anaphora concerns those with shortened forms of their antecedents. The shortened 缩写 *suoxie* forms can be established acronyms whose relationship with the full expressions is recoverable independent of context, such as the 安理会 *an1li2hui4* ‘the UN Security Council’ in [26]. They can also be temporary simplifications whose meaning can only be fully comprehended in the specific discourse context, such as the 世青赛 *shi4qing1sai4* and 中国队

zhong1guo2dui4 in [27], which are shortened forms of ‘the Ninth FIFA U-20 Coca-Cola World Cup Tournament’ and ‘the Chinese Youth Team,’ respectively. See Chapter 3, section 3.5.3. for discussion on the formation of *suoxie* abbreviation.

- [26] 联合国安全理事会又叫安理会。

lian2he2guo2 an1quan2li3shi4hui4 you4 jiao4
the_United_Nation Security_Council also name
an1li3hui4
peace_manage_meeting

‘The United Nations Security Council is also known as the Security Council.’

- [27] 参加第九届国际足联可口可乐杯世界青年(20岁以下)锦标赛的
中国青年队成绩是中国队参加世青赛历史上最差的。

can1jia1 di4jiu3 jie4 guo2ji4zu2lian2 ke3kou3ke3le4bei1
participate ninth CL FIFA Coca_Cola_Cup
shi4jie4 qing1nian2 er4shi2 sui4 yi3xia4 jin3biao1sai4
world youth twenty year below championship
de0 zhong1guo2 qing1nian2dui4 cheng2ji1 shi4
DE China youth_team score be
zhong1guo2dui4 can1jia1 shi4qing1sai4 li4shi3
China_team participate world_youth_game history
shang4 zui4cha4 de0
on worst DE

‘The Chinese Youth Team participating in the Ninth FIFA U-20 Coca-Cola World Cup Tournament achieved the worst result for a Chinese team in the history of the tournament.’

The second type of nominal anaphora involves nouns that are hypernyms of their antecedents, that is, nouns that are more general in meaning than their antecedents. They can be further divided into two kinds: general nouns with neutral categorical reference, such as the 这个问题 *zhe4 ge4 wen4ti2* ‘this issue’ in [28], and general nouns with specific reference, used with emotional connotations (i.e., epithets), such as the 四个小家伙 *si4ge4 xiao3jia1huo0* ‘four little ones’ in [29].

- [28] 电影业的困境,主要来自“艺术性”与“票房”的矛盾。这个问题不好解决。

dian4yin3 de0 kun4jing4 zhu3yao4 lai3zi4
film DE dilemma mainly come_from
yi4shu4xing4 yu3 piao4fang2 de0 mao2dun4 zhe4 ge0
artistic_ness and box_office DE conflict this CL

wen4ti2 bu4 hao3 jie2jue2
issue NEG easy solve

'The dilemma of the movie industry comes mainly from the conflict between artistic value and box office. This is not an easy issue to solve.'

- [29] 农妇产下四胞胎胡大毛、胡二毛、胡三毛、胡四毛。
四个小家伙后来到了安徽医学院附属医院。

nong2fu4 chan3xia4 si4bao1tai1 hu2da4mao2
farmer_woman give_birth quadruplet HU_big_MAO
hu2er4mao2 hu2san1mao2 hu2si4mao2 si4
HU_second_MAO HU_third_MAO HU_fourth_MAO four
ge4 xiao3jia1huo3 hou4lai2 zhuan3 dao4 an1hui1
CL little_chaps later transfer PREP ANHUI
yi1xue2yuan4 fu4shu3 yi1yuan4
medical_college affiliated hospital

'The farmer gave birth to quadruplets HU Da Mao, HU Er Mao, HU San Mao, and HU Si Mao. The four little ones were then transferred to the hospital affiliated with the Anhui Medical College.'

The difference between the anaphoric reference in [28] and the uses involving discourse deixis (see section 16.2.5) is that the 这个问题 *zhe4ge4 wen4ti2* 'this issue' in [28] does not refer to a discourse segment per se, but rather to the content presented in that segment. However, it may be difficult to distinguish them in some cases, as shown in [30], where the expression 这句话 *zhe4 ju4 hua4* 'this utterance' can be taken in either way in relation to the quoted sentence. It is a discourse deictic if it refers to the physical utterance of the sentence, but it is a discourse anaphor if the content of the utterance is taken to be the real thrust.

- [30] “我愿以我走过的全部道路证明一句话，人生是可以雕塑的。”
刘开渠先生的这句话让人为之一震。

wo3 yuan4 yi3 wo3 zou3 guo4 de0 quan2bu4
I wish PREP I walk GUO DE all
dao4lu4 zheng4ming2 yi1 ju4 hua4 ren2sheng1 shi4
road prove one CL words life be
ke3yi3 diao1su4 de0 liu2kai1qu2 xian1sheng0 de0
can sculpture DE LIU_KAIQU Mr. DE
zhe4 ju4 hua4 rang4 ren2 wei4zhi1yi1zhen4
this CL words let people be_moved

“I would like to use my life's journey to prove one proposition. That is, life can be sculptured.” This saying by Mr. Liu Kaiqu moved everyone.'

The third type of nominal anaphora involves cases where the referential content of a noun is later picked up by a synonymous noun, as shown in [31], where 汉语 *han4yu3* ‘Chinese’ refers to the same thing as 中文 *zhong1wen2* ‘Chinese’ does.

- [31] 他大三开始学习中文, 汉语现在讲得还不流利。
 ta1 da4 san1 kai1shi3 xue2xi2 zhong1wen2
 he university three start learn Chinese
 han4yu3 xian4zai4 jiang3 de0 hai2 bu4 liu2li4
 Chinese now speak DE still NEG fluent
 ‘Having started learning Chinese as a sophomore, his spoken Chinese is not fluent yet.’

16.2.2. Pronominal anaphors

Pronominal anaphors involve the third-person singular pronoun *ta* and the plural *tamen*. Neither of them makes distinctions in gender in oral language, yet both have acquired gender-distinct but case-neutral written forms in Modern Chinese: 他 *ta1* ‘he,’ 她 *ta1* ‘she,’ and 它 *ta1* ‘it’ and the corresponding 他们 *ta1men0* ‘they-masculine,’ 她们 *ta1men0* ‘they-feminine,’ and 它们 *ta1men0* ‘they-nonhuman.’ Demonstrative anaphora includes 这 *zhe4* ‘this,’ 那 *na4* ‘that,’ 该 *gai1* ‘the,’ 其 *qi2* ‘whose,’ and their variants when used with referential nouns.

这 *zhe4* ‘this,’ when combined with a following noun, can be used to give definite reference, such as 这时 *zhe4shi2* ‘this moment,’ 这人 *zhe4ren2* ‘this person/the person,’ and 这车 *zhe4che1* ‘this car/the car.’ Such expressions are sometimes anaphoric and sometimes deictic, and they are also highly lexically specific.

这时 *zhe4shi2* ‘this moment’ is predominantly anaphoric, referring to a time in the past and in contrast with 现在 *xian4zai4* ‘now,’ which refers to the immediate present. Both 这次 *zhe4ci4* and 这回 *zhe4hui2* are combinations of demonstrative and event classifiers meaning ‘this time’ and can be used either anaphorically or deictically, referring to an occasion either in the past or at the time of speech. 这下 *zhe4xia4*, however, either means ‘as a result’ or ‘this immediate present.’ The first is used as a connective adjunct while the second is a deictic. 此 *ci3* ‘this’ can also have such twofold usage. 此时 *ci3shi2* ‘(at) the time’ is anaphoric, 此地 *ci3di4* ‘this place’ and 此处 *ci3chu4* ‘this location’ are deictic, and 此事 *ci3shi4* ‘this matter’ and 此人 *ci3ren2* ‘this person’ can be used either way.

16.2.2.1. Reflexives and reciprocals

Pronominal anaphors include reflexives and reciprocals as subcategories. Reflexives take two main forms: the independently used 自己 *zi4ji3* ‘self’ and the 自己 *zi4ji3* ‘self’ attached to a pronoun, a name, or a full nominal phrase. The stand-alone 自己 *zi4ji3* ‘self’ occupies an argument position, while the 自己 *zi4ji3* ‘self’

used after other nominal elements is an emphatic pronoun. The emphatic 自己 *zi4ji3* co-refers with the nominal element to which it is attached, while the argument 自己 *zi4ji3* ‘self’ should establish an anaphoric link to its antecedent, either within a single clause or beyond, across one or more superordinate clauses, or even inter-sententially. Several possibilities are available, which can be conveniently grouped under two categories: 自己 *zi4ji3* as the subject and 自己 *zi4ji3* as the object.

When the stand-alone 自己 *zi4ji3* ‘self’ appears in the subject position, it can establish reference in three possible ways. First, as a subject in the matrix clause, it can be used as shortened forms of pronoun + 自己 *zi4ji3* (i.e., 我自己 *wo3 zi4ji3* ‘myself,’ 你自己 *ni3 zi4ji3* ‘yourself,’ or 他自己 *ta1 zi4ji3* ‘himself’). Such uses are usually found in thought description discourse for self-reference, as exemplified by [32].

- [32] 入秋的季节, 自己总习惯性地带件外套; 入秋的夜晚, 自己总习惯性地
地在床尾铺上薄被
 ru4 qiu1 de0 ji4jie2 zi4ji3 zong3 xi2guan4xing4
 enter autumn DE season self always be_used_to
 de0 dai4 jian4 wai4tao4 ru4 qiu1 de0 ye4li3
 DE bring CL coat enter autumn DE at_night
 zi4ji3 zong3 xi2guan4xing4 de0 zai4 chuang2 wei3
 self always be_used_to DE be_at bed end
 pu4 shang4 bao2 bei4
 lay on thin quilt
 ‘In the fall, I am used to going out with a thicker coat; and at night, I am
 used to sleeping with a thin quilt.’

Second, as the subject in the subordinate clause co-indexed with the subject in the matrix clause, the 自己 *zi4ji3* ‘self’ works like a pronominal, as in [33] and [34].

- [33] 不久, 我自医院返家, 过起正常的生活, 甚至忘了自己罹患癌症这件事
 bu4jiu3 wo3 zi4 yi1yuan4 fan3 jia1 guo4 qi3
 soon I PREP hospital return home live QI
 zheng4chang2 de0 sheng1huo2 shen4zhi4 wang4 le0
 normal DE life even forget LE
 zi4ji3 li2huan4 ai2zheng4 zhe4 jian4 shi4
 self have cancer this CL thing
 ‘Soon afterwards, I left the hospital and went back home, where I began to
 lead a normal life and even forgot that I had had cancer.’

- [34] 我猛然发现自己是多么有福气, 早早地就能享受泡茶的乐趣。
 wo3 meng3ran2 fa1xian4 zi4ji3 shi4 duo1me0 you3
 I abruptly find self be how have
 fu2qi4 zao3zao3 de0 jiu4 neng2 xiang3shou4
 luck well_in_advance DE then can enjoy
 pao4cha2 de0 le4qu4
 make_tea DE joy
 'I suddenly realize how lucky I was, being able to experience the joy of
 tea-making early on.'

Third, 自己 *zi4ji3* 'self' in the subject position can sometimes have an arbitrary reference, meaning 'anyone himself,' functioning as a pronominal, as shown in [35].

- [35] 沟通是双方面的。自己说的话要让对方能听到、听懂和听得进去
 gou1tong1 shi4 shuang1fang1mian4 de0 zi4ji3
 communication be both_sides DE self
 shuo1 de0 hua4 yao4 rang4 dui4fang1 neng2
 say DE words will let the_other_side can
 ting1dao4 ting1dong3 he2 ting1 de0 jin4qu4
 listen_succeed listen_understand and listen DE into
 'Communication is a two-way process. What one says should be able to be
 heard, understood, and accepted by the others.'

When the stand-alone 自己 *zi4ji3* 'self' appears in the object position, its presence is not limited to the specific content of the discourse. Moreover, there is much structural freedom in the way an anaphoric link is built between the object 自己 *zi4ji3* 'self' and its antecedent, as shown in the following examples, where the same subscript index indicates co-reference. The 自己 *zi4ji3* 'self' in the object position of the first clause in [36] can have either 男生 *nan2sheng1* 'boy' or 女生 *nü3sheng1* 'girl' as the antecedent, and it is the second clause that provides the cue to link 自己 *zi4ji3* 'self' with 女生 *nü3sheng1* 'girl.' The 自己 *zi4ji3* 'self' in the object position of the embedded clause of [37] can be co-referential with either 他 *ta1* 'he' or 别人 *bie2ren2* 'other people,' and the cue from the first clause helps readers to connect 自己 *zi4ji3* 'self' with 他 *ta1* 'he.' Similarly, the 自己 *zi4ji3* 'self' in [38] takes 她 *ta1* 'she' as the antecedent because of the cue from the second clause.

- [36] 男生_i常觉得女生_j不能清楚的表达自己_j的感情, 男生只好很辛苦的
 玩猜谜游戏
 nan2sheng1 chang2 jue2de0 nü3sheng1 bu4neng2
 boy often feel girl NEG_can

qing1chu3 de0 biao3da2 zi4ji3 de0 gan3qing2
 clear DE express self DE feeling
 nan2sheng1 zhi3hao3 hen3 xin1ku3 de0 wan2
 boy have_to very hard DE play
 cai1mei4 you2xi4
 guess_riddle play

'Boys_i often feel that girls_j cannot express their_j feelings explicitly, so that they have no choice but to play the riddle-solving game.'

- [37] 有一位年轻人, 他_i总觉得别人_j对不起自己_i。

you3 yi1 wei4 nian2qing1ren2 ta1 zong3 jue2de0
 YOU one CL young_people he always feel
 bie2ren2 dui4bu4qi3 zi4ji3
 others sorry self

'There was such a young man. He_i always felt that others had treated him_i badly.'

- [38] 她_i觉得还是他_j最了解自己_i的声音, 能把她_i的音乐做得够完美

ta1 jue2de0 hai2shi4 ta1 zui4 liao3jie3 zi4ji3 de0
 she feel still he most know self DE
 sheng1yin1 neng2 ba3 ta1 de0 yin1yue4 zuo4 de0
 voice can BA she DE music make DE
 gou4 wan2mei3
 enough perfect

'She_i thinks that it is still he_j who knows her_i voice best and can bring her_i music to perfection.'

Reciprocals in Chinese, encoded as 互相 *hu4xiang1* 'each other,' 相互 *xiang1hu4* 'each other,' or a shorted form 互 *hu4* 'each other,' are usually used as adverbials, as in [39]. They are sometimes used as a subject argument, but never as an object argument. A third item, 彼此 *bi3ci3*, though often used as an adjunct, can appear in both the subject position, as in [40], and, to a much lesser extent, in the object position, as in [41].

- [39] 学生们互相帮助, 很快爬上了山顶。

xue2sheng1men0 hu4xiang1 bang1zhu4 hen3 kuai4
 students each_other help very fast
 pa2shang4 le0 shan1ding3
 climb_up LE mountain_top

'The students helped each other and reached the mountaintop quickly.'

- [40] 年初一, 每个人都出去拜年, 见面时彼此说声恭喜! 恭喜!
 nian2chu1yi1 mei3 ge4 ren2 dou1 chu1qu4
 New_Year's_day every CL person all go_out
 bai4nian2 jian4mian4 shi2 bi3ci3
 give_New_Year_greetings meet when each_other
 shuo1 sheng1 gong1xi3 gong1xi3
 say CL best_wishes best_wishes
 'On Chinese [New] Year's day, all people go out to give New Year greetings,
 and say "Best wishes! Best wishes!" to each other when they meet.'
- [41] 相隔三年, 妳我之间已恍如隔世, 但相见时的悸动却仍然撼动着彼此。
 xiang1ge2 san1 nian2 ni3 wo3 zhi1jian1 yi3
 separated three year you I between already
 huang3ru2ge2shi4 dan4 xiang1jian4 shi2 de0
 as_if_separated_lifetime but meet when DE
 ji4dong4 que4 reng2 han4dong4 zhe0 bi3ci3
 quiver but still shake ZHE each_other
 'A three-year separation seems like a lifetime between you and me, but we
 quiver at our meeting and are deeply moved by each other.'

As a common term, 本 *ben3* 'this' alternates between deictic and reflexive usage. When combined with a following noun to form a full-fledged nominal phrase, it is deictic, like 本人 *ben3ren2* 'this very person, I,' 本地 *ben3di4* 'this location, here,' 本周 *ben3zhou1* 'this week,' 本世纪 *ben3shi4ji4* 'this century,' 本年度 *ben3nian2du4* 'this year,' etc. However, when such a phrase is attached to a nominal phrase for humans, the 本 *ben3* 'this' is used for self-reference and is hence reflexive, like 我本人 *wo3 ben3ren2* 'I myself' and 校长本人 *xiao4zhang3 ben3ren2* 'the Principal himself.'

As a bound morpheme, 当 *dang1* 'that very' only appears in compounds formed with nominal morphemes denoting time or place. Some of these compounds are used anaphorically, as in 当年 *dang1nian2* 'that year,' 当时 *dang1shi2* 'that time,' 当场 *dang1chang2* '(at) the scene,' and 当天 *dang1tian1* 'that day.' The anaphoric 当地 *dang1di4* 'local' contrasts with the deictic 本地 *ben3di4* 'local,' but 当代 *dang1dai4* 'this era,' 当前 *dang1qian2* '(at) the present,' and 当初 *dang1chu1* 'at that earlier time' are typically used deictically.

Also as a bound morpheme, 自 *zi4* 'self' does not appear alone, but can combine with other morphemes to form self-referring compounds. Verbal compounds formed with 自 *zi4* 'self' include 自带 *zi4dai4* 'self-equip,' 自发 *zi4fa1* 'self-initiate,' 自付 *zi4fu4* 'self-pay,' 自愿 *zi4yuan4* 'self-willed, voluntary,' 自费 *zi4fei4* 'self-sponsor,' 自封 *zi4feng1* 'self-appoint,' 自罚 *zi4fa2* 'self-inflict,' 自理 *zi4li3* 'self-manage,' 自虐 *zi4nue4* 'self-torture,' 自拟 *zi4ni3* 'self-draft,' 自欺 *zi4qi1*

'self-delude,' 自谦 *zi4qian1* 'be self-modest,' 自宫 *zi4gong1* 'self-castrate,' 自救 *zi4jiu4* 'self-salvage,' 自用 *zi4yong4* 'self-use,' 自治 *zi4zhi4* 'govern through autonomy,' 自残 *zi4can2* 'self-hurt,' 自忖 *zi4cun3* 'self-think,' 自伤 *zi4shang1* 'self-hurt,' 自学 *zi4xue2* 'study on one's own,' 自夸 *zi4kua1* 'self-praise,' 自杀 *zi4sha1* 'self-kill, (suicide),' 自省 *zi4xing3* 'reflecting,' 自卫 *zi4wei4* 'self-defend,' 自慰 *zi4wei4* 'comfort oneself (as a euphemism for masturbate),' 自立 *zi4li4* 'self-sustain,' 自强 *zi4qiang2* 'self-develop,' 自产 *zi4chan3* 'self-produce,' 自销 *zi4xiao1* 'sell by oneself,' 自爱 *zi4ai4* 'self-love,' 自恋 *zi4lian4* 'be narcissistic,' 自驾 *zi4jia4* 'self-drive,' 自卑 *zi4bei1* 'have low self-esteem,' 自备 *zi4bei4* 'self-equip,' 自保 *zi4bao3* 'self-protect,' 自编 *zi4bian1* 'self-compile,' 自导 *zi4dao3* 'self-direct,' 自大 *zi4da4* 'be arrogant,' 自问 *zi4wen4* 'self-question,' 自尽 *zi4jin4* 'self-kill (commit suicide),' 自戕 *zi4qiang2* 'self-kill (commit suicide),' 自刎 *zi4wen3* 'self-kill (commit suicide) by cutting throat,' 自误 *zi4wu4* 'self-destroy by errors,' 自首 *zi4shou3* 'turn oneself in,' and 自诩 *zi4xu3* 'self-aggrandize.'

Nominal compounds include 自述 *zi4shu4* 'own statement,' 自传 *zi4zhuan4* 'autobiography,' 自白 *zi4bai2* 'confession,' 自信 *zi4xin4* 'self-confidence,' 自尊 *zi4zun1* 'self-esteem,' 自我 *zi4wo3* 'self,' 自闭 *zi4bi4* 'autism,' 自家 *zi4jia1* 'own home.'

Idioms constructed with 自 *zi4* 'self' include 自生自灭 *zi4sheng1zi4mie4* 'leave someone on his own,' 自艾自怨 *zi4ai4zi4yuan4* 'self-blame,' 自娱自乐 *zi4yu2zi4le4* 'self-entertain,' 自高自大 *zi4gao1zi4da4* 'egotistic,' 自弹自唱 *zi4dan4zi4chang4* 'play and sing on one's own,' 自强自爱 *zi4qiang2zi4ai4* 'self-powered and self-respected,' 自编自导 *zi4bian1zi4dao3* 'written and directed by oneself,' 自由自在 *zi4you2zi4zai4* 'carefree,' 自暴自弃 *zi4bao4zi4qi4* 'given-up and self-deserted,' 自作自受 *zi4zuo4zi4shou4* 'bearing one's own consequences,' 自吹自擂 *zi4chui1zi4lei2* 'self-exaggerated,' 顾影自怜 *gu4ying3zi4lian2* 'self-pity,' 自力更生 *zi4li4geng1sheng1* 'self-reliance,' 自强不息 *zi4qiang2bu4xi2* 'always self-motivated,' 孤芳自赏 *gu1fang1zi4shang4* 'self-admiration,' 自惭形秽 *zi4can2xing2hui4* 'look down on oneself,' 怡然自得 *yi2ran2zi4de2* 'happy-go-lucky,' 自顾不暇 *zi4gu4bu4xia2* 'no time to take care of oneself,' 无师自通 *wu2shi1zi4tong1* 'self-taught,' 自欺欺人 *zi4qi1qi1ren2* 'self-deluding as well as deceiving others,' 自甘堕落 *zi4gan1duo4luo4* 'self-deserted,' 监守自盗 *jian1shou3zi4dao4* 'guarding property and stealing from it,' 自命不凡 *zi4ming4bu4fan2* 'self-proud,' 自命清高 *zi4ming4qing1gao1* 'self-alloof,' and 不自量力 *bu2zi4liang4li4* 'without self-knowledge.'

In some words where 自 *zi4* 'self' and its following morpheme have been fused into one, it is no longer possible to tell the individual meaning apart in Modern Chinese, as in 自动 *zi4dong4* 'automatic,' 自私 *zi4si1* 'selfish,' 自豪 *zi4hao2* 'proud,' 自觉 *zi4jue2* 'self-conscious,' 自然 *zi4ran2* 'natural,' 自如 *zi4ru2* 'dexterity,' 自行 *zi4xing2* 'on one's own,' 自由 *zi4you2* 'free,' and 自己 *zi4yi3* 'self-control.'

Anaphors can sometimes have the antecedent appearing after them, namely, anticipatorily. The antecedent for the 自己 *zi4ji3* 'self' in [42] is 儿童 *er2tong2* 'children,' which occurs after the reflexive. The antecedent for 他 *ta1* 'he' in [43]

is 张学良先生 *zhang1 xue2liang2 xian1sheng0* 'Mr. ZHANG Xueliang,' which also appears after the pronoun.

- [42] 在能够推动自己的身心发展之前, 儿童需要大人把能量提供给他们。
zai4neng2gou4 tui1dong4 zi4ji3 de0 sheng1xin1 fa1zhan3
 PREP able push self DE body_mind development
zhi1qian2 er2tong2 xu1yao4 da4ren2 ba3 neng2liang4
 before children need adult BA energy
ti2gong1 gei3 ta1men0
 provide PREP they
 'Before the children can develop their own mind and body, they need adults to provide them with the capacity.'
- [43] 艺术团成与他道别时, 张学良先生坐在轮椅上频频挥手, 依依惜别
yi4shu4tuan2 cheng2yuan2 yu3 ta1 dao4bie2 shi2
 art_troupe member PREP he farewell when
zhang1xue2liang2 xian1sheng0 zuo4 zai4 lun2yi3
 ZHANG_XUELIANG Mr. sit PREP wheelchair
shang4 pin2pin2 hui1shou3 yi1yi1xi1bie2
 in repeatedly wave unwilling_to_depart
 'When members of the Performance Troupe bid him farewell, Mr. ZHANG Xueliang (Hsueh-liang CHANG) waved goodbye again and again from his wheelchair.'

16.2.3. Zero anaphors

Zero anaphors refer to a situation in which an anaphor does not have a phonetically realized lexical form. It is distinguished by identifying the unrealized argument positions of a predicate. The verb of a sentence has its argument positions specified in the lexicon, which determines the number of obligatory arguments that the verb should take. Typical argument positions include the subject, the direct object, the indirect object, the Ba-object, and the object of prepositions in certain positions.

As Chinese sentences can have zero forms in obligatory argument positions, the first criterion in identifying a zero argument is to determine when the lexically specified argument position of the relevant predicate does not appear in a sentence. As a zero argument needs to have its semantic value specified, it must have an antecedent and is therefore a zero anaphor. In addition to those compulsory argument positions, some elements can optionally appear in a sentence, in the form of adjuncts, in non-argument positions. The absence of such optional elements – sometimes called “semantic arguments” – never entails the existence of zero arguments, as their presence or non-presence does not affect the

completeness of the sentence. When such non-obligatory elements are not lexically present, they are usually taken not to be present at all.

A typical case is the zero anaphor related to the topic in topic-comment constructions like [44]. The 这几个学生 *zhe4ji3ge4 xue2sheng1* ‘these students’ in [44] appears in the sentence-initial position of the topic but represents the entity affected by the action of 教 *jiao1* ‘teach,’ which requires an argument in the object position. Given such an interpretation, the object position in [44] is occupied by a zero anaphor that takes the topic 这几个学生 *zhe4ji3ge4 xue2sheng1* ‘these students’ as the antecedent.

[44] 这几个学生我都教过。

zhe4 ji3 ge4 xue2sheng1 wo3 dou1 jiao1 guo4
 this several CL student I all teach GUO
 ‘These students, I taught them.’

The topic 年夜饭 *nian2ye4fan4* ‘New Year’s Eve Dinner’ in [45] also represents the entity being affected by the verb 吃 *chi1* ‘eat’ and could have appeared in the object position if it was not already occupied by 火锅 *huo3guo1* ‘hot pot.’ Under such circumstances, 年夜饭 *nian2ye4fan4* ‘New Year’s Eve Dinner’ is not taken as the antecedent of a zero anaphor, which is not even observable. In other words, the object position in this case does not host a zero anaphor, according to the criteria for identifying zero anaphors described above. On the other hand, when the subject argument position is not filled, it can accommodate a zero subject argument. [45] serves to exemplify this last point, where 年夜饭 *nian2ye4fan4* takes the topic position and a zero anaphor (\emptyset) is identified as the subject.

[45] 胡阿姨早打算好了, 年夜饭 \emptyset 就吃火锅, 既方便又热闹。

(\emptyset = subject)

hu2 a1yi2 zao3 da3suan4 hao3 le0
 HU auntie early plan good LE
nian2ye4fan4 jiu4 chi1 huo3guo1 ji4
 new_years_eve_dinner JIU eat hot_pot and
fang1bian4 you4 re4nao4
 convenient again lively

‘Auntie HU has planned well ahead of time. For the New Year’s Eve Dinner, they will eat (the contents of) hot pot. It is both convenient and lively.’

Summarizing the above descriptions, a zero anaphor occupies an obligatory argument position as defined by the predicate argument structure in the lexicon. It can be identified if the argument position is not occupied by a lexically realized item. The same applies to cases where a zero anaphor has an anticipatory

antecedent. The subject position of the first clause in [46] is occupied by a zero anaphor, which takes the 他 *ta1* ‘he’ in the second clause as the antecedent, while the zero anaphor in [47] takes 道格拉斯 ‘Douglas’ as the anticipatory antecedent.

- [46] 今天中午 \emptyset 刚吃过饭, 他顾不上休息又急匆匆地出门了
 jin1tian1 zhong1wu3 gang1 chi1 guo4 fan4 ta1
 today noon just eat GUO meal he
 gu4bu2shang4 xiu1xi2 you4 ji2cong1cong1
 cannot_attend_to_or_manage rest again hurriedly
 de0 chu1men2 le0
 DE go_out LE
 ‘Immediately after his lunch today, he set out in a hurry without taking a break, saying that he needed to visit some close contacts.’
- [47] \emptyset 出院之后, 道格拉斯果然戒掉了酗酒的恶习。
 chu1yuan4 zhi1hou4 dao4ge2la1si1
 discharge_from_hospital after Douglas
 guo3ran2 jie4diao4 le0 xu4jiu3 de0
 as_expected quit LE drink_excessively DE
 e4xi2
 bad_habit
 ‘Sure enough, Douglas quit his nasty drinking habit after leaving the hospital.’

16.2.4. Anaphors in discourse

This section sketches the distribution of different types of anaphors and factors affecting their alternation. Zero, pronominal, and nominal anaphors not only differ in lexical form but also in the amount of referential information each is encoded to carry. A zero anaphor has nil lexical form. It also contains minimal information, linking itself to an antecedent that is easily accessible and identifiable. The distance from the zero anaphor to the antecedent is by default the nominal phrase that is the closest, but the anaphoric reference can also be maintained by one or more ensuing zero anaphors, thus forming an anaphoric chain sharing a single initial antecedent. Pronominal anaphors form a closed set of lexical forms that contain information pertaining to number and gender (but not case), encoding clues for antecedent-tracking, which can involve a longer distance. A nominal anaphor has both full-fledged lexical form and complete information content. Its link to an antecedent can be long-distance.

When a zero anaphor is used, the structure containing it and the one containing its antecedent are understood to be closely linked in meaning. In cases where a

chain of zero anaphors is related to a single antecedent, a chain of states or events is presented in series. In the series of clauses in [48], a personal name 左小龙 *zuo3 xiao3 long2* is introduced in [48a] to serve as the antecedent for the zero anaphors in [48b], [48c], [48d], and [48e].

- [48] a. 左小龙蹲在地上。 [nominal phrase initiated]
zuo3 xiao3 long2 dun1 pa1 zai4 di4 shang4
 ZUO_Xiaolong squat PREP ground
 'Zuo Xiaolong squatted on the ground.'
- b. \emptyset 依靠着橘黄色路灯的微光, [sequence of acts]
yi1 kao4 zhe0 ju2 huang2 se4 lu4 deng1 de0 wei2
 backing ZHE orange road_lamp DE dim
guang1
 light
 'guided by the dim light of the orange road lamp that was adjusted to its minimum brightness'
- c. \emptyset 摸索着把散落的引擎部件一片一片拾了起来, [sequence of acts]
mo1 suo3 zhe0 ba3 san4 luo4 de0 yin3 qing2
 fumble ZHE BA fall_here_and_there DE engine
bu4 jian4 yi1 pian4 yi1 pian4 shi2 le0 qi3 lai2
 parts one CL one CL collect LE up
 '(he) fumbled to gather together the scattered engine parts piece by piece'
- d. \emptyset 弄得满手都是机油, [related state]
nong4 de0 man3 shou3 dou1 shi4 ji1 you2
 make DE full hand all be machine_oil
 '(he) got his hands completely covered by engine oil'
- e. \emptyset 然后让洪岚到店里要了一个塑料袋。 [related sequence]
ran2 hou4 rang4 hong2 lan2 dao4 dian4 li3 yao4
 then let Honglan go_to inn inside ask_for
le0 yi1 ge4 su4 jiao1 dai4
 LE one CL plastic bag
 '(He) then had Honglan get a plastic bag from a shop.'
- f. 他将这些残缺的活塞、曲轴、连杆等东西放进了塑料袋里。
 [An event separated from (a)–(e)]
ta1 jiang1 zhe4 xie1 can2 que1 de0 huo2 sai1
 he JIANG these incomplete DE piston
qu1 zhou2 lian2 gan3 deng3 dong1 xi1 fang4
 crankshaft connecting_rod and_so_on thing put

jin4 le0 su4jiao1 dai4 li3
 in LE plastic bag inside
 'He put the broken pistons, crankshaft, connecting rods, and other
 parts into the plastic bag.'

Sometimes a pronoun is used instead of a zero anaphor in such a series, due to the influence of several discourse factors. A common factor is that the referential link needs to be reasserted, because there has been an intervention by some other referent, as in the case of [48]. A different personal name 洪岚 *hong2lan2* is introduced in [48e] to compete with 左小龙 *zuo3 xiao3long2*, and an overt pronoun 他 *ta1* 'he' is used in the subject position to ensure the correct flow of information. Another factor is that a demarcation of states or events needs to be imposed, as in [48f]. The third factor is that in oral speech, the speaker pauses long enough between sentences or repeatedly uses pronouns as fillers, as in [49a].

- [49] a. 我有一个哥哥。我们俩呀，早就分开了。因为我吧，小的时候，
 是抱给人家的。
 wo3 you3 yi1 ge4 ge1ge0 wo3men0 liang3
 I have one CL elder_brother we two
 ya0 zao3 jiu4 fen1kai1 le0 yin1wei4 wo3 ba0
 YA early then part LE because I BA
 xiao3 de0 shi2hou0 shi4 bao4gei3 ren2jia1 de0
 small DE when be cradle_give other_people DE
 'I had one elder brother. My brother and I were separated long time
 ago, because I was given up for adoption when I was small.'
- b. 解放以后我哥哥要找我，这我们俩又，又相认。
 jie3fang4 yi3hou4 wo3 ge1ge0 yao4 zhao3
 Liberation after I elder_brother want seek
 wo3 zhe4 wo3men0 liang3 you4 you4
 I this we two again again
 xiang1ren4
 mutually_recognize
 'After Liberation, my elder brother wished to look for me. Thus, we
 two got acquainted again.'
- c. 他是哪儿的？
 ta1 shi4 na3er0 de0
 he be where DE
 'Where is he (working) now?'

- d. 他是交通部印刷厂的。
 ta1 shi4 jiao1tong1bu4 yin4shua1chang3
 he be Ministry_of_Communication sprinting_factory
 de0
 DE
 'He works at the Ministry of Transportation printing factory.'
- e. 他不姓马;
 ta1 bu4 xing4 ma3
 he NEG surname MA
 'His surname is not Ma;'
- f. 他姓冯。
 ta1 xing4 feng2
 he surname Feng
 'His surname is Feng.'

If, further still, a nominal anaphor is used instead of the two other variants, or if exactly the same nominal phrase is reintroduced, it is once again due to several discourse factors. One is that the anaphoric chain has been blurred due to the occurrence of multiple referents, so that an earlier referent needs to be introduced anew. Another is that the discourse shifts to an entirely different topic, as in [49e]. Yet another reason is that the newly introduced referent only partially overlaps with its antecedent in content, like the 他 *ta1* 'he' in [49c], which refers to one of the two persons mentioned in [49b].

The above descriptions on the distribution of anaphora are general tendencies that can be overridden by other factors. Where the encoded grammatical and structural information provides stronger clues, guiding the reference tracking in a fixed direction, the distance in anaphoric dependency can be extended, and even intervening referents will not cause disruption to the anaphoric chain of reference. Such encoded features include lexical information of specific verbs, discourse structure, perspective, and information structure. [50] presents a case where the first-person narrator can reactivate an anaphoric chain in [50e] with a zero anaphor when the narrator-oriented verb 想起 *xiang3qi3* 'recall' is used, even with the intervention of another referent 某皇帝 *mou3 huang2di4* 'a certain emperor' as the subject of a sentence in between, that is, in [50c], and the occurrence of one more human referential nominal phrase in a non-argument position, that is, 文武百官 *wen2wu3bai3guan1* 'officials and generals.' However, in [50h], a zero anaphor is no longer possible because 泄气 *xie4qi4* 'lose hope' is not lexically distinct enough to evoke a subject zero anaphor that takes the narrator as its antecedent, rather than the intervening 武大郎

wu3da4lang2 'Wu the Elder.' Hence, the deictic pronoun is introduced again in [50h].

- [50] a. 我憧憬放牛读书, 青灯黄卷的淳朴境界,
 wo3 chong1jing3 fang4niu2 du2shu1 qing1
 I want herd_cattle read green
 deng1 huang2 juan4 de0 chun2pu2 jing4jie4
 lamp yellow scroll DE simple realm
 'I have been longing for the idealistic life of reading while cattle-herding, and studying the aged classics with a soft-lighted lamp,'
- b. 近日翻阅史籍,
 jin4ri4 fan1yue4 shi3ji2
 recent browse historical_records
 'recently, I read history books (and found out that)'
- c. 某皇帝曾颁旨,
 mou3 huang2di4 ceng2 ban1 zhi3
 certain emperor ever award decree
 'a certain emperor once issued a decree'
- d. 京城民居房顶不得高于文武百官上朝站立的地方。
 jing1cheng2 min2ju1 fang2ding3
 capital_of_country house_of_common_people roof
 bu4de2 gao1 yu2 wen2wu3bai3guan1
 must_not higher PREP the_civilian_and_military_courtiers
 shang4chao2 zhan4li4 de0 di4fang1
 hold_court stand DE place
 'That buildings in the Capital should not be higher than the place where all the officials and generals gathered to greet the emperor.'
- e. 想起漫画家方成的《武大郎开店》,
 xiang3qi3 man2hua4 jia1 fang1cheng2 de0
 think_of cartoon master Fang_Cheng DE
 wu3da4lang2 kai1 dian4
 Wu_Dalang open inn
 'I recalled a cartoon by Fang Cheng titled "Wu the Elder doing business."'

- f. 武大郎在矮矮的房屋里做老板,
 wu3da4lang2 zai4 ai3ai3 de0 fang2wu1 li3 zuo4
 Wu_Dalang PREP short DE house inside do
 lao3ban3
 boss
 ‘In which the short Mr. Wu was the boss in a low-ceilinged house.’
- g. 又不愿雇用比他高的伙计。
 you4 bu4 yuan4 gu4yong4 bi3 ta1 gao1 de0
 again NEG want hire than he tall DE
 huo3ji4
 employee
 ‘And was reluctant to hire anyone taller than he is.’
- h. 我不由泄气: 这就是我们的封建心态, 没有田园诗般的生活。
 wo3 bu4you2 xie4qi4 zhe4 jiu4 shi4
 I cannot_help be_discouraged this then be
 wo3men0 de0 feng1jian4 xin1tai4 mei2you3
 we DE feudal mentality NEG
 tian2yuan2shi1 ban1 de0 sheng1huo2
 idyllic like DE life
 ‘At the thought of this, I couldn’t help losing hope: this was our feudal mindset, and there is no room for the real idyllic life.’

16.2.5. Pro-verbs and headless nominals as anaphors

Pro-verbs are those with more general meaning – such as 做 *zuo4* ‘do,’ 干 *gan1* ‘do,’ 办 *ban4* ‘do,’ and 是 *shi4* ‘be’ – that are sometimes used to stand for a previously mentioned verb or VP segment. The semantic content of such verbs needs to be recovered by establishing an anaphoric link to the previously occurring antecedent verbs. The 那么做 *na4me0 zuo4* ‘do so’ in [51] represents the previous verb phrase “giving him 160 dollars”; the 这么干 ‘do this’ in [52] is linked with the previous predicate “adding another optical cable”; and the 我也是 *wo3 ye3 shi4* ‘so do I’ in [53] is related to the previous statement “I miss you a lot.”

- [51] 我想, 还没有赚钱, 就要先给他 160 元, 我不愿那么做, 就推掉了。
 wo3 xiang3 hai2 mei2you3 zhuan4qian2 jiu4 yao4
 I think still NEG make_money then will
 xian1 gei3 ta1 160 yuan2 wo3 bu4 yuan4
 before give he 160 yuan I NEG be_willing_to

na4me0 zuo4 jiu4 tui1diao4 le0

that_way do then push LE

'I thought: I have not made any money yet and have to give him 160 dollars. I didn't want to do that, so I turned down the request.'

- [52] 光缆价格很贵, 一条够用了, 何必还要再上一条, 就是发达国家也不这么干。

guang1lan3 jia4ge2 hen3 gui4 yi1 tiao2 gou4
optical_fiber price very expensive one CL enough

yong4 le0 he2bi4 hai2 yao4 zai4 shang4 yi1
use LE why still want again up one

tiao2 jiu4 shi4 fa1da2guo2jia1 ye3 bu4 zhe4me0
CL even be developed_country also NEG so

gan4

do

'Optical fiber cable is very expensive. One such line is enough, why add another? Even developed countries would not do that.'

- [53] 高等法院以电话中“我想死你了,”“我也是, 好想妳哦”等亲昵对话, 认定二人有亲密关系。

gao1deng3fa3yuan4 yi3 dian4hua4 zhong1 wo3
Higher_Court PREP telephone in I

xiang3si3 ni3 le0 wo3 ye3 shi4 hao4 xiang3 ni3
miss_die you LE me too be so miss you

o0 deng3 qin1ni4 dui4hua4 ren4ding4 er4
O etc. affectionate dialogue judge_asertain two

ren2 you3 qin1mi4 guan1xi4

person have intimate relation

'The Higher Court came to the conclusion that the two had had an intimate relationship, based on telephone (transcripts) which contain affectionate dialogues such as "I miss you terribly," and "Me too, I miss you so much."'

Elements in a construction are sometimes reduced to simpler forms. The remainder of such a reduction needs to have its semantic content recovered through establishing an anaphoric link with an antecedent, either in the same clause or beyond, in the larger discourse. Thus, a reduced segment acts as an anaphor, looking for an antecedent whose grammatical category matches that of the missing element in the reduced form. A typical case is headless nominal phrases, either in the form of Num-CL without the head noun or in the form of the headless 的-*de0*-construction. The reference of 一二十杯 *yi1 er4 shi2 bei1* 'ten or twenty cups' in [54] is recovered from the antecedent 闷酒 *men4jiu3* 'boring liquor

(drink alone).’ In the case of headless 的- *de0*- constructions, its missing head can be either recovered in the immediate context or construed as having an arbitrary reference. Those in [55], namely, 搞原子弹的 *gao3 yuan2zi3dan4 de0* ‘one who makes atomic bomb,’ 卖茶叶蛋的 *mai4 cha 2ye4dan4 de0* ‘one who sells tea-leaf eggs,’ 拿手术刀的 *na2 shou3shu4dao1 de0* ‘one who works with scalpels,’ and 拿剃头刀的 *na2 ti4tou2dao1 de0* ‘one who works with barber’s razor,’ all have an arbitrary reference in that they refer to people who have a particular business for a living.

- [54] 他一个人在酒吧喝闷酒, 足足喝了一二十杯。

ta1 yi1ge4ren2 zai4 jiu3ba1 he1 men4jiu3
 he by_self PREP bar drink sullen_liquor
 zu2zu2 he1 le0 yi1 er4 shi2 bei1
 fully drink LE one two ten CL

‘He was drinking alone and sulking in a bar, and ended up finishing ten, twenty full glasses.’

- [55] 早些年, 这句“搞原子弹的不如卖茶叶蛋的, 拿手术刀的不如拿剃头刀的”, 连小学生都知道。

zao3 xie1 nian2 zhe4 ju4 gao3 yuan2zi3dan4 de0
 early some year this CL make atomic_bomb DE
 bu4ru2 mai4 cha 2ye4dan4 de0 na2
 not_as_good_as sell tea_leave_egg DE handle
 shou3shu4dao1 de0 bu4ru2 na2 ti4tou2dao1
 scalpel DE not_as_good_as handle barber_razor
 de0 lian2 xiao3xue2sheng1 dou1 zhi1dao4
 DE even pupil all know

‘In those earlier years, the saying was well known (in China), even among elementary school students: “those who work on atomic bombs fare worse than those who sell tea-flavored eggs, and those who work with scalpels fare worse than those who work with barber’s razors.”’ [antecedent understood as an arbitrary reference]

What has been discussed in this sub-section does not involve ellipsis, because the relevant cases involve syntactic remnants. Zero anaphora also does not involve ellipsis, as it concerns the zero form, which, though not directly observable, cannot be considered omitted.

Information structure

Shu-ing Shyu

This chapter introduces “information-packaging” constructions, which differ syntactically from canonical constructions. These information-packaging constructions present and package information differently from canonical structures (see Chapter 2). It is important to note that although many of the non-canonical sentences adopting information-packaging constructions express the same truth condition or illocutionary meaning as their canonical counterparts, there are also instances of such non-canonical sentences without canonical counterparts (see Chapter 15). Although the information-packaging function remains the same regardless of whether a sentence has a canonical counterpart or not, this function is best illustrated with pairs of information-packaging [a] sentences and their canonical [b] counterparts in [1]–[8].

- [1] a. 这两套书他看过。/他这两套书看过。 [object preposing]
 zhe4 liang3 tao4 shu1 ta1 kan4 guo4 ta1
 this two CL book he look GUO he
 zhe4 liang3 tao4 shu1 kan4 guo4
 this two CL book look GUO
 ‘These two series of books, he has read.’
- b. 他看过这两套书。
 ta1 kan4 guo4 zhe4 liang3 tao4 shu1
 he look GUO this two CL book
 ‘He has read these two series of books.’
- [2] a. 摇篮里躺着出世未久的婴儿。 [locative inversion]
 yao2lan2 li3 tang3 zhe0 chu1shi4wei4jiu3 de0
 cradle inside lie ZHE new_born DE
 ying1er2
 baby
 ‘In the cradle lies the newly born baby.’

- b. 出世未久的婴儿躺在摇篮里。
 chu1shi4wei4jiu3 de0 ying1er2 tang3 zai4 yao2lan2
 new_born DE baby lie PREP cradle
 li3
 inside
 'The newly born baby lies in the cradle.'
- [3] a. 家里来了一个外国人。 [unaccusative inversion]
 jia1li3 lai2 le0 yi1 ge4 wai4guo2ren2
 home come LE one CL foreigner
 '(What happened at our home was that) a foreigner came.'
- b. 有一个外国人来家里。
 you3 yi1 ge4 wai4guo2ren2 lai2 jia1li3
 YOU one CL foreigner come home
 'A foreigner came to my home.'
- [4] a. 他把那本书看完了。 [ba construction]
 ta1 ba3 na4 ben3 shu1 kan4 wan2 le0
 he BA that CL book look finish LE
 'He finished reading *that book*.'
- b. 他看完了那本书。
 ta1 kan4 wan2 le0 na4 ben3 shu1
 he look finish LE that CL book
 'He finished reading that book.'
- [5] a. 他被蜜蜂刺了一下。 [bei construction]
 ta1 bei4 mi4feng1 ci4 le0 yi1 xia4
 he BEI bee sting LE one CL
 'He was stung by a bee.'
- b. 蜜蜂刺了他一下。
 mi4feng1 ci4 le0 ta1 yi1 xia4
 bee sting LE he one CL
 'A bee stung him.'
- [6] a. 我是从美国来的。 [shi...de construction]
 wo3 shi4 cong2 mei3guo2 lai2 de0
 I be PREP the_United_States come DE
 'It is from the United States that I came.'

- b. 我从美国来。
 wo3 cong2 mei3guo2 lai2
 I PREP the_United_States come
 'I came from the United States.'
- [7] a. 他们连自己的心跳声都听见了。 [*lian...dou/ye* construction]
 ta1men0 lian2 zi4ji3 de0 xin1tiao4 sheng1 dou1
 they even self DE heartbeat sound all
 ting1jian4 le0
 hear LE
 'They can even hear their own heartbeat.'
- b. 他们听见了自己的心跳声。
 ta1men0 ting1jian4 le0 zi4ji3 de0 xin1tiao4 sheng1
 they hear LE self DE heartbeat sound
 'They heard their own heartbeat.'
- [8] a. (你) 只有这条路 (你) 可以走。 [*zhi* sentences]
 ni3 zhi3you3 zhe4 tiao2 lu4 ni3 ke2yi3 zou3
 you only this CL way you can walk
 'This is the only way you can take.'
- b. 你只可以走这条路。
 ni3 zhi1 ke3yi3 zou3 zhe4 tiao2 lu4
 you only can walk this CL way
 'You only can take this way.'

The word order variations are largely motivated by how speakers choose to convey parts within the sentences as “given” or “new” information in discourse, which was introduced in Chapter 15. While phonetic stress may be a device to mark emphasis, Mandarin also utilizes various syntactic means to package prominent information. Given information, or “old” or “familiar” information, is what the speaker takes or assumes to be shared by both the speaker and the addressee. This is termed as “discourse-old.” By contrast, “unfamiliar” or “new” information that is newly introduced to the current discourse is “discourse-new.” In fact, discourse-old information may not always be mentioned in a given context. Once a salient relation between one entity and another contextually known entity is established, this entity, albeit apparently new, can be counted as discourse-old.

- [9] a. 有位朋友送我一本书。作者是 Roni。
 you3 wei4 peng2you3 song4 wo3 yi1 ben3 shu1
 YOU CL friend give I one CL book

zuo4zhe3 shi4 Roni

author be Roni

'A friend of mine gave me a book. The author is Roni.'

- b. 月梅直奔阿吉的练团室。门掩着。

yue4mei2 zhi2 ben4 a1ji2 de0 lian4tuan2shi4

Yuemei directly run A-Ji DE practice_room

men2 yan3 zhe0

door close ZHE

'Yuemei rushed to A-Ji's practice room. The door was closed.'

- [10] 人能够发声动物也会发声, 鸟会叫, 狗会吠。

ren2 neng2gou4 fa1sheng1 dong4wu4 ye3 hui4

human can make_sound animal also can

fa1sheng1 niao3 hui4 jiao4 gou3 hui4 fei4

make_sound bird can chirp dog can bark

'Men can make sounds, so do animals. Birds can chirp. Dogs can bark.'

作者 *zuo4zhe3* 'author' in [9a] is discourse-old information because it relates to the book just mentioned. Likewise, there is a part-whole relation between the door and the room in [9b]; hence, we can infer that 门 *men2* 'door' refers to the practice room in the first clause. Familiar bare nouns like 鸟 *niao3* 'bird' and 狗 *gou3* 'dog' in [10] are discourse-old because they refer to commonly known and shared knowledge. Their familiarity is enhanced in this case by the mentioning of their hypernym 动物 *dong4wu4* 'animal' in the context.

Discourse-old information often correlates with addressee-old information in the sense that discourse-old information is usually familiar to the addressee. However, they are not absolutely identical. There are cases where an addressee can easily and accurately pinpoint the referent of a term, even though this term occurs for the first time in the discourse.

- [11] 总统对中油努力探勘油源所花费的心力, 表示肯定。

zong3tong3 dui4 zhong1you2 nu3li4 tan4kan1

president PREP China_Petroleum work_hard prospect

you2yuan2 suo3 hua1fei4 de0 xin1li4 biao3shi4

oil_source SUO spend DE efforts express

ken3ding4

acknowledge

'The president acknowledges China Petroleum's prospecting efforts for oil.'

The sentence above shows that, although 总统 *zong3tong3* ‘president’ seems to appear for the first time, its referent is known to the addressee. In this sense, it encodes discourse-new but addressee-old information. On the other hand, information that is new to the addressee (i.e., “addressee-new”) tends to serve as the focus or emphasis of a clause.

[12] a. Q: 你是从哪里来的?

ni3 shi4 cong2 na2li3 lai2 de0
 you be PREP where come DE
 ‘Where are you from?’

b. A: 我是从美国来的。

wo3 shi4 cong2 mei3guo2 lai2 de0
 I be PREP United_States come DE
 ‘It is the United States that I am from.’

The phrase 从美国 *cong2 mei3guo2* ‘from United States’ in [12b] is a focus answer to the question in [12a], with the presupposition that “you have come from somewhere.” This focus reading is enforced by the 是...的 *shi4...de0* construction.

Terms like “topic” and “focus” have been commonly used to encode (or package) the given/new pragmatic information. These two terms are similar. However, they differ in that topics express discourse-old information, while foci express addressee-new information. Specifically, there are two main devices to convey pragmatic information: word order alternation, exemplified in [1]–[5], and focus constructions, exemplified in [6]–[8]. Before elaborating on each pattern, some general properties of information structure are summarized as follows.

- 1 The linear order of a sentence is closely related to information structure; in particular, new and specific information is usually preceded by old and general information. In other words, a more informative item tends to occur toward the end of a sentence.
- 2 Occurrence in the pre-verbal position marks the information contained as given or definite.
- 3 Given information is usually expressed by a definite or specific nominal phrase, while new information is often encoded by an indefinite or bare form.
- 4 Sentence may be partitioned into “topic” and “comment” parts. The former identifies a contextually understood entity and the latter elaborates on or provides more information for this entity. In a canonical subject–predicate pattern, the subject may be interpreted as the topic and the predicate as the comment.

17.1. Topic and object preposing

A topic typically involves preposing a participant of the main predicate to achieve the following information effects: signaling discourse-old information, setting a frame, and contrasting topics.

17.1.1. Discourse-old information

A topic usually occurs at the beginning of a sentence, and the rest of the sentence, the comment, elaborates on it or talks about it. Topics usually take the form of definite or specific nominal phrases, and they are either mentioned or relevant to the previous discourse. Take the short narrative in [13] as an example.

- [13] a. 我特别喜欢读...贾平凹的书。 [SVO]
 wo3 te4bie2 xi3huan1 du2 jia3ping2wa4 de0 shu1
 I especially like read JIA_PINGWA DE book
 'I especially like to read books by JIA Pingwa.'
- b. 在台湾只看到了平凹的两本书, ...
 zai4 tai2wan1 zhi3 kan4dao4 le0 ping2wa4 de0
 PREP Taiwan only see LE PINGWA DE
 liang3 ben3 shu1
 two CL book
 'In Taiwan, I only saw two of Pingwa's books ...'
- c. 这两本书我都要看烂了。 [OSV]
 zhe4 liang3 ben3 shu1 wo3 dou1yao4 kan4
 this two CL book I almost look
 lan4 le0
 torn_apart LE
 'These two books are worn and torn because I have read them so often.'

The underlined post-verbal object in [13a], books written by JIA Pingwa, is discourse-new information, just introduced to the discourse. In the following sentence [13b] the speaker specifies two of his books that she can get in Taiwan. When more new information about these two books is added in [13c], the target referent, which is a definite nominal 这两本书 *zhe4 liang3 ben3 shu1* 'these two books' and signals its status as discourse-old information, occurs in the sentence-initial position as a topic for the remaining comment clause to elaborate on. In addition to definite nominal phrases, bare nominal phrases and specific nominal phrases, as exemplified in [14] and [15], respectively, can also function as topics. Moreover, a specific nominal phrase occurring with the modal verb 能 *neng2* 'can' in [15b] is interpreted as a generic topic.

- [14] 只要肯做, 困难一定能克服。
 zhi3yao4 ken3 zuo4 kun4nan2 yi1ding4 neng2
 as_long_as willing do difficulty surely can
 ke4fu2
 overcome
 'As long as (you) are willing to do it, difficulties can be overcome.'

- [15] a. 一个可容以万计人数的大堂, 出现在眼前?
 yi1 ge4 ke3 rong2 yi3 wan4 ji4
 one CL can accommodate PREP ten_thousand count
 ren2shu4 de0 da4tang2 chu1xian4 zai4
 number_of_people DE hall appear PREP
 yan3qian2
 front
 'A hall that can accommodate tens of thousands of people appears in front of us.'
- b. 一篇上好的佳作并不是只靠以上三点就能完成。
 yi1 pian1 shang4hao3 de0 jia1zuo4 bing4
 one CL first-class DE excellent_work however
 bu4 shi4 zhi3 kao4 yi3shang4 san1 dian3 jiu4
 NEG be only PREP above three point thus
 neng2 wan2cheng2
 can achieve
 'An excellent writing cannot be achieved just by the above three points.'

17.1.2. Frame-setting, aboutness topics

Discourse-old topics set a spatial, temporal, or eventive frame relevant to the comment clause, which talks “about” or adds new information to the topics. Sentences containing frame-setting or “aboutness” topics may exhibit a “part-whole” relation between the comment and the topic.

- [16] a. 香港消费的水果以进口的为主...
 xiang1gang3 xiao1fei4 de0 shui3guo3 yi3 jin4kou3
 Hong_Kong consume DE fruit PREP import
 de0 wei2zhu3
 DE as_the_majority
 'Fruits consumed in Hong Kong are mainly imported...'

- b. 在进口的各种水果中, 以橙子和苹果最多。

zai4 jin4kou3 de0 ge4zhong3 shui3guo3 zhong1
 PREP import DE various_kinds fruit among
 yi3 cheng2zi0 he2 ping2guo3 zui4duo1
 PREP orange and apple most
 ‘Among various imported fruits, oranges and apples are the most common.’

The topic 在进口的各种水果中 *zai4 jin4kou3 de0 ge4zhong3 shui3guo3 zhong1* ‘among the imported fruits’ in [16.b] refers to the previously mentioned imported foods in Hong Kong in [16a], meanwhile, setting a “frame” (the “whole”) for the remaining comment clause to specify the most common subtypes: oranges and apples (the “parts”).

Sentences in [17] through [19] further illustrate the part-whole relation and the frame-setting function of the topic, for example, the Song Dynasty’s territory and population in [17] and Chinese dynasties in [18]. As for [19b], a so-called double-subject construction can be seen as having an aboutness topic. Specifically, the first nominal phrase can serve as a topic, particularly when it is followed by a longer pause or a phrasal boundary marker like 啊 *a4* or 呢 *ne0*.

- [17] 宋朝地广人众, 纵然战败, 实力仍强。

song4chao2 di4 guang3 ren2 zhong4
 Song_Dynasty land vast people many
 zong4ran2 zhan4bai4 shi2li4 reng2 qiang2
 even_though defeated strength still strong
 ‘The Song Dynasty has vast territory and [a] large population;
 even if it is defeated (in this war), it still has great strength.’

- [18] 中国历代吃蟹花样繁多。

zhong1guo2 li4dai4 chi1 xie4 hua1yang4fan2duo1
 China all_dynasties eat crab have_various_ways
 ‘The China dynasties have various ways of eating crabs.’

- [19] a. 你六十四年次的吗? 你看起来怎么那么老? 我不太相信呢!

ni3 liu4shi2si4nian2ci4 de0 ma0 ni3 kan4 qi3lai2
 you the_sixty_fourth_year DE MA you look QILAI
 zen3me0 na4me0 lao3 wo3 bu4tai4 xiang1xin4
 how so old I NEG_really believe
 ne0
 NE
 ‘(You said) you were born in 1975? How come you look so old? I don’t believe it!’

- b. 你身份证让我看一下好不好?

ni3 shen1fen4zheng4 rang4 wo3 kan4 yi1 xia4
you identity_card let I look one CL

hao3 bu4 hao3

OK NEG OK

'How about your ID, can I see it?'

Discourse-old information applies not only to elements that have been explicitly mentioned in the prior discourse, but also to those that are relevant to the current discussion, though not having been explicitly said. Location, temporal, and prepositional phrases are commonly used as frame-setting topics.

- [20] a. 中研院的对面有所胡适国小, 侧边有座胡适公园, 院区内还有个胡适纪念馆。

zhong1yan2yuan4 de0 dui4mian4 you3 suo3
Academia_Sinica DE opposite_side YOU CL

hu2shi4 guo2xiao3 ce4bian1 you3 zuo4
HU_SHI elementary_school side YOU CL

hu2shi4 gong1yuan2 yuan4qu1 nei4 hai2 you3 ge4
HU_SHI park campus inside also have CL

hu2shi4 ji4nian4guan3
HU_SHI memorial_museum

'At Academia Sinica, there is HU Shi Elementary School in front of it, HU Shi Park to its side, and HU Shi Memorial Museum on campus.'

- b. 在这个地方, 胡适先生似乎享有着一份特殊的地位。

zai4 zhe4 ge4 di4fang1 hu2shi4 xian1sheng0 si4hu1
PREP this CL place HU_SHI Mr. seem

xiang3you3 zhe0 yi1 fen4 te4shu1 de0 di4wei4
enjoy ZHE one CL special DE respect

'At this place, Mr. HU Shi seems to enjoy a special status.'

- [21] 在台大的四年里, 我只听过一次钱校长...的演说。

zai4 tai2da4 de0 si4 nian2 li3 wo3 zhi3 ting1
be_at NTU DE four year in I only listen

guo4 yi1 ci4 qian2 xiao4zhang3 de0 yan3shuo1
GUO one CL QIAN president DE speech

'In the four years (when I was at) National Taiwan University, I only heard President QIAN's speech once.'

- [22] 从钟的论述可知...台湾...已有过度医疗化的现象。
 cong2 zhong1 de0 lun4shu4 ke3 zhi1 tai2wan1
 PREP ZHONG DE statement can know Taiwan
 yi3 you3 guo4du4 yi1liao2hua4 de0 xian4xiang4
 already YOU over medicalized DE phenomenon
 'From ZHONG's argumentation, we know that Taiwan has become
 over-medicalized.'

In the context of mentioning the places near Academia Sinica named after Dr. 胡适 *hu2shi4*, the topic 在这个地方 *zai4 zhe4 ge4 di4fang1* 'at this place' in [20b] is uttered to continue the discussion and serve as a background for its following comment clause. The sentence in [21] illustrates temporal frame-setting topics, while [22] contains a prepositional topic phrase: 从钟的论述 *cong2 zhong1 de0 lun4shu4* 'from Mr. ZHONG's statement.' Aboutness topics may include phrases introduced by 至于 *zhi4yu4*, 关于 *guan1yu2*, or 对于 *dui4yu2* 'as for, regarding.' These topics direct the hearer's attention to some salient entities relevant to the previous discourse, though they are not necessarily identical to the prior mentioned elements.

- [23] a. 「文化大革命」中林被捕入狱四年半。
 wen2hua4da4ge2ming4 zhong1 lin2 bei4 bu3
 Cultural_Revolution during Lin BEI arrest
 ru4yu1 si4 nian2 ban4
 go_to_prison four year half
 'During [the] Cultural Revolution, Lin was jailed for four years.'
- b. 大量的精心作品先已浸入水盆、浴缸中溶成纸浆,从下水道冲走。
 da4liang4 de0 jing1xin1 zuo4pin3 xian1 yi3
 a_lot_of DE carefully works earlier already
 jin4ru4 shui3 pen2 yu1gang1 zhong1 rong2
 immerse water basin bathtub in dissolve
 cheng2 zhi3jiang1 cong2 xia4shui3dao4 chong1 zou3
 into paper_pulp PREP sewer flush go
 'A lot of his carefully crafted works had been immersed in water in a
 basin or tub to dissolve into pulp, and flushed away through the sewer.'
- c. 至于油画,则早在杭州沦陷后被日军用作防雨布了。
 zhi4yu2 you2hua4 ze2 zao3 zai4 hang2zhou1
 PREP oil_painting then early PREP Hangzhou
 lun2xian4 hou4 bei4 ri4jun1 yong4zuo4
 fall after BEI Japanese_army use_as

fang2yu3bu4 le0
 tarpaulin LE
 ‘As for his paintings on canvas, (they) were already gone when
 Hangzhou had fallen to the Japanese army earlier, used by them as [a]
 tarpaulin.’

In the context of discussing the political turmoil that devastated art works in the 1950s, the painter 林 *lin2* was mentioned in [23a]. Although the topic phrase 大量的精心作品 *da4liang4 de0 jing1xin1 zuo4pin3* ‘a lot of (Lin’s) carefully crafted art masterpieces’ in [23b] is not explicitly mentioned in the previous context, the knowledge of his works has been assumed to be shared by the addressee. Hence, appearing in the sentence-initial position, the phrase facilitates confining the issue to his art works. In its following sentence [23c], the attention is shifted to his works that were lost earlier: the topic 至于油画 *zhi4yu2 you2hua4* ‘as for the oil paintings’ was elaborated on by the following comment clause.

17.1.3. Contrastive topics

In addition to the topics conveying given information, a topic may be introduced into the discourse to contrast a relevant entity with what has been established in the context. This is often termed as a “contrastive topic.” It is discourse-old, but it is informative and sometimes addressee-new. A contrastive topic is not necessarily mentioned previously.

[24] a. 周先生子女皆知上进...

zhou1 xian1sheng0 zi2nu3 jie1 zhi1 shang4jin4
 ZHOU Mr. children all know self-motivated
 ‘Mr. Zhou’s children are all self-motivated...’

b. 对于孩子的教养, 周先生主张让孩子思想多方面发展。

dui4yu2 hai2zi0 de0 jiao4yang3 zhou1 xian1sheng0
 PREP child DE nurture Zhou Mr.
 zhu3zhang1 rang4 hai2zi0 si1xiang3 duo1 fang1mian4
 suggest let child thought many facet
 fa1zhan3
 develop

‘As for how to raise his children, Mr. Zhou believes that one should allow their ideas and thoughts to develop in multiple directions.’

c. 但行为则须稍有约束。

dan4 xing2wei2 ze2 xu1 shao1 you3 yue1shu4
 but behavior then need somewhat YOU discipline
 ‘...but their behavior should be somewhat disciplined.’

[24] discusses Mr. Zhou's philosophy for raising children. [24b] contains a typical frame-setting or aboutness topic, 对于孩子的教养 *dui4yu2 hai2zi0 de0 jiao4yang3* 'as for raising the children,' for the comment clause to assert support for his children's free-thinking development. In [24c], the contrastive topic 行为 *xing2wei2* 'behavior' contrasts with 思想 *si1xiang3* 'thought' in [24b] in that, unlike the freedom given to the development of thoughts, he claims that behavior should be disciplined.

Contrastive topics allow indefinite but specific nominal phrases, usually accompanied by prenominal modifiers, as in [25].

- [25] 何先生藏书逾万本一些目前国内已不多见的珍本,在他的书架上也可找到。
 he2 xian1sheng0 cang2shu1 yu2 wan4
 HE Mr. collection_of_books exceed ten_thousand
 ben3 yi1xie1 mu4qian2 guo2nei4 yi3
 CL some at_present within_the_country already
 bu4duo1jian4 de0 zhen1ben3 zai4 ta1 de0
 rare DE precious_book PREP he DE
 shu1jia4 shang4 ye3 ke3 zhao3dao4
 bookshelf on also can find
 'Mr. He's collection is over ten thousands books... Some of [the] rare books which are rarely seen in our country, (they) can be found in his bookshelves.'

Another characteristic of contrastive topics is that they are often preceded (or contextually understood) by a topic of sum, of which the contrastive topic is a subordinate part or hyponym. For example, the answer in [27a], 多半儿的意思 *duo1ban4er0 de0 yi4si0* 'most of the meanings,' is part of the topic of sum in [26], Dr. You's speech. The remaining answers employ contrastive topics to juxtapose the parts that the speaker understands and those that s/he does not.

- [26] Q: 刚才尤博士的演讲,你听得懂听不懂?
 gang1cai2 you2 bo2shi4 de0 yan2jiang3 ni3 ting1
 just_now YOU Dr. DE speech you listen
 de0 dong3 ting1 bu4 dong3
 DE understand listen NEG understand
 'As for Dr. You's speech just now, did you understand it?'
- [27] A: a. 多半儿的意思我都听懂了。
 duo1ban4er0 de0 yi4si0 wo3 dou1 ting1
 most DE meaning I all listen
 dong3 le0
 understand LE
 'I could understand most of the contents.'

- b. 只有一小部分不太懂。

zhi2you3 yi1xiao3bu4fen4 bu4tai4 dong3
only a_small_portion NEG_really understand
'There is only a small portion that I don't understand.'

- c. 可能是那些字, 我还没学过。

ke3neng2 shi4 na4xie1 zi4 wo3 hai2 mei2
maybe be those character I yet NEG
xue2 guo4
learn GUO
'Maybe it is because of those words that I haven't learned.'

17.1.4. Clause-internal pre-verbal objects

An object may be placed in between the subject and the verb. They are called clause-internal pre-verbal objects because they function within the clause. These clause-internal pre-verbal objects can be given or shared information but are intended for emphasis or contrast. A pre-verbal object can be either a definite nominal phrase, as in [28], or a bare nominal, as in [29].

- [28] a. 李先生这一觉睡到次日辰时才醒。

li3 xian1sheng0 zhe4 yi1 jiao4 shui4 dao4
LI Mr. this one sleep sleep PREP
ci4ri4 chen2shi2 cai2 xing3
the_next_day CHEN_period just wake_up
'Mr. Li slept and didn't wake up until 8ish [the] next morning.'

- b. 尽管对这本书所讲的宏观经济理论我略知一二, 但这本书却看不懂。

jin3guan3 dui4 zhe4 ben3 shu1 suo3 jiang3 de0
although PREP this CL book SUO talk DE
hong2guan1 jing1ji4 li3lun4 wo3 lue4zhi1yi1er4 dan4
macro economy theory I know_a_little but
zhe4 ben3 shu1 que4 kan4 bu4 dong3
this CL book yet look NEG understand
'Although I understand a little about the macro-economy theory
discussed in this book, (but) I don't understand this book.'

- [29] 许多民众最近纷纷反应中央健康保险局电话打不进去。

xu3duo1 min2zhong4 zui4jin4 fen1fen1
many people recently one_after_another
fan3ying4 zhong1yang1 jian4kang1bao3xian3ju2
complain central Central_Health_Insurance_Bureau

dian4hua4 da3 bu4 jin4qu4
 telephone dial NEG into
 ‘Many people recently complained that calls to [the] Central Health Insurance Bureau failed to connect.’

Clause-internal objects may convey new information, such as 功课 ‘homework’ in [30].

- [30] 游先生下午酒后回家察觉小儿子功课没写...
 you2 xian1sheng0 xia4wu3 jiu3 hou4 hui2jia1
 YOU Mr. afternoon wine after go_home
 cha 2jue2 xiao3er2zi0 gong1ke4 mei2 xie3
 notice the_youngest_son homework NEG write
 ‘Mr. You went home in the afternoon after drinking and noticed his younger son didn’t finish his homework...’

Clause-internal objects are often used to express contrastive alternatives. The examples in [31] below exemplify parallel structures of contrasting various foods.

- [31] a. 小时候我特别的偏食...我...这鸡不吃,鸭不吃,鱼不吃,肉不吃。
 xiao3 shi2hou0 wo3 te4bie2 de0 pian1shi2
 young when I especially DE picky_with_foods
 wo3 zhe4 ji1 bu4 chi1 ya1 bu4 chi1 yu2
 I this chicken NEG eat duck NEG eat fish
 bu4 chi1 rou4 bu4 chi1
 NEG eat meat NEG eat
 ‘When I was young, I was picky with foods. I didn’t eat chicken, duck, fish, or meat.’
- b. 除了鸡,鸭,鱼,肉之外...我蔬菜水果也吃得很少,不过我鲜奶喝得很多。
 chu2le0 ji1 ya1 yu2 rou4 zhi1wai4 wo3
 PREP chicken duck fish meat other_than I
 shu1cai4 shui3guo3 ye3 chi1 de0 hen3 shao3
 vegetable fruit also eat DE very few
 bu2guo4 wo3 xian1nai3 he1 de0 hen3 duo1
 but I fresh_milk drink DE very much
 ‘In addition to chicken, duck, fish, or meat, I also seldom ate vegetables and fruits. But I drank milk a lot.’

A clause-internal object may further specify a part of a superordinate or hypernym that is contextually understood.

- [32] a. 爸爸妈妈...说...你书念不好就不让吃饭...就是恐怕不上中学。
 ba4ba0 ma1ma0 shuo1 ni3 shu1 nian4 bu4 hao3
 father mother say you book read NEG good
 jiu4 bu4 rang4 chi1fan4 jiu4shi4 kong3pa4 bu4
 thus NEG allow eat just afraid NEG
 shang4 zhong1xue2
 up middle_school
 'Dad and Mom kept saying if you didn't study well, you were not allowed to eat. They were just afraid that I wouldn't be able to get into a middle school.'
- b. ...其实四川的中学也考上了。
 qi2shi2 si4chuan1 de0 zhong1xue2 ye3
 in_fact Sichuan_province DE middle_school also
 kao3 shang4 le0
 examine up LE
 '... in fact I did test into a middle school in Sichuan.'

In [32b] the contrasted object 四川的中学 *si4chuan1 de0 zhong1xue2* 'middle school in Sichuan' is an instance of the high middle school, which was introduced in [32a].

Pre-verbal clause-internal objects often occur in a negative context, as shown in [33]. In this case, the adverbs 也 *ye3* 'also' or 都 *dou1* 'all' are often in use.

- [33] a. 他越想越害怕...他饭也吃不下, 觉也睡不着...
 ta1 yue4 xiang3 yue4 hai4pa4 ta1 fan4 ye3
 he more think more fear he meal also
 chi1bu4xia4 jiao4 ye3 shui4bu4zhao2
 cannot_eat sleep also cannot_sleep
 'The more he thought about (it), the more scared he became. He could neither eat nor sleep...'
- b. 有一只小蚱蜢叫明明, 他非常顽皮, 功课都不写...
 you3 yi1 zhi1 xiao3 zha4meng3 jiao4 ming2ming2
 YOU one CL little grasshopper call MINGMING
 ta1 fei1chang2 wan2pi2 gong1ke4 dou1 bu4 xie3
 he very naughty homework all NEG write
 'There is a little cricket called Mingming. He is very naughty and doesn't like to do homework...'

The existence of universal quantifiers often triggers preposing of objects, as exemplified in [34]. Strong quantifiers indicating higher degrees, such as 每 *mei3* 'every' and 很多 *hen3duo1* 'many, much,' are typically followed by 都 *dou1* 'all.' In

[34], 每一张按摩椅 *mei3 yi1 zhang1 an4mo1yi3* ‘every massage chair’ is highlighted, bringing contrastive focus to the set of chairs in the previous clause.

- [34] ...看到这几张按摩椅, 他...直嚷着每一张按摩椅都要躺过了一遍 才肯罢休。
kan4dao4 zhe4 ji3 zhang1 an4mo1yi3 ta1
 see this some CL massage_chair he
zhi2 rang3 zhe0 mei3 yi1 zhang1
 all_the_time yell ZHE every one CL
an4mo1yi3 dou1 yao4 tang3 guo4 le0 yi1bian4
 massage_chair all want lie GUO LE once
cai2 ken3 ba4xiu1
 just will stop
 ‘Once (we) saw these massage chairs, he kept yelling that he must try each and every massage chair before we can leave.’

Moreover, *wh*-phrases *wh*-indefinites, can co-occur with 都 *dou1* ‘all’ in affirmative sentences to function as clause-internal contrastive topics. They are exemplified by 什么 *shen2me0* ‘WHAT’ + 都 *dou1* ‘all’ in [35a], 怎么样 *zen3me0yang4* ‘how’ + 都 *dou1* ‘all’ rendered in [35b], and 哪里 *na3li3* ‘where’ + 都 *dou1* ‘all’ in [35c].

- [35] a. 能把茶泡得那么好喝的人, 做什么都会成功!
neng2 ba3 cha2 pao4 de0 na4me0 hao3 he1
 can BA tea make DE so good drink
de0 ren2 zuo4 shen2me0 dou1 hui4 cheng2gong1
 DE person do what all will succeed
 ‘A person who can make such good tea, he can succeed in whatever he does!’
- b. 你怎么样答都错。
ni3 zen3me0yang4 da2 dou1 cuo4
 you no_matter_how answer all wrong
 ‘No matter how you answer, you are always wrong.’
- c. 我哪里都能睡。
wo3 na3li3 dou1 neng2 shui4
 I wherever all can sleep
 ‘I can sleep anywhere.’

When a clause-internal *wh*-phrase and 都 *dou1* ‘all’ co-occur in a negative sentence, it leads to a negative polarity “any” reading. In [36a], 什么 *shen2me0* ‘what’ has the typical interrogative function, but it is interpreted as “any” in the negative sentence in [36b], and so are the *wh*-phrases in [37].

- [36] a. Cabanne 问他:「那你相信什么呢?」
 Cabanne wen4 ta1 na4 ni3 xiang1xin4 shen2me0
 Cabanne ask he then you believe what
 ne0
 NE
 'Cabanne asked him, "What do you believe then?"'
- b. 他答:「当然什么都不相信!」
 ta1 da2 dang1ran2 shen2me0 dou1 bu4
 he answer of_course what all NEG
 xiang1xin4
 believe
 'He answered, "Of course I don't believe in anything."'
- [37] 王子宣称除了要她作他的新娘以外,谁都不娶。
 wang2zi3 xuan1cheng1 chu2le0 yao4 ta1 zuo4
 prince claim other_than want she PREP
 ta1 de0 xin1niang2 yi3wai4 shui2 dou1 bu4
 he DE bride other_than who all NEG
 qu3
 marry
 'The prince claims that... he won't marry anyone except her.'
- Note that the *wh*-indefinite phrase can also occur at the sentence-initial position, as in [38], or as a head noun of a free relative, as in [39].
- [38] a. 弟弟说:等我长大了,什么我都会。
 di4di0 shuo1 deng3 wo3 zhang3da4 le0
 younger_brother say wait I grow_up LE
 shen2me0 wo3 dou1 hui4
 what I all can
 'Younger brother says, "When I grow up, I can do everything."'
- b. 什么东西我都给。我那儿都有。
 shen2me0 dong1xi0 wo3 dou1 gei3 wo3 na4er0
 what thing I all give I there
 dou1 you3
 all YOU
 'I will give out everything. I have everything.'

- [39] a. [他给我什么东西]我都吃。
 ta1 gei3 wo3 shen2me0 dong1xi0 wo3 dou1 chi1
 he give I what thing I all eat
 'Whatever he gives me, I will eat.'
- b. [谁欺负她]我们都不放过。
 shui2 qi1wu3 ta1 wo3men0 dou1 bu4 fang4guo4
 who bully she we all NEG let_go
 'Whoever bullies her, we will not let him go.'

In addition to the indefinite usage above, *wh*-phrases are sometimes used in rhetorical questions, as shown in [40].

- [40] a. ...她冲我喊, 什么没给你? 你还想要什么?
 ta1 chong4 wo3 han3 shen2me0 mei2 gei3 ni3
 she PREP I yell what NEG give you
 ni3 hai2 xiang3yao4 shen2me0
 you yet want what
 'She shouted at me, "What didn't I give to you? What do you want?"'
- b. 「你都没有什么想跟我说的吗?」「你怎么都不说话啊?」
 ni3 dou1 mei2you3 shen2me0 xiang3 gen1 wo3
 you all NEG what want PREP I
 shuo1 de0 ma0 ni3 zen3me0 dou1 bu4 shuo1hua4
 say DE MA you how all NEG talk
 a0
 A
 'Didn't you have anything to talk to me (about)? Why didn't you talk?'

Rather than its literal interrogative meaning of asking the question of what was not given, the rhetorical question in [40a] implies that "I have given you everything." Likewise, instead of asking if there is anything you wanted to tell me, the speaker in [40b] presumes that you should have something to tell me.

17.1.5. Topic chain

In addition to the topic-comment pattern at the sentence level, a topic can represent unexpressed co-referential nominals in subsequent sentences. The domain that is associated with the topic may possibly cover many sentences that follow it. The first occurrence topic (a "shared topic" or "chain topic") and its subsequent unexpressed nouns form a so-called "topic chain" (see Chapter 16).

- [41] a. 长庚医院...官医师,在一篇文章中便举出几个例子,
 chang2geng1 yi1yuan4 guan1 yi1shi1 zai4 yi1
 CHANGGENG hospital GUAN doctor PREP one
 pian1 wen2zhang1 zhong1 bian4 ju3chu1 ji3
 CL article in then provide several
 ge4 li4zi0
 CL example
 'Doctor Guan from Changgeng Hospital provides several examples in
 an article.'
- b. 介绍几种常与内在肿瘤相关连的皮肤病变,值得民众参考。
 jie4shao4 ji3 zhong3 chang2 yu3 nei4zai4
 introduce several CL often PREP inherent
 zhong3liu2 xiang1guan1lian2 de0 pi2fu1bing4bian4
 tumor related DE dermatosis
 zhi2de2 min2zhong4 can1kao3
 worth people reference
 '[He] introduces several types of skin pathological changes relevant to
 tumors, which are worth referencing for ordinary people.'

In [41a], the dermatologist 官医师 *guan1 yi1shi1* 'Doctor Guan' is the topic in its own clause, and it is also a shared topic that the unexpressed subject pronoun in [41b] refers to. The use of zero or unexpressed pronouns is quite common in Mandarin when the references of the noun phrases are retrievable in a certain context.

Phrases with various grammatical functions can serve as topic chains. Consider the examples in [42] and [43].

- [42] a. 我念大学时,每逢月头都会去邮局盼挂号信,等家里大哥寄来的
 三佰块钱。
 wo3 nian4 da4xue2 shi2 mei3feng2 yue4
 I read university when whenever month
 tou2 dou1 hui4 qu4 you2ju2 pan4
 beginning all will go post_office look_forward
 gua4hao4xin4 deng3 jia1li3 da4ge1 ji4 lai2
 registered_mail wait home elder_brother mail come
 de0 san1bai3 kuai4 qian2
 DE three_hundred dollar money
 'When I was in college, I would go to the post office to check if I had a
 registered mail, waiting for my big brother to mail me \$300 from
 home.'

- b. 收到后, 好兴奋, 开始盘算该吃几碗阳春面, ... 牛肉面可吃多少碗?
 shou1dao4 hou4 hao3 xing1fen4 kai1shi3 pan2suan4
 receive after so excited start plan
 gai1 chi1 ji3 wan3 yang2chun1mian4
 should eat how_many CL plain_noodles
 niu2rou4 mian4 ke3 chi1 duo1shao3 wan3
 beef noodle can eat how_many CL
 'After I received it, I would be excited and start to calculate how many
 bowls of plain noodles I could eat, how many bowls of beef noodles I
 could eat.'
- c. 偶尔写点稿有三十块的稿费, 就加两块臭豆腐。
 ou3er3 xie3 dian3 gao3 you3 san1shi2 kuai4
 sometimes write some draft have thirty dollar
 de0 gao3fei4 jiu4 jia1 liang3 kuai4 chou4dou4fu0
 DE royalty thus add two CL stinky_tofu
 'Sometimes I would earn \$30 for publishing [an] article, [and] then I
 could have added two pieces of stinky tofu.'

- [43] 我母亲看稻草上面, 常有没打干净, 剩下来的谷粒, 觉得烧掉可惜,
 用捣衣的椎, 一椎一椎的椎了下来, 一天可以得谷一合。
 wo3 mu3qin1 kan4 dao4cao3 shang4mian4 chang2
 I mother see rice_stalk on often
 you3 mei2 da3 gan1jing4 sheng4xia4lai2 de0 gu3li4
 YOU NEG thresh clean remain DE grain
 jue2de0 shao1diao4 ke3xi1 yong4 dao3 yi1 de0
 feel burn pity use beat clothes DE
 zhui1 yi1 zhui1 yi1 zhui1 de0 zhui1
 pounder one pounder one pounder DE pounder
 le0 xia4lai2 yi1 tian1 ke3yi3 de2 gu3 yi1 he2
 LE down one day can get grain one CL
 'My mother saw there were grains remaining on the rice stalks after
 threshing. She felt it was wasteful to burn them, so she used a clothes
 pounder to beat the remaining grains off the stalks, and she could
 collect about 200 grams of rice in a day.'

The grammatical subjects, such as 我 wo3 'I' in [42] and 我妈妈 wo3ma1ma0 'my mother' in [43], link all unexpressed nouns in the respective sentence to form a topic chain. Moreover, a topic chain may contain another topic chain in

the same passage. For example, the direct objects, such as 挂号信 *gua4hao4xin4* ‘registered mail’ in [42] and 剩下的谷粒 *sheng4xia4lai2 de0 gu3li4* ‘remaining grains’ in [43], serve as separate topic chains. Consequently, there are two topic chains in each of the two passages above, the subject 我 *wo3* ‘I’ and the object 挂号信 *gua4hao4xin4* ‘registered mail’ in [42], and 我母亲 *wo3 mu3qin1* ‘my mother’ and 谷粒 *gu3li4* ‘grains’ in [43]. Note that the primary and secondary topic chain may have different relations. For instance, the secondary topic chain ‘registered mail’ in [42] is only linked to the second sentence, referring to its receipt, while both the primary and the secondary topic chains are linked to most of the sentences in [43].

A bare noun as a clause-internal object, as in [44], or an indefinite generic subject, as in [45], can serve as a topic chain as well.

- [44] a. 许多民众最近纷纷反应中央健康保险局电话打不进去。
 xu3duo1 min2zhong4 zui4jin4 fen1fen1
 many people recently one_after_another
 fan3ying4 zhong1yang1 jian4kang1bao3xian3ju2
 complain central Central_Health_Insurance_Bureau
 dian4hua4 da3 bu4 jin4qu4
 telephone dial NEG into
 ‘Many people complained that calls to [the] Central Health Insurance Bureau were always failing to connect.’
- b. 即使打进去了, 工作人员的答案又不相同。
 ji2shi3 da3 jin4qu4 le0 gong1zuo4ren2yuan2
 even_though dial into LE staff
 de0 da2an4 you4 bu4 xiang1tong2
 DE answer also NEG same
 ‘Even if they made it successfully, the answers they received from the staff varied.’
- [45] a. 一只狗、一只猫不是生活得很愉快吗?
 yi1 zhi1 gou3 yi1 zhi1 mao1 bu4shi4 sheng1huo2
 one CL dog one CL cat NEG live
 de0 hen3 yu1kuai4 ma0
 DE very happy MA
 ‘Doesn’t a dog or a cat have a happy life?’
- b. 早上吃饱了之后出去每个人到处晃一晃, 然后就回家睡觉了...
 zao3shang4 chi1 bao3 le0 zhi1hou4 chu1qu4
 morning eat full LE after go_out

mei3ge4ren2 dao4chu4 huang4yi1huang4 ran2hou4
 everyone here_and_there wander then
 jiu4 hui2jia1 shui4jiao4 le0
 thus go_home sleep LE

'They go out and wander around after having a full meal in the morning, and then go back home to sleep...'

- c. 遛达, 晚上又跑回来了, 跑回来之后睡觉, 第二天又起来, 跑来 跑去。

liu1da0 wan3shang4 you4 pao3 hui2lai2 le0 pao3
 walk evening again run back LE run
 hui2lai2 zhi1hou4 shui4jiao4 di4er4tian1 you4
 back after sleep the_next_day again
 qi3lai2 pao3lai2pao3qu4
 wake_up run_around

'They wander, and then come back at night to sleep, and get up and run around again the next day.'

An unexpressed argument can refer to a situation under discussion, and it does not have to have an identical nominal phrase as its precedent. In the context in [46], the topic chain concerns a situation that doctors rarely stop in front of the wishing pond. It is this situation, rather than a particular nominal phrase, that is referred to in the following discourse by unexpressed forms.

- [46] a. [这里的医生绝少在许愿池前停留过]。

zhe4li3 de0 yi1sheng1 jue2shao3 zai4 xu3yuan4chi2
 here DE doctor scarcely PREP wishing_pond
 qian2 ting2liu2 guo4
 front stay GUO

'Doctors here scarcely ever stop in front of the wishing pond.'

- b. 从我进医院工作到现在, 我真的没有见过。

cong2 wo3 jin4 yi1yuan4 gong1zuo4 dao4 xian4zai4
 PREP I enter hospital work PREP now
 wo3 zhen1de0 mei2you3 jian4 guo4
 I really NEG see GUO

'I have never seen that ever since I joined the hospital.'

- c. 真要说有的话, 他们顶多只是经过而已。

zhen1 yao4 shuo1 you3 de0 hua4 ta1men0
 if want say have DE words they
 ding3duo1 zhi3shi4 jing1guo4 er2yi3
 at_most only pass_by only

'The closest I have seen was some of them passing by [the wishing pond].'

17.2. Word order variations

17.2.1. Inversion

This section presents inversion patterns in sentences containing locative phrases or unaccusative verbs. The word order variations largely follow the information structure outlined at the beginning of this chapter. In general, inversion not only signals the discourse-old information status but also uses this given information to set up the background.

17.2.1.1. Locative inversion

A common way to clearly indicate the informational status of a canonic subject (usually an agent or experiencers, occasionally a theme) as new is to use locative inversion construction and put the nominal phrase in the post-verbal position, as in [47]–[49].

- [47] 池的旁边有五个大大小小的拱门, 里头躺着好多病人...
- chi2 de0 pang2bian1 you3 wu3 ge4
 pond DE side have five CL
 da4da4xiao3xiao3 de0 gong3men2 li3tou2 tang3 zhe0
 big_and_small DE arch inside lie ZHE
 hao3duo1 bing4ren2
 many patient
 'Next to the pond were five arches. Inside, there lay many patients ...'
- [48] 门外放着一张大狼皮, 做成了垫子的模样。
- men2 wai4 fang4 zhe0 yi1 zhang1 da4 lang2pi2
 door outside place ZHE one CL big wolf_skin
 zuo4 cheng2 le0 dian4zi0 de0 mo2yang4
 make into LE carpet DE look
 'Outside the door [was] placed a big wolf skin, which was made into a carpet.'
- [49] a. 有一只小猴子爬到树上。
- you3 yi1 zhi1 xiao3 hou2zi0 pa2 dao4 shu4
 YOU one CL small monkey climb PREP tree
 shang4
 on
 'A monkey climbed up the tree.'
- b. 树上爬着一只小猴子, 猴子吃着香蕉...
- shu4 shang4 pa2 zhe0 yi1 zhi1 xiao3 hou2zi0
 tree on climb ZHE one CL small monkey

hou2zi0 chi1 zhe0 xiang1jiao1
 monkey eat ZHE banana
 'On the tree there is a small monkey climbing; the monkey is eating
 bananas ...'

[49] illustrates a typical locative inversion pair. The post-verbal location phrase in [49a] is placed at the pre-verbal subject position in [49b], hence, allowing the nominal phrase to be presented as new information by occurring in the post-verbal position.

17.2.1.2. Unaccusative verb

An unaccusative verb allows a single argument (typically a theme or experiencer) to occur in either the subject or the post-verbal position (see Chapter 4). The former order reflects the topic-comment structure, whereas the latter usually introduces new information.

[50] 他们看见家里来了一个外国人,都很好奇。
 ta1men0 kan4jian4 jia1li3 lai2 le0 yi1 ge4
 they see home come LE one CL
 wai4guo2ren2 dou1 hen3 hao4qi2
 foreigner all very curious
 'When they saw that a foreigner came to our home, they were curious.'

[51] 果然可以发现最近坊间出现了不少新的名词。
 guo3ran2 ke3yi3 fa1xian4 zui4jin4 fang3jian1
 as_expected can find recently neighborhood
 chu1xian4 le0 bu4shao3 xin1 de0 ming2ci2
 appear LE many new DE term
 'As expected, one can find new terms appearing and being used.'

[52] 島上的原住民也消失了十多个民族。
 dao3 shang4 de0 yuan2zhu4min2 ye3 xiao1shi1
 island on DE aborigine also vanish
 le0 shi2 duo1 ge4 min2zu2
 LE ten more CL people
 'Of the aborigines on this island, over ten groups have become extinct.'

The examples above show that, in addition to locative inversion, unaccusative verbs can also trigger information-packaging with inversion. Unaccusative verbs can appear in a locative inversion construction sentence, such as involving the actual location of the event, as in [50], or the metaphor location of the event, as in

[51]. In addition to the above-mentioned condition of familiar–new information bipartition, an inversion commonly serves a scene-setting function at the outset of a narrative, such as [47] through [49] above. The verbs used often include existential, posture, or locating verbs (e.g., 有 *you3* ‘have,’ 放 *fang4* ‘place,’ 躺 *tang3* ‘lay,’ 爬 *pa2* ‘crawl,’ 装 *zhuang1* ‘load,’ etc.) and presentational unaccusative verbs (e.g., 来 *lai2* ‘come,’ 出现 *chu1xian4* ‘appear,’ 消失 *xiao1shi1* ‘disappear,’ 走 *zou3* ‘leave,’ etc.).

17.2.2. *Ba* construction

The *ba* construction was introduced in Chapter 15. It shows an object may be placed in a pre-verbal position under the introduction of 把 *ba3* ‘BA.’ In line with the information structure mentioned above, the post-*ba* nominal phrase usually expresses given information, as shown in [53]. The definite nominal 那本书 *na4 ben3 shu1* ‘that book’ in the second clause of [53] refers to given information, as it has been mentioned in the first clause. In the second clause, the speaker provides more information about this book; specifically, the speaker finished reading this book.

- [53] 他很喜欢语文课本上的课文，一个星期就把那本书看完了。
 ta1 hen3 xi3huan1 yu3wen2 ke4ben3 shang4 de0
 he very like language textbook on DE
 ke4wen2 yi1 ge4 xing1qi1 jiu4 ba3 na4 ben3
 text one CL week thus BA that CL
 shu1 kan4 wan2 le0
 book look finish LE
 ‘S/He likes the text in the textbook for the language class. S/He
 finished reading that book in one week.’

Nevertheless, the *ba* phrase can also be discourse-new but with addressee-old information. For example, though the post-*ba* nominal phrases in [54] are not previously mentioned, they are assumed to be retrievable based on the relevant contexts. Given this, it is acceptable for this piece of discourse-new information to be placed in a pre-verbal position, which is usually associated with old information.

- [54] a. 没有一个局长像他一样，把每一个观众问题搜集起来。
 mei2you3 yi1 ge4 ju2 chu4 zhang3 xiang4
 NEG one CL bureau department head like
 ta1 yi1yang4 ba3 mei3 yi1 ge4 guan1zhong4
 he same BA every one CL audience

wen4ti2 sou1ji2 qi3lai2

question collect QILAI

'There is no such bureau or division head like him who collects each and every question from the audience.'

- b. 德国厨具...把很多厨房的问题都解决了。

de2guo2 chu2ju4 ba3 hen3duo1 chu2fang2

Germany kitchen_appliance BA many kitchen

de0 wen4ti2 dou1 jie3jue2 le0

DE problem all solve LE

'German kitchen appliances solve many of [the] kitchen problems (that we know).'

Likewise, the post-*ba* indefinite nominal phrase is another example in point. When the reference of the indefinite nominal is known to the speaker, it is indefinite specific, as in [55a]; otherwise, it is indefinite non-specific, as in [55b].

- [55] a. 他...曾把一位与他观点不合的医生烧死在柱上。

ta1 ceng2 ba3 yi1 wei4 yu3 ta1 guan1dian3

he once BA one CL PREP he viewpoint

bu4he2 de0 yi1sheng1 shao1 si3 zai4 zhu4

disagree DE doctor burn dead PREP pillar

shang4

on

'He once burned to death on a stake a doctor who had opposing views to him.'

- b. 我...切肉的时候,不小心把一块肉掉在地上。

wo3 qie1 rou4 de0 shi2hou0 bu4xiao3xin1 ba3 yi1

I cut meat DE when carelessly BA one

kuai4 rou4 diao4 zai4 di4 shang4

CL meat drop PREP ground on

'When I... cut the meat, I accidentally dropped a piece of meat on the ground.'

Indefinite non-specific nominals are usually prohibited from serving as topics; however, they are allowed to function as post-*ba* objects. This post-*ba* object may be a part or an instance of what has been established in the discourse, for example, 肉 *rou4* 'meat' / 一块肉 *yi1 kuai4 rou4* 'a piece of meat' in [55b]. There are some other triggers for the use of the *ba* construction. For example, when a predicate is "heavy," for example, 打入酒里 *da3 ru4 jiu3 li3* 'beating and blending into wine' in [56a], a *ba* construction is preferred. Moreover, when a nominal phrase

at issue serves as a topic chain for the following clauses, as shown in [56b], a *ba* construction also tends to be used.

- [56] a. ...把一颗蛋打入酒里, 过一阵子蛋白部分会熟透。
 ba3 yi1 ke1 dan4 da3 ru4 jiu3 li3 guo4
 BA one CL egg break put_into wine in after
 yi1zhen4zi0 dan4bai2 bu4fen4 hui4 shou2tou4
 a_while egg_white part will cooked
 '... Crack an egg and beat and blend it into wine. After a while its egg white will be cooked.'
- b. 我把一小块饼干弄碎, 撒在空地上, 各式各样的鸟儿... 不一会儿就把饼干吃光了!
 wo3 ba3 yi1 xiao3 kuai4 bing3gan1 nong4sui4
 I BA one small CL cookie smash
 sa3 zai4 kong4di4 shang4 ge4shi4ge4yang4 de0
 sprinkle PREP ground on various DE
 niao3er0 bu2yi1hui3er2 jiu4 ba3 bing3gan1
 bird soon then BA cookie
 chi1guang1 le0
 eat_up LE
 'I crushed a small piece of cookie and sprinkled them on the ground. All kinds of birds... devoured them in no time.'

17.2.3. *Bei* construction

Similarly introduced in Chapter 15, 被 *bei4* sentences manifest another type of topic-comment articulation, in which the affected patient preceding 被 *bei4* functions as both a grammatical subject and a topic, while the rest of the clause serves as the comment, usually indicating a resultative state of the activity. The common schema for the *bei* construction is presented in [57], in which the patient nominal phrases are definite, like topics.

[57] (Patient topic/subject) + *bei* + (Agent) + VP

- [58] a. 家产已被他吃喝, 赌光了。
 jia1chan3 yi3 bei4 ta1 chi1 he1 du3
 family_fortune already BEI he eat drink gamble
 guang1 le0
 nothing_left LE
 'All the family fortune has been squandered by his lavish banqueting and gambling.'

- b. 孔子似乎被这个问题难倒了, 一时不知如何回答。
 kong3zi3 si4hu1 bei4 zhe4 ge4 wen4ti2 nan2dao3
 Confucius seem BEI this CL question stumped
 le0 yi1shi2 bu4 zhi1 ru2he2 hui2da2
 LE a_short_while NEG know how answer
 ‘Confucius seemed to be stumped by this question, and didn’t know
 how to answer it immediately.’

- [59] 世界的政要几乎都被西装所统一...
 shi4jie4 de0 zheng4yao4 ji1hu1 dou1 yi3jing1
 world DE political_leaders almost all already
 bei4 xi1zhuang1 suo3 tong3yi1
 BEI suits SUO unite
 ‘Almost all the political leaders in the world are unified by the suits
 they wear...’

- [60] [... 只觉背上微微一痛; 似乎被蜜蜂刺了一下。]
 zhi3 jue2 bei1 shang4 wei1wei1 yi1 tong4 si4hu1
 just feel back on mild one pain seem
 bei4 mi4feng1 ci4 le0 yi1 xia4
 BEI bee sting LE one CL
 ‘... [s/he] felt a mild pain in her/his back; it seems s/he was stung by
 a bee.’

- [61] [种子]... 身价一天比一天高...; 结果被一个土匪抢了去。
 zhong3zi0 shen1jia4 yi1 tian1 bi3 yi1 tian1 gao1
 seed value one day than one day high
 jie2guo3 bei4 yi1 ge4 tu2fei3 qiang3 le0 qu4
 as_a_result BEI one CL bandit rob LE away
 ‘The seeds became more and more valuable everyday; then (they) were
 stolen by a bandit.’

The post-*bei* agent can be optional. It is overt when the referent is (required to be) identified. Specifically, the post-*bei* NP can be a definite nominal phrase, as in [58], a generic nominal phrase, as in [59], a bare indefinite nominal phrase, as in [60], or an indefinite nominal phrase, as in [61]. In [58b], 这个问题 *zhe4 ge4 wen4ti2* ‘this question’ further functions as a topic chain, and the paralleling relation between these two clauses is achieved for a smooth flow of information, which is hard to achieve with its non-*bei* counterpart. As mentioned above, subjects can be elided in the *bei* construction, as illustrated in [58b], [60], and [61], in which the elided subjects correlate with retrievable old information.

- [62] [有一对父子,带了一头驴子到市场去卖]。路途上,被一个路人看到了,嘲笑他们。

you3 yi1 dui4 fu4zi3 dai4 le0 yi1 tou2
 YOU one pair father_and_son take LE one CL
 lü2zi0 dao4 shi4chang3 qu4 mai4 lu4tu2 shang4
 donkey go_to market go sell way on
 bei4 yi1 ge4 lu4ren2 kan4dao4 le0 chao2xiao4
 BEI one CL passer-by see LE laugh_at
 ta1men0
 they

'A father and a son took a donkey to the market to sell. On the way to the market, (this) was seen by a passer-by, who laughed at them.'

The unexpressed subject can also be a full clause, as in [62]. In such cases, the interpretation of the topic chain in subsequent clauses can sometimes have context-dependent variations. In [62], it is the way that the father-son pair is bringing the donkey to the market that was seen by a passer-by; but the pronoun 他们 *ta1men0* 'them' at whom the passer-by is laughing refers to the father and son specifically.

17.3. Sentences involving 是 *shi4*

This section presents sentences involving 是 *shi4* 'be,' including the emphatic 是...的 *shi4...de0*, the bare 是 *shi4*, and the pseudo-cleft 的是 *de0 shi4* constructions, which differ from the A 是 B pattern specifying copular equation sentences (see Chapter 9).

17.3.1. Emphatic sentences

The "it"-cleft construction in English expresses emphasis by means of extracting a target phrase and placing it in the post-copula position, while the 是...的 *shi4...de0* construction in Chinese does not have to reshuffle the linear order to emphasize an element. These 是...的 *shi4...de0* sentences manifest a topic-comment pattern: the element preceding 是 *shi4* 'be' is the topic, and the part between 是 *shi4* 'be' and 的 *de0* 'DE' is the comment. Usually, the first element after *shi* in the comment receives the focal center (but not necessarily the phonetic stress), as shown by the subject focus in [64] and the adjunct focus in [63]. The topic, however, can also follow 是 *shi4*, as discussed later in section 17.3.4. and exemplified by [65A].

- [63] a. 是 + subject + VP + 的
 b. Subject + 是 + (adjunct) + VP + 的

- [64] a. 是谁让这些...老人成为老贼的?
 shi4 shui2 rang4 zhe4xie1 lao3ren2 cheng2wei2 lao3
 be who let these old_man become old
 ze12 de0
 thief DE
 'Who allowed these old men to become deadwood assemblymen?'
- b. 是立委让这些...老人成为老贼的。
 shi4 li4wei3 rang4 zhe4xie1 lao3ren2 cheng2wei2
 be legislators let these old_man become
 lao3 ze12 de0
 old thief DE
 'It is *the legislators* who allowed these old men to become deadwood assemblymen.'
- [65] Q: 你是从哪儿来的?
 ni3 shi4 cong2 na3er0 lai2 de0
 you be PREP where come DE
 'Where do you come from?'
- A: 我是从美国来的。
 wo3 shi4 cong2 mei3guo2 lai2 de0
 I be PREP United_States come DE
 'I come/am from the United States./It is from the United States that I come.'

In addition, 是...的 *shi4...de0* sentences are commonly used in forming *wh*-interrogatives to elicit answers to questions pertinent to focal information.

是...的 *shi4...de0* is often used to contrast alternatives. In [66], the topic 成绩 *cheng2ji4* 'grade' is predicated with opposing clauses: "given by teachers" versus "industriously earned by students."

- [66] 在许多同学的观念里,成绩是[老师给学生]的,而不是[学生自己努力去争取得来]的。
 zai4 xu3duo1 tong2xue2 de0 guan1nian4 li3
 PREP many student DE opinion in
 cheng2ji4 shi4 lao3shi1 gei3 xue2sheng1 de0 er2
 grade be teacher give student DE but
 bu4shi4 xue2sheng1 zi4ji3 nu3li4 qu4 zheng1qu3
 NEG student self work_hard go earn

de2 lai2 de0

get come DE

'In many students' minds, grades are what teachers gave to students, not what students themselves earned through hard work.'

是 *shi4* 'be' can only occur in pre-verbal positions. When it is the object that is focused, the pseudo-cleft 的是 *de0 shi4* pattern is employed, which will be discussed in section 17.3.7. Please also see specifying copular sentences containing a headless relative clause in Chapter 9.

是...的 *shi4...de0* often occurs in regular *wh*-interrogatives, and it is used to express a predicative relation between the topic and the comment. In this sense, it does not bring as strong a focus as the English "it is X that" counterpart does. [67Q] simply means "who created men," and in [67A], "God created men" is a natural answer.

[67] Q: 人是谁创造的?

ren2 shi4 shui2 chuang4zao4 de0

human be who create DE

'As for men, who created them?'

A: 是神创造的。

shi4 shen2 chuang4zao4 de0

be God create DE

'(Men) God created (them).'

17.3.1.1. Subject focus

This section further illustrates the topic-comment relation in 是...的 *shi4...de0* and various foci signaled by 是 *shi4*.

[68] a. 这个道理是谁告诉你的呢?

zhe4 ge4 dao4li3 shi4 shui2 gao4su4 ni3 de0

this CL principle be who tell you DE

ne0

NE

'Who told you this principle?'

b. 孔融: (是) 我自己想出来的。

kong3rong2 shi4 wo3 zi4ji3 xiang3 chu1lai2 de0

Kong_Rong be I self think out DE

'I myself thought of it.'

In the question part of [68a], 这个道理 *zhe4 ge4 dao4li3* 'this principle' is backgrounded in the discourse and assumed by the speaker to be revealed to KONG Rong by someone else. The comment/answer clause in [68b] asserts the whole

proposition: “(it is that) I thought of it” and, consequently, the subject serves as the most prominent element. Note that 是 *shi4* ‘be’ can be omitted, as in [68b].

- [69] a. [这张画好或那张画好], 是我们后人加以判定的。
 zhe4 zhang1 hua4 hao3 huo4 na4 zhang1
 this CL painting good or that CL
 hua4 hao3 shi4 wo3men0 hou4ren2 jia1yi3
 painting good be we later_generations proceed
 pan4ding4 de0
 judge DE
 ‘Whether this or that painting is better is decided by us, the later generations.’
- b. 不管陕西人怎么样解释, 客栈主人就认定 [猪] 是他偷的。
 bu4guan3 shan3xi1 ren2 zen3me0yang4 jie3shi4
 no_matter Shanxi_province people how explain
 ke4zhan4 zhu3ren2 jiu4 ren4ding4 zhu1 shi4 ta1
 inn owner thus believe pig be he
 tou1 de0
 steal DE
 ‘No matter how the person from Shaanxi explained (it), the innkeeper believed that the pig was stolen by him.’

Likewise, in [69a] the topic is predicated with a 是...的 *shi4...de0* comment, rendering the meaning ‘the value of paintings is decided by later generations.’ In [69b] 是他偷的 *shi4 ta1 tou1 de0* ‘was stolen by him’ is the asserted and predicated topic “the missing pig.”

17.3.1.2. Adjunct focus

是 *shi4* ‘be’ can precede various adjuncts, including location, temporal, and manner phrases, as schematized in [70] and [71].

- [70] a. (overt/zero) Subject + 是 + adjunct + VP + 的
 b. (overt/zero) Subject + 是 + adjunct + V + 的 + O
- [71] subject/object topics + (subject) + 是 + adjunct + V + 的

The structure of [70a], the subject (or the topic) preceding 是, is exemplified by the temporal foci in [72a] and [73b], location phrases in [73a], and the manner foci in [74]. The structure of [70b], as exemplified in [72b], is more commonly used in Northern dialects and will not be discussed further here.

- [72] a. 法国政府是在昨天做出这一决定的。
 fa4guo2 zheng4fu3 shi4 zai4 zuo2tian1 zuo4chu1
 France government be PREP yesterday make
 zhe4 yi1 jue2ding4 de0
 this one decision DE
 'The French government made this decision *yesterday*.'
- b. 穆巴拉克总统是在新年前夕发出上述的呼吁。
 mu4ba1la1ke4 zong3tong3 shi4 zai4 xin1nian2
 Mubarak president be PREP New_Year
 qian2xi1 fa1chu1 de0 hu1yu4
 eve issue DE appeal
 'President Mubarak made such an appeal on *New Year's Eve*.'
- [73] a. Q: 我那几位朋友都是去年从美国来的。李先生, 您是哪年来的?
 wo3 na4 ji3 wei4 peng2you3 dou1 shi4
 I that some CL friend all be
 qu4nian2 cong2 mei3guo2 lai2 de0 li3
 last_year PREP United_States come DE Li
 xian1sheng0 nin2 shi4 na3 nian2 lai2 de0
 Mr. you be which year come DE
 'My friends all came from *The United States last year*. Mr. Li, which year did you come?'
- b. A: 我是一九八五年来的。
 wo3 shi4 yi1jiu3ba1wu3nian2 lai2 de0
 I be year_1985 come DE
 'I came in 1985.'
- [74] a. 学校的老师都是坐着船来的。
 xue2xiao4 de0 lao3shi1 dou1 shi4 zuo4 zhe0
 school DE teacher all be sit ZHE
 chuan2 lai2 de0
 boat come DE
 'School teachers all come by *boat*.'
- b. 第二只小猪是用树枝作材料来建造房子的... 第三只胖小猪是用砖瓦做材料来建造房屋的。
 di4er4 zhi1 xiao3 zhu1 shi4 yong4 shu4zhi1
 the_second CL small pig be use twig
 zuo4 cai2liao4 lai2 jian4zao4 fang2zi0 de0
 PREP material come build house DE

di4san1 zhi1 pang4 xiao3 zhu1 shi4 yong4
 the_third CL fat small pig be use
 zhuan1wa3 zuo4 cai2liao4 lai2 jian4zao4 fang2wu1
 brick make material come build house

de0

DE

'The second pig uses *twigs* to build its house, and the third pig uses *bricks* to build its house.'

As for the structure in [71], 是 *shi4* 'be' precedes the adjunct phrases and follows the object topic, which is followed or preceded by an overt or unexpressed subject, illustrated by the location focus in [75], the temporal phrase in [76], and the manner phrases in [77]. Note that the object topic can occur in the clause-initial position, and the subject may be null, as in [75a], or in the clause-medial position, as in [75b]. The topic, a self-portrait in [77], is not overtly mentioned but is understood in the discourse.

- [75] a. 我本人学的是社会学, [博士学位] 是在美国大学获得的。
 wo3 ben3ren2 xue2 de0 shi4 she4hui4xue2 bo2shi4
 I oneself learn DE be sociology doctor
 xue2wei4 shi4 zai4 mei3guo2 da4xue2
 degree be PREP the_United_States university
 huo4de2 de0
 earn DE
 'I studied sociology. My doctorate was earned from a *US university*.'
- b. 学生在校期间有[一半左右的时间]是在宿舍度过的。
 xue2sheng1 zai4 xiao4 qi1jian1 you3 yi1ban4
 student PREP school period YOU half
 zuo3you4 de0 shi2jian1 shi4 zai4 su4she4 du4guo4
 about DE time be PREP dorm spend
 de0
 DE
 'Half of the time that students spend in schools is in *the dorm*.'
- [76] 已有一百三十万人饿死...[这个数字] 是在文化革命初期披露的。
 yi3 you3 yi1bai3san1shi2wan4 ren2
 already YOU 1.3_million people
 e4si3 zhe4 ge4 shu4zi4 shi4 zai4
 starve_to_death this CL number be PREP

wen2hua4ge2ming4 chu1qi1 pi1lu4 de0
 Cultural_Revolution early_days disclose DE
 ‘1.3 million people had starved to death. This number was disclosed in
 the early period of the Cultural Revolution.’

- [77] 「你画得真像啊!」东尼笑笑说:「我是对着镜子画的。」
 ni3 hua4 de0 zhen1 xiang4 a0 dong1ni2 xiao4xiao0
 you paint DE really like A Tony laugh
 shuo1 wo3 shi4 dui4zhe0 jing4zi0 hua4 de0
 say I be PREP mirror paint DE
 “Your painting resembles you!” Tony laughed, “I painted it by looking at
 the mirror.”

The sentences above demonstrate that not only can the comment clause contained in 是...的 *shi4...de0* express novel information, but the adjunct that follows 是 *shi4* ‘be’ can be singled out to be the focal center, which may include more than one adjunct. For instance, both the temporal and location phrases in [73a] are construed as information focus.

17.3.1.3. Predicate focus

Sentences [78]–[80] exemplify emphatic (or contrastive) verb phrases, which are predicated of the subject/topic.

- [78] [牧场内有五匹马]是属于这个社区的。
 mu4chang3 nei4 you3 wu3 pi1 ma3 shi4
 ranch inside YOU five CL horse be
 shu3yu2 zhe4 ge4 she4qu1 de0
 belong_to this CL community DE
 ‘There are five horses in the ranch. They belong to the community.’
- [79] 父母永远是站在他那一边而伸出援手的。
 fu4mu3 yong3yuan3 shi4 zhan4 zai4 ta1 na4 yi1
 parents always be stand PREP he that one
 bian1 er2 shen1chu1yuan2shou3 de0
 side thus give_a_hand DE
 ‘Parents always stand by his side and lend him a helping hand.’
- [80] 维生、养生是「做」出来的,是要付辛勤代价的,不是不劳而获的。
 wei2sheng1 yang3sheng1 shi4 zuo4 chu1lai2
 maintain_living keep_in_good_health be make out

de0 shi4 yao4 fu4 xin1qin2 dai4jia4 de0 bu4
 DE be need pay industrious price DE NEG
 shi4 bu4lao2er2huo4 de0
 be reap_without_sowing DE
 'To maintain and cultivate healthy living, one must "act" and pay (earn it)
 with your efforts. One cannot reap without sowing.'

是...的 *shi4...de0* sentences are also used to explicate a fact or proposition by way of asserting the manner, purpose, reason, or means, as shown in [81]–[83].

[81] a. 门房: 请问先生有什么事?

men2fang2 qing3wen4 xian1sheng0 you3 shen2me0
 doorman excuse_me Mr. YOU what

shi4

thing

'Doorman: Sir, may I ask why are you here?'

b. 吴先生: 我是公司派来验货的。

wu2 xian1sheng0 wo3 shi4 gong1si1 pai4 lai2
 WU Mr. I be company send come

yan4 huo4 de0

check goods DE

'Mr. Wu: I am sent by my company to check the merchants.'

[82] [第十二届金旋总决赛的多样化], 让李先生认为, 是来欣赏节目,
 而不是来当评审的。

di4shi2er4 jie4 jin1xuan2 zong3jue2sai4 de0
 the_twelveth CL Gold_Melody final_competition DE

duo1yang4hua4 rang4 li3 xian1sheng0 ren4wei2 shi4
 diversify make Li Mr. believe be

lai2 xin1shang3 jie2mu4 er2 bu2shi4 lai2 dang1
 come enjoy program but NEG come act_as

ping2shen3 de0

judge DE

'The rich varieties in the 12th final competition of [the] Golden Melody
 award made Mr. Li think that he came here to enjoy the programs, not
 to be a judge.'

[83] 找妳, 不是要妳来忏悔的, 也不是要让妳感觉内疚的...

zhao3 ni3 bu4shi4 yao4 ni3 lai2 chan4hui3
 look_for you NEG ask you come repent

de0 ye3bu2shi4 yao4 rang4 ni3 gan3jue2 nei4jiu1
DE nor want make you feel guilty

de0

DE

'The reason I came to see you is not to ask you to repent, nor to make you feel guilty.'

是...的 *shi4...de0* utterances express one's subjective stance toward the asserted proposition. In particular, it can convey mental perceptions, make inferences, or evaluate the state of affairs, such as [84]. Moreover, this construction is compatible with modals of possibility 会 *hui4* 'will,' as in [85], necessity 应该 *ying1gai1* 'should,' as in [86], or ability 可以 *ke3yi3* 'can,' as in [87].

- [84] 这一家子...大伙儿瞧不起阿小, 阿小是知道的。
zhe4 yi1jia1zi0 da4huo3er0 qiao2bu4qi3 a1xiao3
this a_family everyone despise A-Xiao
a1xiao3 shi4 zhi1dao4 de0
A-Xiao be know DE
'In this family, everyone looks down on A-Xiao. And A-Xiao knows it.'

- [85] a. 生活富裕是会使人们懒散, 不知惜福的。
sheng1huo2 fu4yu4 shi4 hui4 shi3 ren2 lan3san3
life rich be can make people indolent
bu4 zhi1 xi1fu2 de0
NEG know appreciate DE
'Affluent life will make people lazy and unable to appreciate (one's) fortune.'

- b. 如果按照这草案做下去, 是会出人命的!
ru2guo3 an4zhao4 zhe4 cao3an4 zuo4 xia4qu4
if according_to this draft_plan do XIAQU
shi4 hui4 chu1ren2ming4 de0
be will lose_life DE
'If this proposal is followed through, lives could be lost.'

- [86] Q: 你说我这么做对吗?
ni3 shuo1 wo3 zhe4me0 zuo4 dui4 ma0
you say I so do right MA
'Do you think what I did is right?'

A: 嗯! 妳是应该这么做的!

en4 ni3 shi4 ying1gai1 zhe4me0 zuo4 de0
yes you be should this_way do DE
'Yes! You should do (it) this way!'

[87] 不是每个人都可以成为哲学家的。

bu4shi4 mei3ge4ren2 dou1 ke3yi3 cheng2wei2
NEG everyone all can become
zhe2xue2jia1 de0
philosopher DE
'It's not the case that everyone can be a philosopher.'

Deontic modals are also compatible with 是...的 *shi4...de0* assertions, such as ability 能 *neng2* in [88], obligation 能 *neng2* in [89], and concession 可以 *ke3yi3* in [90].

[88] [白马]已经老了只能慢慢的走,但终是能回到中原的。

bai2 ma3 yi3jing1 lao3 le0 zhi3neng2 man4man4
white horse already old LE can_only slowly
de0 zou3 dan4 zhong1 shi4 neng2 hui2dao4
DE walk but finally be can return_to
zhong1yuan2 de0
Central_Plains DE
'The white horse is getting old and can only go slowly, but it surely can return to Central Plains eventually.'

[89] 孙小姐,这批货是不能耽误的...

sun1 xiao3jie3 zhe4 pi1 huo4 shi4 bu4neng2
SUN Miss this CL goods be cannot
dan1wu4 de0
delay DE
'Ms. Sun, these goods cannot be delayed...'

[90] 他做的反应,我想是可以理解的。

ta1 zuo4 de0 fan3ying4 wo3 xiang3 shi4 ke3yi3
he make DE reaction I think be can
li3jie3 de0
understand DE
'His reaction (to this incident) is understandable in my view.'

Assertion may be modified and preceded by the prediction modal 可能 *ke3neng2* 'possible,' as in [91], and obligation 应该 *ying1gai1* 'should,' as in [92].

- [91] 这部小说想说明一个假设: 同性恋可能是经由母系而遗传的。
 zhe4 bu4 xiao3shuo1 xiang3 shuo1ming2 yi1 ge4
 this CL novel want explicate one CL
 jia3she4 tong2xing4lian4 ke3neng2 shi4 jing1you2
 hypothesis homosexuality possible be PREP
 mu3xi4 er2 yi2chuan2 de0
 mother's_side thus inherit DE
 'This novel attempts to explicate a hypothesis: homosexuality may be
 inherited from [the] mother's side.'

- [92] 大多数的人总觉得义工应该是为家人或亲友做的。
 da4duo1shu4 de0 ren2 zong3 jue2de0 yi4gong1
 most DE people always feel volunteer
 ying1gai1 shi4 wei4 jia1ren2 huo4 qin1you3 zuo4
 should be PREP family or relative do
 de0
 DE
 'Most people think volunteers should do service for family and relatives.'

In sum, the emphatic sentences follow the topic-comment information structure. The phrase framed by 是...的 *shi4...de0* serves as the comment. As for the construal of focus, there are two possibilities: the first one is that the immediate phrase following 是 *shi4* 'be' is the focus, while the rest of the comment is a presupposition; the second one is that the whole phrase encodes new information.

17.3.2. Specifying copular sentences

A specifying copular sentence, unlike the previous 是...的 *shi4...de0* emphatic sentences, equates two nominal phrases before and after the copular 是 *shi4* 'be,' as schematized in [93] and exemplified in [94] (see Chapter 9). The predicate contains a headless relative clause.

- [93] A 是 [VP 的]_B.

- [94] [这土地]_A是[他们祖先留下来___A的]_B
 zhe4 tu3di4 shi4 ta1men0 zu3xian1 liu2xia4lai2 de0
 this land be they ancestor hand_down DE
 'This land is what their ancestors handed down.'

Specifying copular sentences can be preceded by 就 *jiu4* 'just,' as shown in [95]. Moreover, the subject can be replaced by a demonstrative 这 *zhe4* 'this' or 那 *na4* 'that,' referring to the unexpressed head noun of the relative clause, as in

[95]. Furthermore, the head of the relative clause noun can be “recovered” and represented by a generic noun, like 事 *shi4* ‘matter’ or 东西 *dong1xi0* ‘thing,’ as shown in [96].

- [95] a. [彼此感恩, 互相提携...] 这就是 [我们应该要欢喜的]。
 bi2ci3 gan3en1 hu4xiang1 ti2xi2 zhe4
 each_other thankful each_other lead_by_hand this
 jiu4 shi4 wo3men0 ying1gai1 yao4 huan1xi3 de0
 thus be we should need happy DE
 ‘Being thankful and helpful to each other... this is exactly what we should be happy about.’
- b. [世界上第一架飞机], 就是 [他们兄弟两个发明的]。
 shi4jie4 shang4 di4yi1 jia4 fei1ji1 jiu4 shi4
 world on first CL airplane thus be
 ta1men0 xiong1di4 liang3 ge4 fa1ming2 de0
 they brother two CL invent DE
 ‘The first airplane in the world is just what the two brothers invented.’
- [96] 你说的, 是历史上从未发生过的事。
 ni3 shuo1 de0 shi4 li4shi3 shang4 cong2wei4
 you say DE be history on never
 fa1sheng1 guo4 de0 shi4
 happen GUO DE thing
 ‘What you described is something that has never happened before in history.’

Specifying copular sentences are also used to contrast alternatives. In talking about two sets of chivalrous novels, the pair of specifying copular sentences [97b] and [97c] are used to underline the differences between them.

- [97] a. 他也只看过「飞狐外传」与「神鵰侠侣」这两套书。
 ta1 ye3 zhi3 kan4 guo4 fei1hu2wai4zhuan4
 he also only look GUO Flying_Fox_unofficial_story
 yu3 shen2diao1xia2lū3 zhe4 liang3 tao4
 and Heaven_Candor_Hero_Companion this two CL
 shu1
 book
 ‘He only read “Other Tales of the Flying Fox” and “The Return of the Candor Heroes,” these two books in multi-volume sets.’

- b. 前者是我半年多前买的。

qian2zhe3 shi4 wo3 ban4nian2 duo1 qian2 mai3
former be I half_a_year more before buy

de0

DE

'The former is what I bought half a year ago.'

- c. 后者则是他向阿姨借的。

hou4zhe3 ze2 shi4 ta1 xiang4 a1yi2 jie4 de0
latter then be he PREP aunt borrow DE

'The latter is what he borrowed from his aunt.'

If there is a context through which a nominal phrase can be recovered or explicitly expressed, then sentences like [98a] may be ambiguous between a specifying reading like [98b] and an emphatic reading, as in [67A].

- [98] a. 人是神创造的。

- b. 人是神创造的(生物)。

ren2 shi4 shen2 chuang4zao4 de0 sheng1wu4
human be God create DE creature

– specifying: Human beings are (one of) the creatures that God created.

– emphatic: Human beings were created by God.

In addition to the topic-comment reading, the same string, as in [96], may denote an equation of 人 *ren2* 'human' and the head noun of the relative clause, for example, 生物 *sheng1wu4* 'creature,' giving rise to the specifying pattern. This ambiguity can be resolved depending on the contextual information, although their truth-conditional meanings can be considered equivalent in most contexts.

17.3.3. Bare 是 *shi4* sentences

A sentence with 是 *shi4* 'be' without 的 *de0* 'DE' simply affirms the elements in the domain of 是 *shi4* 'be,' without the speaker's strong subjective stance in the emphatic information structure of 是...的 *shi4...de0*. The sentence in [99] expresses surprise at spotting a wolf. Bare 是 *shi4* 'be' sentences are also used to contrast alternatives, as in [100], or emphasize *wh*-interrogatives, as in [101].

- [99] 一只狼出现了, 乐师看到后说道: 「哎呀! 是一只狼来看我了。」

yi1 zhi1 lang2 chu1xian4 le0 yue4shi1 kan4dao4
one CL wolf appear LE musician see

hou4 shuo1dao4 ai1ya1 shi4 yi1 zhi1 lang2 lai2
after say Aiya be one CL wolf come

kan4 wo3 le0

see I LE

'A wolf appeared. The musician saw it and then said: "Aiya! It's the wolf that comes to see me."'

- [100] 炸弹我是没见过, 炸蛋倒是吃过。

zha4dan4 wo3 shi4 mei2 jian4 guo4 zha4 dan4
bomb I be NEG see GUO fry egg

dao4shi4 chi1 guo4

actually eat GUO

'Zha4dan2 (bombs), it is true that I haven't seen them before; but zha4dan4 (fried eggs), I have eaten before.'

- [101] 有人请我吃饭, 可是我不记得是谁请我了。

you3 ren2 qing3 wo3 chi1fan4 ke3shi4 wo3 bu4
YOU people invite I eat but I NEG

ji4de0 shi4 shui2 qing3 wo3 le0

remember be who invite I LE

'Someone has invited me for dinner, but I cannot recall who (did it).'

Adjuncts like temporal, location, and manner phrases can follow 是 *shi4*, as shown in [102] and [103].

- [102] 他们是上周六启程到泰国。

ta1men0 shi4 shang4 zhou1liu4 qi3cheng2 dao4
they be last Saturday leave PREP

tai4guo2

Thailand

'It was last Saturday when they left for Thailand.'

- [103] 孔子是用唱歌来表示愉悦的心境。

kong3zi3 shi4 yong4 chang4ge1 lai2 biao3shi4
Confucius be use sing come express

yu2yue4 de0 xin1jing4

cheerful DE mood

'It is with singing that Confucius expressed his happy emotion.'

Bare 是 *shi4* sentences are employed to place a focus on a predicate, as in [104], or on predicates containing modals of possibility or necessity, as in [105a] and [105b], respectively.

- [104] 李先生过去裹扎伤口, 说: 「伯伯, 我是尽力而为, 你忍一忍痛。」
 li3 xian1sheng0 guo4qu4 guo3zha1 shang1kou3 shuo1
 LI Mr. go_over wrap wound say
 bo2bo0 wo3 shi4 jin4li4er2wei2 ni3 ren3 yi1 ren3
 uncle I be do_one's_best you hold one hold
 tong4
 pain
 'Mr. Li went to wrap the wound for him, saying, "Uncle, I am trying
 my best. Please bear with the pain for a while."'

 [105] a. 他是可能把标准定得太高了。
 ta1 shi4 ke3neng2 ba3 biao1zhun3 ding4 de0 tai4
 he be probably BA standard set DE too
 gao1 le0
 high LE
 'It is probable that he was setting the standard too high.'

 b. 男女双方是该试着调整心态。
 nan2nü3 shuang1fang1 shi4 gai1 shi4 zhe0
 men_and_women both_sides be should try ZHE
 tiao2zheng3 xin1tai4
 adjust attitude
 'Both men and women SHOULD try to change their attitudes.'

As exemplified above, the bare 是 *shi4* 'be' construction is associated with the use of a single emphatic marker 是 *shi4* 'be' to mark the focused phrase(s) in its domain. In general, there is no restriction on the verb types or aspects that can co-occur with bare 是 *shi4* 'be' sentences.

17.3.4. Pseudo-clefts

Pseudo-cleft 的是 *de0 shi4* sentences, also referred to as pseudo-clefts, consist of [1] a headless relative clause as the subject, [2] the copula 是 *shi4* 'be,' and [3] a focused element, as illustrated in [106d] (see Chapter 9).

- | | Part 1 | Part 2 | |
|----------|---------------------------------------|--|----------------------|
| [106] a. | [母亲寄来] | [一张美金支票] | [canonical sentence] |
| | mu3qin1 | ji4 lai2 yi1 zhang1 mei3jin1 zhi1piao4 | |
| | mother | send come one CL | US_dollar check |
| | 'Mother sent over a US dollar check.' | | |

- b. [母亲寄来] 的 [一张美金支票] [relative clause]
 mu3qin1 ji4 lai2 de0 yi1 zhang1 mei3jin1
 mother send come DE one CL US_dollar
 zhi1piao4
 check
 ‘a US dollar check sent over by Mother’
- c. 是[母亲寄来的一张美金支票]。 [emphatic sentence]
 shi4 mu3qin1 ji4 lai2 de0 yi1 zhang1 mei3jin1
 be mother send come DE one CL US_dollar
 zhi1piao4
 check
 ‘(What this is) is a US dollar check sent over by Mother.’
- d. [母亲寄来的] 是 [一张美金支票]。 [pseudo-cleft]
 mu3qin1 ji4 lai2 de0 shi4 yi1 zhang1 mei3jin1
 mother send come DE be one CL US_dollar
 zhi1piao4
 check
 ‘What mother sent over was a US dollar check.’

We can see from the examples above that the information structure is largely maintained with a canonical sentence in [106a], a relative clause in [106b], an emphatic sentence in [106c], and a pseudo-cleft in [106d], with all of them introducing the check sent by mother as the new information. The main difference among them is the focus. The canonical sentence and the relative clause do not encode a focus. By contrast, the 是 *shi4* ‘be’ sentence in [106c] places a focus on the clause that follows 是 *shi4* ‘be,’ while the pseudo-cleft emphasizes the phrase adjacent to 是 *shi4* ‘be.’

The post-copular focus can be a nominal phrase that is related to the unexpressed argument inside the relative clause. The unexpressed argument can be either an object or a subject. [106d] and [107] contain unexpressed objects, while [108] includes an unexpressed subject.

- [107] [学术研究讲求的]是[细致精确], 本院景观呈现的[却是粗糙凌乱的面貌]。
 xue2shu4 yan2jiu1 jiang3qiu2 de0 shi4 xi4zhi4
 academic research stress DE be refined
 jing1que4 ben3 yuan4 jing3guan1 cheng2xian4 de0
 accurate this institution landscape exhibit DE

que4 shi4 cu1cao1 ling2luan4 de0 mian4mao4
 yet be crude chaotic DE appearance
 'What (we) strive for in academic research is refinement and precision,
 but what our academy presents is a coarse and chaotic image.'

[108] [主张人文之美的]是[孔子], [主张人格之美的]是[孟子]。

zhu3zhang1 ren2wen2 zhi1 mei3 de0 shi4
 advocate humanities DE beauty DE be
 kong2zi3 zhu3zhang1 ren2ge2 zhi1 mei3 de0
 Confucius advocate personality DE beauty DE
 shi4 meng4zi0
 be Mencius

'The one who advocates the beauty of the humanities is Confucius, and
 the one who advocates the beauty of human virtue is Mencius.'

When a syntactic element is heavy, it is likely to be expressed as a focus. As shown in [109] through [111], a clausal subject, a clausal complement, and a clausal adjunct serve as foci in their respective sentences.

[109] [妙的]是[造物者仅只用了约百分之一的遗传基因就造成了一个有血肉有思想的人]。

miao4 de0 shi4 zao4wu4zhe3 jin2zhi3 yong4 le0
 marvelous DE be creator only use LE
 yue1 bai3fen1zhi1yi1 de0 yi2chuan2ji1yin1 jiu4
 about one_percent DE gene then
 zao4cheng2 le0 yi1 ge4 you3 xie3rou4 you3
 create LE one CL have flesh have
 si1xiang3 de0 ren2
 though DE human

'What is so marvelous is that the creator only used 1% of the gene to
 create a full-fleshed human with a thinking mind.'

[110] [我唯一能做的]只是 [递给她一包面纸]...

wo3 wei2yi1 neng2 zuo4 de0 zhi3 shi4 di4
 I only can do DE just be pass
 gei3 ta1 yi1 bao1 mian4zhi3
 give she one CL tissue

'The only thing I can do is to just give her a pack of tissues ...'

- [111] 有人...百般巴结老师...[为的]只是[能顺利毕业, 早日出校当官]。

you3 ren2 bai3ban1 ba1jie2 lao3shi1 wei4 de0
YOU people do_everything please teacher for DE

zhi3shi4 neng2 shun4li4 bi4ye4 zao3ri4 chu1
only can successfully graduate early leave

xiao4 dang1 guan1
school become officer

'The reason why some will do anything and everything to please their teachers is just so that they can graduate without any problem and become officers earlier.'

17.3.4.1. Provide new information

The post-copular element is intended to provide specific novel information on account of the pre-copular background information. This background-focus pseudo-cleft pattern is often used in *wh*-interrogatives and answers.

- [112] a. ...我轻轻的说: 爸爸, 您看什么书啊?

wo3 qing1qing1 de0 shuo1 ba4ba0 nin2 kan4
I gently DE say dad you look

shen2me0 shu1 a0
what book A

'...I asked gently, "Father, what are you reading?"'

- b. 父亲...说: [我看的]是[一本古诗]。父亲... 自言自语的念了两句诗。

fu4qin1 shuo1 wo3 kan4 de0 shi4 yi1 ben3
father say I look DE be one CL

gu3shi1 fu4qin1 zi4yan2zi4yu3 de0 nian4 le0
ancient_poem father mumble DE read LE

liang3 ju4 shi1
two CL poem

'Father said, "What I am reading is a book of ancient poems." He recited two verses to himself.'

- c. 我笑着说: 爸爸, [您念的]是[什么诗]啊?

wo3 xiao4 zhe0 shuo1 ba4ba0 nin2 nian4 de0 shi4
I smile ZHE say dad you read DE be

shen2me0 shi1 a0
what poem A

'I laughed and asked, "Father, what poem are you reciting?"'

- d. 父亲说: [我念的]是[唐朝诗人的诗句]。

fu4qin1 shuo1 wo3 nian4 de0 shi4 tang2chao2
father say I read DE be Tang_Dynasty

shi1ren2 de0 shi1ju4
poet DE verse

'Father said, "What I was reciting was the verses of a poet in [the] Tang Dynasty."'

In [112], the father's reading event is backgrounded in [112a]. In reply to his son's question, the father emphasizes "a book of poetry" in [112b] that he is reading. The son continues to elicit further details by placing the focus on the *wh*-interrogative in [112c]. The father answers with the same pattern by highlighting "verses from a poet in Tang Dynasty" in [112d].

The post-copular phrase is used to introduce a new entity, as in [113a], for the following discourse to elaborate on, as [113b].

- [113] a. [值得一提的] 是 [我在台湾认识了一个新朋友名叫棕背伯劳]。

zhi2deyi4ti2 de0 shi4 wo3 zai4 tai2wan1
worth_mentioning DE be I PREP Taiwan

ren4shi0 le0 yi1 ge4 xin1 peng2you3 ming2 jiao4
know LE one CL new friend name call

zong1bei4bo2lao2
shrike

'What's worth mentioning is that I came to know a new friend in Taiwan called Rufous-backed Shrike.'

- b. 他..., 因下背至尾上覆羽红棕色而得名。

ta1 yin1 xia4bei4 zhi4 wei3shang4 fu4 yu3
he PREP lower_back PREP top_tail cover feather

hong2zong1se4 er2 de2ming2
red_brown thus be_named

'It is named for red-brown feathers from the lower back to the tail.'

As a way to express emphasis, the speaker may use this pattern to express his/her stance of surprise, like, dislike, fear, etc.

- [114] 她冲我喊, 什么没给你? 你还想要什么?...[我恨的]就是[这句话]。

ta1 chong4 wo3 han3 shen2me0 mei2 gei3 ni3
she PREP I yell what NEG give you

ni3 hai2 xiang3yao4 shen2me0 wo3 hen4 de0 jiu4
 you yet want what I hate DE thus
 shi4 zhe4 ju4 hua4
 be this CL words
 ‘She shouted to me, “What didn’t I give you? What else do you want?”
 What I hate is these words.’

[114] also provides an example, similar to [110], where the focus marker 是 *shi4* ‘be’ is preceded and modified by the adverb 就 *jiu4*, 只 *zhi3* ‘just’ and is separated from 的 *de0* ‘DE.’

17.3.4.2. Contrast alternatives

的...是 *de0...shi4* sentences are often used to contrast alternatives. In addition to the above purpose of providing specification or new information, speakers may use the 的...是 *de0...shi4* pattern to clarify a possible misunderstanding or contradict something said or presupposed, as indicated in [115].

[115] Q: 彼得跟我说是明天走。

bi3de2 gen1 wo3 shuo1 shi4 ming2tian1 zou3
 Peter PREP I say be tomorrow leave
 ‘Peter said it’s tomorrow that he will leave.’

A: 妳大概记错了, [明天走的]是[我]。

ni3 da4gai4 ji4 cuo4 le0 ming2tian1 zou3
 you probably remember wrong LE tomorrow leave
 de0 shi4 wo3
 DE be I
 ‘You’ve probably got it wrong. The one who will leave tomorrow is me.’

[116] [但最后得奖的]是[罗伯兹]而非[周芷]。

dan4 zui4hou4 de2jiang3 de0 shi4 luo2bo2zi1 er2
 but finally win_a_prize DE be Roberts but
 fei1 zhou1zhi3
 NEG ZHOU_ZHI
 ‘The one who won the prize is Roberts not ZHOU Zhi.’

17.3.4.3. Elaboration of nuance

Another function of 的...是 *de0...shi4* is to elaborate a nuance of the preceding conversation.

- [117] a. 游先生倡行社区教育委员会主导学校行政, 可惜的是, ... 家长会
企图把持校务 ...
 you2 xian1sheng0 chang4xing2 she4qu1
 YOU Mr. advocate community
 jiao1yu4wei3yuan2hui4 zhu3dao3 xue2xiao4 xing2zheng4
 Education_Board lead school administration
 ke3xi1 de0 shi4 jia1zhang3hui4 qi3tu2 ba3chi2
 pity DE be PTA attempt manipulate
 xiao4wu4
 school_affairs
 'Mr. You advocates [that the] community education board should be
 leading administrative decisions, but the PTA attempts to manipulate
 school affairs ...'
- b. 在此[我要说的]是, [事实与理论往往是相差甚远的...].
 zai4 ci3 wo3 yao4 shuo1 de0 shi4 shi4shi2
 PREP here I want say DE be fact
 yu3 li3lun4 wang3wang3 shi4 xiang1cha1 shen4
 and theory often be differ very
 yuan3 de0
 far DE
 'Here what I want to say is that reality often deviates from the
 theory.'

In [117], the speaker agrees with Mr. You's view, which is challenged by a minority of parents. The speaker then elaborates on the nuance of his point by uttering a 的...是 *de0...shi4* sentence. A speaker may also summarize previous points as a focus by using 的是 *de0 shi4*.

- [118] a. 如果一个执政者有心...应该...做个具备泰雅族特色的博物馆...
 成为泰雅族传承的标竿。
 ru2guo3 yi1 ge4 zhi2zheng4zhe3 you3xin1 ying1gai1
 if one CL governor thoughtful should
 zuo4 ge4 ju4bei4 tai4ya3zu2 te4se4 de0
 do CL have Atayal characteristic DE
 bo2wu4guan3 cheng2wei2 tai4ya3zu2 chuan2cheng2 de0
 museum become Atayal heritage DE

biao1gan1

standard

'If those who govern care for the aboriginal people... they should build a museum featuring Atayal characteristics... as a standard bearer of the Atayal heritage.'

- b. [可惜的]是[这样的人太少了]!

ke3xi1 de0 shi4 zhe4yang4 de0 ren2 tai4 shao3

pity DE be like_this DE people too few

le0

LE

'It is a pity that we have too few of such people!'

On a par with the above-mentioned information structure, the 的...是 *de0*...*shi4* pseudo-cleft manifests another type of topic-comment bipartition: the relative preceding 是 *shi4* 'be' serves as background and the phrase following 是 *shi4* 'be' is the focus.

17.4. 连 *lian2*...都/也 *dou1/ye3* 'even' sentences

连...都/也 *lian2*...*dou1/ye3* 'even...all/also' sentences not only assert the sentence proposition but also implicate the focused element after 连 *lian2* 'even' to be the least expected alternative in one's presupposition in the context (see Chapter 12). 连 *lian2* 'even' and 都 *dou1* 'all'/'也 *ye3* 'also' occur in a pre-verbal position. As schematized in [119], various pre-verbal positions can host the 连-*lian2*-phrase, while 都/也 *dou1/ye3* 'all/also' usually immediately precedes the verb phrase. Adverbs like 甚至(于) *shen4zhi4* (*yu2*), 竟然 *jing4ran2*, 居然 *ju1ran2* 'unexpectedly' can optionally precede 连-phrases.

- [119] a. 连 + S... + 都/也 + VP [subject focus]
 b. S + 连 adjunct + 都/也 + VP [adjunct focus]
 c. S + 连 complement + 都/也 + V__ [complement focus]
 d. 连 complement + S + 都/也 + V__[complement focus/CT]
 e. 连 adjunct + S + 都/也 + VP [adjunct focus/CT]

The sentences in [120] correspond to the structure of [119a], in which the bracketed subject is presupposed to be the least likely individual that the VP is predicated of. Adjunct foci of [119b] are illustrated in [121].

- [120] a. 连[向导]也是第一次来。
lian2 xiang4dao3 ye3 shi4 di4yi1ci4 lai2
 even guide also be first_time come
 'Even the guide was here for the first time.'

- b. 由于河水实在太急, 连[大象]也站不住脚。

you2yu2 he2shui3 shi2zai4 tai4 ji2 lian2 da4xiang4
because stream really too rapid even elephant

ye3 zhan4 bu2 zhu4 jiao3

also stand NEG firm foot

'The stream is so rapid that even the elephant cannot stand firm.'

- [121] a. ...地震... 连[在兰州市区]也有轻微震感。

di4zhen4 lian2 zai4 lan2zhou1 shi4qu1 ye3
earthquake even PREP Lanzhou urban_area also

you3 qing1wei1 zhen4 gan3

YOU slight shake feel

'The earthquake can be felt even in [the] Lanzhou urban area.'

- b. 我真的没想到... 连[在街上走]都会发生意外。

wo3 zhen1de0 mei2 xiang3dao4 lian2 zai4
I really NEG imagine even PREP

jie1shang4 zou3 dou1 hui4 fa1sheng1 yi4wai4

street_on walk all can happen accident

'I cannot imagine that one may encounter accidents even when walking on the street.'

Being able to be separated from 都/也 *dou1/ye3*, 连- *lian2* 'even' can occur in the clause-initial position. As presupposed to be the least likely element, it often functions to add further information in the continuation of the previous discourse, as in [121b].

Focused elements introduced by 连 *lian2* 'even' must occur pre-verbally. Therefore, when post-verbal elements such as nominal or clausal direct objects need to be emphasized, they are required to be preposed, as shown in [122] and [123], respectively.

- [122] a. 思东连[开玩笑的话]都会用了, 简直跟中国人一样了嘛!

si1dong1 lian2 kai1wan2xiao4 de0 hua4 dou1 hui4
Stone even joke DE words all can

yong4 le0 jian3zhi2 gen1 zhong1guo2ren2 yi1yang4

use LE almost PREP Chinese same

le0 ma0

LE MA

'Stone even knows how to use jokes. He is essentially a Chinese!'

- b. 有时甚至连[计程车]_i也不见得叫得到。

you3shi2 shen4zhi4 lian2 ji4cheng2che1 ye3
 sometimes even even taxi also
 bu2jian4de0 jiao4 de0 dao4
 may_not call DE come
 'Sometimes one cannot even find a taxi.'

- [123] 她居然连[我叫什么名字]都知道!

ta1 ju1ran2 lian2 wo3 jiao4 shen2me0 ming2zi0
 she unexpectedly even I call what name
 dou1 zhi1dao4
 all know
 'She even knows what I am called!'

These sentences often co-occur with adverbs like 甚至 *shen4zhi4*, 竟然 *jing4ran2*, and 居然 *ju1ran2* for further emphasis, as in [124].

- [124] 甚至连[选举日]他们都宁愿加班。

shen4zhi4 lian2 xuan3ju3 ri4 ta1men0 dou1
 even even election day they all
 ning2yuan4 jia1ban1
 would_rather work_overtime
 'They prefer to work overtime even on voting day.'

Given the societal value of voting in newly democratic Taiwan, working overtime on voting day is thought to be the least likely scenario. Thus [124] shows that a non-definite nominal can appear in this position for the meaning of 'not even one...'

In addition, the clause-initial 连- *lian2*- phrase is used for contrasting alternatives, as shown in [125].

- [125] 凯洛琳不是你抢走的? 威玛不是你抢走的? 现在连[贝珍]你也要抢!

kai3luo4lin2 bu4 shi4 ni3 qiang3zou3 de0 wei1ma3
 Caroline NEG be you take_away DE Wilma
 bu4 shi4 ni3 qiang3zou3 de0 xian4zai4 lian2
 NEG be you take_away DE now even
 bei4zhen1 ni3 ye3 yao4 qiang3
 Bea_Jane you also want take_away
 'Didn't you take away Caroline? Didn't you take away Wilma! Now you want to take even Bea Jane away from me!'

While the clause-initial 连- *lian2*- phrase retains its focus status, it behaves similarly as a contrastive topic (CT), which may be addressee-new but discourse-old in the sense of having an understood alternative to be contrasted with.

When 连 *lian2* ‘even’ brings focus to a noun phrase denoting the smallest amount (一 or 半 + classifier + noun, often called a “minimizer”) in negative sentences, the proposition denies the existence of even the smallest amount, resulting in the meaning of “none.”

- [126] a. 他在山上...跑了一整天, 却连[一只野兔]都没有发现。

ta1 zai4 shan1 shang4 pao3 le0 yi1 zheng3
he PREP mountain on run LE one whole
tian1 que4 lian2 yi1 zhi1 ye3tu4 dou1 mei2you3
day yet even one CL hare all NEG
fa1xian4
find

‘He hunted a whole day on the mountain, but didn’t find even a hare.’

- b. 思汉觉得自己很笨, 连[一个字]也没听懂。

si1han4 jue2de0 zi4 hen3 ben4 lian2 yi1 ge4
SIHAN feel self very stupid even one CL
zi4 ye3 mei2 ting1 dong3
word also NEG listen understand

‘Sihan felt himself stupid; he didn’t understand even a word.’

- c. 没有一个例外, 连[半个]都没有呢。

mei2you3 yi1 ge4 li4wai4 lian2 ban4 ge4 dou1
NEG one CL exception even half CL all
mei2you3 ne0
NEG NE

‘There is no exception, not even half an exception.’

- d. 当我们双腿一伸的时候, 连[一片云彩]我们都带不走。

dang1 wo3men0 shuang1tui3yi1shen1 de0 shi2hou0
when we die DE when
lian2 yi1 pian4 yun2cai3 wo3men0 dou1
even one CL cloud we all
dai4bu4zou3
cannot_take_away

‘When we die, we cannot take away even a cloud.’

- [127] 耶稣曾说过他要睡觉连[个枕头]都没有。
 ye1su1 ceng2 shuo1 guo4 ta1 yao4 shui4jiao4 lian2
 Jesus once say GUO he want sleep even
 ge4 zhen3tou2 dou1 mei2you3
 CL pillow all NEG
 'Jesus once said that he didn't even have a pillow to sleep on.'

[126a] means he didn't find any prey, not even a hare, which is assumed to be the most likely prey to be found. [126b] means Sihan didn't understand anything, and [126c] means the speaker didn't earn anything on his first day of business. Note the numeral — *yi1* 'one' can be omitted, as shown in [127].

With an appropriate context, the omission of 连 *lian2* 'even' before a minimizer does not affect the meaning in a negative sentence with a minimizer, as shown in [128].

- [128] a. 爸爸至少救了上百人,可是[半个]都没有来家感谢的意思。
 ba4ba0 zhi4shao3 jiu4 le0 shang4 bai3 ren2
 father at_least save LE more_than hundred people
 ke3shi4 ban4 ge4 dou1 mei2you3 lai2 jia1
 but half CL all NEG come home
 gan3xie4 de0 yi4si0
 thank DE idea
 'Dad saved nearly one hundred people, but none of them came to say thanks.'
- b. 空洞洞的书包,常常[一本书]也不带。
 kong1dong4dong4 de0 shu1bao1 chang2chang2 yi1
 empty DE school bag often one
 ben3 shu1 ye3 bu4 dai4
 CL book also NEG take
 'The empty school bag often doesn't contain any books.'

When it is the verb that is focused, the verb is repeated between 连 *lian2* 'even' and 都/也 *dou4/ye3* 'all/also,' for example, the verbs in [129].

- [129] a. 他连[动]也动不了。
 ta1 lian2 dong4 ye3 dong4bu4liao3
 he even move also unable_to_move
 'He couldn't even move.'
- b. 菜却弄焦了, ... 小狗连[看]都不看一眼呢!
 cai4 que4 nong4 jiao1 le0 xiao3 gou3 lian2 kan4
 dish yet do burnt LE small dog even look

dou1 bu4 kan4 yi1 yan3 ne0
all NEG look one eye NE

'The food was burned. The puppy would not even take a look at it.'

The direct object is sometimes not overtly expressed in its canonical object position or understood as a topic, for example, 菜 *cai4* 'meal' in [129b]. The 连...都/也 *lian2...dou1/ye3* 'event...all/also' sentences not only signal the informative element but also reveal the speaker's presupposition or expectation in pragmatic situations. The variation of clause-initial or medial 连-phrases pertains to the discourse flow. For the former type to be understood, a set of alternatives must be mentioned or understood in the context.

17.5. 只 *zhi3*, 只 (有) *zhi3 (you3)*, and 只 (是) *zhi3 (shi4)*

Another focus construction witnesses word order variations in sentences containing the restrictive focus adverb 只 *zhi3* 'only' (see Chapter 6).

[130] a. 他(投篮5次)只进1球。 [S-*zhi*-V-O]

ta1 tou2lan2 wu3 ci4 zhi3 jin4 yi1 qiu2
he shoot five CL only enter one ball
'He (shot five times) and only made one.'

b. 他(投篮5次)只有1球投进了。 [S-*zhi(you)*-OV]

ta1 tou2lan2 wu3 ci4 zhi3you3 yi1 qiu2 tou2
he shoot five CL only one ball shoot
jin4 le0
enter LE
'He (shot five times) and only made one shot.'

c. (投篮5次)只有1球他投进了。 [*zhi(you)*-OSV]

tou2lan2 wu3 ci4 zhi3you3 yi1 qiu2 ta1 tou2
shoot five CL only one ball he shoot
jin4 le0
enter LE
'(Having shot five times) there was only one shot that he made.'

[131] 我一想,果然只有这条路可以走。

wo3 yi1 xiang3 guo3ran2 zhi3you3 zhe4 tiao2
I one think as_expected only this CL
lu4 ke3yi3 zou3
way can walk
'I realized that I can take only this path.'

[132] 牠刚从母体落地时, 仅仅只有八九十克。

ta1 gang1 cong2 mu3ti3 luo4di4 shi2 jin2jin3
it just PREP mother born when only
zhi3you3 ba1jiu3shi2 ke4
only eighty_to_ninety gram
'When it was just born, it only weighed 80 to 90 grams.'

In [130a], 只 *zhi3* 'only' precedes the verb phrase in a canonical SVO sentence. By contrast, the object focus is displaced to precede the verb, as in [130b], or to the clause-initial position, as in [130c]. The displacement is possible only when the verb 有 *you3* 'have' conflates with 只 *zhi3* 'only.' As the subject is often omitted, the pre-verbal 只有- *zhi3you3*- phrase is possible both in the clause-medial or initial positions, as in [131], meaning 'there is only X that.' Sometimes a restrictive adverb 仅仅 *jin3jin3* 'only,' for example, that in [132], may precede 只 *zhi3* 'only' for further emphasis.

When the subject is restricted, 只有 *zhi3you3* 'only' immediately precedes it and often co-occurs with another restrictive focus (strictly pre-verbal) adverb 才 *cai2*.

[133] a. 孔子认为只有「天」才真正了解他。

kong3zi3 ren4wei2 zhi3you3 tian1 cai2 zhen1zheng4
Confucius think only God just truly
liao3jie3 ta1
understand he
'Confucius thinks only "heaven" can understand him.'

b. 大家都耻笑他, 只有鲍叔牙替他辩护。

da4jia1 dou1 chi3xiao4 ta1 zhi3you3 bao4shu2ya2
everyone all mock he only BAO_SHUYA
ti4 ta1 bian4hu4
PREP he defend
'Everyone looks down on him. Only BAO Shuya defended him.'

Like other pre-verbal elements, adjuncts, when being restricted, are preceded by 只有 *zhi3you3* 'only,' as shown in [134].

[134] [数十万只红尾伯劳群集一处的自然奇观], 全世界只有恒春才看得到。

shu4shi2wan4 zhi1 hong2wei3bo2lao2
several_hundred_thousands CL brown_shrike
qun2ji2 yi1 chu4 de0 zi4ran2 qi2guan1
gather one place DE nature wonder
quan2shi4jie4 zhi3you3 heng2chun1 cai2
all_over_the_world only Hengchun just

kan4de2dao4

be_able_to_see

'The natural wonder of hundred thousands of brown shrikes gathering at one place can be watched only in Heng-chun.'

In addition to the restricting function, 只 *zhi3* 'only' is used to contrast with opposing alternative(s), sometimes juxtaposed with a negative conjunct.

- [135] 解说人员的言论只代表他们个人观感, 而不代表艺术中心或创作者。

jie3shuo1ren2yuan2 de0 yan2lun4 zhi3 dai4biao3

docent DE speech only represent

ta1men0 ge4ren2 guan1gan3 er2 bu4 dai4biao3

they individual opinion but NEG represent

yi4shu4zhong1xin1 huo4 chuang4zuo4zhe3

art_center or artist

'What the docents say only represents their own personal views, not those of the art center or the artists.'

只 *zhi3* 'only' can co-occur with 是 *shi4* 'be' in regular specifying copular sentences to restrict the predicate rendering "only" or "just" in [136], as well as in the "not only" context in [137].

- [136] 孔子原来只是个平凡的小孩, 家里很穷...

kong3zi3 yuan2lai2 zhi3 shi4 ge4 ping2fan2 de0

Confucius originally only be CL ordinary DE

xiao3hai2 jia1li3 hen3 qiong2

child family very poor

'Confucius was just an ordinary child, from a poor family...'

- [137] 桂花乌龙不只是茶香味美而已, 还有一种细腻温润。

gui4hua1 wu1long2 bu4zhi3 shi4 cha 2 xiang1

osmanthus oolong not_only be tea fragrant

wei4 mei3 er2yi3 hai2 you3 yi1 zhong3 xi4ni4

taste good only also have one CL delicacy

wen1run4

tenderness

'Osmanthus oolong tea does not just have fragrance and taste, it also has a touch of delicacy and tenderness.'

A whole proposition may be restricted in cases when 只是 *zhi3shi4* 'be only' precedes the clause.

- [138] 没有啦!... 只是昨天晚上有两名青少年骑车在街上游荡, 被人拿刀 砍伤...
 mei2you3 la0 zhi3shi4 zuo2tian1 wan3shang4 you3
 NEG LA only yesterday evening have
 liang3 ming2 qing1shao4nian2 qi2 che1 zai4
 two CL young_man ride scooter PREP
 jie1 shang4 you2dang4 bei4 ren2 na2 dao1
 street on wander BEI people use knife
 kan3 shang1
 stab hurt
 'Nothing! It was just that yesterday there were two young men riding
 scooters on the street and being stabbed...'

The restrictive semantics then gets further extended to concession to soften the (opposing) tone of the speech, indicated by the sentence-final particle 啦 *la0* in [138] and 罢了 *ba4le0* in [139].

- [139] a. 投资再多的电厂也只是浪费罢了。
 tou2zi1 zai4 duo1 de0 dian4chang3 ye3 zhi3shi4
 invest more many DE power_plant also only
 lang4fei4 ba4le0
 waste BALE
 'No matter how much investment one puts in more power plants, it is
 just a waste.'
- b. 台湾并不产虎, 最多只是被昵称为大猫的石虎罢了!
 tai2wan1 bing4 bu4 chan3 hu3 zui4duo1
 Taiwan however NEG produce tiger at_most
 zhi3shi4 bei4 ni4cheng1 wei4 da4 mao1 de0
 only BEI nickname as big cat DE
 shi2hu3 ba4le0
 leopard_cat BALE
 'Taiwan does not have tigers. The closest to tigers is just the leopard
 cat, which is nicknamed "the big cat."'

There are other means to express uniqueness, such as by employing the modifiers 唯独 *wei2du2* 'only' or 唯一 *wei2yi1* 'only,' as shown in [140].

- [140] a. 别的好说, 唯独这件事, 我不管就受不了。
 bie2de0 hao3shuo1 wei2du2 zhe4 jian4 shi4
 others easy_to_handle only this CL thing

wo3 bu4 guan3 jiu4 shou4bu4liao3

I NEG intervene thus cannot_stand

'Other things are fine. Only this matter, I cannot stand ignoring it.'

- b. 唯一比较困难的地方是台湾早期的资料都是用日语写的。

wei2yi1 bi3jiao4 kun4nan2 de0 di4fang1 shi4

only comparatively difficult DE place be

tai2wan1 zao3qi1 de0 zi1liao4 dou1 shi4 yon4

Taiwan early_stage DE material all be use

ri4yu3 xie3 de0

Japanese write DE

'The only difficulty came from the fact that early documents in Taiwan were all written in Japanese.'

Appendix: Punctuation

Shui Duen Chan

Punctuation, strictly speaking, is part of the orthography and not a proper component of the grammar. In reading and writing, however, punctuation marks bring out the subtlety in grammar which is often inadequately expressed by textual representation. In this sense, punctuation is not unlike prosody in speech: something that is needed for understanding and has to be considered in conjunction with the perceived phonemic units. Punctuation is a relatively new innovation in Chinese writing. Traditional Chinese printed texts did not have punctuation and until the early twentieth century, readers of Chinese texts were required to break down the text into different units, as mentioned in Chapter 1. Punctuation marks are now an integral part of written Chinese. They are symbols to indicate pauses, the nature and function of certain expressions, and the structure and organization of sentences.

There are seventeen types of punctuation marks in written Chinese: period, question mark, exclamation mark, comma, caesura mark, semicolon, colon, quotation marks, emphasis marks, parentheses, dash, ellipsis points, connection mark, interval mark, separation mark (slash), book title mark, and proper noun mark. They can be classified into two major categories:

- 1 Punctuation marks that indicate boundaries, which are divided into three subcategories:
 - (a) markers of major (usually sentential) boundaries: period, question mark, and exclamation mark;
 - (b) markers of secondary boundaries between expressions or clauses: comma and caesura mark; and
 - (c) markers of boundary and relationship between two expressions: colon and semicolon.
- 2 Punctuation marks that indicate the nature and function of expressions, which are divided into five subcategories:
 - (a) markers to enclose a quotation or to indicate emphasis: quotation marks and emphasis marks;
 - (b) markers to indicate amendment or explanation: parentheses and dash;
 - (c) markers to indicate omission: ellipsis points;

- (d) markers to indicate the relation between words: hyphen, interval mark, and slash mark; and
- (e) markers to indicate the nature of certain expressions: book title mark and proper noun mark.

A.1. Boundary-marking punctuation marks

As mentioned above, there are three subtypes of boundary-marking punctuation marks.

A.1.1. Marks marking major boundaries

A.1.1.1. Period

A period “。” is a small circle at the bottom part of the line. It is different in appearance from the Western period “.” but has similar functions. It is conventionally used to mark major boundaries of a statement, regardless of whether it is the end of a grammatical sentence or not and irrespective of the length of the statement concerned. The single clause in [1a] is a complete sentence with a period at the end, while the four clauses in [1b] also form a sentence with only one period.

- [1] a. 我会按照指示去做的。
 wo3 hui4 an1 zhi3shi4 qu4 zuo4 de0
 I will PREP instruction go do DE
 ‘I will act according to the instruction.’
- b. 客人进门, 服务员要先问候, 然后带位, 再送上菜单。
 ke4ren2 jin4men2 fu2wu2yuan2 yao4 xian1 wen4hou4
 guest enter_door waiter must first greet
 ran2hou4 dai4wei4 zai4 song4shang4 cai4dan1
 then lead_seat again present menu
 ‘When the customers come in, the waiters should greet them, take them to their seats, and then present the menu.’

It is important to note that a Chinese period does not necessarily mark the end of a sentence like an English full stop. Very often, it marks a string of sentences forming a topic chain, like in [1b]. In terms of orthography, the rule that a period marks the end of a sentence simply does not work. A period can sometimes be used at the end of a directive or exclamatory sentence that does not convey a strong feeling. The directive in [2a] is a soft suggestion and [2b] is a soft exclamation in the form of an admiring statement. Both have a period at the end.

- [2] a. 请您先等一下。
 qing3 nin2 xian1 deng3 yi1xia4
 please you(honorific) first wait a_bit
 'Please wait a minute.'
- b. 她可真聪明。
 ta1 ke3 zhen1 cong1ming2
 she in_fact truly bright
 'She is really smart.'

A.1.1.2. Question mark

A question mark “?” is used at the end of a direct question, as in [3].

- [3] 他为什么不来?
 ta1 wei4shen2me0 bu4 lai2
 she why NEG come
 'Why did he not come?'

A question mark has the additional function of being used in the middle of a sentence to indicate an unknown factor, typically appearing between parentheses, to express uncertainty or suspicion. The question mark in [4a] indicates that the birth year of the person is unknown or uncertain, and the one in [4b] conveys the speaker's doubt about the status of the “experts.”

- [4] a. 李广 (? – 前 119 年) 是汉代著名大将。
 li3guang3 qian2 119 nian2 shi4 han4dai4
 LI_GUANG before 119 year be HAN_dynastyi
 zhu4min2 da4jiang4
 well-known general
 'Li Guang (?–119 BC) was a famous general in the HAN Dynasty.'
- b. 这些专家 (?) 的言论不值得相信。
 zhe4xie1 zhuan1jia1 de0 yan2lun4 bu4 zhi2de2
 these experts DE words not worthy
 xiang1xin4
 believe
 'Words by these so-called experts are not reliable.'

A.1.1.3. Exclamation mark

An exclamation mark is often used at the end of an exclamative sentence to express excitement, as in [5a], surprise, as in [5b], or admiration.

- [5] a. 好精彩的表演!
 hao3 jing1cai3 de0 biao3yan3
 very superb DE performance
 'What a wonderful show!'
- b. 吓死我了!
 xia4si3 wo3 le0
 scare_death I LE
 '(You are /This is) scaring me to death!'

It could also be used to indicate a strong directive. [6a] is a command and [6b] is a warning, and both are marked with an exclamation mark.

- [6] a. 伙计!赶快开灯!
 huo3ji4 gan3kuai4 kai1deng1
 staff_member hurry_up turn_on_the_light
 'Waiter! Turn on the light right now!'
- b. 躲开点!
 duo3kai1 dian3
 dodge_away a_bit
 'Stay away!'

A.1.2. Marks marking secondary boundaries

A.1.2.1. Comma

A comma marks the boundary between elements within a statement or topic chain, such as between the clauses in [7].

- [7] 昆明四季如春, 山清水秀, 是个养老的好地方。
 kun1ming2 si4ji4ru2chun1 shan1qing1
 KUMING four_season_as_spring mountain_green
 shui3xiu4 shi4 ge4 yang3lao3 de0 hao3 di4fang
 water_clean BE CL retire DE good place
 'Kuming has a spring-like climate all year round. It has green mountains and beautiful rivers. It is an ideal place for retirement.'

A comma is sometimes used to separate a long subject with the predicate, as in [8a], or to separate a verb with a long object, as in [8b].

- [8] a. 坦桑尼亚的沿海城市桑几巴尔, 是主要港口和商业中心。
 tan2sang1ni2ya4 de0 yan2hai3 cheng2shi4 sang1ji3ba1er3
 TANZANIA DE coastal city ZANZIBAR

shi4 zhu3yao4 gang3kou3 he2 shang1yan4 zhong1xin1
 be main port and business center
 ‘The seaside city of Zanzibar in Tanzania, is the main port and
 commercial center of the country.’

- b. 报告指出,近年来的恶劣天气与全球暖化有着密切关系。

bao4gao4 zhi3chu1 jin4nian2lai2 de0 e4lie4 tian1qi4
 report point_out recent_year DE bad weather
 yu3 quan1qiu2nuan3hua4 you3 zhe0 mi4qie4
 PREP global_warming have ZHE close
 guan1xi1
 relation
 ‘The report says that the bad weather in recent years is clearly due to
 global warming.’

It can also be used to mark an inserted element, as in [9].

- [9] 巴黎,世界上最繁华的大都市之一,素有“花都”之称。
 ba1li2 shi4jie4 shang4 zui4 fan2hua2 de0 da4
 PARIS world PREP most prosperous DE big
 du1shi4 zhi1yi1 su4you3 hua1du1 zhi1
 metropolis one_of used_to_have flower_city DE
 cheng1
 name
 ‘Paris, one of the most prosperous metropolises in the world, is known as
 “the city of flowers.”’

Its last function is to indicate the pause after a vocative, as in [10].

- [10] 老板,你听见没有?
 lao3ban3 ni3 ting1jian4 mei2you3
 boss you hear NEG
 ‘Boss, did you hear that?’

A.1.2.2. Caesura mark

A caesura mark “、” looks like a tilted water drop. It is used to indicate the boundary between parallel phrases, as in [11a], or numbers in a sequence, as in [11b].

- [11] a. 我教过英国文学史、比较文学、散文、翻译和现代诗歌。
 wo3 jiao1 guo4 ying1guo2 wen2xue2shi3
 I teach GUO England literature_history

bi3jiao4wen2xue2 san3wen2 fan1yi4 he2
 comparative_ literature essay translation and
 xian4dai4shi1ge1
 Contemporary_Poetry

'I taught history of English literature, comparative literature, essay, translation, and contemporary poetry.'

- b. 我在北京住了五、六年。

wo3 zai4 bei3jing1 zhu4 le0 wu3 liu4 nian2
 I ZAI BEIJING live LE five six year
 'I lived in Beijing for five or six years.'

A.1.3. Marks denoting boundary and relationship between two expressions

A.1.3.1. Colon

A colon is used to prompt or introduce a text or clause that elaborates on the element before the colon, such as the direct quote in [12].

- [12] 他逢人便问：“什么地方可以找到红豆？”
 ta1 feng2 ren2 bian4 wen4 shen2me0 di4fang1
 he meet person then ask what place
 ke3yi3 zhao3dao4 hong2dou4
 can find red_bean
 'He asked everybody he met: "Where could I find the red beans?"'

The elaboration after a colon can be a phrase that provides an explanation, comment, or description, as in the dictionary entry of [13a] and the announcement in [13b].

- [13] a. 袭击：出其不意地打击
 xi2ji2 chu1qi1bu4yi4 de0 da3ji2
 surprise_attack unexpected DE strike
 'surprise attack: to strike unexpectedly'
- b. 地点：香港理工大学
 di4dian3 xiang1gang3li3gong1da4xue2
 location HONG_KONG_POLYTECHNIC_UNIVERSITY
 'venue: The Hong Kong Polytechnic University'

It is also used to indicate the pause after the vocative in a speech or addressee in a letter, as in [14].

- [14] 各位嘉宾：
 ge4 wei4 jia1bin1
 each CL honorable_guest
 ‘Dear Guest.’

A.1.3.2. Semicolon

The typical use of a semicolon is to mark the boundary between coordinated parallel expressions, such as between the two coordinated causative complex sentences in [15].

- [15] 有了门,我们可以出去;有了窗,我们可以不必出去。
 you3 le0 men2 wo3men0 ke3yi3 chu1qu4 you3 le0
 YOU LE door we can go_out YOU LE

 chuang1 wo3men0 ke3yi3 bu4bi4 chu1qu4
 window we can NEG go_out
 ‘With door, we can go out (to the outside world); with window, we do not have to go out (to see the outside world).’

Another use for a semicolon is to indicate the end of items in a list, as in [16].

- [16] 出发前的准备事项包括：
 chu1fa1 qian2 de0 zhun3bei4 shi4xiang4 bao1gua1
 start_out before DE preparation issues include
 ‘Preparations before the trip are:’

 一、把水箱加满水;
 yi1 ba3 shui3xiang1 jia1man3 shui3
 one BA radiator add_full water
 ‘1. to fill the radiator with water;’

 二、检查轮胎的气压;
 er4 jian3cha2 lun2tai1 de0 qi4ya1
 two check tire DE pressure
 ‘2. to check the air pressure of the tires;’

 三、把油箱加满汽油;
 san1 ba3 you2xiang1 jia1man3 you2
 three BA gas_tank add_full gas
 ‘3. to fill the gas tank;’

四、多带一个备胎。

si4 duo1 dai4 yi1 ge4 bei4tai1
four more bring one CL spare_tire
'4. to get an extra spare tire.'

A.2. Punctuation marks indicating the nature and function of expressions

When such marks are used, the marked element will be assigned a specific function or a particular status.

A.2.1. Marks enclosing a quotation or indicating emphasis

A.2.1.1. Quotation marks

There are two quotation marks, double quotation marks [“ ”] and single quotation marks [‘ ’]. These paired punctuation marks mark direct speech, or expressions that should receive special attention or are carrying some connotative meaning. Under normal circumstances, double quotation marks [“ ”] are the choice, as in [17a]. Single quotation marks [‘ ’] are used to indicate a quotation within a quotation, as illustrated in [17b].

- [17] a. 二乔问伙计：“新娘子来了没有？”伙计答道：“来了。”
er4qiao2 wen4 huo3ji4 xin1nian2zi0 lai2 le0
ERQIAO ask staff_member bride come LE
mei2you3 huo3ji4 da2dao4 lai2 le0
NEG staff_member reply_say come LE
'Erqiao asked the waiter, "Has the bride arrived?" The waiter said,
"Yes. She has."
- b. 她对记者说：“我不喜欢在歌里出现‘炸弹’这种字眼。”
ta1 dui4 ji4zhe3 shuo1 wo3 bu4 xi3huan1 zai4
she PREP reporter say I NEG like PREP
ge1 li3 chu1xian4 zha4dan4 zhe4 zhong3 zi4yan3
song in appear bomb this CL wording
'She told reporters, "I do not like words like 'bomb' to appear in the
lyrics."

A.2.1.2. Emphatic marks

Emphasis marks highlight the importance of the word or words that should be marked to attract special attention. They are written as a string of small dots placed beneath the words concerned, like those in [18]:

- [18] 离港旅客必须出示登机证及旅行证件, 接受保安人员检查。
 li2 gang3 lü3ke4 bi4xu1 chu1shi4
 depart HK passenger must show
 deng1ji1zheng4 ji2 lü3xing2zheng4jian4 jie1shou4
 boarding_pass and travel_document receive
 bao3an1ren2yuan2 jian3cha2
 security_personnel check
 ‘Departing passengers should have their boarding pass and travel
 document ready for inspection by security personnel.’

A.2.2. Marks indicating amendment or explanation

A.2.2.1. Parentheses

Parenthetical marks come in several paired forms. The most common are parentheses “(),” while square brackets “[],” hexagon brackets “[],” and thick brackets “【 】” are variants. Parentheses are typically used to indicate an explanation, a supplement, or an amendment, as in [19]:

- [19] 祭品中绝对少不了的是“糖瓜儿”(一种瓜形的麦芽糖)。
 ji4pin3 zhong1 jue2dui4 shao3bu4liao3 de0
 worship_offering among absolutely lack_NEG_LE DE
 shi4 tang2gua1er0 yi1 zhong3 gua1xing2 de0
 BE Sugar_melon one CL melon_shape DE
 mai4ya2tang2
 malt_candy
 ‘One that is absolutely necessary among the offering is “sugar melon”
 (which is melon-shaped malt candy).’

Parentheses are also used with numbers or order words, as in [20a] where some major punctuation marks are listed with numbering. Square and hexagon brackets “[], []” are typically used with the name of an era or country, as in [20b]. Thick brackets “【 】” are used with the name of a press agency or lexical entries in dictionaries, as in [20c].

- [20] a. (1) 句号 *ju4hao4*; (2) 问号 *wen4hao4*; (3) 感叹号 *gan3tan4hao4*.
 ‘(1) period; (2) question mark; (3) exclamation mark.’
 b. [英] 达尔文《物种起源》
 ying1 da2er3wen2 wu4zhong4qi3yuan2
 ENGLAND DARWIN THE_ORIGIN_OF_SPIECES
 ‘*On the Origin of Species* by Darwin of England.’

〔宋〕苏轼著《苏轼文集》

song4 su1shi4 zhu4 shu1shi4wen2ji2

SONG SU_SHI author COLLECTED_ESSAYS_BY_SU_SHI

'A Collection of Su Shi's Essays by Su Shi of the Song Dynasty.'

c. 【路透社3月15日讯】

lu4tou4she4 3 yue4 15 ri4 xun4

REUTERS 3 month 15 day dispatch

'Reuters, March 15'

【扣除】从总额中减去：扣除伙食费。

kou4chu2 cong2 zong3e2 zhong1 jian3qu4

deduction PREP total_sum in minus

kou4chu2 huo2shi2fei4

deduct food_expenses

'Deduction: what is taken out of the sum, e.g., deduction of food expenses.'

A.2.2.2. Dash

A dash is normally used to indicate an explanation, or to mark an insertion or summary. It can mark an extended pause or a prolonged speech sound. The dash in [21a] introduces an explanation to the cliché that goes before it, while the two dashes in [21b] indicate a prolonged pronunciation of the word 你 *ni3* 'you.'

[21] a. 泥菩萨过江——自身难保

ni2pu2sa4 guo4 jiang1 zi4sheng nan2 bao3

mud-Budda cross river self hard keep

'A mud Buddha statue crossing the river: not even sure if it can keep itself safe.'

b. 你瞧——你——你倒是评评理看!

ni3 qiao2 ni3 ni3 dao4shi4 ping2ping2li3 kan4

you watch you you indeed judge_judge see

'You take a look, you, you make a fair judge!'

Another usage of the dash is to introduce a subtitle, as in [22a], or to indicate supplementary information to a quotation or a name, such as the author in [22b].

[22] a. 从毕加索到爱因斯坦

——《大学英文读本》编后

cong2 bi3jiasuo3 dao4 ai4yin1si1tan3

PREP PICASSO PREP EINSTEIN

da4xue2ying1wen2du2ben3 pian1 hou4
 COLLEGE_ENGLISH_READER edit after
 'From Picasso to Einstein – A note after compilation of *University English Reader*.'

- b. 举头望明月，低头思故乡。

-- 李白

ju3tou2 wang4 ming2yue4
 raise_head gaze bright_moon

di1tou2 si1 gu4xiang1
 lower_head miss homeland

li3bai2

LI_BAI/LI_PO

“Head raised, gazing at the Moon bright and clear, lowered, yearning for hometown far and away” – by LI Bai/LI Po.’

A.2.3. Marks of omission

Ellipsis points “... ..” are six small dots close to the bottom of a line. They are mainly used to indicate omissions from listed items or a quoted text or speech. The ellipsis points in [23a] indicate that the speaker utters quite a number of 好 hao3 ‘fine’ as well as 你赢了 ni3 ying2le0 ‘you win.’ If the omitted part is a whole line or a paragraph, the number of dots can be increased to twelve. The quoted statements in [23b] are part of a long list of eulogies to an author who has passed away and the twelve dots indicate that the list is very long. Although six- or twelve-dot ellipsis points are prescribed, in actual use speakers often use as few as three and sometime even an arbitrary number of dots. It is very rare, however, to see more than thirteen dots.

- [23] a. 他气得连声说道：“好，好... ..你赢了，你赢了... ..”

ta1 qi4 de0 lian2sheng2 shuo1 dao4 hao3 hao3
 he angry DE repeatedly say that fine fine

ni3 yin2 le0 ni3 yin2 le0

you win LE you win LE

‘He got so angry that he uttered repeatedly, “Fine, fine... You won. You won...”’

- b. “这个作家真像是时代的一面镜子。”

“他的作品是生活的镜子。”

... ..

zhe4 ge4 zuo4jia1 zhen1 xiang4 shi4 shi2dai4 de0
 this CL author true like be era DE

yi1 mian4 jing4zi0 ta1de0 zuo4pin3 zhen1 shi4
 one CL mirror his work true be
 sheng1huo2 de0 jing4zi0
 life DE mirror
 'This writer is like a mirror of our time.'
 'His work is a reflection of life.'
 ...

A.2.4. Marks indicating relationship between words

A.2.4.1. Hyphen

There are three kinds of hyphens, the long hyphen “–,” the short hyphen “-,” and the tilde “~,” which can sometime be a variant of the short hyphen. The long hyphen is used to represent two endpoints of a range of items mentioned, such as the range of page numbers in [24a], or the two endpoints of a journey, as in [24b].

- [24] a. 第10–28 页
 di4 shi2 er4shi2ba1 ye4
 DI ten twenty_eight page
 'from page 10 to page 28'
- b. 北京–上海旅客快车
 bei3jing1 shang4hai2 lü3ke4 kuai4che1
 BEIJING SHANGHAI passenger express
 'passenger express train from Beijing to Shanghai'

The short hyphen is used between words in a compound involving different orthographic units, such as between a character and a numeral in [25a]. The tilde, on the other hand, is used to mark the range of numbers in estimation.

- [25] a. 歼-10 歼击机
 jian1 10 jian1ji2ji1
 strike 10 jet_fighter
 'F-10 jet fighter'
- b. 30 ~ 35 克
 30 50 ke4
 30 50 gram
 'between 30 to 35 grams'

A.2.4.2. Interval mark

An interval mark is a small dot “•” appearing at the middle level of a line and is used to separate related words, such as between the first, middle, and last names

of foreigners, as in [26a], between the book title and the chapter title, as in [26b], and between the dates of events, as in [26c].

- [26] a. 乔治•华盛顿
qiao2zhi4 hua2sheng4dun4
GEORGE WASHINGTON
'George Washington'
- b. 《论语•颜渊》
lun2yu3 yan2yuan1
THE_ANALECTS YAN_YUAN
'Chapter Yan Yuan in *Confucian Analects*'
- c. 九•一八事变
jiu3 yi1ba1 shi4bian4
September eighteen incident
'The September Eighteenth Incident.'

A.2.4.3. Slash

A slash is used to indicate alternative lexical items in a text, which often marks a coordinative ellipsis. Sometimes it can be written as a straight line “|.”

- [27] 登记/补领身份证
deng1ji4 bu3ling3 sheng2fen4zheng4
register receive_replacement identity_card
'to register for or to receive reissued identification card'

A.2.5. Marks indicating the nature of expressions

A.2.5.1. Book title mark

A book title mark is a pair of double angle brackets “《 》” or single angle brackets “〈 〉.” It is used to mark the title of books, magazines, journals, plays, movies, songs, or TV programs, as in [28a]. The double angle brackets are used more commonly, while the single angle brackets are normally used to indicate a title within a title, as in [28b].

- [28] a. 《现代汉语》
xian4dai4 han4yu3
modern Chinese
'Modern Chinese'

- b. 《评 <狂人日记>》
 ping2 kuang2ren2 ri4ji4
 review mad_man diary
 ‘On *The Diary of a Mad Man*’

A.2.5.2. Proper noun mark

The proper noun mark is used to mark proper nouns, including the names of a person, place, dynasty, religion, and other proper nouns. It is written as an underline when horizontal writing is used, such as in [29], and a vertical line to the left when vertical writing is used.

- [29] 《永乐大典》是在明朝皇帝朱棣的授意下编撰的。
 yong3le4 da4dian3 shi4 zai4 ming2chao2
 YONGLE great_classics be PREP MING_dynasty
 huang2di4 zhu1di4 de0 shou4yi4 xia4
 emperor ZHU_DI DE authorization under
 bian1zhuan4 de0
 edit_author DE
 ‘*The Great Classics of Yongle* was compiled under the authorization of
 Emperor ZHU Di of the MING Dynasty.’

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